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1 **Fractionation and precipitation of licorice (*Glycyrrhiza glabra* L.) phytochemicals**
2 **by supercritical antisolvent (SAS) technique**

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10 **Abstract**

11 The incorporation of bioactive compounds in food matrices is a priority field of current
12 research in the area of food, nutrition and health. More efficient and environmentally
13 clean technologies, such as supercritical fluid technology, are being studied and
14 developed to achieve this goal. Supercritical anti-solvent precipitation using carbon
15 dioxide constitutes one of these techniques and allows obtaining powdered food
16 ingredients in the form of small size particles, facilitating their incorporation into food
17 matrices and, in addition, increasing the bioavailability of the bioactive compounds. In
18 this work the SAS precipitation of licorice phytochemicals was carried out.

19 The SAS precipitation of an ethanolic extract of licorice root, obtained by ultrasonic
20 assisted extraction. The products obtained were studied concerning their antioxidant
21 capacity, content of bioactive compounds (liquiritin, liquiritigenin, isoliquiritigenin,
22 glabridin and glycyrrhizic acid), as well as the size and morphology of the particles
23 obtained. SAS technique allows the fractionation of the phytochemicals contained in the
24 ethanolic extract, increasing the antioxidant activity of the precipitates in comparison to
25 that of the original extract. Additionally, it was established the influence of operating
26 conditions to obtain dry, regular and small particles, with an average size of 16 µm
27 under the optimal conditions assessed.

28 **Keywords:** Supercritical antisolvent precipitation; Licorice; Antioxidant activity;
29 Morphology; Particle size distribution.

30 **Abbreviations**

31 LES: licorice ethanolic solution

32 PSD: particle size distribution

33 SAS: Antisolvent precipitation process

34 SCCO₂: supercritical carbon dioxide

35 SEM: scanning electron microscopy

36 TEAC: Trolox equivalent

37 TPC: Total phenolic compounds

38 1. Introduction

39 Licorice (*Glycyrrhiza glabra* L.) grows in Mediterranean countries, Asia and Southeast
40 Europe (Saxena, 2005). Due to its sweet flavor and bioactive properties, licorice was
41 used as a medicinal plant. Recent studies have shown different properties as antitussive,
42 antiulcer, antimicrobial and antiviral, thrombin inhibitor, anti-inflammatory,
43 antidiabetic, hepato-protective and anticancer. These activities were related to the
44 presence of triterpenoid-type and phenolic-type compounds, mainly liquiritin,
45 liquiritigenin, glycyrrhizic acid, isoliquiritigenin and glabridin (Chin et al., 2007; Kaur,
46 Kaur, & Dhindsa, 2013). Extraction techniques like ultrasound assisted extraction (Pan,
47 Liu, Jia, & Shu, 2000), maceration (Sankeshwari, Ankola, Bhat, & Hullatti, 2018),
48 pressurized liquid extraction (Baek, Lee, & Lee, 2008) or supercritical carbon dioxide
49 extraction (Hedayati & Ghoreishi, 2015; S.E. Quintana et al., 2019), were investigated
50 to improve the extraction of licorice bioactive constituents. Natural extracts are in the
51 market in liquid form, as oily preparations, or in solid form as powders. Dried powdered
52 extracts have some advantages over liquid extracts, as higher concentration and stability
53 of the bioactive substances together with lower storage costs (Visentin, Rodríguez-Rojo,
54 Navarrete, Maestri, & Cocero, 2012). Powders containing micro- and/or nano-particles
55 allow a better incorporation of bioactive substances in complex food matrices.
56 Furthermore, smaller sizes improve the bioavailability of bioactive ingredients,
57 increasing absorption and effectiveness (Martín & Cocero, 2008).

58 Traditionally, size reduction methods were based on physical techniques such as
59 grinding, milling, crystallization or crushing, but these techniques do not allow small
60 enough sizes (Rasenack & Müller, 2004). Nowadays, different techniques have been
61 studied and developed to obtain powdered extracts with small particles, such as spray
62 drying, spray cooling, lyophilization, liquid antisolvent precipitation, among others (X.
63 Chang, Bao, Shan, Bao, & Pan, 2017; Esposito, Roncarati, Cortesi, Cervellati, &
64 Nastruzzi, 2000; Lee et al., 2016; Morita, Horikiri, Suzuki, & Yoshino, 2001). In this
65 respect, the micronization using supercritical fluids has some advantages, such as the
66 possibility of obtaining particles with more homogeneous morphology, narrow particle
67 size distribution (PSD), avoiding the thermal degradation of the product and reducing
68 the use of liquid solvents (Wang, Liu, Wu, & Jiang, 2013). Particularly, supercritical

69 antisolvent (SAS) precipitation was extensively used in the last years for the production
70 of micro- or nano-particles of pharmaceutical/bioactive compounds (Deshpande et al.,
71 2011; Girotra, Singh, & Nagpal, 2013; Sarkari, Darrat, & Knutson, 2000).

72 SAS precipitation is based on the continuous contact between supercritical carbon
73 dioxide (SCCO₂) and an organic solvent (highly soluble in SCCO₂) containing the
74 targeted bioactive compounds. The solution is introduced in the precipitation vessel
75 through a nozzle, forming small drops, together with SCCO₂. The SCCO₂ penetrate in
76 the droplets, inducing the solution supersaturation, followed by the bioactive substance
77 precipitation (anti-solvent effect) into small solid and dry particles (Langa et al., 2019;
78 Martín & Cocero, 2008).

79 SAS precipitation conditions should ensure the complete removal of the organic solvent
80 from the precipitation vessel (Reverchon, Torino, Dowy, Braeuer, & Leipertz, 2010).
81 Therefore, the operating conditions depend largely on the solvent used (I. De Marco,
82 Knauer, Cice, Braeuer, & Reverchon, 2012), and specifically on the phase equilibria of
83 the CO₂ + solvent mixture. Thus, to achieve a satisfactory precipitation (small, dry and
84 uniform particles) in SAS method, it is necessary to establish operating conditions
85 above the CO₂ + solvent mixture critical point (MCP) to attain a homogeneous
86 supercritical phase (I. De Marco et al., 2012; Reverchon, Adami, Caputo, & De Marco,
87 2008; Werling & Debenedetti, 1999). Furthermore, surface tension of the solution
88 (Iolanda De Marco & Reverchon, 2011), fluid dynamics (Badens, Boutin, & Charbit,
89 2005; Dowy, Braeuer, Schatz, Schluecker, & Leipertz, 2009; Gokhale, Khusid, Dave, &
90 Pfeffer, 2007; Reverchon et al., 2010) and mass transfer (De Diego, Pellikaan,
91 Wubbolts, Witkamp, & Jansens, 2005; Martín & Cocero, 2004, 2008; Mukhopadhyay
92 & Singh, 2004; Werling & Debenedetti, 2000) also influence the morphology and the
93 size of the particles.

94 Many bioactive pure substances, such as quercetin, caffeine, β -carotene, ellagic acid
95 ibuprofen, mandelic acid, curcumin, among others, were micronized using SAS
96 technique. Specifically, complex mixtures of phytochemicals (e.g. vegetal extracts),
97 such as rosemary (Somaris E. Quintana, Villanueva-Bermejo, Reglero, García-Risco, &
98 Fornari, 2019), mango (Guamán-Balcázar, Montes, Pereyra, & Martínez de la Ossa,

99 2019), orange (Montes et al., 2019) and yarrow leaves (Villanueva-Bermejo et al.,
100 2017) extracts were simultaneously fractionated and precipitated using SAS. In general,
101 ethanol is the most used organic solvent, due to its high solubility in SCCO₂ and
102 extensive use in food applications.

103 Although the licorice SAS precipitation has not been reported in the literature at
104 present, the extraction of licorice roots has been well studied for the recovery of
105 bioactive phytochemicals. Sohail, Rakha, Butt, & Asghar, (2018) reported a comparison
106 between solid-liquid extraction, using ethanol, methanol and ethyl acetate as solvents,
107 and the SCCO₂ extraction of licorice roots. They concluded that the supercritical
108 extracts contained the highest amount of phenolic compounds and flavonoids, and the
109 largest antioxidant capacity. The highest recovery of glycyrrhizic acid and glabridin was
110 obtained at elevated pressures. Quintana et al., (2019) achieved a high antioxidant
111 activity in the extracts obtained using SCCO₂ and ethanol as cosolvent. Moreover, two
112 licorice fractions were produced by on-line fractionation with, respectively,
113 antimicrobial and antioxidant activities. Kim et al. [38] studied the effect of different
114 cosolvents on the SCCO₂ extraction of glycyrrhizic acid from licorice roots.

115 In this work, the simultaneous SAS fractionation and precipitation of a licorice
116 ethanolic extract to produce micro- and nano-particles was studied for the first time. The
117 effect of process parameters, e.g. pressure (12.5-20 MPa), temperature (308.15 and
118 313.15 K) and concentration of licorice phytochemicals in the ethanolic solution (9.6
119 and 14.2 mg/ml) on the recovery of licorice antioxidants was analyzed, along with the
120 morphology and particle size distribution of the precipitates.

121 **2. Materials and methods**

122 **2.1 Chemicals**

123 CO₂ (99.98 % purity) was supplied from Carbueros Metálicos (Madrid, Spain). Ethanol
124 (99.8 % purity), Sodium Carbonate anhydrous (99.5% purity) and Folin-Ciocalteu's
125 reagent were purchased from Panreac (Barcelona, Spain). Gallic acid standard (> 98%
126 purity), 2,2-Diphenyl-1-picrylhydrazyl (DPPH, 95% purity), (±)-6-Hydroxy-2,5,7,8-
127 tetramethylchromane-2-carboxylic acid (Trolox, 97% purity), liquiritin, liquiritigenin,

128 isoliquiritigenin, glabridin and glycyrrhizic acid were purchased from Sigma–Aldrich
129 (St. Louis, MO, USA). Orthophosphoric acid (85% purity) was purchased from
130 Scharlab S.L. (Sentmenat, Spain). Acetonitrile (99,8% purity) was purchased from
131 Macron (Poland).

132 **2.2 Preparation of licorice ethanolic solutions**

133 Roots of licorice harvested in Spain were obtained from Murciana herbalist's (Murcia,
134 Spain) with water content of 9.90% wt. The sample was ground using a Grindomix GM
135 200 knife mill (Verder International B.V., Vleuten, Netherlands) in particles with size
136 lower than 500 μm . Then, ultrasound assisted extraction (UAE) using an ultrasonic
137 device (Branson Digital Sonifier 550 model, Danbury, USA) with an electric power of
138 550 W and frequency of 20 kHz was accomplished. The extraction was carried out at
139 323 K for 15 min using ethanol at 1:10 (w/v) plant/solvent ratio. Extraction yield was
140 3.18 % (mass of phytochemicals extracted / mass of plant material utilized) and the
141 concentration of licorice phytochemicals in the ethanolic solution was 14.2 mg/ml
142 (LES1). This ethanolic solution (704.2 ml) was further diluted with ethanol to a final
143 volume of 1000 ml to obtain another ethanolic solution containing 9.6 mg/ml (LES2) of
144 licorice phytochemicals. Both ethanolic solutions (14.2 mg/mL and 9.6 mg/mL) were
145 stored at 253.15 K for its use in the SAS process.

146 **2.3 Supercritical antisolvent (SAS) precipitation**

147 Figure 1 shows the supercritical antisolvent precipitation device used for this study
148 (Model Thar SF2000, Thar Technology, PA, USA). A detailed description of the
149 equipment can be found elsewhere [36]. The equipment comprises a precipitation vessel
150 and a separator with independent control of temperature and pressure. The precipitation
151 vessel (273 ml) is equipped with a 101.6 μm inner diameter nozzle to spray the
152 ethanolic solution. SCCO₂ and the ethanolic solution are fed from the top in a co-current
153 manner (coaxial nozzle).

154 SCCO₂ was pumped at 50 g/min flow rate until pressure and temperature conditions
155 were attained into the precipitation vessel. Then, the licorice ethanolic solution (LES)
156 was pumped through the nozzle at 2 g/min for 45 min, while maintaining the SCCO₂

157 flow rate. Additional SCCO₂ was pumped during 15 min to wash out the residual
158 solvent from the precipitator. During the process, the separator was kept at 313.15 K
159 and ambient pressure. In the separator, ethanol and the phytochemicals which did not
160 precipitate into the precipitation vessel (i.e. the licorice phytochemicals which are
161 soluble in the SCCO₂+ethanol supercritical phase) were recovered. Finally, the
162 precipitation vessel was depressurized, and the precipitate was collected from a frit
163 placed at the bottom of the precipitator vessel. The ethanolic fraction was further rotary
164 evaporated until an oleoresin-type product was obtained. Samples (oleoresins and
165 precipitates) were kept at 253.15 K under darkness until analysis.

166 **2.4 Total phenolic compounds and antioxidant activity**

167 Folin-Ciocalteu method (Singleton, Orthofer, & Lamuela-Raventós, 1999) was used to
168 determine the total phenolic compounds (TPC) content in the samples. Sample (50 µL)
169 was mixed with 3 ml of milliQ water and 250 µl of the Folin Ciocalteu reagent and
170 strongly mixed. After 3 min, 750 µl of sodium carbonate solution (20% mass) and 950
171 µl of milliQ water were added. After 2 h at room temperature in darkness, the
172 absorbance was measured at 760 nm using a Genesys 10S UV-Vis spectrophotometer
173 (Thermo Fischer Scientific Inc., MA, USA). A calibration curve (linear regression) was
174 utilized to calculate the TPC concentration in the samples and TPC values were
175 expressed as GAE (mg of gallic acid equivalents / g of sample).

176 The method described by Brand-Williams, Cuvelier, & Berset, (1995) was used to
177 determine the antioxidant capacity of the samples. Sample (25 µL) was added to 975 µl
178 of the DPPH radical in ethanol (23.5 µg/ml). The radical scavenging reaction was
179 carried out at room temperature and under darkness for 2 h. Then, the absorbance was
180 measured at 515 nm in a Genesys 10S UV-Vis spectrophotometer (Thermo Fischer
181 scientific, MA, USA). A calibration curve (linear regression) was utilized to calculate
182 the DPPH concentration in the reaction medium. Pure solvent was used as control, to
183 measure the maximum DPPH absorbance. Trolox was used as reference standard and
184 the results were expressed as TEAC values (mmol Trolox equivalent/g extract). All
185 analyses were done in triplicate.

186 **2.5 HPLC-DAD analysis**

187 HPLC analysis was carried out as described by Wei, Yang, Chen, Wang, & Cui, (2015).
188 A LC-2030C 3D Plus (Shimadzu) device equipped with a quaternary pump, auto-
189 injector and DAD detector was used. The column was ACE Kromasil 100 C18 (250 x
190 4.6 mm; 5 μ m) and analyses were accomplished at 298 K. The mobile phase comprised
191 acetonitrile (A) and 0.026% aqueous H₃PO₄ (v/v), and the following elution gradient
192 was applied: 20-25% A for 0-20 min, 25-34% A for 20-30 min, 34-50% A for 30-50
193 min, 50-60% A at 50-60 min and 60% A for 60-80 min. The initial conditions were
194 attained in 5 min. The flow rate was 0.7 ml/min and was kept constant throughout the
195 analysis. The injection volume was 20 μ l and the detections were carried out at 230,
196 254, 280 and 370 nm. Calibration curves with standards were used to determine the
197 content of the bioactive licorice phytochemicals (liquiritin, liquiritigenin,
198 isoliquiritigenin, glabridin and glycyrrhizic acid) in the different samples.

199 **2.6 Morphology and Particle size analysis**

200 The morphology of precipitates was studied by scanning electron microscopy (SEM)
201 with an energy-dispersive X-ray spectrometer (SEM-EDS) XL-30S FEG, Philips
202 (Japan). Samples were placed on carbon tapes and then were coated with a thin chrome
203 layer by a sputter coater. Particle size and size distributions were measured by light
204 scattering with a laser diffraction system Mastersizer 2000 (Malvern Instruments Ltd.,
205 Malvern, UK), equipped with a wet dispersion unit.

206 **3. Results and Discussion**

207 **3.1 The supercritical antisolvent process**

208 The CO₂ + ethanol + licorice phytochemicals is a complex multicomponent system and
209 the phase equilibria of this mixture strongly affect the performance and the result of
210 SAS process. Particularly, the temperature and pressure of the mixture critical point
211 (MCP) in comparison with SAS temperature and pressure conditions may determine the
212 success of the precipitation process, since affect jet mixing, fluid dynamics and mass
213 transfer (Reverchon et al., 2010). These complex mechanisms are responsible for the
214 great variety of particle sizes and morphologies that can be obtained in SAS
215 precipitation process. Particularly, as mentioned before, it was described in the literature

216 (Reverchon et al., 2010) that these mechanisms strongly depend on the SAS
217 temperature and pressure conditions, which can be located below the MCP, near above
218 the MCP or far above the MCP (Figure 2).

219 In general, it was stated (Reverchon & De Marco, 2011) that when SAS conditions are
220 below the MCP but in the homogenous subcritical region, the formation of particles is
221 induced by the SCCO₂ antisolvent effect and by the organic solvent depletion in the
222 droplets formed by the nozzle. Consequently, microparticles and expanded
223 microparticles (hollow core particles) with irregular forms are obtained. Nevertheless, if
224 the SAS subcritical conditions are located within the liquid-vapor region, irregular
225 particles and agglomerates are produced due to the presence of residual solvent in the
226 precipitate. On the other hand, when SAS conditions are far above the MCP, the mixing
227 of CO₂ with the solvent is produced instantaneously and no liquid-gas interphase
228 occurs, resulting in smaller and more regular particles due to their condensation from a
229 gaseous phase.

230 Due to the lack of information about phase equilibria of the complex mixtures CO₂ +
231 ethanol + licorice phytochemicals, the SCCO₂ and licorice ethanolic solution (LES)
232 flow rates were established with the aim of attaining a homogenous supercritical phase
233 ($\approx 3\%$ mass ethanol) at the pressures and temperatures studied, according to the CO₂ +
234 ethanol binary phase equilibria data (C. J. Chang, Day, Ko, & Chiu, 1997; Joung et al.,
235 2001; Knez, Škerget, Ilič, & Lütge, 2008; Reverchon & De Marco, 2011). Indeed, this
236 is an approximation, since the presence of a large number and varied phytochemicals in
237 the supercritical phase may really change the MPC in comparison with that of the
238 binary CO₂ + ethanol.

239 **3.2. Effect of the concentration of phytochemicals in the licorice ethanolic solution**

240 Table 1 shows the results obtained by SAS with LES1 (14.2 mg/ml) at different
241 precipitation pressures and temperatures. The table reports the precipitate and oleoresin
242 yields, TPC, TEAC and IC₅₀ values, obtained from the different samples collected. All
243 SAS experiments were carried out by duplicate and the average deviations are given
244 (Table 1).

245 A significant decrease in the precipitation yields was observed at 313.15 K (experiments
246 1 and 2 in Table 1) in comparison with the rest of experiments. These precipitates were
247 very viscous, with large agglomerates adhered to the precipitation vessel walls, and they
248 were difficult to recover and to quantify their weight and thus, high deviations were
249 obtained. On the other hand, for the rest of experiments reported in Table 1, which were
250 performed at 308.15 K, solid and dry powders were obtained, and the average deviation
251 of precipitation yields between duplicates was always less than 9.21 % (mean deviation
252 of 3.96 %).

253 Table 2 show SAS precipitation assays when the concentration of the licorice ethanolic
254 solution was 9.6 mg/ml (LES2). Experiments were carried out at 308.15 and 313.15 K
255 and pressures of 15 and 20 MPa. No duplicates were accomplished and thus, no average
256 deviations are given for process yields. Yet, the TPC, antioxidant activity (TEAC and
257 IC₅₀ values) determinations were carried out by triplicate and the average deviations of
258 these data are included in Table 2.

259 In all the experiments reported in Table 2, homogeneous particles were obtained in dry
260 powders, including those assays carried out at 313.15 K. The different behavior
261 observed at this temperature when using the different licorice ethanolic solutions, may
262 be due to an expected higher MCP of LES1 in comparison with the MCP of LES2, as a
263 result of the higher concentration of phytochemicals in LES1. Thus, it is possible that
264 SAS operation conditions were subcritical for LES1 while supercritical for LES2.
265 Furthermore, higher concentration of phytochemicals results in higher solution viscosity
266 and may impair atomization, as reported by Prosapio, De Marco, & Reverchon, (2018).
267 Then, experiments with LES1 at 313.15 K lead to the coalescence of particles, forming
268 agglomerates, while experiments with LES2 at the same temperature resulted in dry
269 powders.

270 Additionally, it can be observed from Tables 1 and 2 that precipitate, and oleoresin
271 yields were higher using LES2 than using LES1. Thus, the total yields of SAS process
272 (precipitate + oleoresin) were higher at the lower concentration of the licorice ethanolic
273 solution used. For example, experiment 7 in Table 2 shows a 1.5-fold increase of

274 process yield (91 %) in comparison with its counterpart at 14.2 mg/ml (experiment 4 in
275 Table 1).

276 **3.2. Effect of pressure and temperature in SAS process yields**

277 Figure 3 shows that at constant temperature (308.15 K) and constant licorice ethanolic
278 concentration (LES1) the lower pressures brought about higher precipitate yields than
279 oleoresin yields, while the opposite effect occurred at the higher pressures. As the
280 pressure in the precipitation vessel increases at constant temperature, the SCCO₂ density
281 increases and thus, the solubility of licorice phytochemicals in the supercritical phase
282 also increases, resulting in a decrease of precipitation yield. Then, while lower amounts
283 of solid powders are recovered in the precipitates, larger amounts of oleoresins are
284 recovered from the separator. Furthermore, it can be observed that total recovery (mass
285 of precipitate + oleoresin) of licorice phytochemicals feed into the SAS process reached
286 values in the range 58-67% (experiments 3-6 in Table 1).

287 The general tendency observed with LES1 concerning the effect of pressure was also
288 observed with LES2 (i.e. exp. 7 and 8 at 308.15 K, and exp. 9 and 10 at 313.15 K) but
289 the total recovery of licorice phytochemicals in this case was higher and in the range of
290 68-91% (Table 2).

291 Regarding the effect of temperature, it can be observed that at lower pressures a
292 decrease in temperature favors the precipitate yields, as indicated by the results of Table
293 1 (exp. 1 and 4) and Table 2 (exp. 7 and 9), all them carried out at 15 MPa.
294 Consequently, the increase in temperature produced an increase in the oleoresin yields.
295 However, at the higher pressures (20 MPa) the effect of temperature seems to be less
296 important.

297 **3.2 Phenolic compounds and antioxidant activity of precipitates and oleoresins**

298 Figure 4 shows the recovery of total phenolic compounds obtained in the precipitation
299 vessel as a function of pressure and concentration of licorice phytochemicals in the
300 ethanolic solution. The TPC recoveries were higher with the lower concentration of the
301 licorice ethanolic solution. Furthermore, a tendency to obtain higher TPC recoveries at

302 the lower pressures can also be observed. Within the range of SAS operation conditions
303 studied, the best conditions to recover in the precipitate the licorice phenolic compounds
304 would be 15 MPa, 308.15 K and 9.6 mg/ml licorice ethanolic solution. At these
305 conditions high concentration (160.8 mg GAE/g) and yield ($\approx 71\%$) of TPC was
306 obtained, and also adequate precipitation yield (52.7%) was achieved. Taking into
307 account that the original licorice root ultrasound extract contains 119.5 ± 4.1 mg
308 GAE/g, an increase in the concentration of TPC was observed in the precipitates, with
309 values up to 1.4 greater.

310 Since phenolic compounds are substances with recognized antioxidant activity it is
311 generally stated that the higher the TPC the higher the antioxidant activity, that is the
312 higher TEAC values and the lower IC_{50} values. The TEAC and IC_{50} values obtained in
313 precipitates and oleoresins are depicted in Figure 5 as a function of TPC. In general, as
314 can be observed in Figure 5(a), there is no clear relationship (e.g. linear relation)
315 between TEAC and TPC values but is apparent that the precipitates presented higher
316 TEAC values than oleoresins for the same TPC concentration. This means that different
317 type and phenolic compound composition are present in precipitates and oleoresins,
318 being the TPC in the precipitate of greater antioxidant capacity. Accordingly, the IC_{50}
319 values of the precipitates are lower than those corresponding to oleoresins, as can be
320 seen in Figure 5(b).

321 **3.3 SAS fractionation of licorice phytochemicals**

322 As mentioned before, in the case of SAS precipitation of ethanolic plant extracts, the
323 fractionation of its bioactive substances is generally carried out, due to the different
324 solubility of the plant extract components in the supercritical CO_2 + ethanol phase
325 (Villanueva-Bermejo et al., 2017; Villanueva Bermejo et al., 2015).

326 Table 3 presents some key licorice bioactive compounds (Figure 6) identified in both,
327 precipitates and oleoresins. In general, liquiritigenin, glabridin and isoliquiritigenin
328 compounds are more abundant in the oleoresins, while liquiritin and glycyrrhizic acid
329 are concentrated in the precipitates. The observed trend may be explained considering
330 the polarity of these compounds, which is related to their chemical structure.

331 The most polar compounds are less soluble in the supercritical phase (CO₂ + ethanol
332 cosolvent) and thus these polar compounds should preferable precipitate. On the
333 contrary, the less polar compounds (more soluble in the supercritical phase) should be
334 preferable recovered in the separator, together with the ethanol cosolvent. Both
335 liquiritigenin and glabridin are the most non-polar compounds identified, with only two
336 hydroxyl groups in their structure. Isoliquiritigenin has a structure similar to
337 liquiritigenin but the latter is a flavanone and isoliquiritigenin is a chalcone (flavanone
338 precursor). The chalcones have the central ring open, so they have a free hydroxyl group
339 that gives it greater polarity compared to the flavone liquiritigenin. Glycyrrhizic acid is
340 a glycosylated terpenoid, and despite its terpenoid part, the glycosylated sugar provides
341 some polarity to this acid, producing its concentration in the precipitate. Finally,
342 liquiritin is the most polar compound of those studied (with 5 hydroxyl groups in its
343 chemical structure) and it is observed that it is most abundant in the precipitate.

344 Figure 7 shows for experiments 3 to 6 (308.15 K and 14.2 mg/ml of the licorice
345 ethanolic solution) the variation with pressure of the precipitate IC₅₀ values and the sum
346 of the concentrations (mg/g) of the compounds identified and quantified by HPLC
347 (Table 3). The IC₅₀ values decrease with decreasing pressure while the content of these
348 compounds in the precipitates increases. That is, the precipitates present better
349 antioxidant activity and contain large amounts of licorice key bioactives at the lower
350 precipitation pressures. This trend was verified for the sum of the concentrations of all
351 identified compounds, as well as for the concentration of liquiritin and glabridin, which
352 are the most abundant compounds within those identified. Therefore, it could be
353 concluded that key licorice root compounds of Table 3 have a significant effect on the
354 antioxidant activity of the precipitates. This conclusion is in accordance with Kaur et al.,
355 (2013), who pointed out glabridin and isoliquiritigenin as key compounds responsible
356 for the antioxidant activity of licorice root.

357 **3.4 Morphology and particle size of precipitates**

358 Taking into account the phase equilibria of the binary CO₂ + ethanol mixture [43-45]
359 the corresponding critical pressures at 308.15 K and 313.15 K are both lower than 10
360 MPa. Thus, considering the binary mixture, the operating conditions set for all the

361 experiments in Tables 1 and 2 should be above the MCP (supercritical homogeneous
362 phase region of Figure 2) and no liquid-gas interphase should occur, which could lead to
363 the formation of small and uniform particles. Nevertheless, as stated before, in the case
364 of the precipitation of vegetal extracts, the presence of a large variety of phytochemicals
365 in the organic solution may change significantly the MCP of the supercritical phase.
366 Figure 8 shows the morphology (SEM images) resulted in experiments 1 and 2 of
367 Table 1. As can be clearly deduced from the figure, the morphology obtained in
368 experiments 1 and 2 are very different from those resulted in the rest of experiments. A
369 semi-continuous material is observed, more similar to a gum-resin, with cavities within
370 the aggregates. These images might corroborate that SAS operating conditions in these
371 experiments were below the MCP, probably in the two-phase region of Figure 2, as a
372 result of the higher temperature and higher concentration of phytochemicals in the
373 ethanolic solution. Figure 9 show SEM images at a lower scale (75x) of the
374 precipitates obtained in experiments 1 and 2, where it can be observed adjoined particles
375 (coalescence phenomenon) in large sizes, especially at 15 MPa (experiment 1).

376 On the other hand, for the rest of experiments of Figure 8, particles with similar
377 morphology and micronized size were obtained. Nevertheless, uniform and spherical
378 structures in the precipitates were not obtained probably due to precipitation conditions
379 in the subcritical region (see Figure 2) since, as mentioned before, uniform and small
380 spherical particles (nanoparticles) are generally obtained at pressures larger than those
381 corresponding to the MCP (Reverchon et al., 2008, 2010; Werling & Debenedetti,
382 2000).

383 The mean particle size and size distribution of the precipitates are given in Table 4.
384 Furthermore, for experiments 3 to 6 the particle size distributions of duplicate
385 experiments are depicted in Figure 10. Deviations are in the range 1.1-3.4 μm (< 10%).
386 It can be observed that at constant temperature (308.15 K) and concentration of the
387 licorice ethanolic solution (14.2 mg/g) the mean particle size of the precipitated
388 powders decreases with pressure, from 36.7 μm at 12.5 MPa to 11.7 μm at 25 MPa. In
389 addition, it could be inferred from Figure 10 that at the higher pressures the particle
390 sizes are somewhat more heterogeneous. The distribution at the lower pressure (12.5

391 MPa) is narrower and more normal, while increasing pressure the behavior appears as a
392 multi-modal distribution, with significant smaller sizes.

393 This tendency of particle size decrease with an increase in the precipitation pressure is
394 consistent with the analysis published by Werling & Debenedetti, (1999) and Martín &
395 Cocero, (2004) in their SAS precipitation simulation models. Furthermore, several
396 experimental works confirm this tendency, such as the SAS precipitation of tartaric acid
397 reported by Kröber & Teipel, (2002), the *Achillea millefolium* L. ethanolic extract
398 studied by Villanueva-Bermejo et al., (2017), and mango leaf extracts carried out by
399 Guamán-Balcázar et al., (2019).

400 Besides the effect of pressure on particle size, it can be observed in the SEM images
401 presented in Figure 11 that decreasing the concentration of the licorice ethanolic
402 solution smaller particles are obtained. The lower the concentration of licorice
403 phytochemicals in the ethanolic solution, the more similar MCP of the supercritical
404 phase to that of the binary CO₂ + ethanol, and thus the precipitation conditions
405 established are closer to be in the supercritical homogenous region.

406 **4. Conclusions**

407 Selecting adequate operating conditions, the supercritical anti-solvent SAS precipitation
408 of licorice ethanolic solutions produced the fractionation of licorice phytochemicals: dry
409 powders with small aggregate particles together with oleoresin by-product were
410 obtained. The higher precipitation yields were obtained at the lower pressures and
411 temperatures, and yield increases as the concentration of licorice phytochemicals in the
412 ethanolic solution decreases from 14.2 to 9.6 mg/ml, being the highest yield (52.70%)
413 obtained at 15MPa, 308.15 K and 9.6 mg/ml.

414 In general, it was observed an increase of the recovery of phenolic phytochemicals in
415 the precipitates as the pressure, temperature and concentration of the licorice ethanolic
416 solution decreases. Within the operating range studied, the optimum corresponds to 15
417 MPa, 308.15 K and 9.6 mg/ml, with a 1.3 enrichment factor with respect to the licorice
418 extract. Furthermore, the precipitates have better antioxidant activity than the oleoresins
419 for the same concentration of total phenolic compounds, due to the fractionation caused

420 by SAS technique resulting in different type of phenolic compounds in precipitates and
421 oleoresins. Liquiritin and glabridin are abundant in the precipitates, and the IC₅₀ values
422 decrease (better antioxidant activity) as their concentration in the precipitates increases.

423 Particles with smaller size were obtained with increasing pressure and decreasing the
424 concentration of phytochemicals in the licorice ethanolic solution. Nevertheless,
425 agglomerated particles were obtained, probably due to precipitation conditions in the
426 range below the supercritical multicomponent (phytochemicals + CO₂ + ethanol)
427 mixture critical point. It is highlighted the importance of SAS operating conditions well
428 above the critical point of the supercritical mixture to obtain an adequate morphology
429 with regular and spherical particles.

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612

613 **Table 1.** SAS conditions in the fractionation and precipitation of licorice ethanolic solution (LES). Yield (Y) expressed as mass recovered /
 614 mass of licorice phytochemicals feed, total phenolic compounds content (TPC) expressed as GAE (mg of gallic acid equivalents/g),
 615 antioxidant activity expressed as TEAC (mmol Trolox equivalent/ml) and IC₅₀ values (μg/ml). LES concentration = 14.2 mg/ml. SCCO₂
 616 and LES flows were, respectively, 50 and 2 g/min. Precipitation time = 45 min.

SAS conditions			Precipitate (P)				Oleoresin (O)			
Exp.	P / MPa	T / K	Yield %	TPC / mg/g	TEAC / mmol/ml	IC ₅₀ / μg/ml	Yield %	TPC / mg/g	TEAC / mmol/ml	IC ₅₀ / μg/ml
1	15.0	313.15	13.28 ± 9.21	145.2 ± 3.4	0.690 ± 0.015	18.37 ± 0.73	41.35 ± 17.02	166.9 ± 3.2	0.484 ± 0.002	26.67 ± 0.16
2	20.0	313.15	23.48 ± 8.11	133.1 ± 1.8	0.709 ± 0.021	18.19 ± 0.40	34.75 ± 16.50	161.0 ± 5.1	0.524 ± 0.017	24.70 ± 0.55
3	12.5	308.15	33.42 ± 3.34	167.1 ± 9.4	0.760 ± 0.023	16.88 ± 0.25	25.23 ± 3.14	160.0 ± 10.6	0.483 ± 0.035	26.94 ± 2.06
4	15.0	308.15	33.93 ± 1.41	154.4 ± 11.4	0.764 ± 0.015	16.98 ± 0.09	30.40 ± 1.29	167.8 ± 5.1	0.511 ± 0.024	25.56 ± 1.33
5	17.5	308.15	28.64 ± 1.22	152.3 ± 11.1	0.711 ± 0.028	18.37 ± 1.13	38.66 ± 4.03	164.9 ± 3.9	0.487 ± 0.026	26.48 ± 1.32
6	20.0	308.15	28.77 ± 0.45	157.7 ± 3.1	0.697 ± 0.035	18.75 ± 1.15	37.89 ± 9.40	160.3 ± 23.9	0.481 ± 0.023	27.15 ± 1.09

617

618 **Table 2.** SAS conditions in the fractionation and precipitation of licorice ethanolic solution (LES). Yield (Y) expressed as mass recovered /
 619 mass of licorice phytochemicals feed, total phenolic compounds content (TPC) expressed as GAE (mg of gallic acid equivalents/g),
 620 antioxidant activity expressed as TEAC (mmol Trolox equivalent/ml) and IC₅₀ values (µg/ml). LES concentration = 9.6 mg/ml. SCCO₂ and
 621 LES flows were, respectively, 50 and 2 g/min. Precipitation time = 45 min.

SAS conditions			Precipitate (P)				Oleoresin (O)			
Exp.	P / MPa	T / K	Yield %	TPC / mg/g	TEAC / mmol/ml	IC ₅₀ / µg/ml	Yield %	TPC / mg/g	TEAC / mmol/ml	IC ₅₀ / µg/ml
7	15	308.15	52.70	160.8 ± 3.2	0.734 ± 0.008	17.29 ± 0.59	38.25	160.3 ± 23.9	0.498 ± 0.003	26.00 ± 0.11
8	20	308.15	30.35	163.8 ± 4.8	0.776 ± 0.005	16.67 ± 0.08	37.62	168.9 ± 5.6	0.456 ± 0.006	27.89 ± 0.84
9	15	313.15	44.20	161.4 ± 3.5	0.721 ± 0.003	18.11 ± 0.31	36.63	150.2 ± 4.4	0.445 ± 0.002	28.81 ± 0.49
10	20	313.15	33.95	148.8 ± 7.3	0.733 ± 0.008	17.36 ± 0.54	56.63	177.8 ± 6.2	0.511 ± 0.016	25.28 ± 0.55

622

623 **Table 3.** Licorice bioactive compounds identified and quantified (mg/g) in SAS precipitates and oleoresins (HPLC-DAD analysis).

	Liquiritin	Liquiritigenin	Glycyrrhizic acid	Isoliquiritigenin	Glabridin
UAE extract	5.23 ± 0.01	0.73 ± 0.00	0.52 ± 0.17	0.63 ± 0.00	28.99 ± 2.13
(a) Precipitates					
1	8.34 ± 0.06	0.58 ± 0.02	0.32 ± 0.01	0.52 ± 0.00	12.75 ± 0.38
2	8.11 ± 0.07	0.32 ± 0.00	0.28 ± 0.00	0.46 ± 0.00	10.89 ± 0.03
3	9.12 ± 0.77	0.26*	0.40 ± 0.03	0.60 ± 0.01	23.29 ± 6.63
4	8.59 ± 0.34	0.57*	0.37 ± 0.04	0.56 ± 0.01	16.31 ± 0.74
5	8.92 ± 0.24	0.57 ± 0.18	0.43 ± 0.10	0.54 ± 0.01	16.26 ± 1.44
6	8.72 ± 0.25	0.56 ± 0.04	0.44 ± 0.05	0.52 ± 0.01	14.82 ± 1.76
7	8.84 ± 0.00	0.38 ± 0.00	0.42 ± 0.00	0.48 ± 0.00	12.66 ± 0.08
8	9.37 ± 0.01	0.44 ± 0.07	0.56 ± 0.16	0.47 ± 0.00	10.94 ± 1.77
9	8.05 ± 0.02	0.58 ± 0.00	0.39 ± 0.01	0.52 ± 0.00	12.53 ± 3.90
10	9.61 ± 0.11	0.56 ± 0.05	0.42 ± 0.00	0.50 ± 0.01	15.23 ± 5.40
(b) Oleoresins					
1	0.89 ± 0.00	0.89 ± 0.00	0.22 ± 0.00	0.81 ± 0.00	56.26 ± 1.59
2	0.71 ± 0.01	0.95 ± 0.00	0.25 ± 0.03	0.83 ± 0.01	51.90 ± 0.96
3	n. d.	0.87 ± 0.03	0.19 ± 0.01	0.79 ± 0.02	57.07 ± 0.99
4	0.74 ± 0.01	0.84 ± 0.02	0.18 ± 0.01	0.78 ± 0.01	54.70 ± 1.17
5	n. d.	1.02 ± 0.15	0.22 ± 0.03	0.87 ± 0.08	61.66 ± 8.00
6	n. d.	0.89 ± 0.09	0.22 ± 0.00	0.88 ± 0.06	54.562 ± 2.38
7	n. d.	1.00 ± 0.00	0.19 ± 0.00	0.84 ± 0.00	53.83 ± 0.20
8	0.72 ± 0.01	1.09 ± 0.29	0.23 ± 0.07	0.89 ± 0.14	57.67 ± 13.25
9	n. d.	0.73 ± 0.05	0.18 ± 0.08	0.72 ± 0.02	55.11 ± 4.56
10	n. d.	1.13 ± 0.11	0.21 ± 0.01	0.93 ± 0.05	62.53 ± 5.47

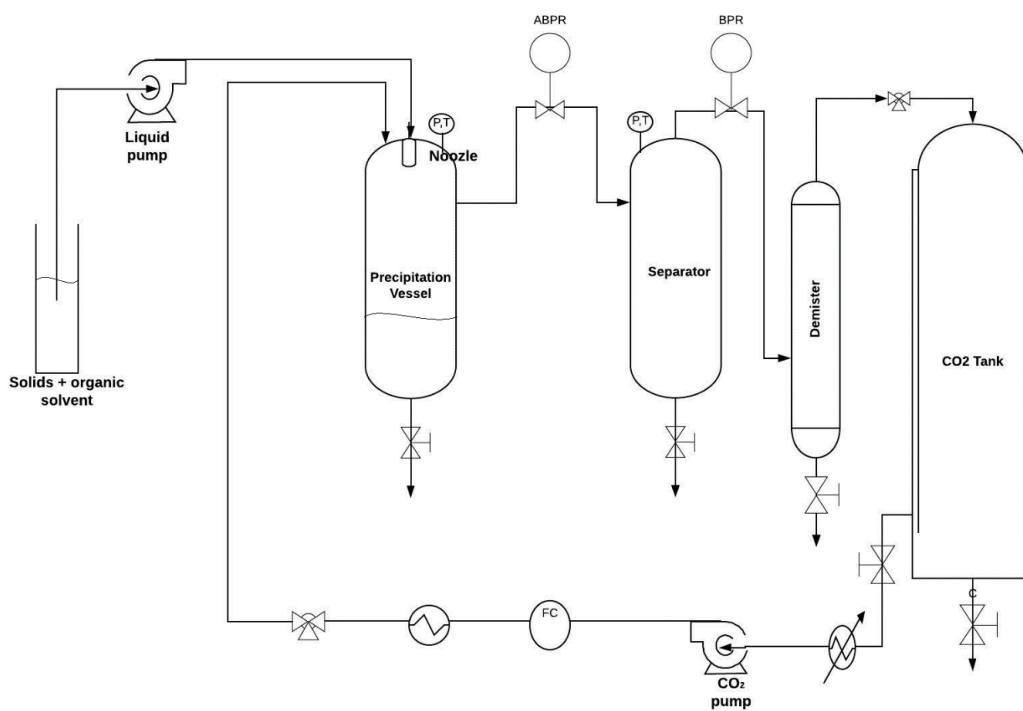
624 n.d.: non detected

625 * No duplicate available

626 **Table 4.** Mean particle size and size distributions of SAS particles in the precipitates.

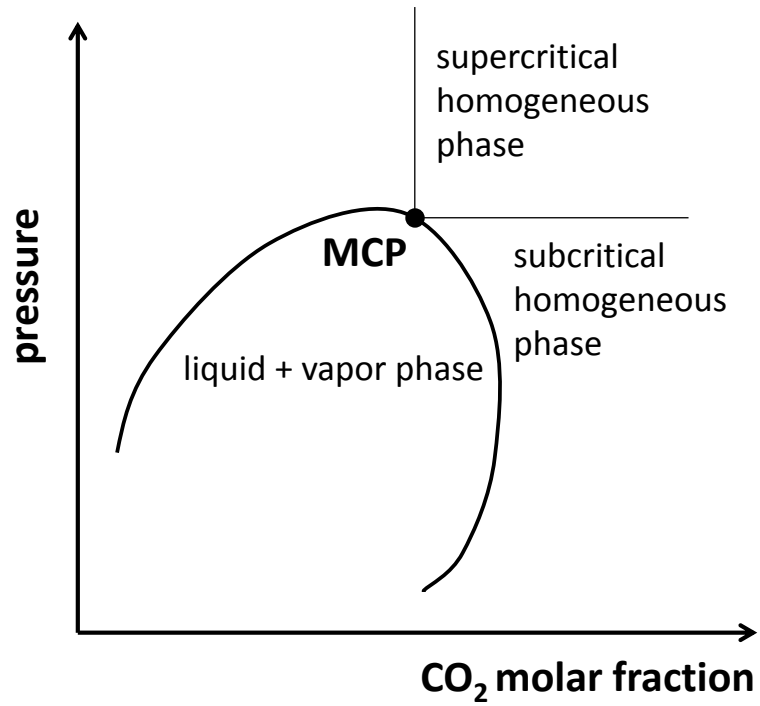
Exp.	P / MPa	T / K	d (0,1) (μm)	d (0,5) (μm)	d (0,9) (μm)	Mean diameter (μm)
1	15.0	313.15	7.04	35.19	71.78	37.90
2	20.0	313.15	10.65	34.16	61.87	35.82
3	12.5	308.15	5.66	30.57	68.05	34.27
4	15.0	308.15	7.35	36.94	71.54	39.09
5	17.5	308.15	3.82	20.20	48.82	23.58
6	20.0	308.15	4.12	17.15	41.52	20.32
7	15	308.15	3.69	13.43	34.07	16.51
8	20	308.15	3.91	14.58	39.25	18.55
9	15	313.15	3.15	9.74	25.85	12.54
10	20	313.15	2.73	8.01	23.72	10.94

627



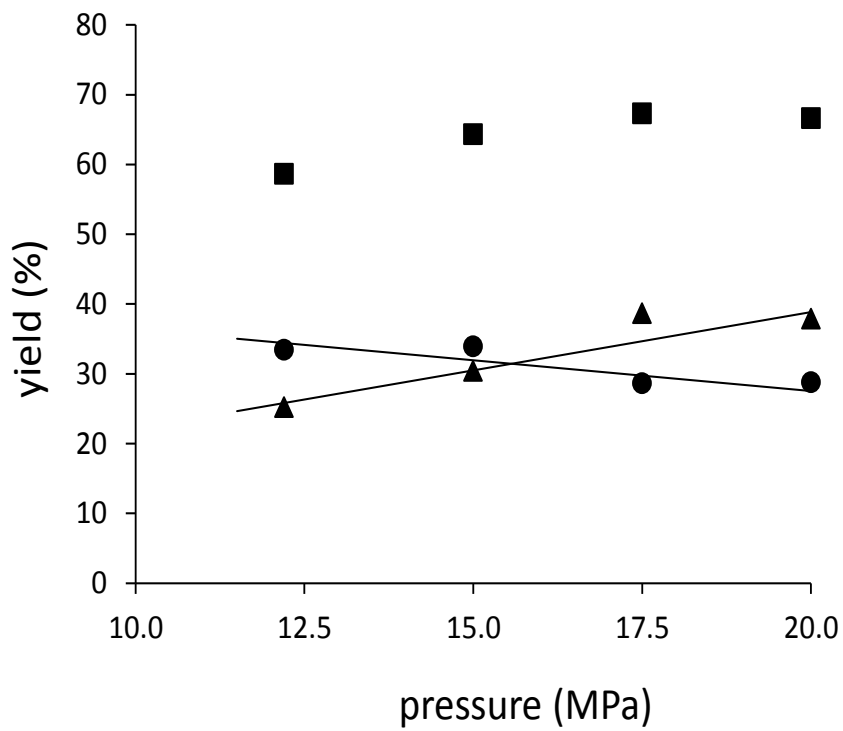
628

629 **Figure 1.** Schematic diagram of the SAS process. ABPR: Automatic back pressure
 630 regulator, BPR: manual back pressure regulator, P: manometer, T: temperature probe,
 631 FC: flowmeter.



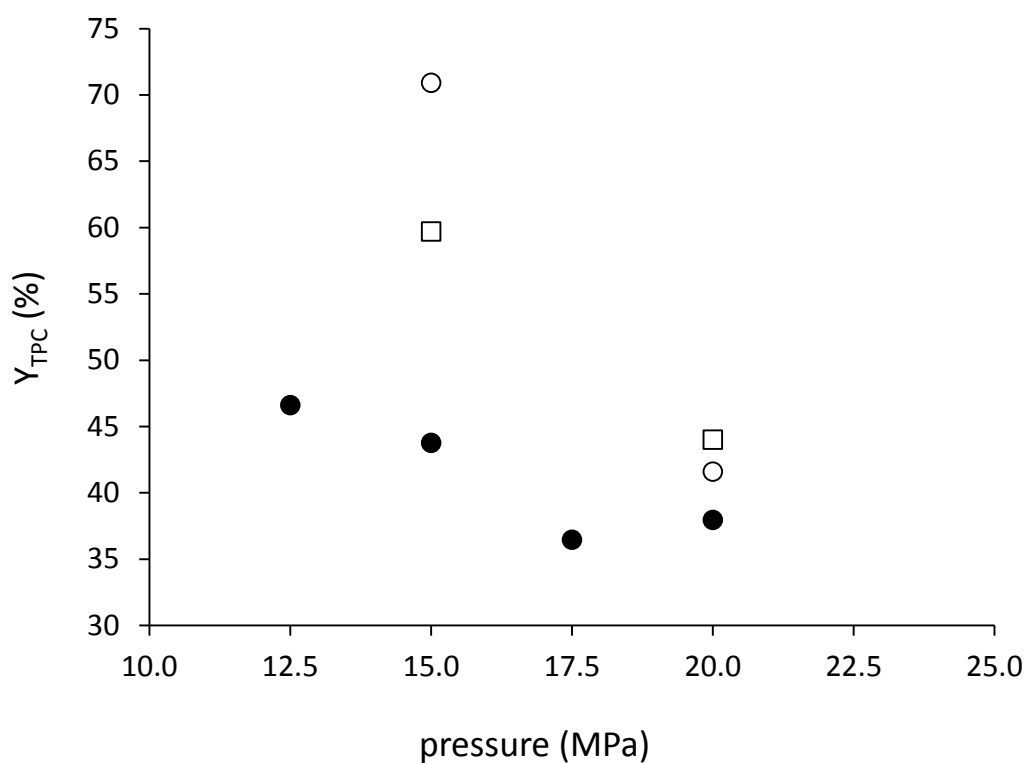
632

633 **Figure 2.** Scheme of the pressure vs. composition phase diagram of the binary mixture
 634 of SCCO₂ + ethanol. MCP: mixture critical point.



635

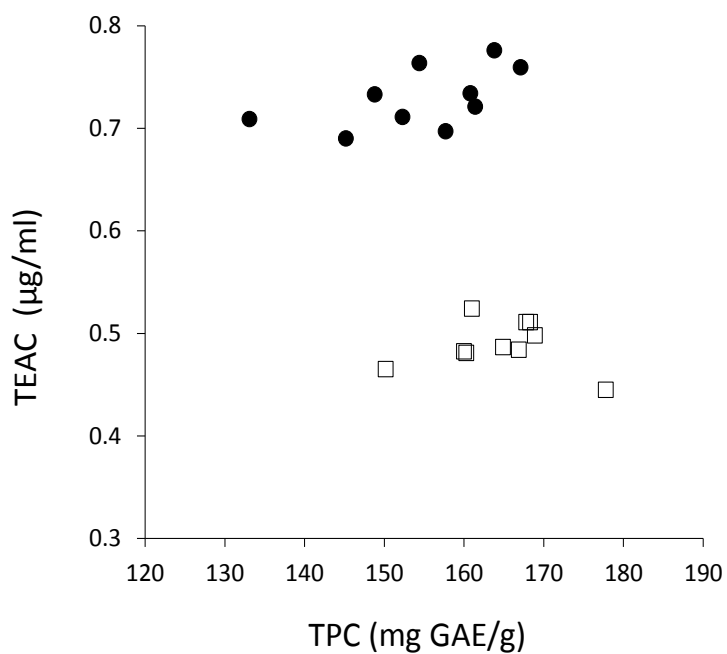
636 **Figure 3.** Precipitate (●), oleoresin, (▲) and total (precipitate + oleoresin) (■) yields
 637 as a function of SAS precipitation pressure, corresponding to experiments 3 to 6 of
 638 Table 1 (308.15 K and LES concentration of 14.2 mg/ml). (—) Trend line.



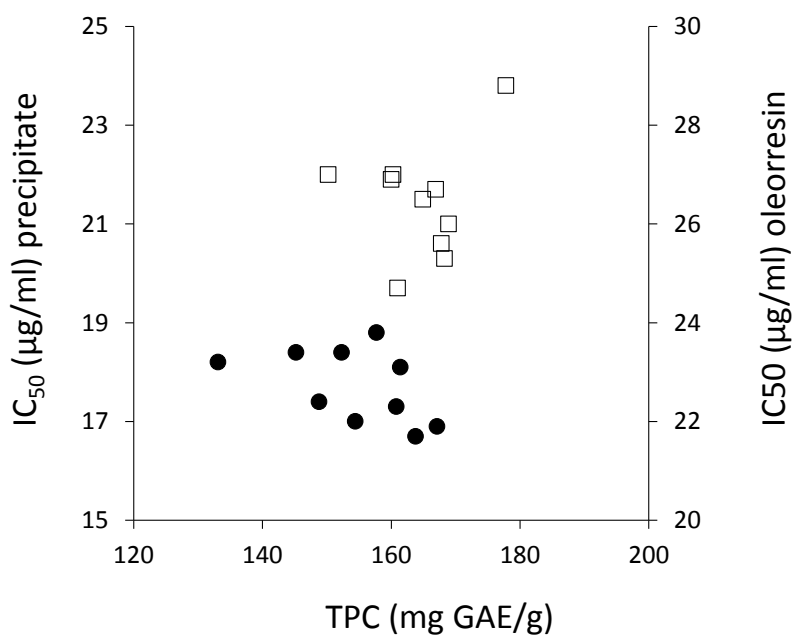
639

640 **Figure 4.** Recovery of TPC (Y_{TPC}) in precipitates as a function of SAS pressure and
 641 concentration of phytochemicals in the licorice ethanolic solution. (●) LES1 (14.2
 642 mg/ml) and 308.15 K; (○) LES2 (9.6 mg/ml) and 308 K; (□) LES2 (9.6 mg/ml) and
 643 313.15 K.

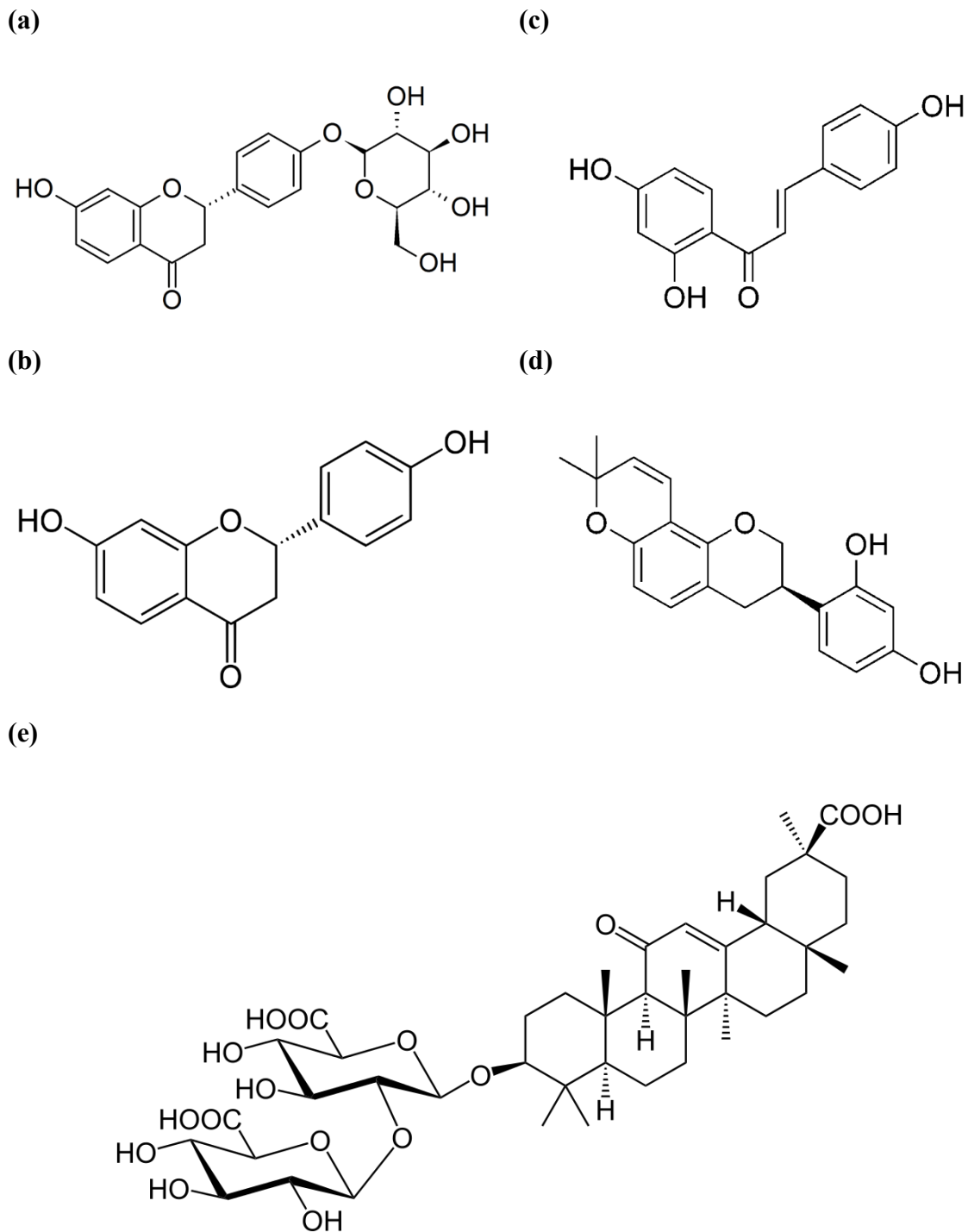
(a)



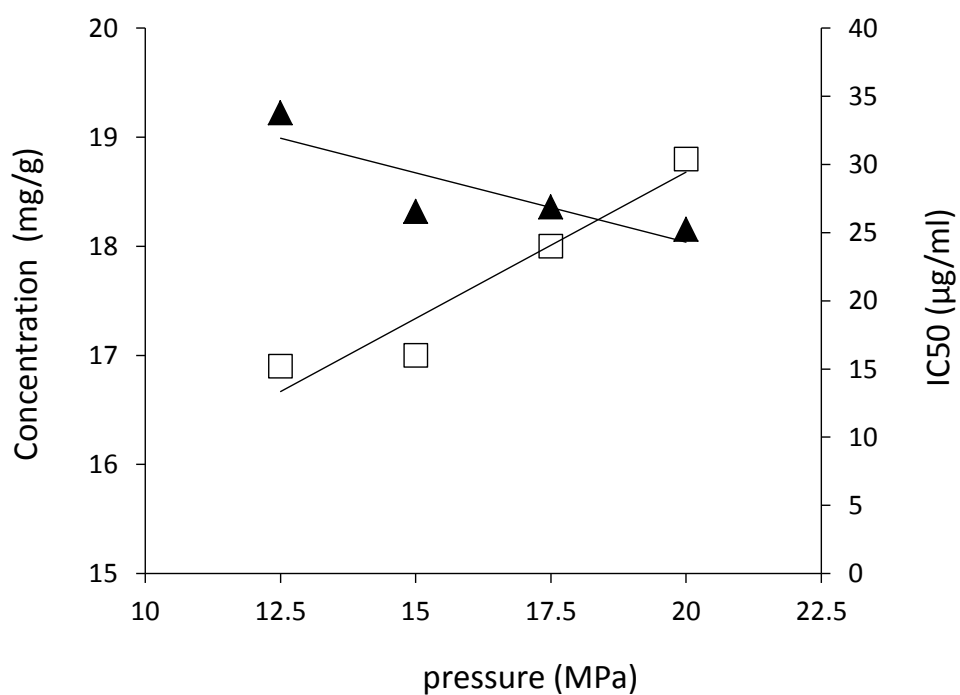
(b)



644 **Figure 5.** (a) TEAC values and (b) IC₅₀ values of (●) precipitates and (□) oleoresins as
645 a function of total phenolic compounds (TPC, mg GAE/g).



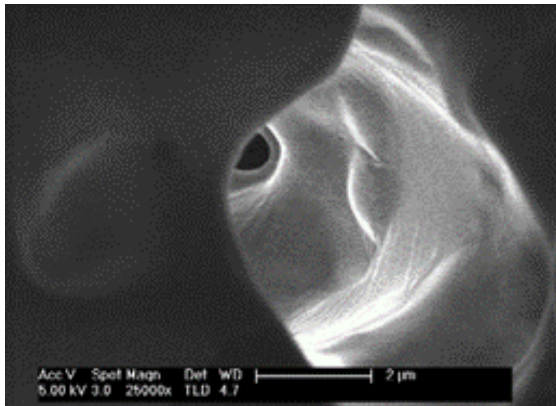
646 **Figure 6.** Chemical structure of (A) liquiritin, (B) liquiritigenin, (C) isoliquiritigenin
 647 and (D) glabridin and (E) glycyrrhizic acid.



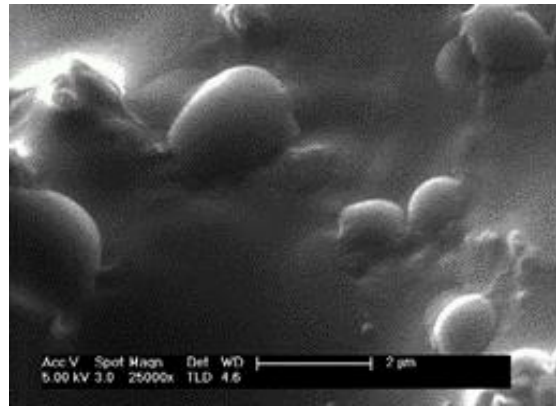
648

649 **Figure 7.** (□) IC_{50} values and (▲) sum of the concentration of key licorice bioactive
 650 compounds (Table 3) as a function of pressure at 308.15 K and 14.2 mg/ml LES.

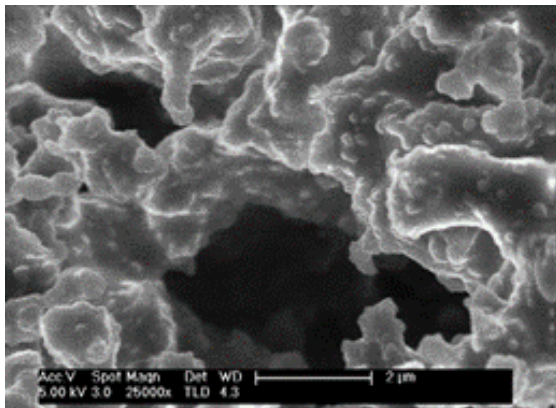
(a)



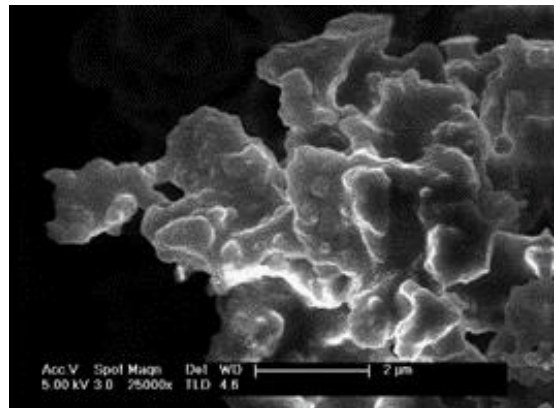
(b)



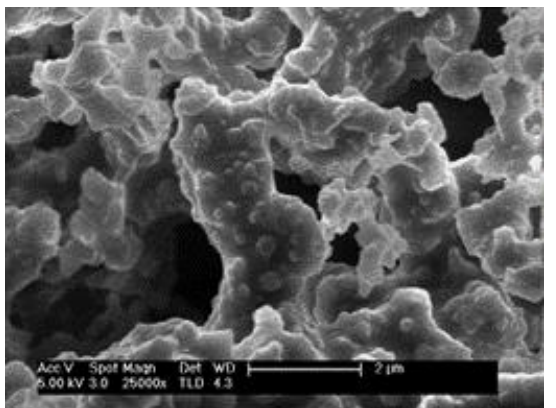
(c)



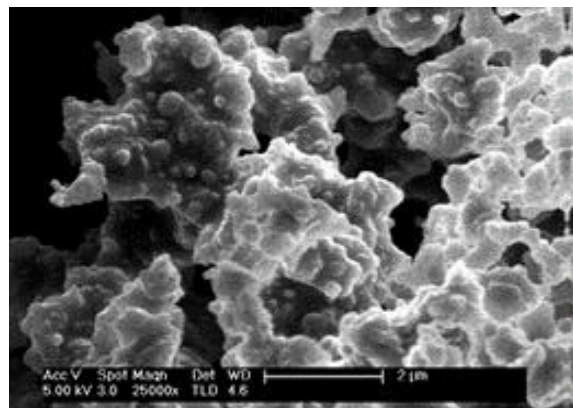
(d)



(e)



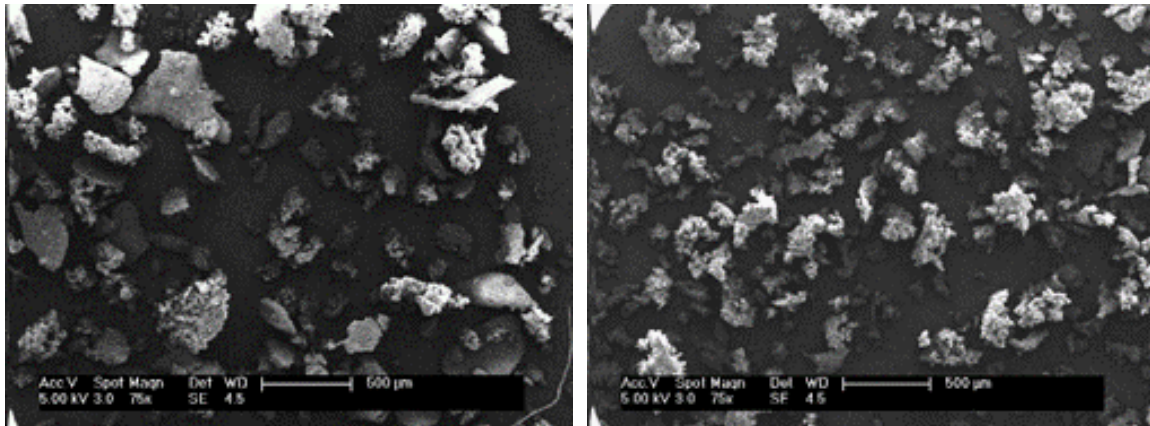
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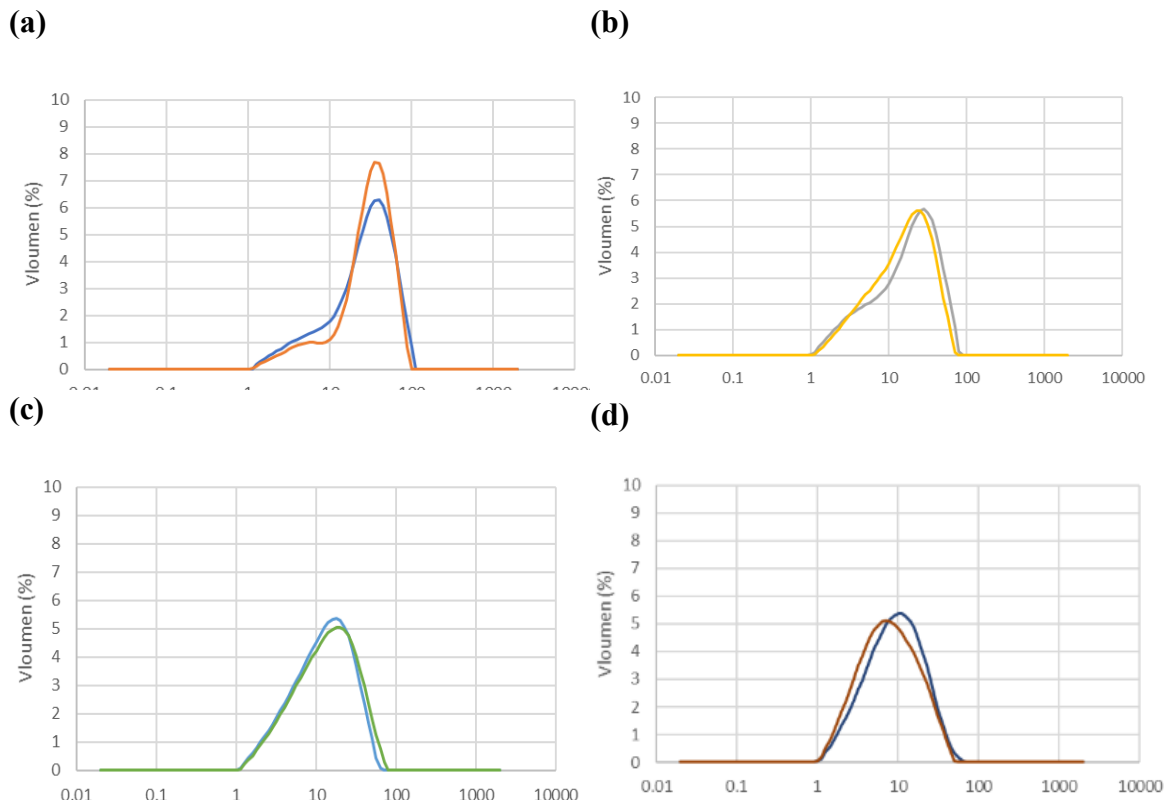
651 **Figure 8.** SEM images (25000 x) of precipitates obtained with LES1 (14.2 mg/ml): (a)
652 to (f), experiments 1 to 6 in Table 1.

(a)

(b)



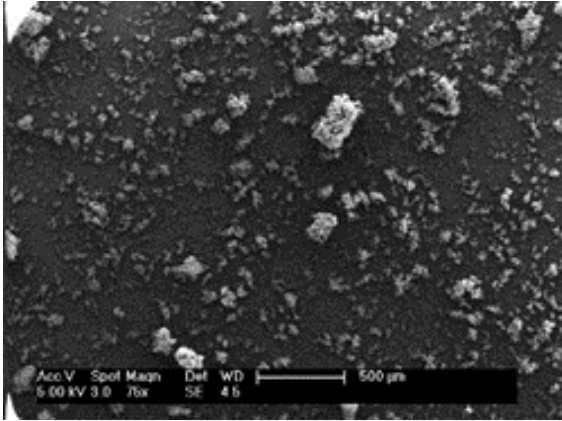
653 **Figure 9.** SEM images (75x) of precipitates obtained at 313 K with LES1 (14.2
654 mg/ml): (a) experiment 1 and (b) experiment 2 of Table 1.



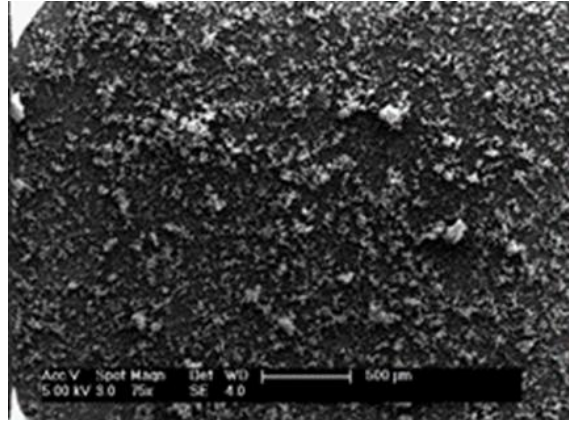
655

656 **Figure 10.** Particle size distribution (μm) of precipitates obtained at 308.15 K with
 657 LES1 (14.2 mg/g). Duplicate experiments 3 to 6 of Table 1: (a) 12.5 MPa; (b) 15 MPa;
 658 (c) 17.5 MPa; (d) 20 MPa.

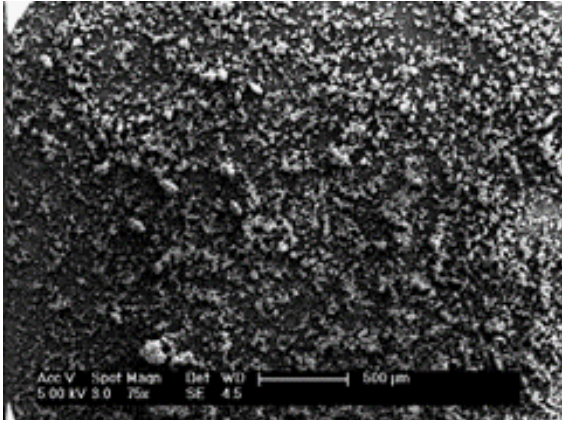
(a)



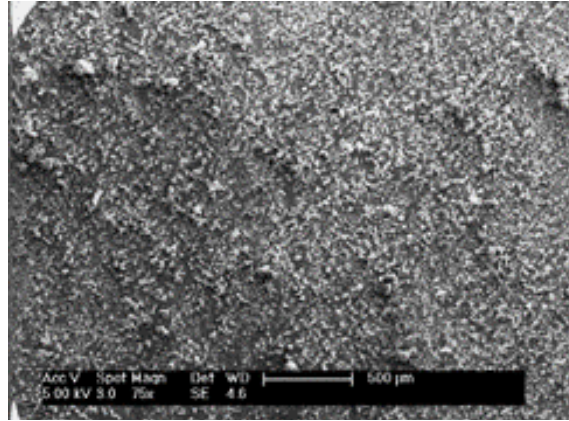
(b)



(c)



(d)



659 **Figure 11.** SEM images (75x) for precipitates at 308.15 K: (a) 15 MPa and 14.2
660 mg/ml (LES1); (b) 15 MPa and 9.6 mg/ml (LES2); (c) 20 MPa and 14.2 mg/ml (LES1);
661 (d) 20 MPa and 9.6 mg/ml (LES2).

Table 1. SAS conditions in the fractionation and precipitation of licorice ethanolic solution (LES). Yield (Y) expressed as mass recovered / mass of licorice phytochemicals feed, total phenolic compounds content (TPC) expressed as GAE (mg of gallic acid equivalents/g), antioxidant activity expressed as TEAC (mmol Trolox equivalent/ml) and IC₅₀ values (μg/ml). LES concentration = 14.2 mg/ml. SCCO₂ and LES flows were, respectively, 50 and 2 g/min. Precipitation time = 45 min.

SAS conditions			Precipitate (P)				Oleoresin (O)			
Exp.	P / MPa	T / K	Yield %	TPC / mg/g	TEAC / mmol/ml	IC ₅₀ / μg/ml	Yield %	TPC / mg/g	TEAC / mmol/ml	IC ₅₀ / μg/ml
1	15.0	313.15	13.28 ± 9.21	145.2 ± 3.4	0.690 ± 0.015	18.37 ± 0.73	41.35 ± 17.02	166.9 ± 3.2	0.484 ± 0.002	26.67 ± 0.16
2	20.0	313.15	23.48 ± 8.11	133.1 ± 1.8	0.709 ± 0.021	18.19 ± 0.40	34.75 ± 16.50	161.0 ± 5.1	0.524 ± 0.017	24.70 ± 0.55
3	12.5	308.15	33.42 ± 3.34	167.1 ± 9.4	0.760 ± 0.023	16.88 ± 0.25	25.23 ± 3.14	160.0 ± 10.6	0.483 ± 0.035	26.94 ± 2.06
4	15.0	308.15	33.93 ± 1.41	154.4 ± 11.4	0.764 ± 0.015	16.98 ± 0.09	30.40 ± 1.29	167.8 ± 5.1	0.511 ± 0.024	25.56 ± 1.33
5	17.5	308.15	28.64 ± 1.22	152.3 ± 11.1	0.711 ± 0.028	18.37 ± 1.13	38.66 ± 4.03	164.9 ± 3.9	0.487 ± 0.026	26.48 ± 1.32
6	20.0	308.15	28.77 ± 0.45	157.7 ± 3.1	0.697 ± 0.035	18.75 ± 1.15	37.89 ± 9.40	160.3 ± 23.9	0.481 ± 0.023	27.15 ± 1.09

Table 2. SAS conditions in the fractionation and precipitation of licorice ethanolic solution (LES). Yield (Y) expressed as mass recovered / mass of licorice phytochemicals feed, total phenolic compounds content (TPC) expressed as GAE (mg of gallic acid equivalents/g), antioxidant activity expressed as TEAC (mmol Trolox equivalent/ml) and IC₅₀ values (µg/ml). LES concentration = 9.6 mg/ml. SCCO₂ and LES flows were, respectively, 50 and 2 g/min. Precipitation time = 45 min.

SAS conditions			Precipitate (P)				Oleoresin (O)			
Exp.	P / MPa	T / K	Yield %	TPC / mg/g	TEAC / mmol/ml	IC ₅₀ / µg/ml	Yield %	TPC / mg/g	TEAC / mmol/ml	IC ₅₀ / µg/ml
7	15	308.15	52.70	160.8 ± 3.2	0.734 ± 0.008	17.29 ± 0.59	38.25	160.3 ± 23.9	0.498 ± 0.003	26.00 ± 0.11
8	20	308.15	30.35	163.8 ± 4.8	0.776 ± 0.005	16.67 ± 0.08	37.62	168.9 ± 5.6	0.456 ± 0.006	27.89 ± 0.84
9	15	313.15	44.20	161.4 ± 3.5	0.721 ± 0.003	18.11 ± 0.31	36.63	150.2 ± 4.4	0.445 ± 0.002	28.81 ± 0.49
10	20	313.15	33.95	148.8 ± 7.3	0.733 ± 0.008	17.36 ± 0.54	56.63	177.8 ± 6.2	0.511 ± 0.016	25.28 ± 0.55

Table 3. Licorice bioactive compounds identified and quantified (mg/g) in SAS precipitates and oleoresins (HPLC-DAD analysis).

	Liquiritin	Liquiritigenin	Glycyrrhizic acid	Isoliquiritigenin	Glabridin
UAE extract	5.23 ± 0.01	0.73 ± 0.00	0.52 ± 0.17	0.63 ± 0.00	28.99 ± 2.13
(a) Precipitates					
1	8.34 ± 0.06	0.58 ± 0.02	0.32 ± 0.01	0.52 ± 0.00	12.75 ± 0.38
2	8.11 ± 0.07	0.32 ± 0.00	0.28 ± 0.00	0.46 ± 0.00	10.89 ± 0.03
3	9.12 ± 0.77	0.26*	0.40 ± 0.03	0.60 ± 0.01	23.29 ± 6.63
4	8.59 ± 0.34	0.57*	0.37 ± 0.04	0.56 ± 0.01	16.31 ± 0.74
5	8.92 ± 0.24	0.57 ± 0.18	0.43 ± 0.10	0.54 ± 0.01	16.26 ± 1.44
6	8.72 ± 0.25	0.56 ± 0.04	0.44 ± 0.05	0.52 ± 0.01	14.82 ± 1.76
7	8.84 ± 0.00	0.38 ± 0.00	0.42 ± 0.00	0.48 ± 0.00	12.66 ± 0.08
8	9.37 ± 0.01	0.44 ± 0.07	0.56 ± 0.16	0.47 ± 0.00	10.94 ± 1.77
9	8.05 ± 0.02	0.58 ± 0.00	0.39 ± 0.01	0.52 ± 0.00	12.53 ± 3.90
10	9.61 ± 0.11	0.56 ± 0.05	0.42 ± 0.00	0.50 ± 0.01	15.23 ± 5.40
(b) Oleoresins					
1	0.89 ± 0.00	0.89 ± 0.00	0.22 ± 0.00	0.81 ± 0.00	56.26 ± 1.59
2	0.71 ± 0.01	0.95 ± 0.00	0.25 ± 0.03	0.83 ± 0.01	51.90 ± 0.96
3	n. d.	0.87 ± 0.03	0.19 ± 0.01	0.79 ± 0.02	57.07 ± 0.99
4	0.74 ± 0.01	0.84 ± 0.02	0.18 ± 0.01	0.78 ± 0.01	54.70 ± 1.17
5	n. d.	1.02 ± 0.15	0.22 ± 0.03	0.87 ± 0.08	61.66 ± 8.00
6	n. d.	0.89 ± 0.09	0.22 ± 0.00	0.88 ± 0.06	54.562 ± 2.38
7	n. d.	1.00 ± 0.00	0.19 ± 0.00	0.84 ± 0.00	53.83 ± 0.20
8	0.72 ± 0.01	1.09 ± 0.29	0.23 ± 0.07	0.89 ± 0.14	57.67 ± 13.25
9	n. d.	0.73 ± 0.05	0.18 ± 0.08	0.72 ± 0.02	55.11 ± 4.56
10	n. d.	1.13 ± 0.11	0.21 ± 0.01	0.93 ± 0.05	62.53 ± 5.47

n.d.: non detected

* No duplicate available

1 **Table 4.** Mean particle size and size distributions of SAS particles in the precipitates.

Exp.	P / MPa	T / K	d (0,1) (μm)	d (0,5) (μm)	d (0,9) (μm)	Mean diameter (μm)
1	15.0	313.15	7.04	35.19	71.78	37.90
2	20.0	313.15	10.65	34.16	61.87	35.82
3	12.5	308.15	5.66	30.57	68.05	34.27
4	15.0	308.15	7.35	36.94	71.54	39.09
5	17.5	308.15	3.82	20.20	48.82	23.58
6	20.0	308.15	4.12	17.15	41.52	20.32
7	15	308.15	3.69	13.43	34.07	16.51
8	20	308.15	3.91	14.58	39.25	18.55
9	15	313.15	3.15	9.74	25.85	12.54
10	20	313.15	2.73	8.01	23.72	10.94

2

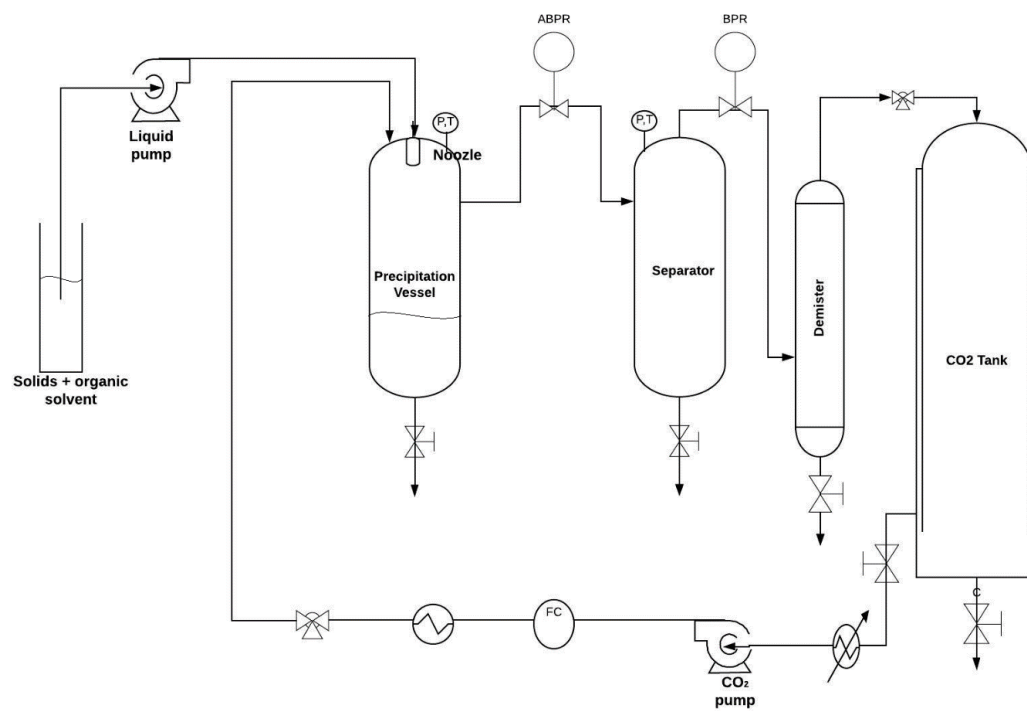


Figure 1. Schematic diagram of the SAS process. ABPR: Automatic back pressure regulator, BPR: manual back pressure regulator, P: manometer, T: temperature probe, FC: flowmeter.

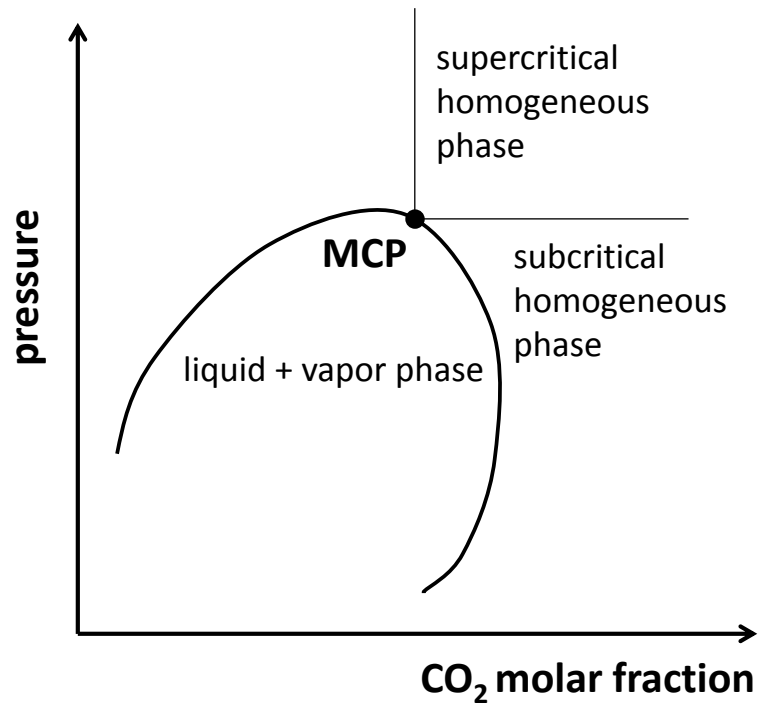


Figure 2. Scheme of the pressure vs. composition phase diagram of the binary mixture of SCCO₂ + ethanol. MCP: mixture critical point.

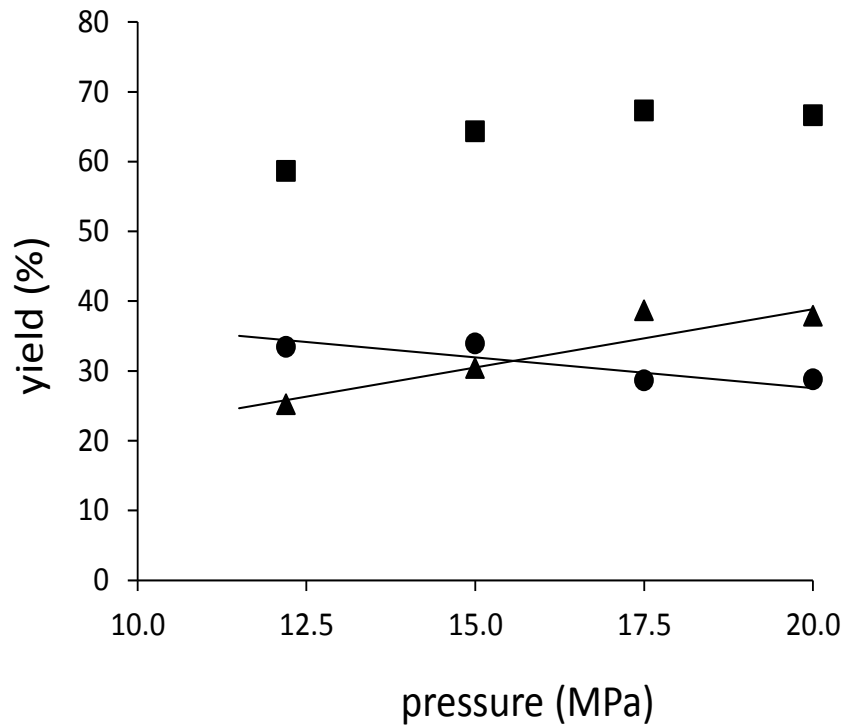


Figure 3. Precipitate (●), oleoresin, (▲) and total (precipitate + oleoresin) (■) yields as a function of SAS precipitation pressure, corresponding to experiments 3 to 6 of Table 1 (308.15 K and LES concentration of 14.2 mg/ml). (—) Trend line.

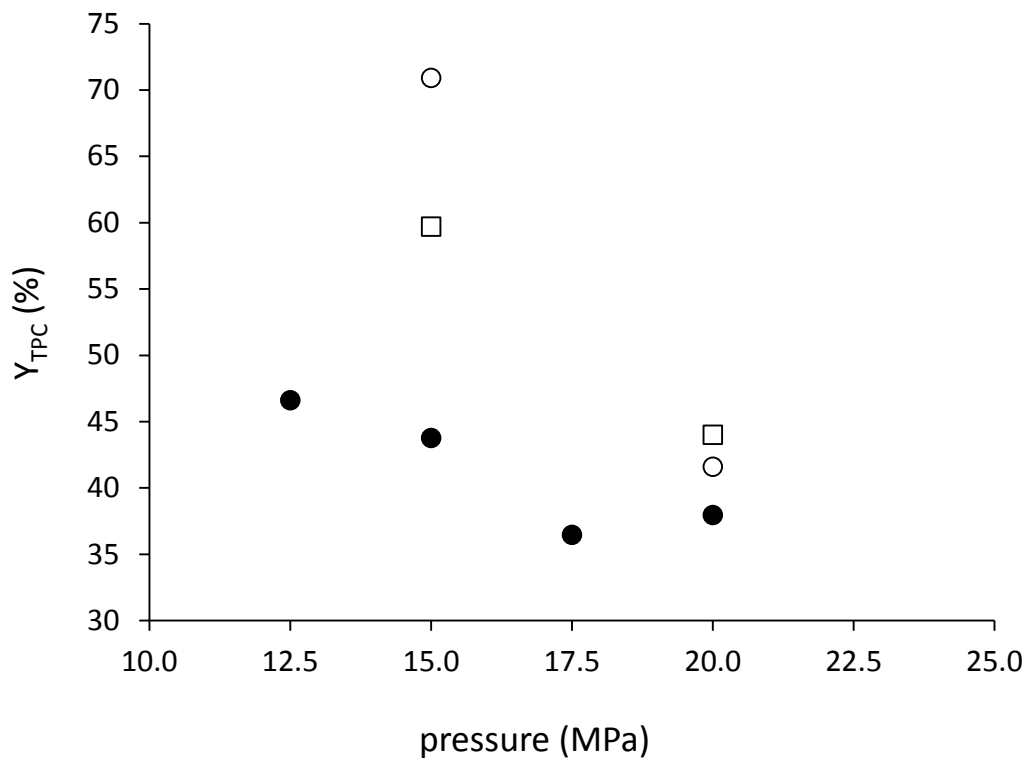
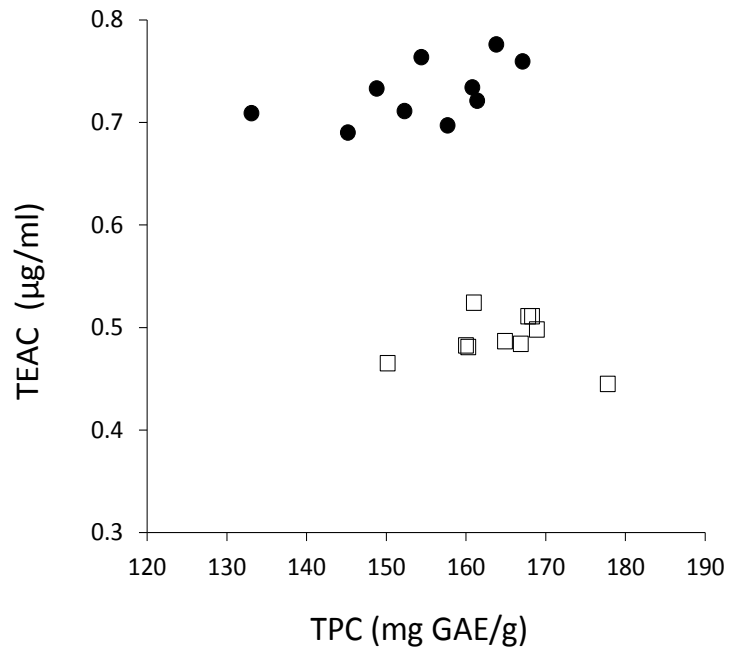


Figure 4. Recovery of TPC (Y_{TPC}) in precipitates as a function of SAS pressure and concentration of phytochemicals in the licorice ethanolic solution. (●) LES1 (14.2 mg/ml) and 308.15 K; (○) LES2 (9.6 mg/ml) and 308 K; (□) LES2 (9.6 mg/ml) and 313.15 K.

(a)



(b)

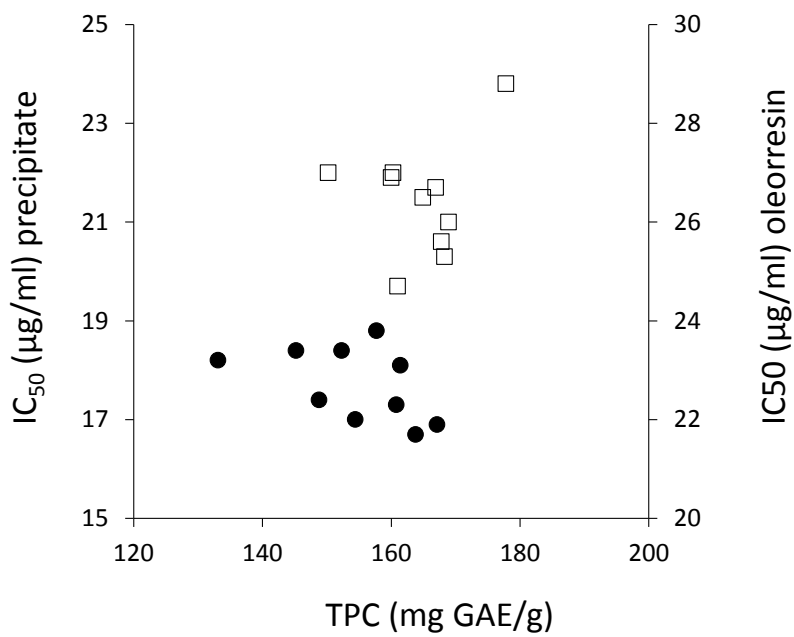


Figure 5. (a) TEAC values and (b) IC₅₀ values of (●) precipitates and (□) oleoresins as a function of total phenolic compounds (TPC, mg GAE/g).

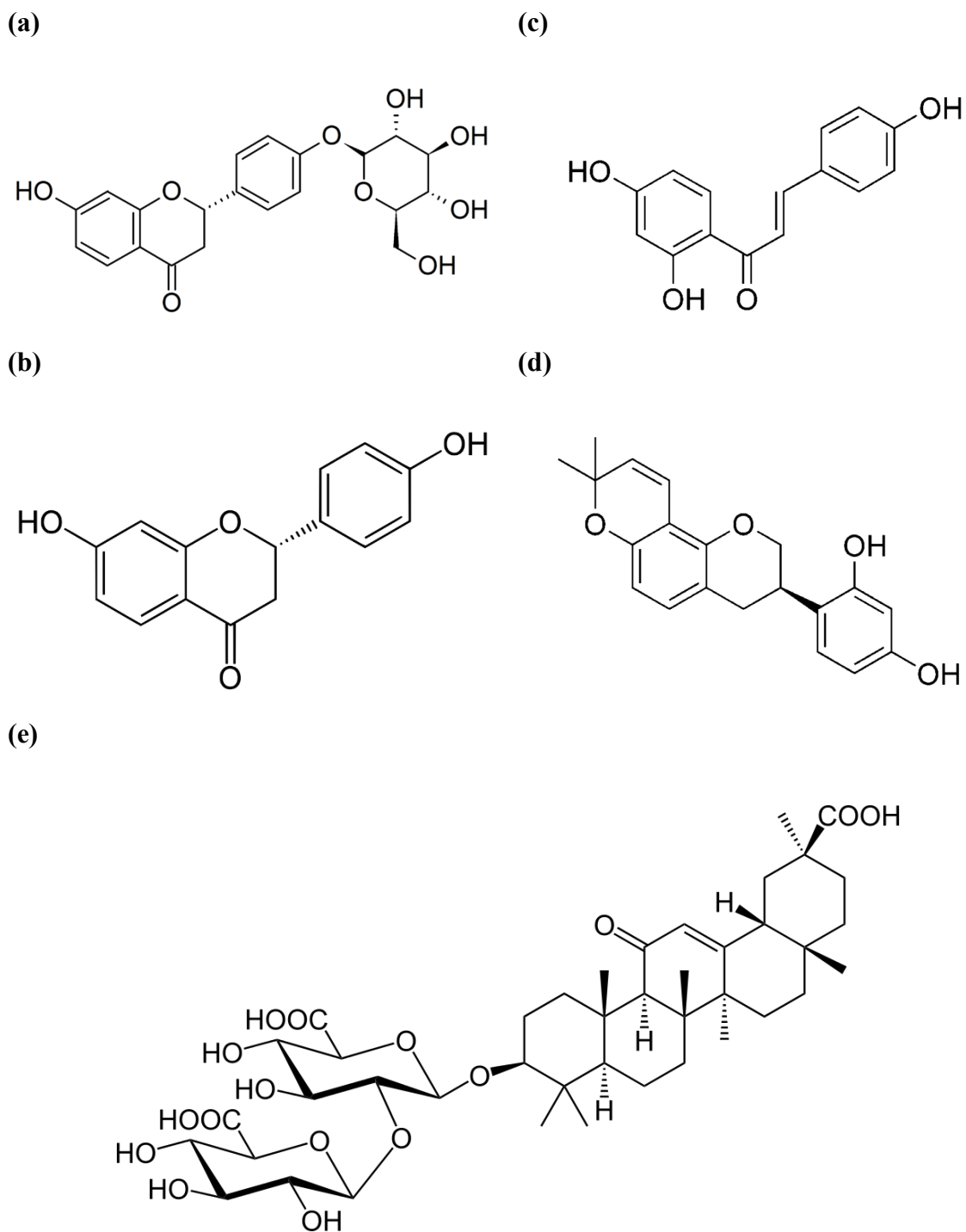


Figure 6. Chemical structure of (A) liquiritin, (B) liquiritigenin, (C) isoliquiritigenin and (D) glabridin and (E) glycyrrhizic acid.

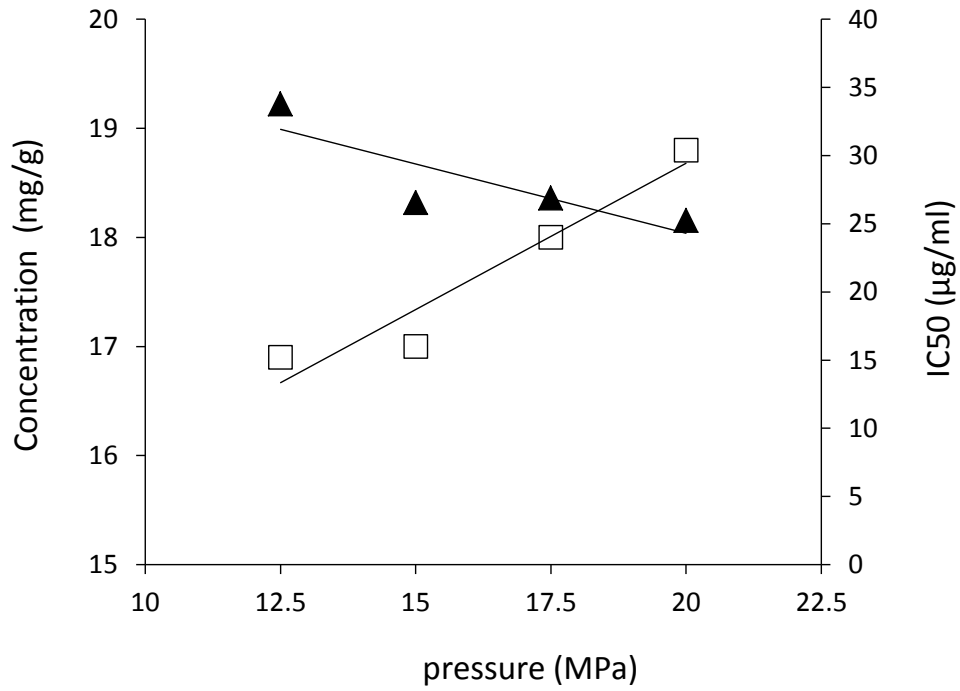
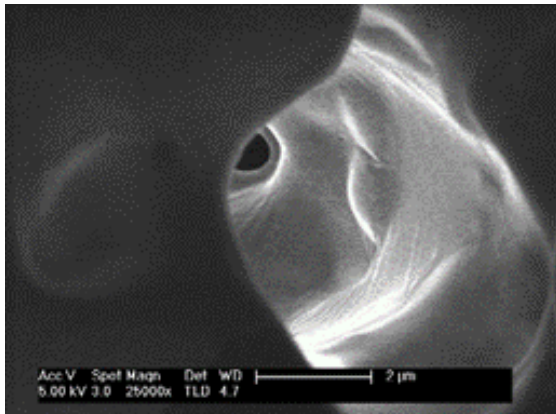
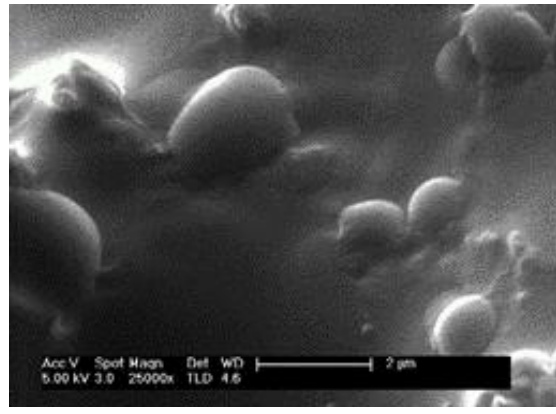


Figure 7. (□) IC₅₀ values and (▲) sum of the concentration of key licorice bioactive compounds (Table 3) as a function of pressure at 308.15 K and 14.2 mg/ml LES.

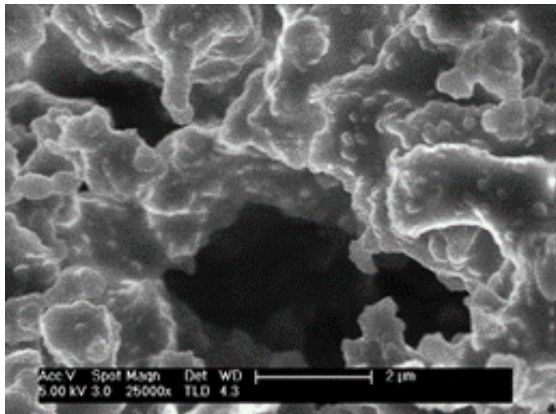
(a)



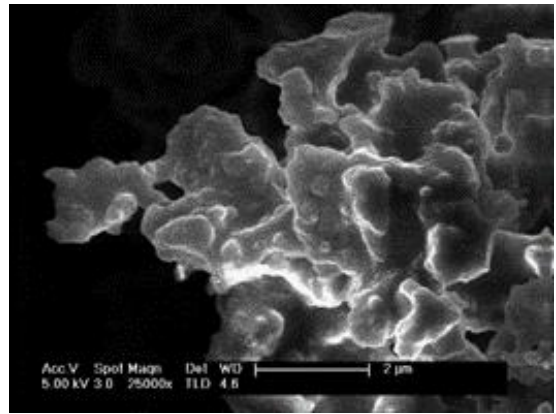
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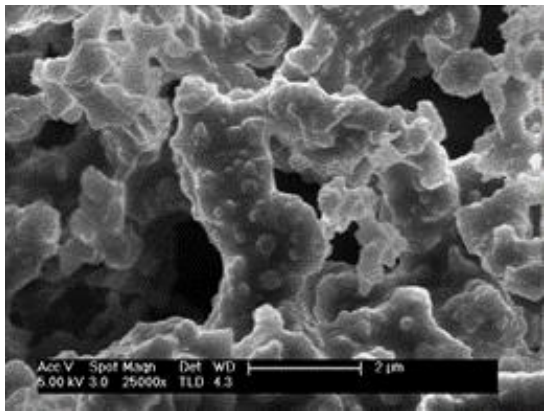
(c)



(d)



(e)



(f)

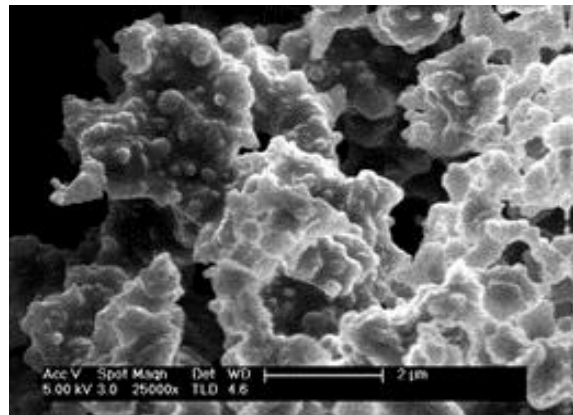


Figure 8. SEM images (25000 x) of precipitates obtained with LES1 (14.2 mg/ml): (a) to (f), experiments 1 to 6 in Table 1.

(a)

(b)

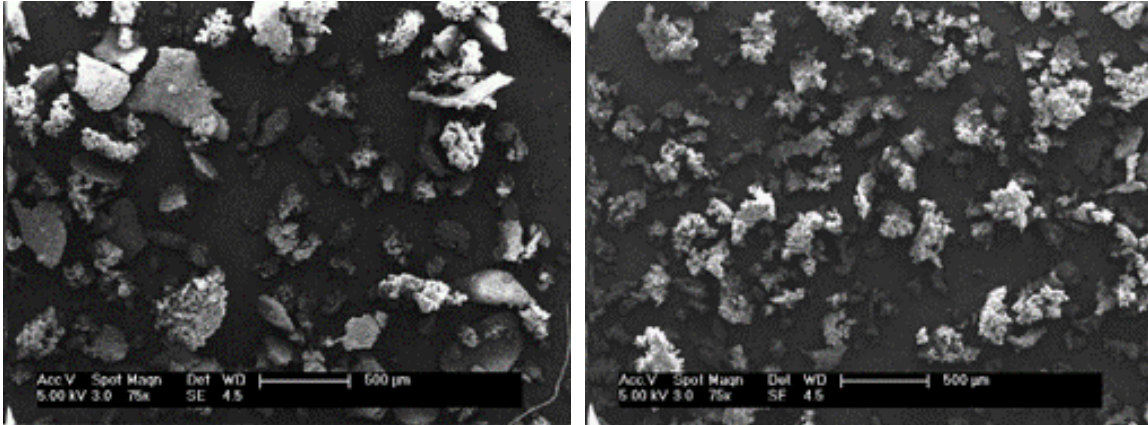
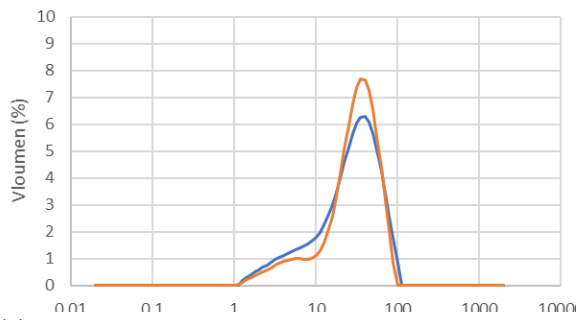
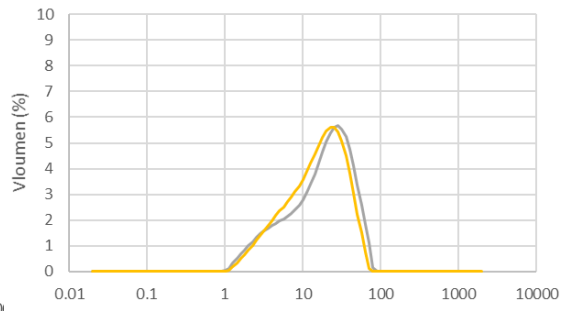


Figure 9. SEM images (75x) of precipitates obtained at 313 K with LES1 (14.2 mg/ml): (a) experiment 1 and (b) experiment 2 of Table 1.

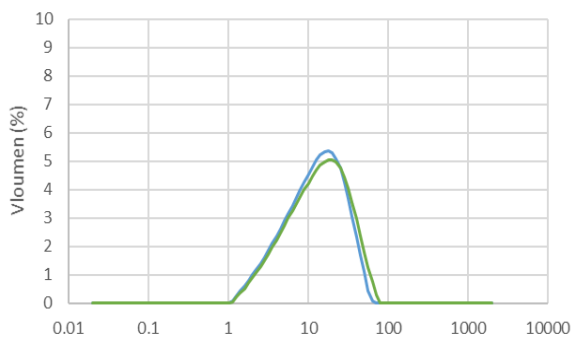
(a)



(b)



(c)



(d)

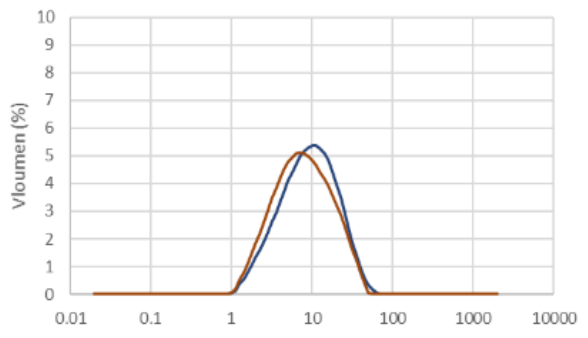
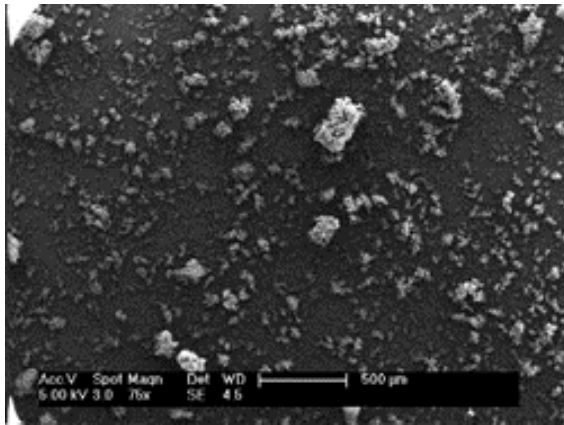
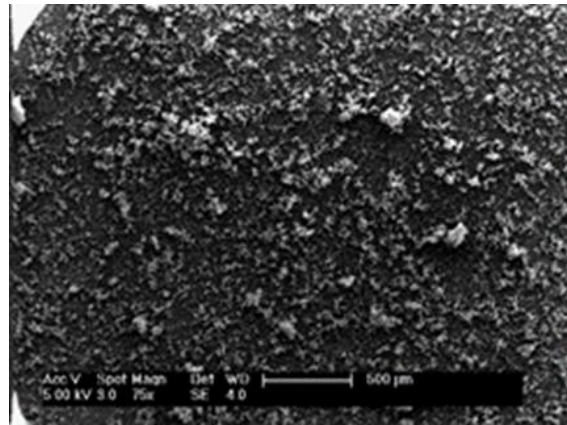


Figure 10. Particle size distribution (μm) of precipitates obtained at 308.15 K with LES1 (14.2 mg/g). Duplicate experiments 3 to 6 of Table 1: (a) 12.5 MPa; (b) 15 MPa; (c) 17.5 MPa; (d) 20 MPa.

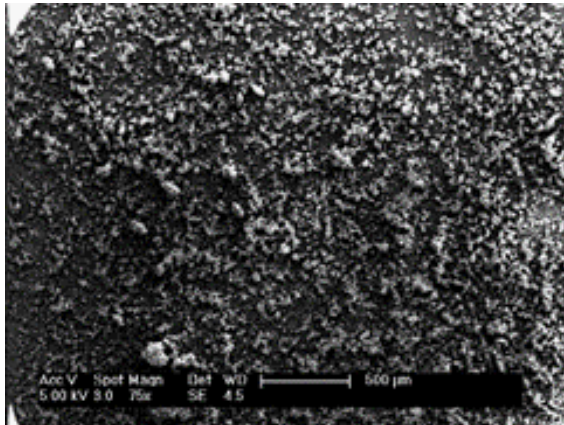
(a)



(b)



(c)



(d)

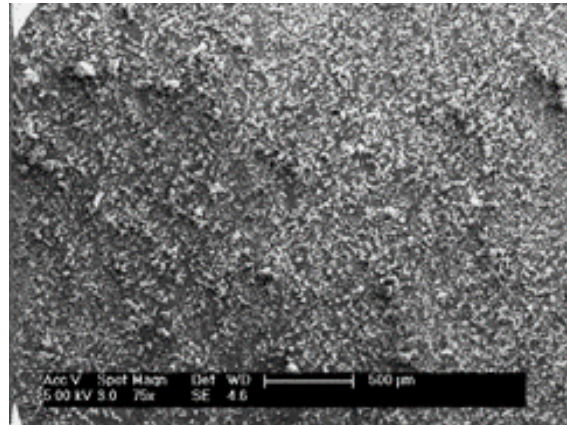


Figure 11. SEM images (75x) for precipitates at 308.15 K: (a) 15 MPa and 14.2 mg/ml (LES1); (b) 15 MPa and 9.6 mg/ml (LES2); (c) 20 MPa and 14.2 mg/ml (LES1); (d) 20 MPa and 9.6 mg/ml (LES2).

1 **Fractionation and precipitation of licorice (*Glycyrrhiza glabra* L.) phytochemicals**
2 **by supercritical antisolvent (SAS) technique**

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9 **Abstract**

10 The incorporation of bioactive compounds in food matrices is a priority field of current
11 research in the area of food, nutrition and health. More efficient and environmentally
12 clean technologies, such as supercritical fluid technology, are being studied and
13 developed to achieve this goal. Supercritical anti-solvent precipitation using carbon
14 dioxide constitutes one of these techniques and allows obtaining powdered food
15 ingredients in the form of small size particles, facilitating their incorporation into food
16 matrices and, in addition, increasing the bioavailability of the bioactive compounds. In
17 this work the SAS precipitation of licorice phytochemicals was carried out.

18 The SAS precipitation of an ethanolic extract of licorice root, obtained by ultrasonic
19 assisted extraction. The products obtained were studied concerning their antioxidant
20 capacity, content of bioactive compounds (liquiritin, liquiritigenin, isoliquiritigenin,
21 glabridin and glycyrrhizic acid), as well as the size and morphology of the particles
22 obtained. SAS technique allows the fractionation of the phytochemicals contained in the
23 ethanolic extract, increasing the antioxidant activity of the precipitates in comparison to
24 that of the original extract. Additionally, it was established the influence of operating
25 conditions to obtain dry, regular and small particles, with an average size of 16 µm
26 under the optimal conditions assessed.

27 **Keywords:** Supercritical antisolvent precipitation; Licorice; Antioxidant activity;
28 Morphology; Particle size distribution.

29 1. Introduction

30 Licorice (*Glycyrrhiza glabra* L.) grows in Mediterranean countries, Asia and Southeast
31 Europe (Saxena, 2005). Due to its sweet flavor and bioactive properties, licorice was
32 used as a medicinal plant. Studies have shown different properties as antitussive,
33 antiulcer, antimicrobial and antiviral, thrombin inhibitor, anti-inflammatory,
34 antidiabetic, hepato-protective and anticancer. These activities were related to the
35 presence of triterpenoid-type and phenolic-type compounds, mainly liquiritin,
36 liquiritigenin, glycyrrhizic acid, isoliquiritigenin and glabridin (Chin et al., 2007; Kaur,
37 Kaur, & Dhindsa, 2013). Extraction techniques like ultrasound assisted extraction (Pan,
38 Liu, Jia, & Shu, 2000), maceration (Sankeshwari, Ankola, Bhat, & Hullatti, 2018),
39 pressurized liquid extraction (Baek, Lee, & Lee, 2008) or supercritical carbon dioxide
40 extraction (Hedayati & Ghoreishi, 2015; Quintana et al., 2019), were investigated to
41 improve the extraction of licorice bioactive constituents.

42 Natural extracts are in the market in liquid form, as oily preparations, or in solid form as
43 powders. Dried powdered extracts have some advantages over liquid extracts, as higher
44 concentration and stability of the bioactive substances together with lower storage costs
45 (Visentin, Rodríguez-Rojo, Navarrete, Maestri, & Cocero, 2012). Powders containing
46 micro- and/or nano-particles allow a better incorporation of bioactive substances in
47 complex food matrices. Furthermore, smaller sizes improve the bioavailability of
48 bioactive ingredients, increasing absorption and effectiveness (Martín & Cocero, 2008).

49 Traditionally, size reduction methods were based on physical techniques such as
50 grinding, milling, crystallization or crushing, but these techniques do not allow small
51 enough sizes (Rasenack & Müller, 2004). Nowadays, different techniques have been
52 studied and developed to obtain powdered extracts with small particles, such as spray
53 drying, spray cooling, lyophilization, liquid antisolvent precipitation, among others (X.
54 Chang, Bao, Shan, Bao, & Pan, 2017; Lee et al., 2016; Morita, Horikiri, Suzuki, &
55 Yoshino, 2001). In this respect, the micronization using supercritical fluids has some
56 advantages, such as the possibility of obtaining particles with more homogeneous
57 morphology, narrow particle size distribution (PSD), avoiding the thermal degradation
58 of the product and reducing the use of liquid solvents (Wang, Liu, Wu, & Jiang, 2013).

59 SAS precipitation is based on the continuous contact between supercritical carbon
60 dioxide (SCCO₂) and an organic solvent (highly soluble in SCCO₂) containing the
61 targeted bioactive compounds. The solution is introduced in the precipitation vessel
62 through a nozzle, forming small drops. The SCCO₂ penetrate in the droplets, inducing
63 the solution supersaturation, followed by the bioactive substance precipitation (anti-
64 solvent effect) into small solid and dry particles (Langa et al., 2019; Martín & Cocero,
65 2008). SAS precipitation conditions should ensure the complete removal of the organic
66 solvent from the precipitation vessel (Reverchon, Torino, Dowy, Braeuer, & Leipertz,
67 2010). Therefore, the operating conditions depend largely on the solvent used (De
68 Marco, Knauer, Cice, Braeuer, & Reverchon, 2012), and specifically on the phase
69 equilibria of the CO₂ + solvent mixture. Thus, to achieve a satisfactory precipitation in
70 SAS method, it is necessary to establish operating conditions above the CO₂ + solvent
71 mixture critical point (MCP) to attain a homogeneous supercritical phase (De Marco et
72 al., 2012; Reverchon, Adami, Caputo, & De Marco, 2008; Werling & Debenedetti,
73 1999).

74 In this work, the simultaneous SAS fractionation and precipitation of a licorice
75 ethanolic extract to produce micro- and nano-particles was studied for the first time. The
76 effect of process parameters on the recovery of licorice antioxidants was analyzed,
77 along with the morphology and particle size distribution of the precipitates.

78 **2. Materials and methods**

79 **2.1 Chemicals**

80 CO₂ (99.98 % purity) was supplied from Carburros Metálicos (Madrid, Spain). Ethanol
81 (99.8 % purity), Sodium Carbonate anhydrous (99.5% purity) and Folin-Ciocalteu's
82 reagent were purchased from Panreac (Barcelona, Spain). Gallic acid standard (> 98%
83 purity), 2,2-Diphenyl-1-picrylhydrazyl (DPPH, 95% purity), (±)-6-Hydroxy-2,5,7,8-
84 tetramethylchromane-2-carboxylic acid (Trolox, 97% purity), liquiritin, liquiritigenin,
85 isoliquiritigenin, glabridin and glycyrrhizic acid were purchased from Sigma–Aldrich
86 (St. Louis, MO, USA). Orthophosphoric acid (85% purity) was purchased from
87 Scharlab S.L. (Sentmenat, Spain). Acetonitrile (99,8% purity) was purchased from
88 Macron (Poland).

89 **2.2 Preparation of licorice ethanolic solutions**

90 Roots of licorice harvested in Spain were obtained from Murciana herbalist's (Murcia,
91 Spain) with water content of 9.90% wt. The sample was ground using a Grindomix GM
92 200 knife mill (Verder International B.V., Vleuten, Netherlands) in particles with size
93 lower than 500 μm . Then, ultrasound assisted extraction (UAE) using an ultrasonic
94 device (Branson Digital Sonifier 550 model, Danbury, USA) with an electric power of
95 550 W and frequency of 20 kHz was accomplished. The extraction was carried out at
96 323 K for 15 min using ethanol at 1:10 (w/v) plant/solvent ratio. Extraction yield was
97 3.18 % (mass of phytochemicals extracted / mass of plant material utilized) and the
98 concentration of licorice phytochemicals in the ethanolic solution was 14.2 mg/ml
99 (LES1). This ethanolic solution (704.2 ml) was further diluted with ethanol to a final
100 volume of 1000 ml to obtain another ethanolic solution containing 9.6 mg/ml (LES2) of
101 licorice phytochemicals. Both ethanolic solutions (14.2 mg/mL and 9.6 mg/mL) were
102 stored at 253.15 K for its use in the SAS process.

103 **2.3 Supercritical antisolvent (SAS) precipitation**

104 Figure 1 shows the supercritical antisolvent precipitation device used for this study
105 (Model Thar SF2000, Thar Technology, PA, USA). A detailed description of the
106 equipment can be found elsewhere [36]. The equipment comprises a precipitation vessel
107 and a separator with independent control of temperature and pressure. The precipitation
108 vessel (273 ml) is equipped with a 101.6 μm inner diameter nozzle to spray the
109 ethanolic solution. SCCO₂ and the ethanolic solution are fed from the top in a co-current
110 manner (coaxial nozzle).

111 SCCO₂ was pumped at 50 g/min flow rate until pressure and temperature conditions
112 were attained into the precipitation vessel. Then, the licorice ethanolic solution (LES)
113 was pumped through the nozzle at 2 g/min for 45 min, while maintaining the SCCO₂
114 flow rate. Additional SCCO₂ was pumped during 15 min to wash out the residual
115 solvent from the precipitator. During the process, the separator was kept at 313.15 K
116 and ambient pressure. In the separator, ethanol and the phytochemicals which did not
117 precipitate into the precipitation vessel (i.e. the licorice phytochemicals which are
118 soluble in the SCCO₂+ethanol supercritical phase) were recovered. Finally, the

119 precipitation vessel was depressurized, and the precipitate was collected from a frit
120 placed at the bottom of the precipitator vessel. The ethanolic fraction was further rotary
121 evaporated until an oleoresin-type product was obtained. Samples (oleoresins and
122 precipitates) were kept at 253.15 K under darkness until analysis.

123 **2.4 Total phenolic compounds and antioxidant activity**

124 Folin-Ciocalteu method (Singleton, Orthofer, & Lamuela-Raventós, 1999) was used to
125 determine the total phenolic compounds (TPC) content in the samples. In order to
126 determine the antioxidant capacity of the samples RPPH assay was done following the
127 procedure describe by Brand-Williams, Cuvelier, & Berset, (1995). All analyses were
128 done in triplicate.

129 **2.5 HPLC-DAD analysis**

130 HPLC analysis was carried out as described by Wei, Yang, Chen, Wang, & Cui, (2015).
131 A LC-2030C 3D Plus (Shimadzu) device equipped with a quaternary pump, auto-
132 injector and DAD detector was used. The column was ACE Kromasil 100 C18 (250 x
133 4.6 mm; 5 µm) and analyses were accomplished at 298 K. The mobile phase comprised
134 acetonitrile (A) and 0.026% aqueous H₃PO₄ (v/v), and the following elution gradient
135 was applied: 20-25% A for 0-20 min, 25-34% A for 20-30 min, 34-50% A for 30-50
136 min, 50-60% A at 50-60 min and 60% A for 60-80 min. The initial conditions were
137 attained in 5 min. The flow rate was 0.7 ml/min and was kept constant throughout the
138 analysis. The injection volume was 20 µl and the detections were carried out at 230,
139 254, 280 and 370 nm. Calibration curves with standards were used to determine the
140 content of the bioactive licorice phytochemicals (liquiritin, liquiritigenin,
141 isoliquiritigenin, glabridin and glycyrrhizic acid) in the different samples.

142 **2.6 Morphology and Particle size analysis**

143 The morphology of precipitates was studied by scanning electron microscopy (SEM)
144 with an energy-dispersive X-ray spectrometer (SEM-EDS) XL-30S FEG, Philips
145 (Japan). Samples were placed on carbon tapes and then were coated with a thin chrome
146 layer by a sputter coater. Particle size and size distributions were measured by light

147 scattering with a laser diffraction system Mastersizer 2000 (Malvern Instruments Ltd.,
148 Malvern, UK), equipped with a wet dispersion unit.

149 **3. Results and Discussion**

150 **3.1 The supercritical antisolvent process**

151 The CO₂ + ethanol + licorice phytochemicals is a complex multicomponent system and
152 the phase equilibria of this mixture strongly affect the performance and the result of
153 SAS process. The temperature and pressure of the mixture critical point (MCP) in
154 comparison with SAS temperature and pressure conditions may determine the success
155 of the precipitation process, since affect jet mixing, fluid dynamics and mass transfer
156 (Reverchon et al., 2010). These complex mechanisms are responsible for the great
157 variety of particle sizes and morphologies that can be obtained in SAS precipitation
158 process; it was described in the literature (Reverchon et al., 2010), these mechanisms
159 strongly depend on the SAS temperature and pressure conditions, which can be located
160 below the MCP, near above the MCP or far above the MCP Figure 2).

161 In general, it was stated (Reverchon & De Marco, 2011) that when SAS conditions are
162 below the MCP but in the homogenous subcritical region, the formation of particles is
163 induced by the SCCO₂ antisolvent effect and by the organic solvent depletion in the
164 droplets formed by the nozzle. Consequently, microparticles and expanded
165 microparticles (hollow core particles) with irregular forms are obtained. Nevertheless, if
166 the SAS subcritical conditions are located within the liquid-vapor region, irregular
167 particles and agglomerates are produced due to the presence of residual solvent in the
168 precipitate. On the other hand, when SAS conditions are far above the MCP, the mixing
169 of CO₂ with the solvent is produced instantaneously and no liquid-gas interphase
170 occurs, resulting in smaller and more regular particles due to their condensation from a
171 gaseous phase.

172 Due to the lack of information about phase equilibria of the complex mixtures CO₂ +
173 ethanol + licorice phytochemicals, the SCCO₂ and licorice ethanolic solution (LES)
174 flow rates were established with the aim of attaining a homogenous supercritical phase
175 (≈ 3 % mass ethanol) at the pressures and temperatures studied, according to the CO₂ +

176 ethanol binary phase equilibria data (C. J. Chang, Day, Ko, & Chiu, 1997; Joung et al.,
177 2001; Knez, Škerget, Ilič, & Lütge, 2008; Reverchon & De Marco, 2011). Indeed, this
178 is an approximation, since the presence of a large number and varied phytochemicals in
179 the supercritical phase may really change the MPC in comparison with that of the
180 binary CO₂ + ethanol.

181 **3.2. Effect of the concentration of phytochemicals in the licorice ethanolic solution**

182 Table 1 shows the results obtained by SAS with LES1 (14.2 mg/ml) at different
183 precipitation pressures and temperatures, reporting the precipitate and oleoresin yields,
184 TPC, TEAC and IC₅₀ values. All SAS experiments were carried out by duplicate and
185 the average deviations are given (Table 1).

186 A significant decrease in the precipitation yields was observed at 313.15 K (experiments
187 1 and 2 in Table 1) in comparison with the rest of experiments. These precipitates were
188 very viscous, with large agglomerates adhered to the precipitation vessel walls, and they
189 were difficult to recover and to quantify their weight and thus, high deviations were
190 obtained. On the other hand, for the rest of experiments reported in Table 1, which were
191 performed at 308.15 K, solid and dry powders were obtained, and the average deviation
192 of precipitation yields between duplicates was always less than 9.21 % (mean deviation
193 of 3.96 %).

194 Table 2 show SAS precipitation assays when the concentration of the licorice ethanolic
195 solution was 9.6 mg/ml (LES2). Experiments were carried out at 308.15 and 313.15 K
196 and pressures of 15 and 20 MPa. No duplicates were accomplished and thus, no average
197 deviations are given for process yields. Yet, the TPC, antioxidant activity (TEAC and
198 IC₅₀ values) determinations were carried out by triplicate and the average deviations of
199 these data are included in Table 2.

200 In all the experiments reported in Table 2, homogeneous particles were obtained in dry
201 powders, including those assays carried out at 313.15 K. The different behavior
202 observed at this temperature when using the different licorice ethanolic solutions, may
203 be due to an expected higher MCP of LES1 in comparison with the MCP of LES2, as a
204 result of the higher concentration of phytochemicals in LES1. Thus, it is possible that

205 SAS operation conditions were subcritical for LES1 while supercritical for LES2.
206 Furthermore, higher concentration of phytochemicals results in higher solution viscosity
207 and may impair atomization, as reported by Prosapio, De Marco, & Reverchon, (2018).
208 Then, experiments with LES1 at 313.15 K lead to the coalescence of particles, forming
209 agglomerates, while experiments with LES2 at the same temperature resulted in dry
210 powders.

211 Additionally, it can be observed from Tables 1 and 2 that precipitate, and oleoresin
212 yields were higher using LES2 than using LES1. Thus, the total yields of SAS process
213 (precipitate + oleoresin) were higher at the lower concentration of the licorice ethanolic
214 solution used. For example, experiment 7 in Table 2 shows a 1.5-fold increase of
215 process yield (91 %) in comparison with its counterpart at 14.2 mg/ml (experiment 4 in
216 Table 1).

217 **3.2. Effect of pressure and temperature in SAS process yields**

218 Figure 3 shows that at constant temperature (308.15 K) and constant licorice ethanolic
219 concentration (LES1) the lower pressures brought about higher precipitate yields than
220 oleoresin yields, while the opposite effect occurred at the higher pressures. As the
221 pressure in the precipitation vessel increases at constant temperature, the SCCO₂ density
222 increases and thus, the solubility of licorice phytochemicals in the supercritical phase
223 also increases, resulting in a decrease of precipitation yield. Then, while lower amounts
224 of solid powders are recovered in the precipitates, larger amounts of oleoresins are
225 recovered from the separator. Furthermore, it can be observed that total recovery (mass
226 of precipitate + oleoresin) of licorice phytochemicals feed into the SAS process reached
227 values in the range 58-67% (experiments 3-6 in Table 1).

228 The general tendency observed with LES1 concerning the effect of pressure was also
229 observed with LES2 (i.e. exp. 7 and 8 at 308.15 K, and exp. 9 and 10 at 313.15 K) but
230 the total recovery of licorice phytochemicals in this case was higher and in the range of
231 68-91% (Table 2).

232 Regarding the effect of temperature, it can be observed that at lower pressures a
233 decrease in temperature favors the precipitate yields, as indicated by the results of Table

234 1 (exp. 1 and 4) and Table 2 (exp. 7 and 9), all them carried out at 15 MPa.
235 Consequently, the increase in temperature produced an increase in the oleoresin yields.
236 However, at the higher pressures (20 MPa) the effect of temperature seems to be less
237 important.

238 **3.2 Phenolic compounds and antioxidant activity of precipitates and oleoresins**

239 Figure 4 shows the recovery of total phenolic compounds obtained in the precipitation
240 vessel as a function of pressure and concentration of licorice phytochemicals in the
241 ethanolic solution. The TPC recoveries were higher with the lower concentration of the
242 licorice ethanolic solution. Furthermore, a tendency to obtain higher TPC recoveries at
243 the lower pressures can also be observed. Within the range of SAS operation conditions
244 studied, the best conditions to recover in the precipitate the licorice phenolic compounds
245 would be 15 MPa, 308.15 K and 9.6 mg/ml licorice ethanolic solution. At these
246 conditions high concentration (160.8 mg GAE/g) and yield ($\approx 71\%$) of TPC was
247 obtained, and also adequate precipitation yield (52.7%) was achieved. Taking into
248 account that the original licorice root ultrasound extract contains 119.5 ± 4.1 mg
249 GAE/g, an increase in the concentration of TPC was observed in the precipitates, with
250 values up to 1.4 greater.

251 Since phenolic compounds are substances with recognized antioxidant activity it is
252 generally stated that the higher the TPC the higher the antioxidant activity, that is the
253 higher TEAC values and the lower IC_{50} values. The TEAC and IC_{50} values obtained in
254 precipitates and oleoresins are depicted in Figure 5 as a function of TPC. In general, as
255 can be observed in Figure 5(a), there is no clear relationship (e.g. linear relation)
256 between TEAC and TPC values but is apparent that the precipitates presented higher
257 TEAC values than oleoresins for the same TPC concentration. This means that different
258 type and phenolic compound composition are present in precipitates and oleoresins,
259 being the TPC in the precipitate of greater antioxidant capacity. Accordingly, the IC_{50}
260 values of the precipitates are lower than those corresponding to oleoresins, as can be
261 seen in Figure 5(b).

262 **3.3 SAS fractionation of licorice phytochemicals**

263 As mentioned before, in the case of SAS precipitation of ethanolic plant extracts, the
264 fractionation of its bioactive substances is generally carried out, due to the different
265 solubility of the plant extract components in the supercritical CO₂ + ethanol phase
266 (Villanueva-Bermejo et al., 2017; Villanueva Bermejo et al., 2015).

267 Table 3 presents some key licorice bioactive compounds (Figure 6) identified in both,
268 precipitates and oleoresins. In general, liquiritigenin, glabridin and isoliquiritigenin
269 compounds are more abundant in the oleoresins, while liquiritin and glycyrrhizic acid
270 are concentrated in the precipitates. The observed trend may be explained considering
271 the polarity of these compounds, which is related to their chemical structure.

272 The most polar compounds are less soluble in the supercritical phase (CO₂ + ethanol
273 cosolvent) and thus these polar compounds should preferable precipitate. On the
274 contrary, the less polar compounds (more soluble in the supercritical phase) should be
275 preferable recovered in the separator, together with the ethanol cosolvent. Both
276 liquiritigenin and glabridin are the most non-polar compounds identified, with only two
277 hydroxyl groups in their structure. Isoliquiritigenin has a structure similar to
278 liquiritigenin but the latter is a flavanone and isoliquiritigenin is a chalcone (flavanone
279 precursor). The chalcones have the central ring open, so they have a free hydroxyl group
280 that gives it greater polarity compared to the flavone liquiritigenin. Glycyrrhizic acid is
281 a glycosylated terpenoid, and despite its terpenoid part, the glycosylated sugar provides
282 some polarity to this acid, producing its concentration in the precipitate. Finally,
283 liquiritin is the most polar compound of those studied (with 5 hydroxyl groups in its
284 chemical structure) and it is observed that it is most abundant in the precipitate.

285 Figure 7 shows for experiments 3 to 6 (308.15 K and 14.2 mg/ml of the licorice
286 ethanolic solution) the variation with pressure of the precipitate IC₅₀ values and the sum
287 of the concentrations (mg/g) of the compounds identified and quantified by HPLC
288 (Table 3). The IC₅₀ values decrease with decreasing pressure while the content of these
289 compounds in the precipitates increases. That is, the precipitates present better
290 antioxidant activity and contain large amounts of licorice key bioactives at the lower
291 precipitation pressures. This trend was verified for the sum of the concentrations of all
292 identified compounds, as well as for the concentration of liquiritin and glabridin, which

293 are the most abundant compounds within those identified. Therefore, it could be
294 concluded that key licorice root compounds of Table 3 have a significant effect on the
295 antioxidant activity of the precipitates. This conclusion is in accordance with Kaur et al.,
296 (2013), who pointed out glabridin and isoliquiritigenin as key compounds responsible
297 for the antioxidant activity of licorice root.

298 **3.4 Morphology and particle size of precipitates**

299 Taking into account the phase equilibria of the binary CO₂ + ethanol mixture [43-45]
300 the corresponding critical pressures at 308.15 K and 313.15 K are both lower than 10
301 MPa. Thus, considering the binary mixture, the operating conditions set for all the
302 experiments in Tables 1 and 2 should be above the MCP (supercritical homogeneous
303 phase region of Figure 2) and no liquid-gas interphase should occur, which could lead to
304 the formation of small and uniform particles. Nevertheless, as stated before, in the case
305 of the precipitation of vegetal extracts, the presence of a large variety of phytochemicals
306 in the organic solution may change significantly the MCP of the supercritical phase.
307 Figure 8 shows the morphology (SEM images) resulted in experiments 1 and 2 of
308 Table 1. As can be clearly deduced from the figure, the morphology obtained in
309 experiments 1 and 2 are very different from those resulted in the rest of experiments. A
310 semi-continuous material is observed, more similar to a gum-resin, with cavities within
311 the aggregates. These images might corroborate that SAS operating conditions in these
312 experiments were below the MCP, probably in the two-phase region of Figure 2, as a
313 result of the higher temperature and higher concentration of phytochemicals in the
314 ethanolic solution. Figure 9 show SEM images at a lower scale (75x) of the
315 precipitates obtained in experiments 1 and 2, where it can be observed adjoined particles
316 (coalescence phenomenon) in large sizes, especially at 15 MPa (experiment 1).

317 On the other hand, for the rest of experiments of Figure 8, particles with similar
318 morphology and micronized size were obtained. Nevertheless, uniform and spherical
319 structures in the precipitates were not obtained probably due to precipitation conditions
320 in the subcritical region (see Figure 2) since, as mentioned before, uniform and small
321 spherical particles (nanoparticles) are generally obtained at pressures larger than those

322 corresponding to the MCP (Reverchon et al., 2008, 2010; Werling & Debenedetti,
323 2000).

324 The mean particle size and size distribution of the precipitates are given in Table 4.
325 Furthermore, for experiments 3 to 6 the particle size distributions of duplicate
326 experiments are depicted in Figure 10. Deviations are in the range 1.1-3.4 μm (< 10%).
327 It can be observed that at constant temperature (308.15 K) and concentration of the
328 licorice ethanolic solution (14.2 mg/g) the mean particle size of the precipitated
329 powders decreases with pressure, from 36.7 μm at 12.5 MPa to 11.7 μm at 25 MPa. In
330 addition, it could be inferred from Figure 10 that at the higher pressures the particle
331 sizes are somewhat more heterogeneous. The distribution at the lower pressure (12.5
332 MPa) is narrower and more normal, while increasing pressure the behavior appears as a
333 multi-modal distribution, with significant smaller sizes.

334 This tendency of particle size decrease with an increase in the precipitation pressure is
335 consistent with the analysis published by Werling & Debenedetti, (1999) and Martín &
336 Cocero, (2004) in their SAS precipitation simulation models. Furthermore, several
337 experimental works confirm this tendency, such as the SAS precipitation of tartaric acid
338 reported by Kröber & Teipel, (2002), the *Achilea millefolium* L. ethanolic extract
339 studied by Villanueva-Bermejo et al., (2017), and mango leaf extracts carried out by
340 Guamán-Balcázar et al., (2019).

341 Besides the effect of pressure on particle size, it can be observed in the SEM images
342 presented in Figure 11 that decreasing the concentration of the licorice ethanolic
343 solution smaller particles are obtained. The lower the concentration of licorice
344 phytochemicals in the ethanolic solution, the more similar MCP of the supercritical
345 phase to that of the binary CO_2 + ethanol, and thus the precipitation conditions
346 established are closer to be in the supercritical homogenous region.

347 **4. Conclusions**

348 Selecting adequate operating conditions, the supercritical anti-solvent SAS precipitation
349 of licorice ethanolic solutions produced the fractionation of licorice phytochemicals: dry
350 powders with small aggregate particles together with oleoresin by-product were

351 obtained. The higher precipitation yields were obtained at the lower pressures and
352 temperatures, and yield increases as the concentration of licorice phytochemicals in the
353 ethanolic solution decreases from 14.2 to 9.6 mg/ml, being the highest yield (52.70%)
354 obtained at 15MPa, 308.15 K and 9.6 mg/ml.

355 In general, it was observed an increase of the recovery of phenolic phytochemicals in
356 the precipitates as the pressure, temperature and concentration of the licorice ethanolic
357 solution decreases. Within the operating range studied, the optimum corresponds to 15
358 MPa, 308.15 K and 9.6 mg/ml, with a 1.3 enrichment factor with respect to the licorice
359 extract. Furthermore, the precipitates have better antioxidant activity than the oleoresins
360 for the same concentration of total phenolic compounds, due to the fractionation caused
361 by SAS technique resulting in different type of phenolic compounds in precipitates and
362 oleoresins. Liquiritin and glabridin are abundant in the precipitates, and the IC₅₀ values
363 decrease (better antioxidant activity) as their concentration in the precipitates increases.

364 Particles with smaller size were obtained with increasing pressure and decreasing the
365 concentration of phytochemicals in the licorice ethanolic solution. Nevertheless,
366 agglomerated particles were obtained, probably due to precipitation conditions in the
367 range below the supercritical multicomponent (phytochemicals + CO₂ + ethanol)
368 mixture critical point. It is highlighted the importance of SAS operating conditions well
369 above the critical point of the supercritical mixture to obtain an adequate morphology
370 with regular and spherical particles.

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