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# Hypochlorite scavenging activity of cerium oxide nanoparticles

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## Abstract

In this report, we provide evidence that specific synthesis of cerium oxide nanoparticles can scavenge hypochlorite anion, which is a strong extracellular oxidant involved in the inflammatory processes. The scavenging process takes place by a surface reaction involving the evolution of oxygen and the reduction of  $\text{Ce}^{4+}$  to  $\text{Ce}^{3+}$ .

Reactive oxygen species (ROS) or oxidants are associated with different diseases and aging [1]. Among them,  $\text{HOCl}/\text{ClO}^-$  is a strong oxidant produced by activated neutrophils and monocytes. Activated neutrophils or monocytes produce myeloperoxidase, which reacts with hydrogen peroxide and chloride ions giving rise to hypochlorous acid,  $\text{HOCl}$ , a strong nonselective oxidant [2,3].  $\text{HOCl}$  production is part of the host defense mechanism against microorganisms; however, these properties may involve the risk of tissue damage through the same processes used in the destruction of invading microorganisms. In fact, neutrophil oxidants have been shown to be implicated in the tissue injury associated with inflammatory diseases, including respiratory distress, ischemia–reperfusion injury, acute vasculitis, arthritis, inflammatory bowel diseases, and glomerulonephritis [4].

Antioxidant and some natural compounds have been shown to scavenge hypochlorite such as flavonoids, polyphenols or hydroxycinnamic acids [5–8]. Cerium oxide nanoparticles (CNPs) have recently been shown as an inorganic regenerative antioxidant in biological systems [9]. CNPs are characterized by a complex redox chemistry due to the presence of  $\text{Ce}^{3+}$  atoms accompanying oxygen vacancies which are surrounded by  $\text{Ce}^{4+}$  atoms [10]. It has been reported that CNPs

display superoxidedismutase mimetic activity [9], catalase mimetic activity [11] and the capacity to scavenge nitric oxide radicals [12]. Therefore, CNPs could potentially be used in biomedicine to fight against oxidative stress.

Although there are studies which describe the antioxidant properties of CNPs [13,14], in particular superoxide radical, hydrogen peroxide, hydroxyl radical and nitric oxide/peroxynitrite radical, in general, the antioxidant activity of these nanoparticles has been measured indirectly by intermediaries [15,16] and the reactions and subproducts which are generated after the interaction between CNPs and free radicals have not been fully characterized. Moreover, until now, there has been no evidence that CNPs can scavenge  $\text{HOCl}/\text{ClO}^-$ . A complete knowledge of the interaction of all physiological compounds with CNPs is completely necessary before assessing the massive use of CNPs in biomedical applications. In this study, we investigated whether CNPs are capable of scavenging  $\text{HOCl}/\text{ClO}^-$  and explored the reaction mechanism by which this phenomenon occurs. The proposed scavenging method which is shown here improves the speed of scavenging reaction of this particular ROS in comparison with already published articles [17] and clearly shows that it is effective in an in vitro cell model.

It is well established that physicochemical properties of CNPs govern the catalytic activity. Therefore, in this study we have selected four different CNPs (synthesized or commercially available) which have been used in previous studies and named as CNP1 [18], CNP2 [19], CNP3 [12] and CNP4 [18].

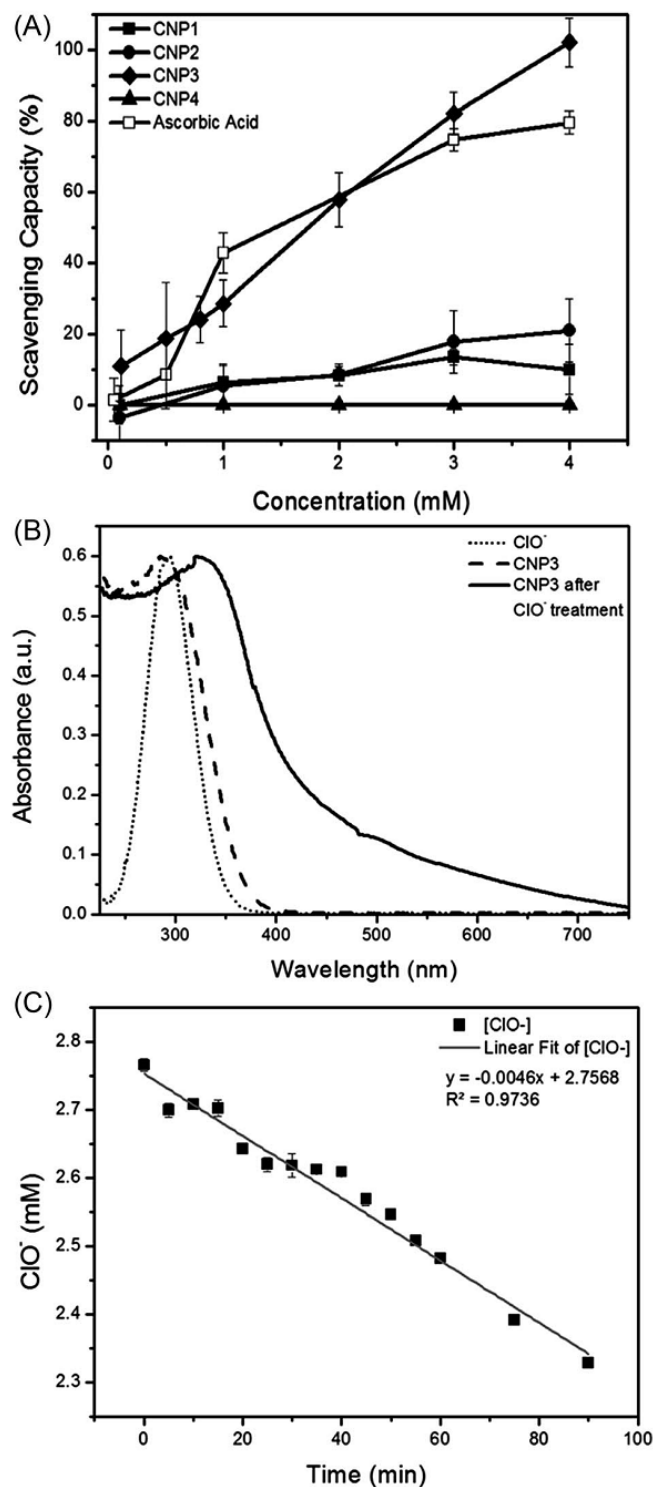
Physicochemical properties of the four nanoparticles were thoroughly analyzed and summarized in Table 1. In particular, size, morphology, agglomeration status, surface charge and surface  $Ce^{3+}/Ce^{4+}$  were measured. These above mentioned parameters were investigated as catalytic activity of CNPs can be highly influenced by these parameters as follows: (1) size – directly correlated with surface area and density of active site; (2) morphology and crystallinity – surface energy and stability of the particles; (3) agglomeration status – directly correlated with available surface for catalytic activity; (4) surface  $Ce^{3+}/Ce^{4+}$  – the presence of both surface oxidation states at nanoscale make nanoparticles catalytically active, CNPs with higher  $Ce^{3+}/Ce^{4+}$  scavenge more superoxide radicals whereas lower  $Ce^{3+}/Ce^{4+}$  scavenge hydrogen peroxide and nitric oxide radicals [20]. The size and morphology of particles were assessed using High Resolution Transmission Electron Microscopy (HRTEM). They were all round-shaped with particle nominal size in the 3–20 nm range. The selected area electron diffraction (SAED) pattern revealed that all four particles were crystalline (HRTEM images and SAED pattern are shown in the supplementary document, ESI Fig. S1).

**Table 1.** Physicochemical characterization of CNPs.

Sample	Surface $Ce^{3+}(\%)$	Shape	$\zeta$ -potential (mV)	Effective diameter (DLS, nm)
CNP1	27	round	$52.3 \pm 0.5$	$50.8 \pm 0.9$
CNP2	40	round	$56.5 \pm 1.4$	$58.8 \pm 0.8$
CNP3	30	round	$46.0 \pm 2.5$	$9.4 \pm 2.1$
CNP4	57	round	$18.6 \pm 0.6$	$30.8 \pm 2.8$

The effective diameter and zeta-potential of the CNPs suspensions were measured by dynamic light scattering (DLS) and electrophoretic light scattering, respectively (Zetasizer Nano ZS from Malvern Instruments). The data, obtained for 1 mM suspensions in high purity water at pH 7.5, are shown in Table 1. X-ray photoelectron spectroscopy data were collected for Ce 3d<sub>5/2</sub> using 5400 PHI ESCA spectrometer (Mg-K $\alpha$  X-radiation (1253.6 eV) at a power of 350 W was used during the data collection). Surface  $Ce^{3+}/Ce^{4+}$  was calculated as discussed in our previous publication [21].

These well characterized nanoparticles were investigated for  $ClO^-$  scavenging activity. The nanoparticle suspensions (from 0.1 to 4 mM) were incubated for 60 min with freshly prepared hypochlorite solutions (5 mM, pH  $9.0 \pm 0.2$ ). Then, the particles were separated by centrifugation (23 000g, 5 min). The



**Figure 1.** (A)  $ClO^-$  scavenging activity of CNP determined from catalase inactivation measurements. (B) UV-vis spectra showing the interaction of CNP3 and  $ClO^-$ . (C) Kinetics of  $ClO^-$  depletion by CNP3.

amount of  $ClO^-$  (at the working pH, hypochlorous acid, pKa 7.5, is 97% dissociated) in the supernatant, which decreased due to the scavenging activity of CNPs, was tracked by measuring the inactivation of catalase. The method followed the procedure described by Aruoma and Halliwell [22] with minor variations. The scavenging activity was evaluated by measuring the

decrease in absorbance of catalase at 404 nm using microplate readings (FLUOstar Omega microplate reader, BMG LABTECH, Germany). The data are shown in Fig. 1A for the tested CNPs and the reference antioxidant compound ascorbic acid [23]. Scavenging activity (%) was calculated using the following formula:

$$\text{Scavenging activity (\%)} = \frac{(C_x - C_{t2})}{(C_{t1} - C_t)} \times 100 \quad (1)$$

where  $C_{t1}$  is the catalase absorbance (measured at 404 nm) without  $\text{ClO}^-$  (intact catalase);  $C_{t2}$  is the catalase absorbance in the presence of  $\text{ClO}^-$  (degraded catalase) and  $C_x$  is the catalase absorbance with  $\text{ClO}^-$  which was previously in contact with CNPs or ascorbic acid.

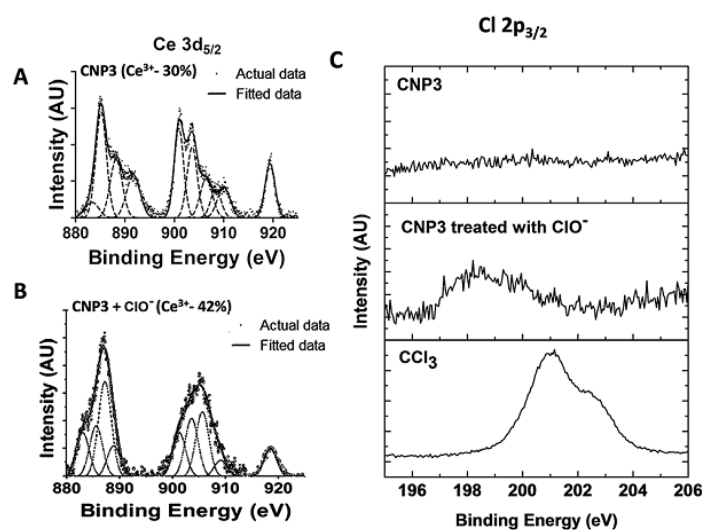
The data show that CNP3 has strong  $\text{ClO}^-$  scavenging activity, with reaction extents comparable to the same concentration of ascorbic acid. The interaction of CNP3 with  $\text{ClO}^-$  was revealed by absorption spectroscopy. The absorption spectra of CNP3, recorded using a Perkin Elmer Lambda 750S instrument, show a shift in the absorption peak from 300 to 322 nm in CNP exposed to  $\text{ClO}^-$  (60 min), separated by centrifugation and carefully washed three times before recording the spectrum (Fig. 1B). A similar phenomenon was found with CNP2 (see ESI Fig. S2). Absorbance values at wavelengths 298 and 252 nm are the characteristic UV-Vis absorbance for  $\text{Ce}^{4+}$  and  $\text{Ce}^{3+}$ , respectively [24]. Fig. 1B clearly indicates that there is a shift in CNPs surface oxidation state from  $\text{Ce}^{4+}$  to  $\text{Ce}^{3+}$  after reacting with  $\text{ClO}^-$ . The disappearance of  $\text{ClO}^-$  from CNPs suspensions could not be attributed to its mere adsorption on the nanoparticle surface. A kinetic study was undertaken in mixtures of  $\text{ClO}^-$  and CNPs (5 mM) by following the evolution of hypochlorite concentration with time. The UV absorbance at 292 nm (molar absorptivity  $350 \text{ M}^{-1} \text{ cm}^{-1}$ ) was measured to estimate the concentration of the  $\text{ClO}^-$  on the supernatant of centrifuged samples [25]. The corresponding data are shown in Fig. 1C for CNP3. We selected CNP3 as CNP3 showed highest  $\text{ClO}^-$  scavenging activity. A Langmuir kinetics assuming surface equilibrium yields the following expression [26]:

$$r = \frac{k K C}{1 + K C} \quad (2)$$

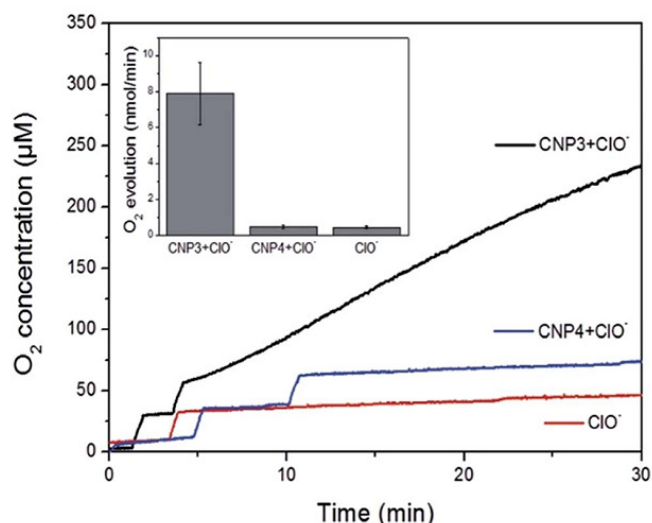
where  $k$  is the rate of reaction of adsorbed species,  $K$  the adsorption equilibrium constant and  $C$  is the concentration of  $\text{ClO}^-$ . Given the relatively low concentration used, the zero order kinetics depicted in Fig. 1C points towards a high adsorption constant of  $\text{ClO}^-$  on CNP.

In order to better understand the interaction between  $\text{ClO}^-$  and CNP, XPS analysis was performed to further confirm the change in  $\text{Ce}^{3+}/\text{Ce}^{4+}$  on the surface of the

nanoparticles seen in UV-Vis data. CNP3 was washed three times with distilled water after 60 min treatment with 5 mM  $\text{ClO}^-$ , to make sure there was no free  $\text{ClO}^-$ . The Ce  $3d_{5/2}$  spectra recorded (Fig. 2A and B) showed a significant increase in surface  $\text{Ce}^{3+}$  (12%) after interaction of CNP3 with  $\text{ClO}^-$  for 60 min at pH 9.0. The presence of chloride on CNP3 surface after treatment with  $\text{ClO}^-$  is also apparent (Fig. 2C). The shift in Cl  $2p_{3/2}$  spectrum compared to  $\text{CeCl}_3$  may signify a complex formation on the surface of the nanoparticles rather than formation of  $\text{CeCl}_3$  on the surface. Based on these results, we hypothesized the following reaction by means of which  $\text{ClO}^-$  is depleted by interaction with CNP3:

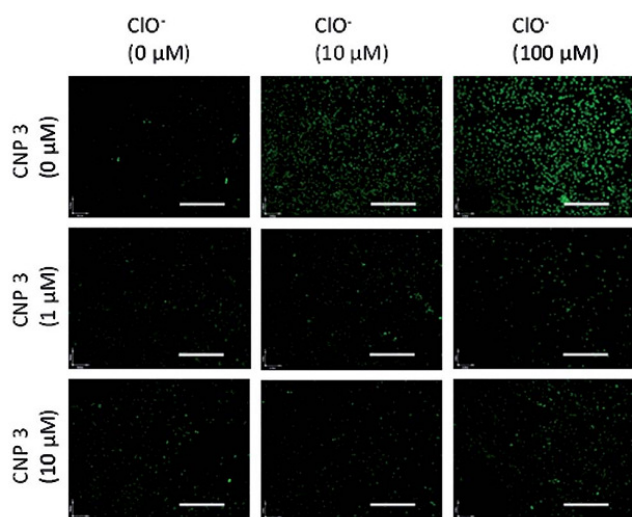


**Figure 2.** The chemical property of the CNP3 after treatment with  $\text{ClO}^-$ . (A) and (B) show a significant change in Ce  $3d_{5/2}$  XPS spectrum after treatment with  $\text{ClO}^-$ . (C) Shows Cl  $2p_{3/2}$  spectra of CNPs, CNPs treated with  $\text{ClO}^-$  and  $\text{CeCl}_3$  ( $\text{CeCl}_3$  was used as reference) and indicates the presence of Cl on the surface of the nanoparticles after reaction of  $\text{ClO}^-$ .



**Figure 3.** Oxygen evolution for CNP in contact with  $\text{ClO}^-$ .

The reaction accounts for the formation of chlorine–metal bonds due to chloride adsorption on the positively charged surface and should be accompanied by a release of oxygen. In order to prove that  $\text{ClO}^-$  decomposes as indicated, we performed direct measurements of oxygen evolution using a Clark-type oxygen electrode. The experiments were performed at 25 °C under constant stirring (0.1 g) using a Hansatech apparatus (Kings Lynn, Norfolk, UK) with 1  $\mu\text{M}$  CNPs and 5 mM of  $\text{ClO}^-$ .



**Figure 4.** Scavenging of  $\text{ClO}^-$  by CNP3 in vitro RAW cell culture model. Pretreatment with 1  $\mu\text{M}$  CNP3 able to scavenge the most of the  $\text{ClO}^-$  (comparable to control); scale bar 50 nm.

The results are shown in Fig. 3 and revealed a significant evolution of oxygen for CNP3 (CNP4 and  $\text{ClO}^-$  without nanoparticles are also shown for comparison), which amounted to 8  $\text{nmol min}^{-1}$  or 0.32  $\text{mol O}_2 \text{ mol per CNP per h}$ . There was no evidence of oxygen release when nanoparticle or  $\text{ClO}^-$  alone was added (ESI Fig. S3). Lastly, we explored if CNP could scavenge  $\text{ClO}^-$  in the cellular environment. RAW 264.7 cells culture was selected as in vitro model and boron dipyrromethene-based fluorometric probe, HCS, was used to detect the  $\text{ClO}^-$  [27]. Data are shown in Fig. 4. Confocal images clearly indicate that concentration of  $\text{ClO}^-$  in the cells pretreated with CNP3 is comparable to the control. 1  $\mu\text{M}$  concentration of CNP3 was sufficient to scavenge the  $\text{ClO}^-$  to its basal level (control) in the RAW cell model. Fluorescence intensity was also measured using Image J software and is shown in ESI Fig. S4.

## Conclusions

The higher activity of CNP3 in comparison with the other tested nanoparticles is apparent from the data displayed in Fig. 1–3. We attributed this higher reactivity to its significantly lower effective particle size, with DLS diameters below 10 nm versus 30–50 nm for the other particles and to higher  $\text{Ce}^{4+}$  on the

surface. The effect of particle size on surface chemistry has already been reported for CNPs [28]. Decrease in nanoparticles size and increase in stability are directly related to increase in the active surface area for the reaction [9]. From the proposed reaction and XPS data it can be concluded that higher surface  $\text{Ce}^{4+}$  is necessary for better  $\text{ClO}^-$  scavenging. It is interesting to mention that CNP1 (73%) has a comparable amount of  $\text{Ce}^{4+}$  to that of CNP3 (70%) and similar nominal size (5–8 nm); however, CNP3 has the highest scavenging activity. Thus, lower stability of CNP1 (higher agglomeration, Table 1) contributed towards lower  $\text{ClO}^-$  scavenging activity. Noteworthy, CNP2, which also showed a minor surface interaction as revealed by absorption spectroscopy, had a surface composition very similar to that of CNP1. In conclusion, we determined that CNPs were able to scavenge  $\text{ClO}^-$  both in test tube and in vitro RAW cell culture model by surface interaction and a reaction involving the evolution of oxygen and the reduction of  $\text{Ce}^{4+}$  to  $\text{Ce}^{3+}$ . The results are relevant because  $\text{HOCl/ClO}^-$  is involved in tissue damage due to overstimulation of inflammatory processes.

## Acknowledgements

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### **Experimental Section**

#### **1. Nanoparticles preparation and characterization**

Synthesis of the nanoparticles were described in our previous publications. Briefly, CNP1 was synthesized using precipitation method. Cerium nitrate hexahydrate was dissolved in distilled water and equimolar mixture  $\text{NH}_4\text{OH}$  was used as oxidizer [1]. The solution was stirred for 4 hours and particles were washed with distilled water for three times. CNP2 was prepared using hydrothermal method. Cerium nitrate hexahydrate was dissolved in water and then  $\text{NaOH}$  was added to adjust pH to 10. This solution was mixed properly in a Teflon bottle and subjected to hydrothermal treatment at  $80^\circ\text{C}$  for 6 h [2]. Then particles were washed with distilled water for three times. CNP3 was also prepared using precipitation method. Cerium nitrate hexahydrate was dissolved in distilled water and excess amount of  $\text{NH}_4\text{OH}$  was added. Then solution was stirred for 4 hours and washed with distilled water for three times. Pellet was resuspended in water and 1N  $\text{HNO}_3$  was added to decrease the pH to 4 for better stability [3]. Lastly, CNP4 was prepared using wet chemical method, where equimolar  $\text{H}_2\text{O}_2$  was used as oxidizer. 1N  $\text{HNO}_3$  was used to decrease the pH to 4 for better stability [1].

These four nanoparticles were then thoroughly characterized. Size and morphology of the nanoparticles were analyzed using High Resolution Transmission Electron Microscopy (HRTEM). Surface charge and hydrodynamic radius of the nanoparticles were estimated using Zetasizer (Nano-ZS from Malvern Instruments, Houston, TX). X-ray photoelectron spectroscopy (5400 PHI ESCA; Mg KR X-ray irradiation (1253.6 eV) and 350 W) was used to determine the surface chemistry of the nanoparticles.

## 2. UV-Vis ClO<sup>-</sup> absorption study

The interaction of CNP3 with ClO<sup>-</sup> was revealed by UV-vis absorption spectrometry. A 10 mM stock solution of hypochlorite (ClO<sup>-</sup>) was prepared immediately before the experiment. The reaction mixture contained, in a final volume of 1.5 ml, ClO<sup>-</sup> (5 mM) and increasing concentrations (from 1 to 2.5 mM) of each CNPs (CNP3 and CNP2). After mixing both, the nanoparticles were centrifuged at 13000 rpm during 5 min and carefully washed three times with distilled water, in order to avoid the presence of free ClO<sup>-</sup>. Finally, the absorption spectra (220-750 nm) were recorded using a Perkin Elmer Lambda 750S instrument.

## 3. Oxygen evolution measurements

Oxygen evolution was measured at 25°C under stirring (0.1 g) with a Clark-type oxygen electrode (Hansatech, Kings Lynn, UK). Firstly, 2 ml of distilled water were added to the chamber and dissolved oxygen was displaced with argon gas. Then, the chamber cap was opened and CNP3 or CNP4 at final concentration of 1 mM was added. Finally, the reaction mixture was completed when ClO<sup>-</sup> (5 mM) was added. Oxygen evolution was tracked during 30 min. There was no evidence of oxygen release when nanoparticle or ClO<sup>-</sup> alone was added (see supplementary figure S3).

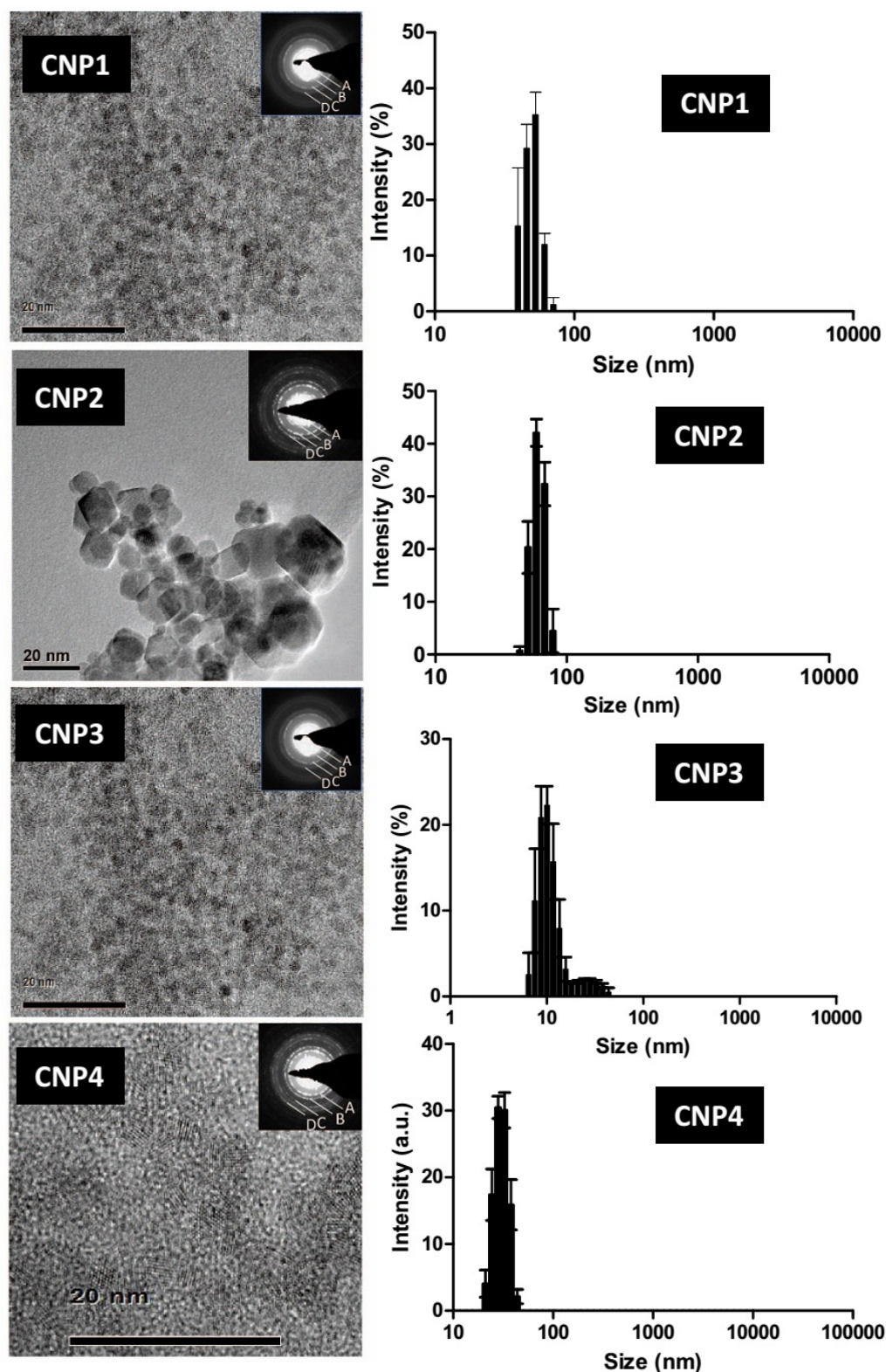
## 4. ClO<sup>-</sup> measurement in RAW cells

$30 \times 10^3$  RAW cells/cover slips were seeded and then cultured overnight. Cells were then treated with two different concentrations of CNP3 (1 and 10  $\mu$ M) and incubated for 6 h. After the incubation, 10  $\mu$ M and 100  $\mu$ M NaOCl were added to the culture and incubated 30 min for at 37 °C. Following washing with sterile saline solution, cells were treated with 2  $\mu$ l of 10 mM HCS (HSC was provided by Dr. Shu-pao Wu) dissolved in DMSO and was incubated for 30 min at 37 °C. Finally, cells were washed with sterile saline and immediately, imaged under a confocal (Carl Zeiss confocal microscope with Volocity image processing software) microscope using 20x water immersion lenses and ten different regions were randomly imaged. Image J was used to get semi-quantitative data from the confocal images.

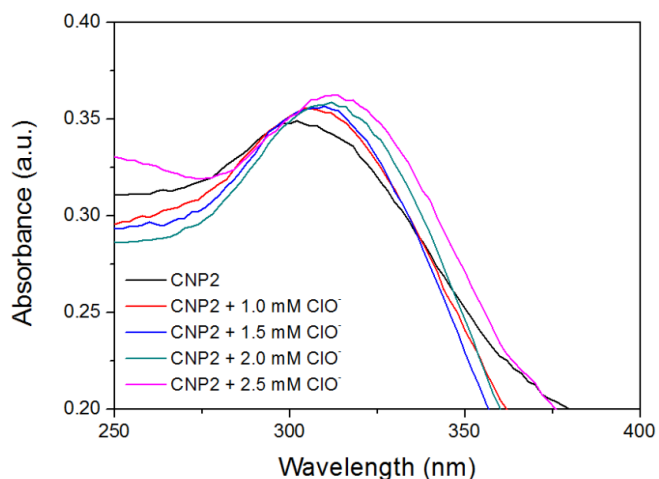
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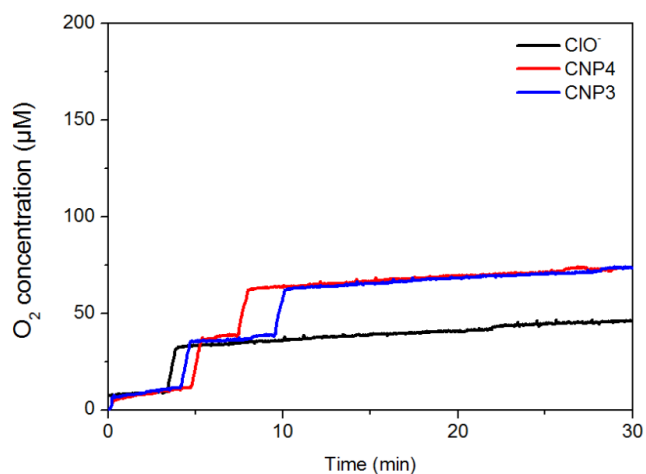




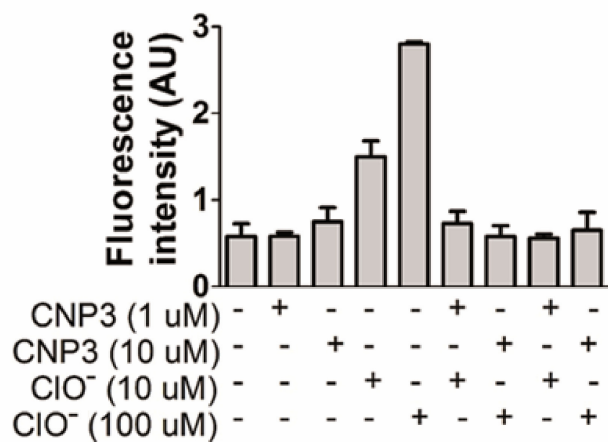
**Figure S1.** HRTEM images of CNPs show nanoparticles morphology (left panel) and the size distribution histogram using dynamic light scattering (DLS) (Left panel). The nominal sizes of the particles are as follows: CNP1 5-8 nm, CNP2 15-20 nm, CNP3 5-8 nm, CNP4 3-5 nm. Selected area electron diffraction patterns (SAED) of the particles are shown in the inset. SAED patterns confirm the crystalline nature and A(111), B(200), C(220) and D(311) are different lattice planes of CNPs fluorite crystal structure. Scale bars 20 nm. DLS data showed size of the different nanoparticles as follows: CNP1:  $50.8 \pm 0.9$  nm; CNP2:  $58.8 \pm 0.8$  nm; CNP3:  $9.4 \pm 2.1$  nm; CNP4:  $30.8 \pm 2.8$  nm. The increase in the size of the nanoparticles is due to partial agglomeration of the nanoparticles.



**Figure S2.** UV-vis spectra showing the interaction of CNP2 and  $\text{ClO}^-$ .



**Figure S3.** Oxygen evolution for CNP3, CNP4 and  $\text{ClO}^-$  alone, indicating that there was no evidence of oxygen release when nanoparticle or  $\text{ClO}^-$  alone was added.



**Figure S4.** Semi-quantitative fluorescence intensity data of  $\text{ClO}^-$ . Data indicated  $\text{ClO}^-$  in CNP3 pretreated samples were comparable to control. CNP3 itself did not induce any  $\text{ClO}^-$ .