

**NOVEL FUNCTIONALIZATION
METHODS OF 1D AND 2D
MATERIALS AND THEIR
APPLICATIONS**

Mariano Vera Hidalgo

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Director de Tesis:

Prof. Emilio M. Pérez

IMDEA Nanociencia

Chemistry of Low Dimensional Materials Lab

Universidad Autónoma de Madrid

Facultad de Ciencias

Instituto IMDEA Nanociencia



FACULTAD DE
CIENCIAS
UNIVERSIDAD AUTÓNOMA DE MADRID

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A mis padres y a la familia de Berlín.

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LIST OF ABBREVIATIONS AND NOTES

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ACN	Acetonitrile
AcOEt	Ethyl acetate
ADMP	Acyclic Diene Metathesis Polymerization
AFM	Atomic Force Microscopy
Ant	anthracene
AQ	anthraquinone
AuNP	Gold Nanoparticle
BDS	Bisdiazonium salts
Bn	Benzyl
Bn-mal	<i>N</i> -benzylmaleimide
BP	Black Phosphorus
CCPA	cycloparaphenyleneacetylene
CE	chemical exfoliated
CNTs	Carbon Nanotubes
CP	Counterpoise
CPMAS- ¹³ C-NMR	Solid state Cross-polarization ¹³ C nuclear magnetic resonance
cs-MoS ₂	control sample-MoS ₂
CV	Cyclic Voltammetry
CVD	Chemical vapor deposition
DCM	Dichloromethane
DFT	Density functional theory
DIC	Diiodo Compounds
DMPA	2,2-dimethoxy2-phenylacetophenone
DMSO	dimethyl sulfoxide

DNA	Deoxyribonucleic acid
exTTF	2-[9-(1,3-dithiol-2-ylidene)anthracen-10(9 <i>H</i>)-ylidene]-1,3-dithiole
f-MoS ₂	functionalized-MoS ₂
FT-ATR-IR	Fourier-transform infrared spectroscopy
GO	Graphene oxide
h-BN	Boron nitride
HER	Electrocatalytic hydrogen evolution
Hex	Hexane
HRTEM	High-Resolution Transmission Electronic Microscopy
I	Current
LPE	Liquid-phase Exfoliation
Mac	macrocycle
Me	Methyl
MIMs	Molecular Interlocked Molecules
MWNTs	Multi-Walled Carbon Nanotubes
Napth	naphtalene
nBuLi	n-butyl lithium
NMP	1-methyl-2-pyrrolidinone
ORR	Oxygen reduction reaction
PEG	Polyethyleneglycol
Ph	phenyl
PLE	Photoluminescence excitation
p-MoS ₂	pristine-MoS ₂
Polysucc	polysuccinimide
PTFE	Polytetrafluoroethylene
Pyr	pyrene
QRE	Quasi-reference electrode
RCM	Ring Closing Metathesis
RDE	Rotating Disk Electrode
RE	Reference electrode
rGO	Reduced graphene oxide

rt	room temperature
SAM	Self-Assembled Monolayer
SDS	Sodium dodecylsulfate
SHE	Standard Hydrogen electrode
Succ	Succinimide
SWNT/SWCNT	Single-Walled Carbon Nanotubes
t	time
TBAPF ₆	Tetrabutylammonium hexafluorophosphate
TCNQ	Tetracyanoquinodimethane
TEM	Transmission Electronic Microscopy
TEMPO	(2,2,6,6-Tetramethylpiperidin-1-yl)oxyl
TGA	Thermogravimetric analysis
TLC	Thin-layer chromatography
TMDCs	Transition Metal Dichalcogenides
UV-Vis	Ultra-Violet-Visible
UV-Vis-NIR	Ultra-Violet-Visible near Infrared
WE	Working electrode
XPS	X-ray photoelectron spectroscopy
XRD	X-ray Powder Diffraction

RESUMEN

En las últimas décadas se han descubierto y estudiado nuevos materiales con excelentes propiedades electrónicas, mecánicas, ópticas etc., como por ejemplo los nanotubos de carbono de una pared (SWNTs), formados por átomos de carbono dispuestos en una red hexagonal cilíndrica, y los dicalcogenuros de metales de transición (TMDCs), formados por capas de un metal de transición y dos átomos del grupo de los anfígenos (normalmente S, Se y Te) unidos covalentemente, conectadas por interacciones de van der Waals. Estos materiales poseen propiedades únicas: en el caso de los SWNTs, algunos son semiconductores perfectos para dispositivos. Por otro lado, los TMDCs como por ejemplo: WS_2 y MoS_2 , son fotoluminiscentes y usados como fotodetectores. Sin embargo, en ambos casos obtener estas propiedades y aplicarlas es problemático debido a su difícil manipulación y/u obtención: por ejemplo los SWNTs son insolubles en cualquier disolvente y tienden a agregarse unos con otros; y los TMDCs, es necesario exfoliarlos porque sus propiedades únicamente se obtienen cuando son monocapas. Para aprovechar todas estas increíbles propiedades es necesario modificar y mejorar los métodos de manipulación de dichos materiales. En este punto es donde entra la química. Este campo se encarga de la funcionalización química de los materiales para mejorar las propiedades y aumentar la manejabilidad. En términos generales, la química ha tenido dos maneras de funcionalizar los materiales: mediante interacciones no covalentes, por ejemplo van der Waals como interacciones π - π ; y la funcionalización covalente creando enlaces covalentes.

Los *capítulos 1* y *2* se centran en los SWNTs y su funcionalización mediante enlace mecánico (formando especies llamadas MINTs) y su aplicabilidad en catálisis, debido a su carácter dador de electrones al medio de reacción y su gran superficie activa. En primer lugar, Mechanically Interlocked Nanotubes (MINTs) son especies creadas mediante la encapsulación de SWNTs con macrociclos mediante la reacción de metátesis entre dos alquenos, formando así un enlace mecánico entre ellos. Es un procedimiento donde se aprovechan las ventajas de los dos métodos tradicionales de funcionalización, creando especies estables sin modificar la estructura del nanotubo, es decir, mantiene sus propiedades intrínsecas intactas.

Concretamente, en el *capítulo 1*, mediante la síntesis de diferentes MINTs (AQ, exTTF y pyr) y su uso como catalizadores de la reacción de reducción de nitroarenos, es posible ver el efecto electrónico que tiene el macrociclo sobre los SWNTs y la regulación de la actividad catalítica en la reacción: mejorándola (dopaje tipo n, exTTF) o ralentizándola (dopaje tipo p, AQ). Con estos resultados, es posible regular el comportamiento catalítico de los SWNTs mediante la encapsulación de macrociclos por enlace mecánico (MINT) sin modificar sus propiedades intrínsecas y de manera no alostérica.

En el *capítulo 2*, se muestran las ventajas de juntar dos especies activas electroquímicamente, como son la antraquinona (AQ) y los SWNT mediante enlace mecánico (MINT-AQ). Usar MINT-AQ como electrodo revela una gran estabilidad electroquímica tanto en medio acuosa como orgánica respecto a la especie aislada de AQ. Además, MINT-AQ ofrece una mejora en la reacción de reducción de oxígeno (ORR) respecto a los SWNTs.

Los *capítulos 3 y 4* se centran en la funcionalización de TMDCs (MoS₂ y WS₂) con maleimidias, mediante la reacción tiol-eno “click”. La reacción tiol-eno es una reacción tipo “click” en donde participan un grupo tiol y una olefina para dar enlaces S-C. Al ser una reacción “click” se caracteriza por ser ortogonal, robusta y eficiente. Además, son reacciones versátiles donde las condiciones de reacción son suaves. Reuniendo todas estas condiciones, es muy usada en múltiples campos como en síntesis orgánica, bioorgánica, polímeros y en química de materiales. Hay varios tipos de reacción tiol-eno según como se rompa el enlace S-H del tiol, pero nos centraremos en la nucleófila donde se genera tiolatos mediante la acción de una base. Concretamente, usando carbonilos α,β insaturados (dobles enlaces pobres en electrones) recibe el nombre de tiol-Adición de Michael. Un ejemplo de este tipo de moléculas es el grupo maleimida. Esta molécula se caracteriza por tener un doble enlace muy pobre en electrones que es capaz de reaccionar con nucleófilos suaves como los tioles. De hecho, estas moléculas reaccionan de manera ortogonal y específica con grupos tioles, ofreciendo una gran aplicabilidad en biología con grupos cisteína y en la química de polímeros.

En el *capítulo 3* desarrollamos un método sencillo de funcionalización covalente entre MoS₂/WS₂ y maleimidias vía tiol- Adición de Michael en condiciones suaves donde la estructura del TMDCs se conserva, aprovechando la nucleofilia del azufre. El producto

es ampliamente caracterizado para probar la funcionalización (TGA, espectroscopia Raman, UV-vis, XPS...).

En el *capítulo 4* hemos descrito un estudio exhaustivo de la funcionalización covalente de MoS₂ con maleimidas vía tiol-Adición de Michael, previamente descrito en el *capítulo 3*. Durante el estudio de las condiciones, hemos descubierto que, en presencia de base, MoS₂ es funcionalizado covalentemente con un polímero generado a partir de *N*-benzylmaleimida. En cambio, en ausencia de base se funcionaliza con moléculas aisladas de *N*-benzylmaleimida. Además, se llevo a cabo un estudio completo de la reacción cambiando la temperatura, el tiempo de reacción, tipo de disolventes, y usando diferentes maleimidas

.

ABSTRACT

In the last decades, new materials with excellent electronic, mechanical and optical properties have been discovered and studied, such as single-walled carbon nanotubes (SWNTs), formed by carbon atoms arranged in a cylindrical hexagonal network and Transition Metal Dichalcogenides (TMDCs), constituted by layers connected by Van der Waals interactions of a transition metal with two chalcogenide atoms (usually S, Se and Te) covalently bonded. These materials have unique properties: in the case of SWNTs, some of them are semiconductors and used in devices. Likewise, TMDCs, such as WS₂ and MoS₂, is photoluminescence and used as photodetectors. However, in both cases obtaining these properties and applying them is a problem due to the difficulty to handle and/or obtaining: for instance, SWNTs are insoluble in any solvent and tend to aggregate with each other; and TMDC, it is necessary to exfoliate them because the most important properties are only obtained in the monolayer form. To take advantage of all these incredible properties, it is necessary to modify and improve the methods of handling them. In this point the chemistry field appears. This field is responsible for the chemical functionalization in order to improve the properties and increase the manageability. In general terms, the chemistry has had two methods to functionalize materials: through non-covalent interaction, for instance Van der Waals interaction as π - π interactions, and covalent functionalization with covalent bonds.

Chapters 1 and 2 focus on carbon nanotubes and their functionalization through mechanical bond (forming species called MINTs) and their applicability in catalysis, due to their electron-giving character and their long active surface. First of all, Mechanically Interlocked Nanotubes (MINTs) are species created by encapsulating SWNTs with macrocycles by ring closing metathesis (RCM) between two alkenes, thus forming a mechanical bond between them. This procedure takes advantages of the two methods of functionalization, forming stable species without modifying the structure of the nanotube.

Specifically in *chapter 1*, with the synthesis of different MINTs (AQ, exTTF and pyr) and their use as catalysts for the nitroarenes reduction reaction, is possible to appreciate an electronic effect of the macrocycle in the SWNTs and a regulation of the catalytic activity: either enhancing it (n-doping) or slowing it down (p-doping). With

these results, it is possible to regulate the catalytic behaviour of SWNTs by encapsulating macrocycles by mechanical bond (MINT) without damaging their structure and in non-allosteric pathway.

In the *chapter 2* the advantages of joining together two electrochemically active species, such as AQ and SWNT by mechanical bonding (MINT-AQ) are shown. Using MINT-AQ as an electrode reveals great electrochemical stability in both aqueous and organic solvents with respect to the isolated species of AQ. In addition, MINT-AQ offers an improvement in the oxygen reduction reaction (ORR) over SWNT.

Chapters 3 and 4 focus on the functionalization of TMDCs (MoS_2 and WS_2) with maleimides through thiol-ene “click” reaction. The thiol-ene reaction is a “click” reaction between thiol group and olefin yielding S-C bond. This reaction is characterized by being robust, efficient and orthogonal. In addition, they are versatile reactions which the reaction conditions are mild. Bring together all of these characteristics, it is widely used in multiple fields such as organic synthesis, biochemistry, polymers and chemistry of materials. There are several kinds of thiol-ene reaction depending on how the bond S-H from thiol is broken, but we will focus on the nucleophile method where thiolate are generated thanks to a base. Specifically, using α,β -unsaturated carbonyls (electron poor enes) is called thiol-Michael Addition. An example of these molecules is the maleimide group. This molecule is characterized by having a electron poor double bond capable of reacting with soft nucleophiles such as thiols. In fact, these molecules react orthogonally and specifically with these groups, offering a great applicability in biology field with cysteine groups and in polymer chemistry.

In the *chapter 3* we have developed a simple method of covalent functionalization between TMDCs (MoS_2 and WS_2) and maleimides via thiol-Michael Addition in mild conditions where the structure of TMDCs is preserved, exploiting the soft nucleophilicity of sulfur atom. Extensive characterization proves that the reaction occurs through Michael addition.

In the *chapter 4*, we have described an exhaustive study of the covalent functionalization of MoS_2 with maleimides via thiol-Michael Addition, previously described in the *chapter 3*. During this study of conditions, we have discovered that, in the presence of base, MoS_2 is functionalized with a polymer generated from *N*-

benzylmaleimide. In contrast, in the absence of base, it is functionalized with isolated *N*-benzylmaleimide molecules. In addition, we have performed a complete study of the reaction changing temperature, time, different kind of solvent and using different maleimides derivatives.

1. Introduction. Applications of Modified Carbon Nanotubes: Mechanically Interlocked Nanotubes (MINTs) in Catalysis

1.1. Single-Walled Carbon Nanotubes (SWNTs).

Carbon nanotubes (CNTs) are an allotrope of carbon like fullerene, graphite/graphene and diamond. CNTs are cylindrical structures and they are made of rolled-up graphene sheets. Their first appearance was in 1991 through a report of the observation of multi-walled carbon nanotubes (MWNTs) on the cathode of a carbon arc discharge experiment used to produce fullerenes.¹ Those tubes contained at least two or more C layers and they had a diameter from 3 nm to 30 nm. However, single-walled carbon nanotubes (SWNTs),² produced by gas phase and with diameters in the range 1-2 nm, are the most widely studied form of CNTs because slight changes in tube diameter (d_t) and chiral angle (θ), defined by the chirality indices (n,m) (Figure 1), will shift their electronic properties. SWNTs can be metallic if $|n-m|$ is 0 (armchair SWNTs) or a multiple of 3, or semiconductors showing a well-defined bandgap for any other values of the chiral indices.³ Since their emergence, SWNTs have evolved into one of the most studied nanomaterials.

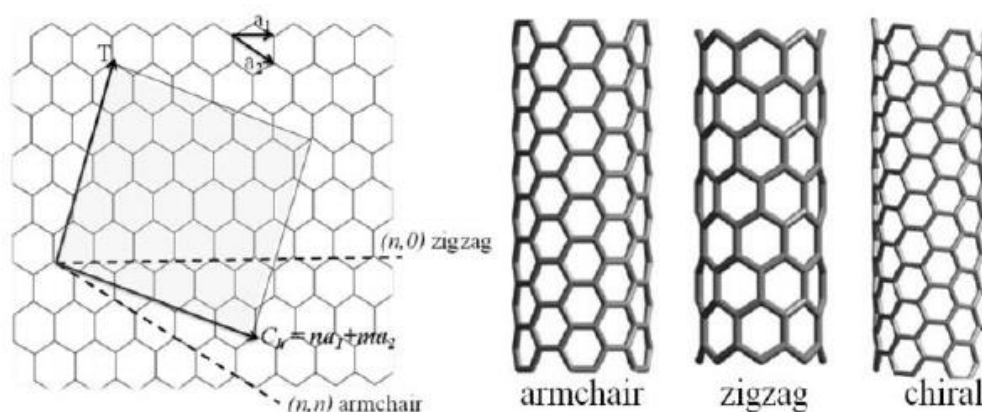


Figure 1. The construction of SWNTs from a graphene sheet along the chiral vector $C_n = na_1 + ma_2$, where a_1 and a_2 are the graphene lattice vectors and n and m are integers (n,m) which define the dimensions of SWNT.

¹ Iijima, S. *Nature* **1991**, 354 (6348), 56-58.

² Iijima, S.; Ichihashi, T. *Nature* **1993**, 363 (6430), 603-605.

³ Odom, T. W.; Huang, J.-L.; Kim, P.; Lieber, C. M., *Nature* **1998**, 391 (6662), 62-64.

The great interest in the fundamental properties of SWNTs and in their exploitation through a wide range of applications is due to their unique structural, chemical, mechanical, thermal, optical and electronic properties.^{3,4,5,6,7,8,9,10} However, due to the large surface ratio, SWNTs tends to create insoluble aggregates. Due to the formation of these big bundles held strongly together, SWNTs are very difficult to disperse homogeneously in solution, which makes them difficult to manipulate. For this, an important goal is the manipulation of SWNTs through chemical functionalization with molecules. Throughout all this introduction, we will show different ways to functionalize SWNTs.

With respect to the characterization of SWNTs, the most commonly used spectroscopic methods include Raman spectroscopy, absorption and photoluminescence (PL) (Figure 2). Raman spectroscopy is a versatile method capable of giving structural and electronic information of SWNTs. The most important bands are G, D, 2D and lower frequency breathing mode (RMB). The G-band is related to the graphite optical mode, around $\sim 1580\text{ cm}^{-1}$ and is typically the most intense band in the Raman spectra of SWNTs. It represents the fundamental vibration of tangential elongation. The D-band (ca. 1400 cm^{-1}) is related to defects in the structure of the SWNTs. This band is very useful in the covalent modification of SWNTs: the relative intensity of the D and G bands shows the type of functionalization. Covalent functionalization methods induce sp^3 defects, and therefore result in an increased I_D/I_G while noncovalent modifications do not change the I_D/I_G ratio. Raman shifts of 2D band, around $\sim 2700\text{ cm}^{-1}$, together with shifts in the G band frequency, are usually considered the best indication of doping in SWNTs. Finally, RBMs are around $100\text{-}500\text{ cm}^{-1}$ and correspond to a bond-stretching out-of-plane mode in which all the carbon atoms move coherently in the radial direction. The RBM frequency is proportional to the tube diameter.

Regarding UV-vis-NIR, SWNT have a unique, chirality-dependent optical absorption spectrum which shows the energy band structure and the number of electronic

⁴ Javey, A.; Guo, J.; Wang, Q.; Lundstrom, M.; Dai, H., *Nature* **2003**, *424* (6949), 654-657.

⁵ Berber, S.; Kwon, Y.-K.; Tománek, D., *Phys. Rev. Lett.* **2000**, *84* (20), 4613-4616.

⁶ Tans, S. J.; Devoret, M. H.; Dai, H.; Thess, A.; Smalley, R. E.; Geerligs, L. J.; Dekker, C., *Nature* **1997**, *386* (6624), 474-477.

⁷ Cao, L.; Meziani, M. J.; Sahu, S.; Sun, Y.-P., *Acc. Chem. Res.* **2013**, *46* (1), 171-180.

⁸ Singh, P.; Campidelli, S.; Giordani, S.; Bonifazi, D.; Bianco, A.; Prato, M., *Chem. Soc. Rev.* **2009**, *38* (8), 2214-2230.

⁹ Zhao, Y.-L.; Stoddart, J. F., *Acc. Chem. Res.* **2009**, *42* (8), 1161-1171.

¹⁰ Dai, H., *Acc. Chem. Res.* **2002**, *35* (12), 1035-1044.

transitions. For instance, in the case of (6,5)-SWNT, there are two possible transitions: S_{11} (~990 nm) and S_{22} (~570 nm). Finally, an alternative probe of this energy band structure is photoluminescence excitation (PLE). In PLE, the PL intensity is recorded while the excitation wavelength is changed. A maximum in intensity is found when the excitation energy equals an absorption resonance from which relaxation to a PL-emitting transitions occurs. The map is represented with luminescent intensity (Z axis) vs. emission (X axis) and excitation wavelength (Y axis). With a PLE map, also called “fingerprint”, it is possible to difference chirality number (n,m) of SWNTs due to each kind of tube has an specific signal.

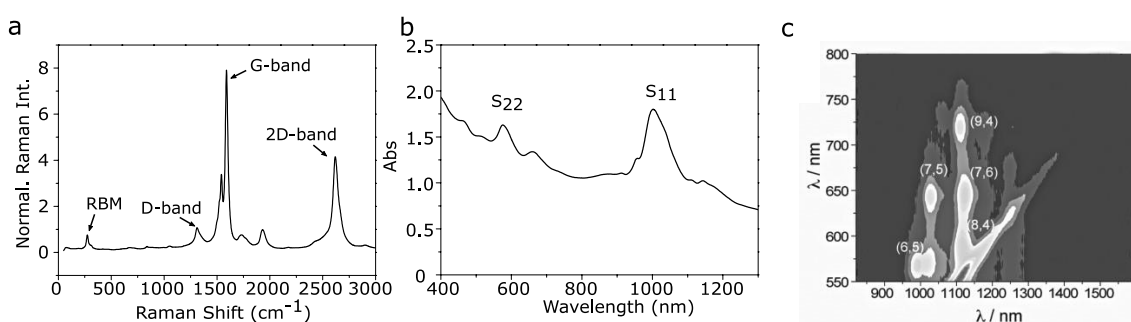


Figure 2. Example of characterization of SWNT: **a.** Raman spectroscopy (532nm) of (6,5)-SWNT. **b.** UV-vis-NIR of (6,5)-SWNT with the two electronic transitions (S_{11} and S_{22}). **c.** PLE map of a mixture (n,m)-SWNT in a surfactant solution. Rayleigh scattering has not been filtered in this image.

Finally, the applications of CNTs^{11,12,13} are very varied due to their properties. Regarding the electronic properties, semiconductor SWNTs, have been used in transistors,¹⁴ devices¹⁵ and even prototype computers.¹⁶ Also, due to the large industrial production of CNTs, are incorporated in diverse commercial products¹⁷ ranging from batteries, automotive parts, water filters etc. as well in the biologic field,¹⁸ natural

¹¹ Rao, R. *et.al.* *ACS Nano* **2018**, *12* (12), 11756-11784.

¹² Appenzeller, J., *Proceedings of the IEEE* **2008**, *96* (2), 201-211.

¹³ Marcaccio, M.; Paolucci, F., *Making and exploiting fullerenes, graphene, and carbon nanotubes*. Springer: 2014.

¹⁴ LeMieux, M. C.; Roberts, M.; Barman, S.; Jin, Y. W.; Kim, J. M.; Bao, Z., *Science* **2008**, *321* (5885), 101-104.

¹⁵ Avouris, P.; Chen, Z.; Perebeinos, V., *Nat. Nanotechnol.* **2007**, *2* (10), 605-615.

¹⁶ Shulaker, M. M.; Hills, G.; Patil, N.; Wei, H.; Chen, H.-Y.; Wong, H. S. P. *Nature* **2013**, *501*, 526.

¹⁷ De Volder, M. F. L.; Tawfick, S. H.; Baughman, R. H.; Hart, A. J., *Science* **2013**, *339* (6119), 535-539.

¹⁸ Aurand, E. R.; Usmani, S.; Medelin, M.; Scaini, D.; Bosi, S.; Rosselli, F. B. *Adv. Funct. Mater.* **2018**, *28* (12), 1700550.

receptors,¹⁹ sensors²⁰ and they are used in heterogeneous catalysis,²¹ for instance as electrocatalyst on the oxygen reduction reaction (ORR).²²

1.2. Functionalization of SWNTs.

In terms of applications, in order to take advantage of all the outstanding properties of SWNTs already mentioned, the chemical functionalization is a main point. This functionalization is used to enhance their properties, such as solubility, electronic properties or combine the properties of SWNTs with other materials. There are two main strategies to functionalize SWNTs: non-covalent and covalent functionalization. In this part, we will discuss both methods and provide some examples of them.

1.2.1. Non-covalent functionalization.

The non-covalent functionalization is based on van der Waals interactions or π - π stacking, for instance with unsaturated (poly)cyclic molecules. In this kind of forces, the main point is the surface area available for interactions.^{23,24} This method is attractive because it offers the possibility of attaching chemical molecules, such as polymers,²⁵ DNA,²⁶ pyrene derivatives^{27,28} or surfactants^{29,30} without affecting the electronic network of the SWNTs and maintaining their properties. However, these interactions are weak and the products typically lack kinetic stability.

An example of non-covalent functionalization with pyrene derivatives was reported by Chen *et al.*²⁷ They developed a controlled and easy method for immobilizing proteins onto SWNTs through anchored succinimidyl pyrene derivative (Figure 3). The

¹⁹ Kwon, O. S.; Song, H. S.; Park, T. H.; Jang, J., *Chem. Rev.* **2019**, *119* (1), 36-93.

²⁰ Schroeder, V.; Savagatrup, S.; He, M.; Lin, S.; Swager, T. M., *Chem. Rev.* **2019**, *119* (1), 599-663.

²¹ Melchionna, M.; Marchesan, S.; Prato, M.; Fornasiero, P., *Catal. Sci. Technol.* **2015**, *5* (8), 3859-3875.

²² Dai, L.; Xue, Y.; Qu, L.; Choi, H.-J.; Baek, J.-B., *Chem. Rev.* **2015**, *115* (11), 4823-4892.

²³ Gavrel, G.; Jousset, B.; Filoramo, A.; Campidelli, S., *Supramolecular Chemistry of Carbon Nanotubes*. In *Making and Exploiting Fullerenes, Graphene, and Carbon Nanotubes*, Marcaccio, M.; Paolucci, F., Eds. Springer Berlin Heidelberg: Berlin, Heidelberg, 2014; pp 95-126.

²⁴ Pérez, E. M.; Martín, N., *Chem. Soc. Rev.* **2015**, *44* (18), 6425-6433.

²⁵ Tuncel, D., *Nanoscale* **2011**, *3* (9), 3545-3554.

²⁶ Zheng, M.; Jagota, A.; Semke, E. D.; Diner, B. A.; McLean, R. S.; Lustig, S. R.; Richardson, R. E.; Tassi, N. G., *Nat. Mater.* **2003**, *2*, 338.

²⁷ Chen, R. J.; Zhang, Y.; Wang, D.; Dai, H., *J. Am. Chem. Soc.* **2001**, *123* (16), 3838-3839.

²⁸ Naotoshi, N.; Yasuhiko, T.; Hiroto, M., *Chem. Lett.* **2002**, *31* (6), 638-639.

²⁹ Fagan, J. A., *Nanoscale Adv.* **2019**, *1* (9), 3307-3324.

³⁰ Moore, V. C.; Strano, M. S.; Haroz, E. H.; Hauge, R. H.; Smalley, R. E.; Schmidt, J.; Talmon, Y., *Nano Lett.* **2003**, *3* (10), 1379-1382.

amine groups on a protein react with succinimidyl ester to form amide bonds for protein immobilization.

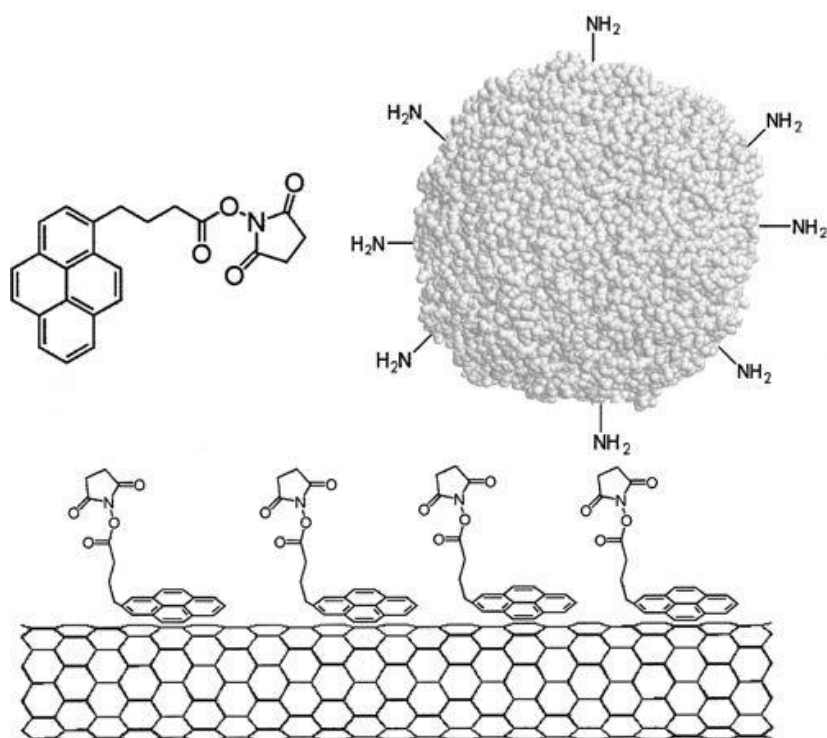


Figure 3. 1-pyrenebutanoic acid onto the sidewall of SWNT. Adapted with permission from *J. Am. Chem. Soc.* **2001**, *123* (16), 3838-3839. Copyright (2001) American Chemical Society.

Another example using anchored pyrene with SWNTs was reported by Nakashima *et al.*²⁸ (Figure 4). Through the mixture of a pyrene salt and SWNTs and sonication, they obtained a transparent dispersion/solution of the nanotubes in water. This is a perfect example of improving properties using non-covalent functionalization.

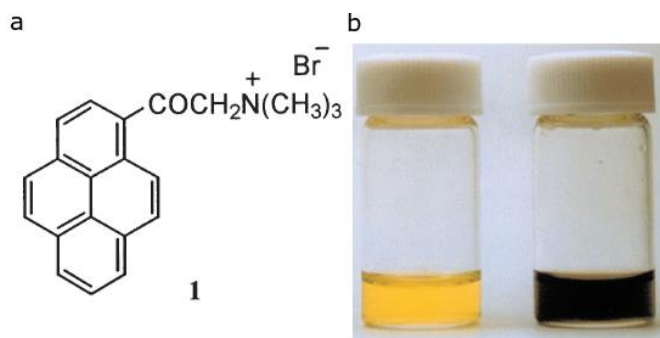


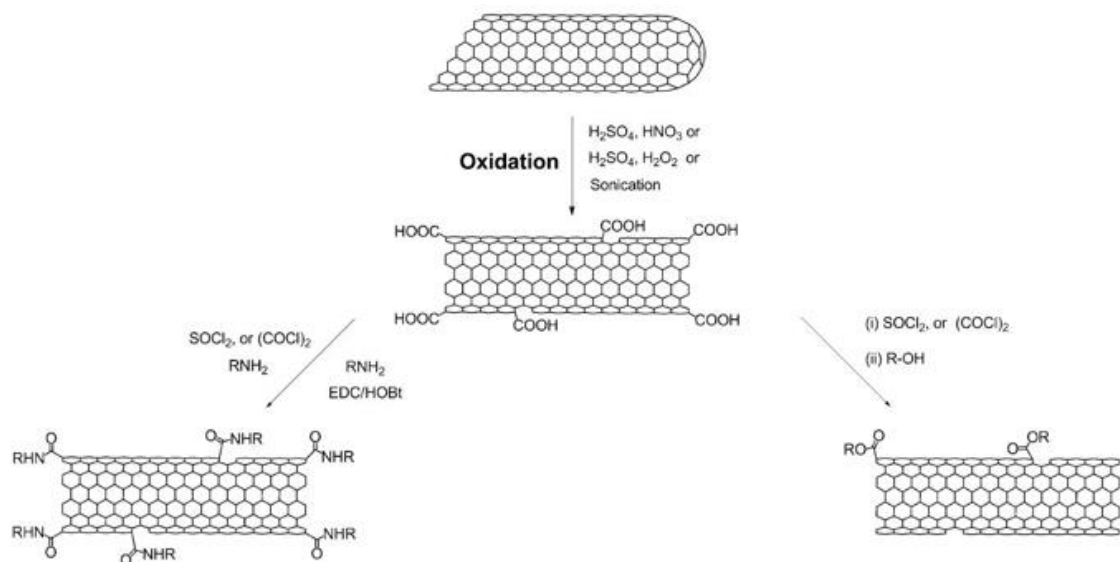
Figure 4. a. Anchor pyrene salt molecule (**1**). **b.** Aqueous solutions of **1** (first bottle) and SWNTs-**1** (second bottle), as described by Nakashima *et al.*²⁸

1.2.2. Covalent functionalization.

The covalent functionalization of SWNTs has been widely studied.⁸ Although the products are characterized by the large kinetic stability characteristic of covalent bonds, this covalent bond produces an alteration of the structure of SWNTs due to the saturation of some sp^2 C atoms. Therefore, the intrinsic properties of the SWNTs change, which might be detrimental in some cases. There are two pathways to functionalize SWNTs covalently: i) oxidation of SWNTs and amidation or esterification of the carboxylic groups and ii) addition chemistry to SWNTs.

i) Amidation and esterification of oxidized SWNTs.

The first step is the strong oxidation of pristine SWNTs (Scheme 1). This procedure preferentially breaks the end sides of the tube. Normally these conditions are concentrated nitric acid or hydrogen peroxide with sulfuric acid. The product is oxidized SWNTs (o-SWNTs) with carboxylic groups. After that, the functionalization of o-SWNTs is performed through standard carboxylic acid chemistry: amidation and esterification.



Scheme 1. Schematic procedure of oxidation of SWNTs and amidation or esterification reactions. The amidation strategy has been used to link SWNTs to: fullerenes,³¹

³¹ Delgado, J. L.; de la Cruz, P.; Urbina, A.; López Navarrete, J. T.; Casado, J.; Langa, F., *Carbon* **2007**, 45 (11), 2250-2252.

glucosamine³² and DNA,³³ for example. Likewise, esterification has been used to link, porphyrins³⁴ and alkyl pyrrole derivatives,³⁵ among many others.

ii) *Addition chemistry to SWNTs.*

This method consists in the direct organic reaction with the double bonds of the SWNTs which highly reactive species are necessary. Inside of this methodology there are multiple kinds of reactions such as fluorination,³⁶ addition of carbenes³⁷ and nitrenes,¹⁹ 1,3-dipolar cycloaddition³⁸ (Scheme 2), Diels-Alder reaction,³⁹ nucleophilic⁴⁰ and free radical⁴¹ additions and reduction and reductive alkylation, such as Birch reduction.⁴²

³² Pompeo, F.; Resasco, D. E., *Nano Lett.* **2002**, 2 (4), 369-373.

³³ Baker, S. E.; Cai, W.; Lasseter, T. L.; Weidkamp, K. P.; Hamers, R. J., *Nano Lett.* **2002**, 2 (12), 1413-1417.

³⁴ Baskaran, D.; Mays, J. W.; Zhang, X. P.; Bratcher, M. S., *J. Am. Chem. Soc.* **2005**, 127 (19), 6916-6917.

³⁵ Cosnier, S.; Holzinger, M., *Electrochim. Acta* **2008**, 53 (11), 3948-3954.

³⁶ Mickelson, E. T.; Huffman, C. B.; Rinzler, A. G.; Smalley, R. E.; Hauge, R. H.; Margrave, J. L., *Chem. Phys. Lett.* **1998**, 296 (1), 188-194.

³⁷ Hu, H.; Zhao, B.; Hamon, M. A.; Kamaras, K.; Itkis, M. E.; Haddon, R. C., *J. Am. Chem. Soc.* **2003**, 125 (48), 14893-14900.

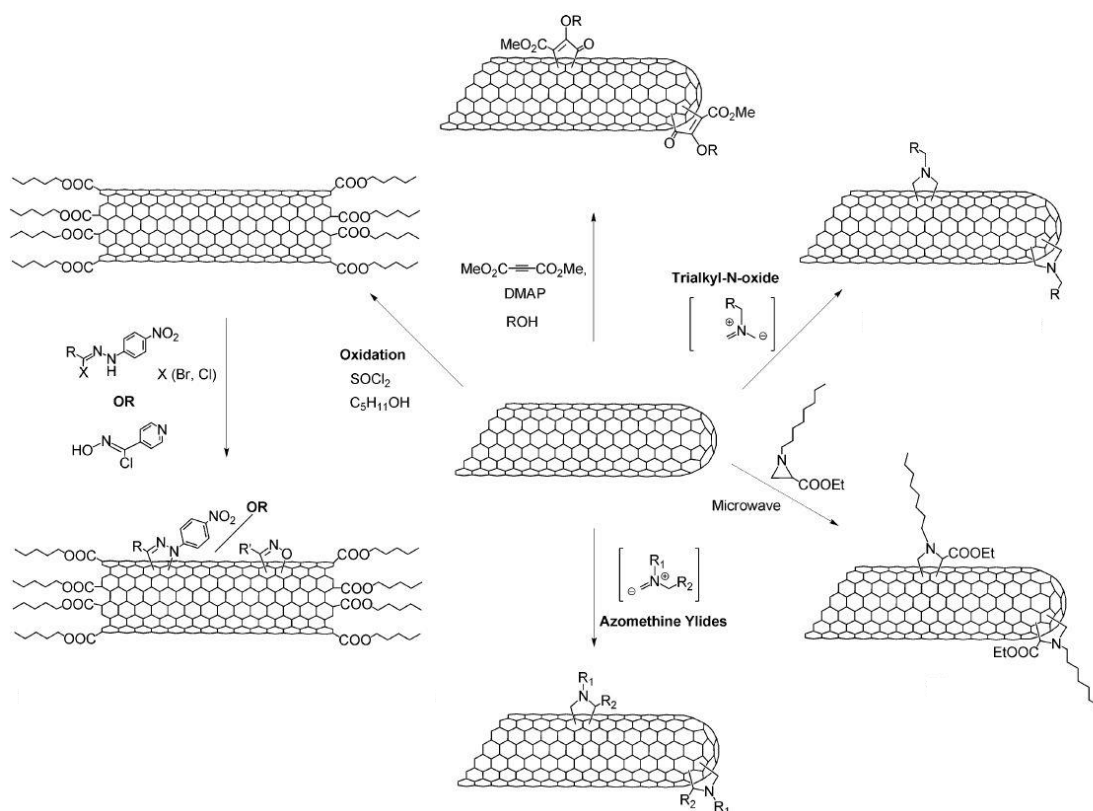
³⁸ Guldi, D. M.; Marcaccio, M.; Paolucci, D.; Paolucci, F.; Tagmatarchis, N.; Tasis, D.; Vázquez, E.; Prato, M., *Angew. Chem. Int. Ed.* **2003**, 42 (35), 4206-4209.

³⁹ Delgado, J. L.; de la Cruz, P.; Langa, F.; Urbina, A.; Casado, J.; López Navarrete, J. T., *Chem. Commun.* **2004**, (15), 1734-1735.

⁴⁰ Coleman, K. S.; Bailey, S. R.; Fogden, S.; Green, M. L. H., *J. Am. Chem. Soc.* **2003**, 125 (29), 8722-8723.

⁴¹ Ying, Y.; Saini, R. K.; Liang, F.; Sadana, A. K.; Billups, W. E., Functionalization of Carbon Nanotubes by Free Radicals. *Org. Lett.* **2003**, 5 (9), 1471-1473.

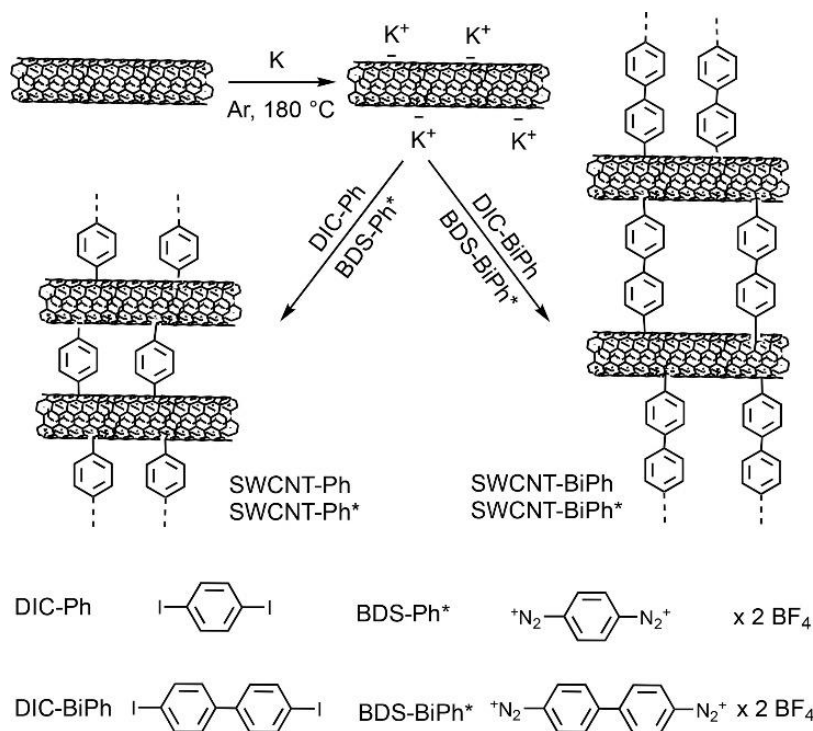
⁴² Pénicaud, A.; Poulin, P.; Derré, A.; Anglaret, E.; Petit, P., *J. Am. Chem. Soc.* **2005**, 127 (1), 8-9.



Scheme 2. 1,3-dipolar cycloaddition reactions of SWNTs.

One recent example of via reductive alkylation of SWNTs was reported by Hirsch⁴³ and co-workers. They synthesised a covalently cross-linked SWNT network starting from negatively charged carbon nanotubides (KC_4). In addition, they compared the molecule and the functional group of the molecule added iodides and diazonium salts (Scheme 3) showing the best results with iodides phenylene molecule in terms of degree of functionalization and biphenyl in thermal stability and improving in the oxygen reduction reaction (ORR).

⁴³ Schirowski, M.; Abellán, G.; Nuin, E.; Pampel, J.; Dolle, C.; Wedler, V.; Fellingner, T.-P.; Spiecker, E.; Hauke, F.; Hirsch, A., *J. Am. Chem. Soc.* **2018**, *140* (9), 3352-3360.



Scheme 3. Schematic procedure of covalently cross-linked with phenylene and biphenylene molecules (Bisdiazonium Salts (BDS) and Diiodo Compounds (DIC)) in SWCNTs. Reprinted with permission from *J. Am. Chem. Soc.* **2018**, *140* (9), 3352-3360. Copyright (2018) American Chemical Society.

1.3. Mechanically Interlocked Nanotubes (MINTs).

Mechanically interlocked molecules (MIMs) are molecules that are connected as a consequence of their topology. These molecule are not directly connected with covalent bonds, yet they cannot be separated without breaking a covalent bond. Examples of MIMs include catenanes, rotaxanes and molecular knots. For the design and synthesis of molecular machines, was recognized with Nobel Prize in Chemistry in 2016⁴⁴ to Fraser Stoddart,⁴⁵ Jean-Pierre Sauvage⁴⁶ and Ben Feringa.⁴⁷

⁴⁴ Leigh, D. A., *Angew. Chem. Int. Ed.* **2016**, *55* (47), 14506-14508.

⁴⁵ Stoddart, J. F., *Angew. Chem. Int. Ed.* **2017**, *56* (37), 11094-11125.

⁴⁶ Sauvage, J.-P., *Angew. Chem. Int. Ed.* **2017**, *56* (37), 11080-11093.

⁴⁷ Feringa, B. L., *Angew. Chem. Int. Ed.* **2017**, *56* (37), 11060-11078.

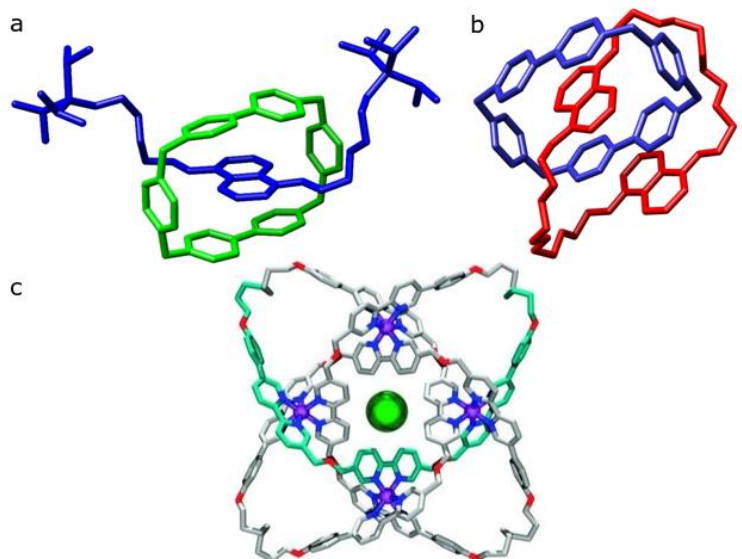


Figure 5. Examples of **a.** rotaxane, **b.** catenane and **c.** molecular knot.

An example of MIMs are rotaxanes. Very briefly, a rotaxane is a MIM in which a rod-like fragment is threaded through the macrocyclic component and the bulky groups located on both ends of the axle preventing de-threading (Figure 5a). Very recently, von Delius and co-workers have described a beautiful example of a rotaxane in which fullerene derivatives were used both as station for the macrocycle and as stoppers^{48,49} (Figure 6). Examples of MIM compounds comprising carbon nanotubes have also been described.⁴⁹

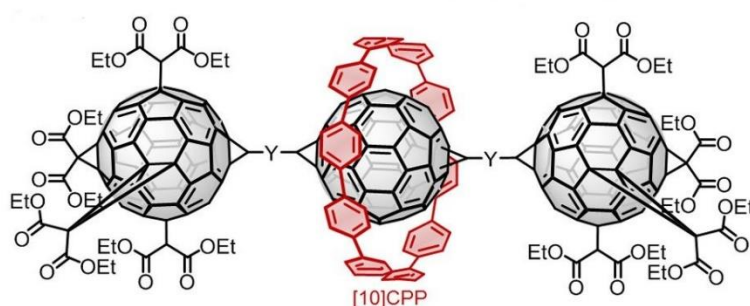


Figure 6. Cycloparaphenylene-fullerene rotaxane synthesised by Xu *et al.*⁴⁸ Adapted with permission from *J. Am. Chem. Soc.* **2018**, *140* (41), 13413-13420. Copyright (2018) American Chemical Society.

⁴⁸ Xu, Y.; Kaur, R.; Wang, B.; Minameyer, M. B.; Gsänger, S.; Meyer, B.; Drewello, T.; Guldi, D. M.; von Delius, M., *J. Am. Chem. Soc.* **2018**, *140* (41), 13413-13420.

⁴⁹ Barrejón, M.; Mateo-Alonso, A.; Prato, M., *Eur. J. Org. Chem.* **2019**, *2019* (21), 3371-3383.

Regarding the carbon nanotubes, an example of combination with MIMs was reported by Stoddart and co-workers.⁵⁰ They used a non-covalent functionalization of semiconducting SWNT (as the bottom electrode) with a monolayer of bistable, nondegenerate [2]catenane tetracations, self-organized by their supporting amphiphilic dimyristoyl phosphatidyl anions which shield the mechanically switchable tetracations (Figure 7). Active and remnant current–voltage measurements demonstrated that these devices can be reconfigurable switched and repeatedly cycled under ambient conditions.

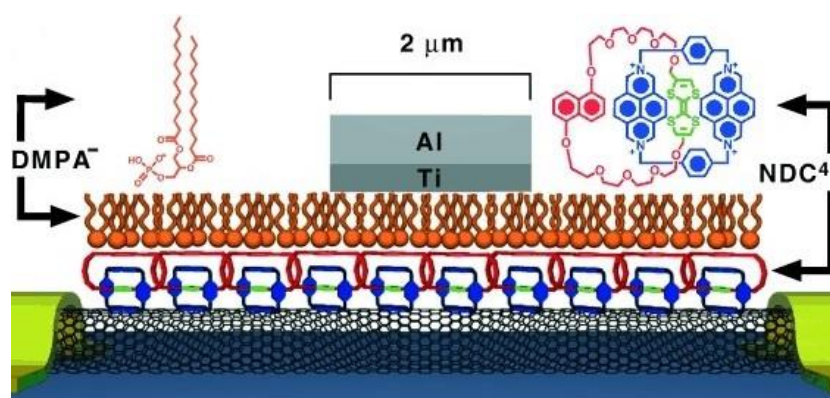


Figure 7. Representation of SWNT- two-terminal molecular switch tunnel junctions (MSTJ) device.

In order to find a functionalization method of SWNTs which not implies the saturation of C sp^2 (covalent functionalization) but in which the product is kinetically stable (non-covalent functionalization), our group has developed a new method of chemical manipulation of SWNTs through the synthesis of Mechanically Interlocked derivatives of SWNTs (MINTs).^{51,52,53,54,55} The strategy is to use a U-shape macrocycle equipped with two SWNT recognition units and terminated with bisalkenes that were closed around the nanotubes through ring-closing metathesis (RCM) (Figure 8a, b).

⁵⁰ Diehl, M. R.; Steuerman, D. W.; Tseng, H.-R.; Vignon, S. A.; Star, A.; Celestre, P. C.; Stoddart, J. F.; Heath, J. R., *ChemPhysChem* **2003**, *4* (12), 1335-1339.

⁵¹ Pérez, E. M., *Chem. Eur. J.* **2017**, *23* (52), 12681-12689.

⁵² López-Moreno, A.; Pérez, E. M., *Chem. Commun.* **2015**, *51* (25), 5421-5424.

⁵³ Leret, S.; Pouillon, Y.; Casado, S.; Navío, C.; Rubio, Á.; Pérez, E. M., *Chem. Sci.* **2017**, *8* (3), 1927-1935.

⁵⁴ de Juan, A.; Pouillon, Y.; Ruiz-González, L.; Torres-Pardo, A.; Casado, S.; Martín, N.; Rubio, Á.; Pérez, E. M., *Angew. Chem. Int. Ed.* **2014**, *53* (21), 5394-5400.

⁵⁵ de Juan-Fernández, L.; Münich, P. W.; Puthiyedath, A.; Nieto-Ortega, B.; Casado, S.; Ruiz-González, L.; Pérez, E. M.; Guldi, D. M., *Chem. Sci.* **2018**, *9* (33), 6779-6784.

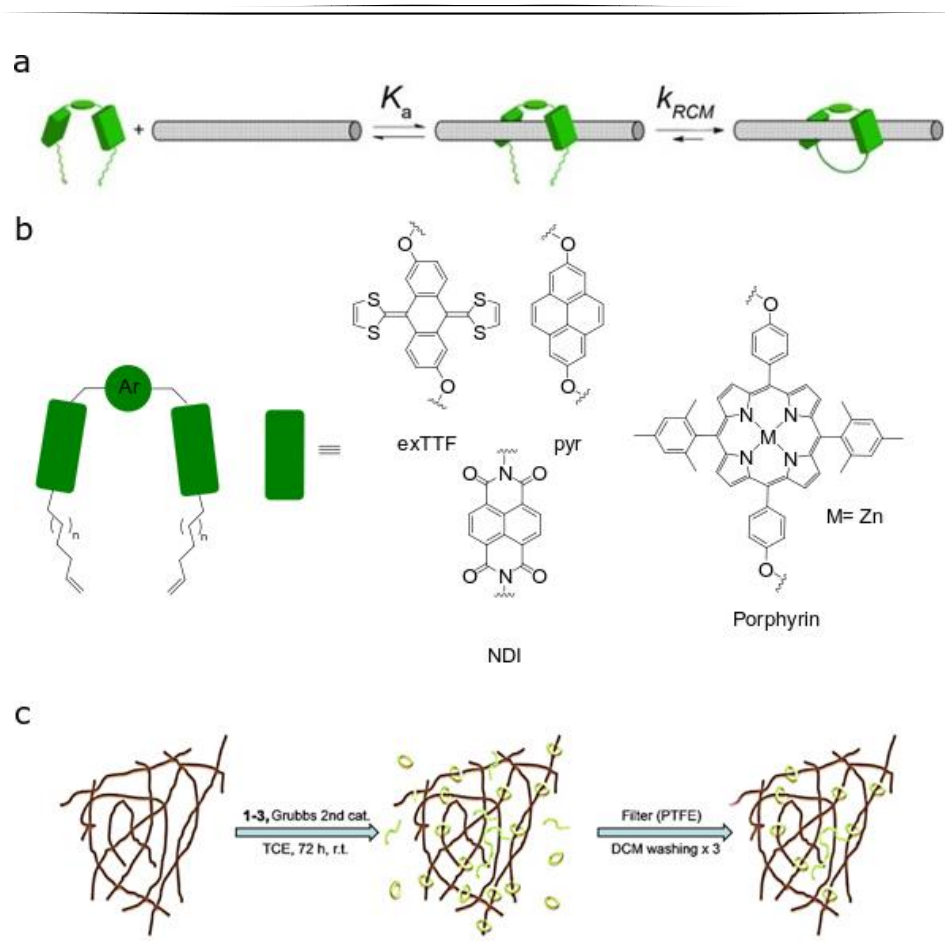


Figure 8. **a.** Clipping strategy for the synthesis of MINTs. Equilibrium due to the interaction between the macrocycle and the carbon nanotube (K_a) and organic RCM reaction through pseudo-first order kinetics (k_{RCM}) **b.** Structure of U-shape molecules with different units of recognition: π -extended tetrathiafulvalene derivative (exTTF), pyrene derivative (pyr), naphthalene diimide (NDI) derivative and porphyrin derivative. **c.** Schematic representation of the experimental procedure of MINTs reaction.

The experimental procedure is simple (Figure 8c): A suspension of SWNTs is made in an adequate solvent (normally tetrachloroethane) using ultrasonication and then, U-shape molecule and 2nd generation Grubs catalyst are added. The mixture is left stirring at room temperature during 72 hours, and then is filtered through polytetrafluoroethylene membrane of 0.2 μm pore. The product is washed and filtered three times with dichloromethane. These MINTs were fully characterized by analytical, spectroscopic, and microscopic techniques, as well as by appropriate control experiments. In addition, these macrocycles are stable even heating at reflux in tetrachloroethane (147°C) during 30 min.

In fact, the only way to remove these macrocycles is calcination of the sample at 360°C for 30 min. (Thermogravimetric analysis (TGA)).

Regarding the kinetics of the reaction, the MINT formation is performed in two steps: non-covalent association between U-shape-SWNT and covalent reaction through RCM (Figure 8a). The first one is a supramolecular binding equilibrium. In a chemical equilibrium, the association constant K_a determines the direction of the reaction. Although there was not standard method to measure K_a to nanotubes, our group⁵⁶ developed an easy method to measure through the mixture of host-SWNT, filtration and calculation of host-bound with the values in TGA. We also measured U-shapes for MINTs reaction and we obtained a values of K_a in order of 10^4 M^{-1} . Hence, these values indicates a high and favourable interaction between U-shape-SWNT. In the second step (covalent bond, RCM reaction) there are two possible products: reaction between bisalkenes to form the macrocycle around the tube (RCM) and reaction between U-shapes through acyclic diene metathesis polymerization (ADMP). The difference of these two products is the kinetic profile. In the case of RCM is well known to be pseudo-first order kinetic, while ADMP is expected to be second order.⁵⁷ This also was studied, showing that RCM is the rate-determining step, and hence MINTs the major product.⁵³

In summary, our group has developed a versatile methodology of functionalization of SWNTs through mechanical bond, using different recognition motifs in the U-shape molecules, including exTTFs,⁵⁴ pyrenes,⁵² NDIs⁵³ and porphyrins⁵⁵ (Figure 8b).

More recently, Miki *et al.*⁵⁸ reported another method to obtain supramolecular complexes in carbon nanotubes through “ring toss” based on the strong inclusion ability of carbon nanoring (Figure 9b). They synthesized different π -conjugated carbon nanorings, such as cycloparaphenyleneacetylene (CCPA), which have high affinity to the nanotube and directly mixed this macrocycles with the tubes. Finally, they studied and compared different diameter of CCPA compounds with different SWNT diameters with TGA.

⁵⁶ de Juan, A.; López-Moreno, A.; Calbo, J.; Ortí, E.; Pérez, E. M., *Chem. Sci.* **2015**, *6* (12), 7008-7014.

⁵⁷ Dias, E. L.; Nguyen, S. T.; Grubbs, R. H., *J. Am. Chem. Soc.* **1997**, *119* (17), 3887-3897.

⁵⁸ Miki, K.; Saiki, K.; Umeyama, T.; Baek, J.; Noda, T.; Imahori, H.; Sato, Y.; Suenaga, K.; Ohe, K., *Small* **2018**, *14* (26), 1800720.

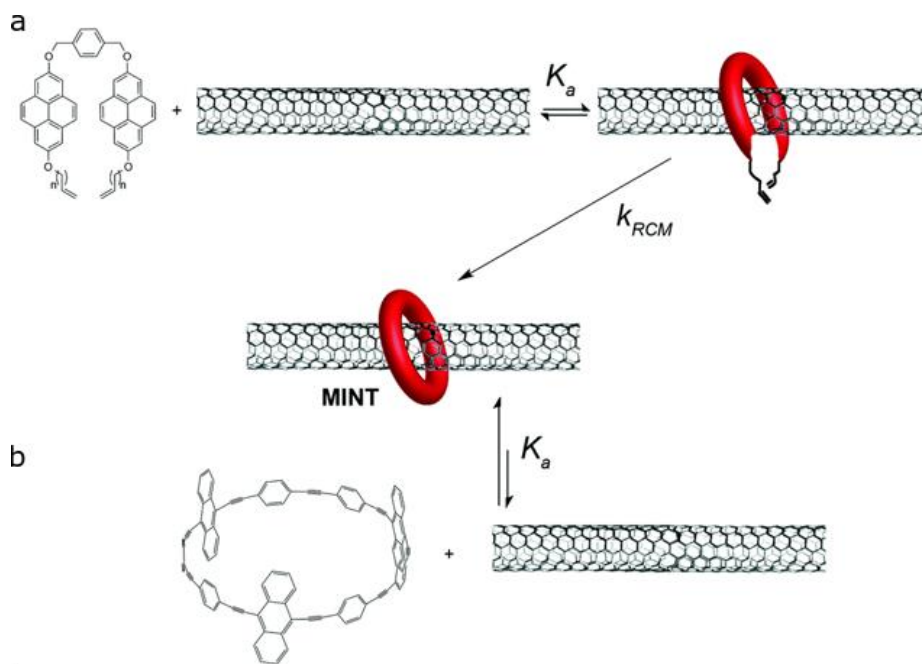


Figure 9. **a.** Ring Closing Metathesis (RCM) strategy developed by our group. **b.** “Ring toss” method by Miki *et al.* The difference between these two methods is Miki *et al* directly mixed the closed-macrocycle with the tube and our group the U-shape first is associated with the tube and secondly the macrocycle is create through RCM around the tube.

Regarding the applications of MINTs, Lopez-Moreno in our group⁵⁹ reported a reinforcement of polymers (polystyrene) using MINTs. With very low loading (0.01% of MINTs) resulted in improvement of the Young’s modulus and tensile strength of the fibers of over 200% (Figure 10).With computational calculations, they showed this improvement is due to the ability of the MINTs to induce extended conformation in the polystyrene, which allows for an optimized transfer of stress between matrix and SWNTs. In these results, they used three kinds of nanotubes (plasma-purified SWNT (pp-SWNT), (6,5)-SWNT and shorter COOH functionalized SWNT (o-SWNT)), two macrocycles (exTTF and pyr) and the corresponding supramolecular controls.

⁵⁹ López-Moreno, A.; Nieto-Ortega, B.; Moffa, M.; de Juan, A.; Bernal, M. M.; Fernández-Blázquez, J. P.; Vilatela, J. J.; Pisignano, D.; Pérez, E. M., *ACS Nano* **2016**, *10* (8), 8012-8018.

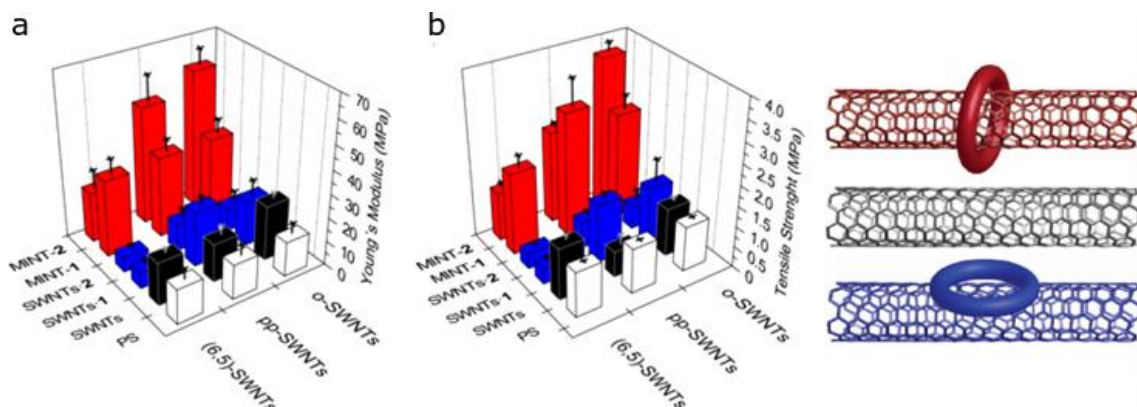


Figure 10. **a.** Young's modulus of polystyrene (PS) (white), SWNTs (black), supramolecular complexes (blue), and MINTs (red) with (6,5)-SWNTs (left), pp-SWNTs (center), and o-SWNTs (right). **b.** Tensile strength of polystyrene (PS) (white), SWNTs (black), supramolecular complexes (blue), and MINTs (red) with (6,5)-SWNTs (left), pp-SWNTs (center), and o-SWNTs (right).

1.4. Carbon Nanotubes in Catalysis.

As we mentioned before, one of the applications of SWNTs is in catalysis.^{13,21} In general, SWNTs show large specific surface areas, which makes them extremely attractive supports for heterogeneous catalysis. In fact, all atoms in a SWNT are surface atoms, and the surface area is only limited due to aggregation. Another advantage is their relatively high oxidation stability which is induced by their chemical inertness. All these properties make SWNTs a promising support material for heterogeneous catalysis. In this section, we focus on the catalysis putting some examples according to the modification of the CNTs (non-covalent and covalent functionalization) and the type of catalysis (organic reactions and electrochemistry).

Regarding the covalent modification of carbon nanotubes with applications in organic catalysis, an example was reported by Matias Blanco *et al.*⁶⁰ In this case, they catalysed the reduction of cyclohexanone to cyclohexanol with 2-propanol/KOH as hydrogen source under air conditions. (Figure 11). They functionalized oxidized CNTs with imidazolium derivative followed by the incorporation of Ir-complex via carbene

⁶⁰ Blanco, M.; Álvarez, P.; Blanco, C.; Jiménez, M. V.; Fernández-Tornos, J.; Pérez-Torrente, J. J.; Oro, L. A.; Menéndez, R., *ACS Catal.* **2013**, *3* (6), 1307-1317.

chemistry. As a heterogeneous catalyst, no leaching was observed and they obtained a good recyclability without any loss of activity.

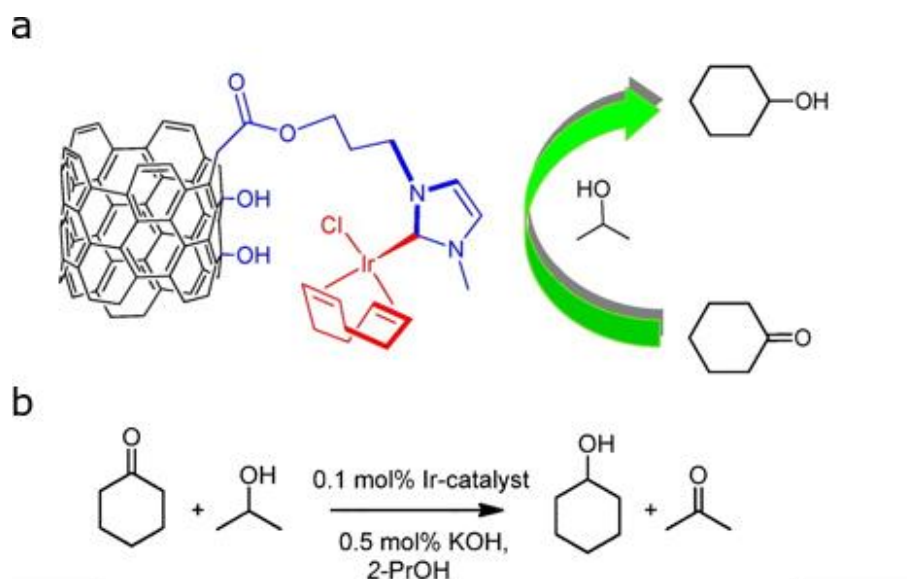
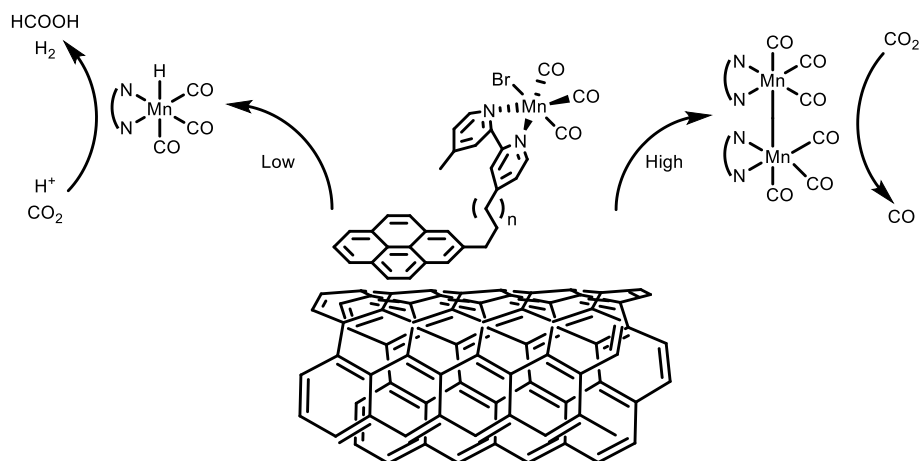


Figure 11. a. Schematic representation of the reaction with the Ir-oxidized CNTs. b. Conditions of reduction reaction of cyclohexanone to cyclohexanol with 2-propanol/KOH. Adapted with permission from *ACS Catal.* **2013**, 3 (6), 1307-1317. Copyright (2013) American Chemical Society.

More recently, an example of electrocatalysis using non-covalent functionalization CNTs was reported by Reuillard *et al.*⁶¹ They performed the reduction of CO₂ to CO using a Mn-catalyst complex anchored via a pyrene unit to CNTs electrode (Scheme 4). They observed that with difference loading of Mn-catalyst they can be tunable the product selectivity: with high loading of Mn-catalyst, the main product is CO; and with low loading of Mn-catalyst, the main products are hydrogen and formic acid. Through UV-vis and IR spectroscopy, they could observe two different intermediates depending of the loading of Mn-catalyst: with high loading, this intermediate is a dimeric Mn⁰ species and with low loading, monomeric Mn¹-H specie.

⁶¹ Reuillard, B.; Ly, K. H.; Rosser, T. E.; Kuehnel, M. F.; Zebger, I.; Reisner, E., *J. Am. Chem. Soc.* **2017**, 139 (41), 14425-14435.



Scheme 4. Schematic representation of $[\text{MnBr}(\text{bpy}_{\text{pyr}})(\text{CO})_3](\text{Mn}_{\text{pyr}})$ immobilized on a CNT sidewall, concentration-dependent dimerization or Mn-H formation, and intermediate-Dependent (Dimeric Mn^0) reduction of CO_2 to CO.

As we have shown, CNTs are usually used in oxidation-reduction catalysis due to their electronic properties. Specifically, they are very used in electrocatalysis⁶² with non-covalent functionalization^{63,64} due to their high surface area and excellent conductivity, which allow to anchor large amount of electrocatalytic species while retaining good electron transfer properties. For this reason, normally the covalent functionalization is avoided. As we have seen in Scheme 4, the immobilization of unsaturated (poly)cyclic molecules-catalyst (such as pyrene) via π - π interactions with the CNTs has emerged as an important strategy.^{65,66} The immobilization of catalyst species onto electrodes gives an enhancement of the catalytic activity.^{67,68} Other advantages of this methodology include the need for less catalyst, avoidance of decomposition pathways and easier determination

⁶² Sgobba, V.; Guldi, D. M., *Chem. Soc. Rev.* **2009**, *38* (1), 165-184.

⁶³ Hijazi, I.; Bourgeteau, T.; Cornut, R.; Morozan, A.; Filoramo, A.; Leroy, J.; Derycke, V.; Joussetme, B.; Campidelli, S., *J. Am. Chem. Soc.* **2014**, *136* (17), 6348-6354.

⁶⁴ Murakami, N.; Miyake, H.; Tajima, T.; Nishikawa, K.; Hirayama, R.; Takaguchi, Y., *J. Am. Chem. Soc.* **2018**, *140* (11), 3821-3824.

⁶⁵ Tran, P. D.; Le Goff, A.; Heidkamp, J.; Joussetme, B.; Guillet, N.; Palacin, S.; Dau, H.; Fontecave, M.; Artero, V., *Angew. Chem. Int. Ed.* **2011**, *50* (6), 1371-1374.

⁶⁶ Li, F.; Zhang, B.; Li, X.; Jiang, Y.; Chen, L.; Li, Y.; Sun, L., *Angew. Chem. Int. Ed.* **2011**, *50* (51), 12276-12279.

⁶⁷ Kramer, W. W.; McCrory, C. C. L., *Chem. Sci.* **2016**, *7* (4), 2506-2515.

⁶⁸ Rosser, T. E.; Windle, C. D.; Reisner, E., *Angew. Chem. Int. Ed.* **2016**, *55* (26), 7388-7392.

of catalyst lifetime. Likewise, the immobilization could change the solubility and behaviour of the catalyst in different solvent, for example water.^{69,70}

Also, another common used unsaturated (poly)cyclic molecules is the anthraquinone (AQ) molecule. AQ is an electron-acceptor molecule, cheap and it is a good substituent to metal catalysis in electrocatalysis. As regards in applications, AQ is widely used as electrocatalyst for oxygen reduction reaction (ORR),^{71,72} batteries,⁷³ and capture and release CO₂.⁷⁴

The same as pyrene, the AQ can be anchored to CNTs in order to enhance the characteristics of the molecule with the properties of CNTs.²² Gong *et al*⁷⁵ reported a nanohybrid AQ-CNTs in glassy carbon electrodes proving an increasing of electrocatalytic activity in ORR process.

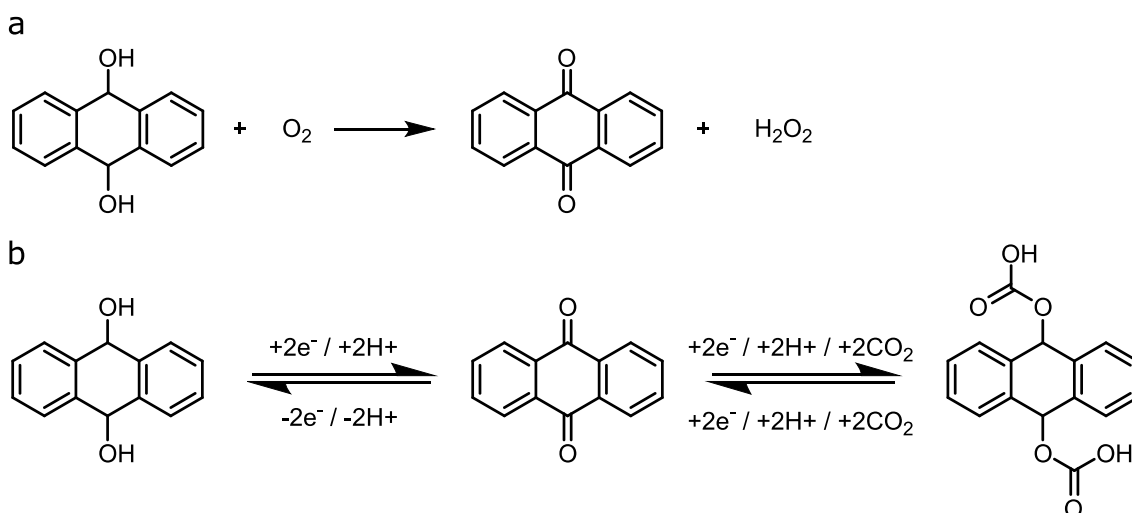


Figure 12. a. Simple oxidation reaction of 9, 10-dihydroanthracene-9, 10-diol (AHQ) with oxygen to obtain AQ and H₂O₂. **b.** Electrochemistry of AQ.⁷⁴ To reduction to AHQ and capture and release CO₂.

⁶⁹ Maurin, A.; Robert, M., *Chem. Commun.* **2016**, 52 (81), 12084-12087.

⁷⁰ Lin, S.; Diercks, C. S.; Zhang, Y.-B.; Kornienko, N.; Nichols, E. M.; Zhao, Y.; Paris, A. R.; Kim, D.; Yang, P.; Yaghi, O. M.; Chang, C. J., *Science* **2015**, 349 (6253), 1208-1213.

⁷¹ Mirkhalaf, F.; Tammeveski, K.; Schiffrin, D. J., *Phys. Chem. Chem. Phys.* **2004**, 6 (6), 1321-1327.

⁷² Campos-Martin, J. M.; Blanco-Brieva, G.; Fierro, J. L. G., *Angew. Chem. Int. Ed.* **2006**, 45 (42), 6962-6984.

⁷³ Werner, D.; Apaydin, D. H.; Portenkirchner, E., *Batteries Supercaps* **2018**, 1 (4), 160-168.

⁷⁴ Wielend, D.; Apaydin, D. H.; Sariciftci, N. S., *J. Mater. Chem.* **2018**, 6 (31), 15095-15101.

⁷⁵ Gong, Z.; Zhang, G.; Wang, S., *J. Chem.* **2013**, 2013, 9.

2. Objectives

With all these premises, part of this thesis aims to functionalize SWNTs through mechanical bond in order to obtain MINTs samples with different macrocycles. Then, we shall search applications in heterogeneous catalysis and electrochemistry of these MINT samples. In electrochemistry, we will try to combine the properties of anthraquinone and SWNT through mechanically interlocked derivatives carbon nanotubes (MINTs).

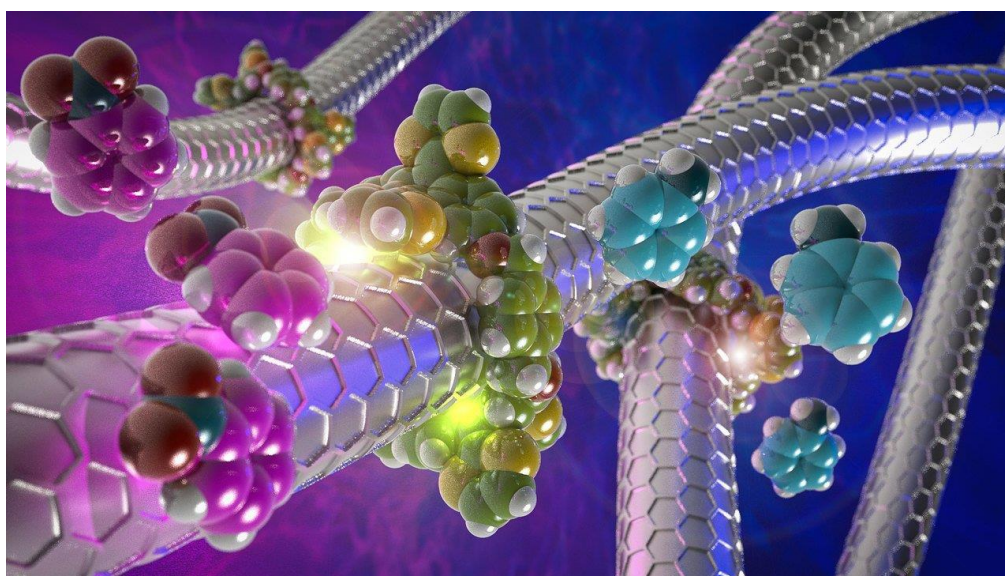
With all of this, the specific objectives are:

- 1) **Synthesis of MINT based on anthraquinone (MINT-AQ).**
- 2) **Influence of the macrocycle (pyr, exTTF and AQ) in the MINT used as catalyst in the nitro-arene reduction reaction.**
- 3) **Electrocatalysis using MINT-AQ in the oxygen reduction reaction (ORR).**

Chapter 1.

3. Positive and Negative Regulation of Carbon Nanotube Catalyst through Encapsulation within Macrocycles

The work described in this chapter is published on *Nature Communications* **2018**, 9, 2671.



One of the most attractive applications of carbon nanomaterials is as catalysts, due to their extreme surface-to-volume ratio. The substitution of C with heteroatoms (typically B and N as p- and n-dopants) has been explored to enhance their catalytic activity. Here we show that encapsulation within weakly doping macrocycles can be used to modify the catalytic properties of the nanotubes towards the reduction of nitroarenes, either enhancing it (n-doping) or slowing it down (p-doping). This artificial regulation strategy presents a unique combination of features found in the natural regulation of enzymes: binding of the effectors (the macrocycles) is noncovalent, yet stable thanks to the mechanical link, and their effect is remote, but not allosteric, since it does not affect the structure of the active site. By careful design of the macrocycles' structure, we expect that this strategy will contribute to overcome the major hurdles in SWNT-based catalysts: activity, aggregation, and specificity.

3.1. Introduction.

Nature uses a variety of strategies to regulate the activity of enzymes. Mechanisms include complex multimolecular approaches, such as compartmentalization within specific organelles or increasing the concentration of enzyme within a protein scaffold, but the most general methods imply the supramolecular or covalent modification of the enzyme's structure. Direct competition for the active site is the simplest supramolecular regulatory mechanism. Allosteric regulation implies a conformational change in the three-dimensional (3D) structure of the enzyme's active site in response to the noncovalent binding of an effector to a regulatory site located far from it. Phosphorylation, the hydrolysis of GTP to GDP by GTP-binding proteins, and (poly)ubiquitination are the most general methods of regulation based on the making and breaking of covalent bonds.⁷⁶

Metal-free catalysis is one of the most attractive applications of carbon nanomaterials.^{77,78,79,80} However, the mechanisms explored to regulate their catalytic activity are limited to positive regulation via covalent modification of their native structure, mostly by including heteroatoms.^{81,82,83,84}

We, and subsequently Miki *et al.*, have recently reported strategies to form rotaxane-like mechanically interlocked nanotube (MINT) derivatives.^{51,58} The native structure of single walled carbon nanotubes (SWNTs) is preserved upon formation of MINTs, while the addition of the macrocycles can prevent bundling at the nanoscale.^{52,53,54,85} We have shown that these unique features make MINTs superior polymer fillers⁵⁹ and are in principle very appealing for their application in catalysis. The carbon surface of nanomaterials acts as both adsorbent and facilitator of the electron-

⁷⁶ Alberts, B., *Molecular Biology of the Cell*. CRC Press: 2017.

⁷⁷ Zhou, M.; Wang, H.-L.; Guo, S., *Chem. Soc. Rev.* **2016**, *45* (5), 1273-1307.

⁷⁸ Shi, H.; Shen, Y.; He, F.; Li, Y.; Liu, A.; Liu, S.; Zhang, Y., *J. Mater. Chem.* **2014**, *2* (38), 15704-15716.

⁷⁹ Schaetz, A.; Zeltner, M.; Stark, W. J., *ACS Catal.* **2012**, *2* (6), 1267-1284.

⁸⁰ Yu, D.; Nagelli, E.; Du, F.; Dai, L., *J. Phys. Chem. Lett.* **2010**, *1* (14), 2165-2173.

⁸¹ Shui, J.; Wang, M.; Du, F.; Dai, L., *Sci. Adv.* **2015**, *1* (1), e1400129.

⁸² Zhang, S.; Kang, P.; Ubnoske, S.; Brennaman, M. K.; Song, N.; House, R. L.; Glass, J. T.; Meyer, T. J., *J. Am. Chem. Soc.* **2014**, *136* (22), 7845-7848.

⁸³ Lee, W. J.; Maiti, U. N.; Lee, J. M.; Lim, J.; Han, T. H.; Kim, S. O., *Chem. Commun.* **2014**, *50* (52), 6818-6830.

⁸⁴ Zhao, Y.; Yang, L.; Chen, S.; Wang, X.; Ma, Y.; Wu, Q.; Jiang, Y.; Qian, W.; Hu, Z., *J. Am. Chem. Soc.* **2013**, *135* (4), 1201-1204.

⁸⁵ Martínez-Periñán, E.; de Juan, A.; Pouillon, Y.; Schierl, C.; Strauss, V.; Martín, N.; Rubio, Á.; Guldi, D. M.; Lorenzo, E.; Pérez, E. M., *Nanoscale* **2016**, *8* (17), 9254-9264.

transfer process in nitroarene reductions,⁸⁶ while the modification of the electronic properties of SWNTs through supramolecular modification with electroactive molecules is a well-documented phenomenon.^{87,88} Moreover, mechanically interlocked molecules have shown distinctive advantages in the regulation of catalytic activity.^{89,90,91} Based on these facts, we expected that the catalytic activity of SWNTs would be controlled remotely by encapsulation with suitable n- or p-doping macrocycles. Here we show that encapsulation of SWNTs within electroactive macrocycles to form MINTs is a valid strategy for the positive and negative regulation of the catalytic activity of SWNTs.

3.2. Results.

Synthesis and characterization. We used (6,5)-enriched SWNTs ((6,5)-SWNTs; 0.7–0.9 nm in diameter, length >700 nm, 95% purity) in all experiments. The structures of all macrocycles and density functional theory (DFT) optimized geometries of MINTs with (6, 5)-SWNTs are shown in Figure 1a and b, respectively. Mac-exTTF and mac-pyr and their corresponding MINTs were synthesized as previously reported and fully characterized using thermogravimetric analysis (TGA), Raman spectroscopy, ultraviolet–visible–near infrared absorption spectroscopy (UV-Vis- NIR), photoluminescence excitation/emission spectroscopy (PLE) maps, high-resolution transmission electron (HRTEM), and atomic force microscopies (AFM) and, most importantly, adequate control experiments.^{52,54}

⁸⁶ Larsen, J. W.; Freund, M.; Kim, K. Y.; Sidovar, M.; Stuart, J. L., *Carbon* **2000**, *38* (5), 655-661.

⁸⁷ Anaya-Plaza, E.; Oliva, M. M.; Kunzmann, A.; Romero-Nieto, C.; Costa, R. D.; de la Escosura, A.; Guldi, D. M.; Torres, T., *Adv. Funct. Mater.* **2015**, *25* (48), 7418-7427.

⁸⁸ Mollahosseini, M.; Karunaratne, E.; Gibson, G. N.; Gascón, J. A.; Papadimitrakopoulos, F., *J. Am. Chem. Soc.* **2016**, *138* (18), 5904-5915.

⁸⁹ Blanco, V.; Leigh, D. A.; Marcos, V.; Morales-Serna, J. A.; Nussbaumer, A. L., *J. Am. Chem. Soc.* **2014**, *136* (13), 4905-4908.

⁹⁰ Marcos, V.; Stephens, A. J.; Jaramillo-Garcia, J.; Nussbaumer, A. L.; Woltering, S. L.; Valero, A.; Lemonnier, J.-F.; Vitorica-Yrezabal, I. J.; Leigh, D. A., *Science* **2016**, *352* (6293), 1555-1559.

⁹¹ Zhu, K.; Baggi, G.; Vukotic, V. N.; Loeb, S. J., *Chem. Sci.* **2017**, *8* (5), 3898-3904.

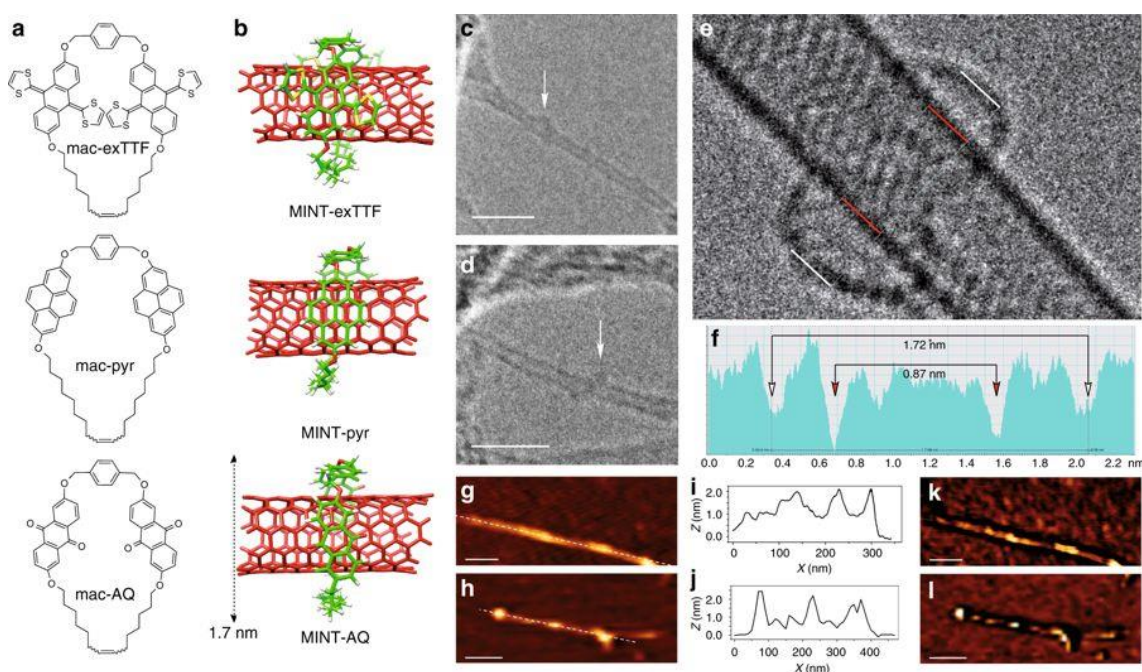


Figure. 1 Structures and microscopy. **a.** Chemical structure of the macrocycles and **b.** minimum energy (DFT) geometries of the corresponding MINTs with (6,5)-SWNTs. The calculated diameter of MINT-AQ is shown for comparison with the microscopy images. **c, d.** Representative TEM images of MINT-AQ, showing SWNTs surrounded objects of adequate size (ca. 2 nm) and shape to be mac-AQ. Scale bars are 5 nm. **e.** ac-HRTEM image of a single MINT-AQ, and **f.** its corresponding analysis along the box depicted with thin white and red lines. **g–l.** AFM characterization of MINT-AQ. We observe isolated SWNTs with protrusions of around 2 nm (**g, h**), as shown in the profiles along the white dashed lines (**i, j**). The phase images (**k, l**) show energy dissipation contrast at the protrusions. Scale bars are 50 nm (**g, k**) and 100 nm (**h, l**).

Mac-AQ shows identical structure to mac-exTTF but features the well-known electron acceptor AQ⁷² (see Figures S1-4). For the synthesis of MINT-AQ, we used a clipping strategy in which the SWNTs serve as template for the formation of mac-AQ around the nanotubes, to yield MINT-AQ. Analysis of the kinetics of formation of MINT-AQ confirms that ring-closing metathesis around the SWNTs is the major reaction pathway, with negligible participation of oligomerization. Control experiments using C₆₀ as soluble template for RCM are also in agreement with this picture (Figure S8). After the MINT-forming reaction, the SWNTs showed a loading of macrocycle of 35–37% for MINT-exTTF, 24–28% for MINT-pyr, and 31–33% for MINT-AQ by TGA analysis

(Figure S5). This degree of functionalization remains stable even after reflux in tetrachloroethane for 30 min, which demonstrates the extreme stability of MINTs. A preliminary TEM study performed in conventional equipment at 200 kV allows for the visualization of contrasts of adequate size and shape to be individual macrocycles around the SWNTs (or their decomposition products after reaction with the electron beam).⁹² Figure 1c, d show representative examples of conventional TEM images of isolated SWNTs (diameter 0.8–0.9 nm) around which we can observe circular objects of ca. 2.0 nm diameter, marked at the images. In order to get more precise structural information, atomically resolved images were acquired in an aberration-corrected microscope at low voltage, 60 kV, in order to minimize the electron beam damage. A characteristic aberration-corrected HRTEM image is shown in Figure 1e. The image shows a macrocycle of diameter 1.7–1.8 nm around a SWNT of 0.9 nm in diameter. Remarkably, the distances between mac-AQ and the walls of the SWNT (see contrast profile in Figure 1f) correspond to nearly ideal (0.35 nm, top) or very close (0.42 nm, bottom) van der Waals contacts, indicating a very strong interaction between mac-AQ and the SWNT, which justifies the template effect during the synthesis of MINT-AQ. The experimental distances observed in between the dark contrasts that compose the macrocycle are around 0.12 nm, in agreement with the average theoretical carbon–carbon distances. The AFM images obtained upon exploration of a drop-casted suspension of MINT-AQ on mica are also consistent with the proposed rotaxane-like structure. Figure 1g, h show AFM topographic images of individualized SWNTs of height around 0.6–1.0 nm, which show protuberances of approximately 2 nm height (see profiles in Figures 1i and j). In the phase images (Figures 1k and l), these objects show different contrast compared to the SWNTs, demonstrating that they are not carbon nanotube protrusions or deformations. We have previously shown that mac-exTTF behaves as an electron donor toward (6,5)-SWNTs.⁸⁵ The steady-state photophysical characterization of MINT-AQ (UV-vis-NIR and PLE) confirms that mac-AQ acts as an electron acceptor toward SWNTs, at least upon photoexcitation (Figures S6 and S7).

⁹² Chamberlain, T. W.; Biskupek, J.; Skowron, S. T.; Markevich, A. V.; Kurasch, S.; Reimer, O.; Walker, K. E.; Rance, G. A.; Feng, X.; Müllen, K.; Turchanin, A.; Lebedeva, M. A.; Majouga, A. G.; Nenajdenko, V. G.; Kaiser, U.; Besley, E.; Khlobystov, A. N., *ACS Nano* **2017**, *11* (3), 2509-2520.

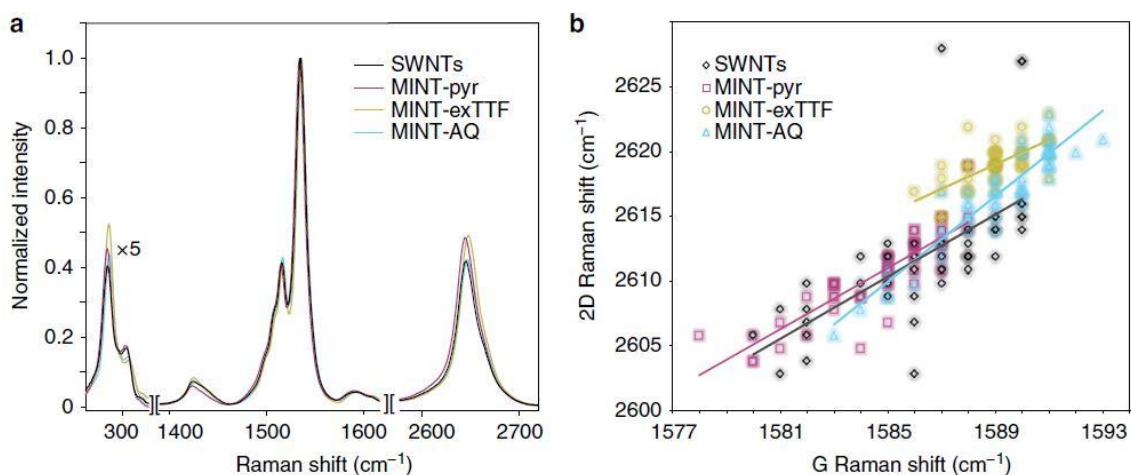


Figure. 2 Raman spectroscopy. **a.** Average ($N = 50$) Raman spectra of SWNT (black), MINT-exTTF (yellow), MINT-pyr (purple), and MINT-AQ (cyan). **b.** Plot of the Raman shift of 2D band vs G band for each of the 50 different spectra ($\lambda_{\text{exc}} = 532$ nm) of SWNT (black rhombi), MINT-exTTF (yellow circles), MINT-pyr (purple squares), and MINT-AQ (cyan triangles), data points are shaded to indicate the frequency of occurrence.

Raman spectroscopy is particularly useful in characterizing the electronic properties of SWNTs. Figure 2a displays the average of 50 Raman spectra ($\lambda_{\text{exc}} = 532$ nm) of MINT-exTTF (yellow), MINT-pyr (purple), MINT-AQ (cyan), and pristine (6,5)-SWNT (black). All spectra are very similar, with no increase in the relative intensity of the D band, proving that the covalent structure of the SWNT is preserved upon formation of MINTs. Analysis of the Raman shifts of the G and 2D bands is usually considered the best indication of doping in SWNTs. For direct and strong doping of SWNTs via electrochemical or electronic means, the expected direction and magnitude: large (up to 10 cm^{-1}) blue shift for p-doping, moderate ($<5 \text{ cm}^{-1}$) red shift for n-doping, of the Raman shifts for the G band is well established.⁹³ Unfortunately, the case is not so clear for molecular dopants, since the Raman shifts are affected by other factors such as aggregation or mechanical strain that can change during the chemical treatment, and moderate blue shifts have been related to an increase in conductivity that can be found for both types of dopants.⁹⁴ In our case, both MINT-exTTF (1589 ± 2 and $2616 \pm 4 \text{ cm}^{-1}$) and MINT-AQ (1589 ± 1 and $2619 \pm 2 \text{ cm}^{-1}$) showed small hypsochromic shifts in the

⁹³ Sumanasekera, G. U.; Allen, J. L.; Fang, S. L.; Loper, A. L.; Rao, A. M.; Eklund, P. C., *J. Phys. Chem.* **1999**, *103* (21), 4292-4297.

⁹⁴ Skákalová, V.; Kaiser, A. B.; Dettlaff-Weglikowska, U.; Hrnčariková, K.; Roth, S., *J. Phys. Chem.* **2005**, *109* (15), 7174-7181.

frequency of the G and 2D bands with regards to pristine SWNTs (1587 ± 2 and $2612 \pm 5 \text{ cm}^{-1}$), while MINT-pyr shows smaller bathochromic shifts (1585 ± 2 and $2611 \pm 3 \text{ cm}^{-1}$). Recently, Ryu and coworkers have shown that the mechanical strain and charge doping components of the Raman shifts can be separated for graphene by plotting the Raman shift of the G band against that of the 2D band, whereby variations due to mechanical strain fall along a straight line of known slope while effects due to doping deviate from this behavior.⁹⁵ Since the origin of the G and 2D bands in SWNTs is identical to that of graphene,⁹⁶ we reasoned that a similar analysis would help us shed light on the origin of the Raman shifts. Figure 2b shows the corresponding plot. For SWNTs (black) and MINT-pyr (purple), the data show nearly identical linear tendencies, following a straight line of slope 1.2. In contrast, the data for MINT-AQ (cyan) shows a significantly larger slope of 1.65, while the MINT-exTTF data are closely grouped and show a significantly smaller (0.95) slope. By comparison with graphene,⁹⁵ both MINT-exTTF and MINT-AQ data fall into the doping-affected quadrant, while MINT-pyr does not. Taken together, the Raman data are a solid experimental indication of the electronic effects of each type of macrocycle on the SWNTs: weak n-doping for mac-exTTF, weak p-doping for mac-AQ, no doping for mac-pyr, supporting the initial design. An analysis of Mülliken population confirms that there is a charge transfer between the macrocycle and SWNTs in the expected directions. For MINT-AQ, the charge transfer is from SWNT to mac-AQ leaving the SWNT with $+0.011e$ (p-doping), while for the formation of the MINT-exTTF the charge transfer takes place from mac-exTTF to SWNT, leaving the latter with $-0.043e$ (n-doping). This is consistent with the nature of electron-accepting tendency of AQ and electron-donor tendency of exTTF. For the MINT-pyr, the calculated charge transfer is $+0.005e$, one order of magnitude lower, and cannot be unambiguously identified as a charge transfer between the macrocycle and the SWNT. Furthermore, analysis of the localization and energy of the frontier molecular orbitals of energy-minimized (DFT, b97d/3-21g*) MINT models sufficiently large to reproduce the Raman results supports the predicted electronic effects (Figures S10 and S11). These theoretical results are in good agreement with the experimental Raman tendencies in Figure 2b.

⁹⁵ Lee, J. E.; Ahn, G.; Shim, J.; Lee, Y. S.; Ryu, S., *Nat. Commun.* **2012**, *3*, 1024.

⁹⁶ Dresselhaus, M. S.; Jorio, A.; Hofmann, M.; Dresselhaus, G.; Saito, R., *Nano Lett.* **2010**, *10* (3), 751-758.

Catalysts activity and recyclability.

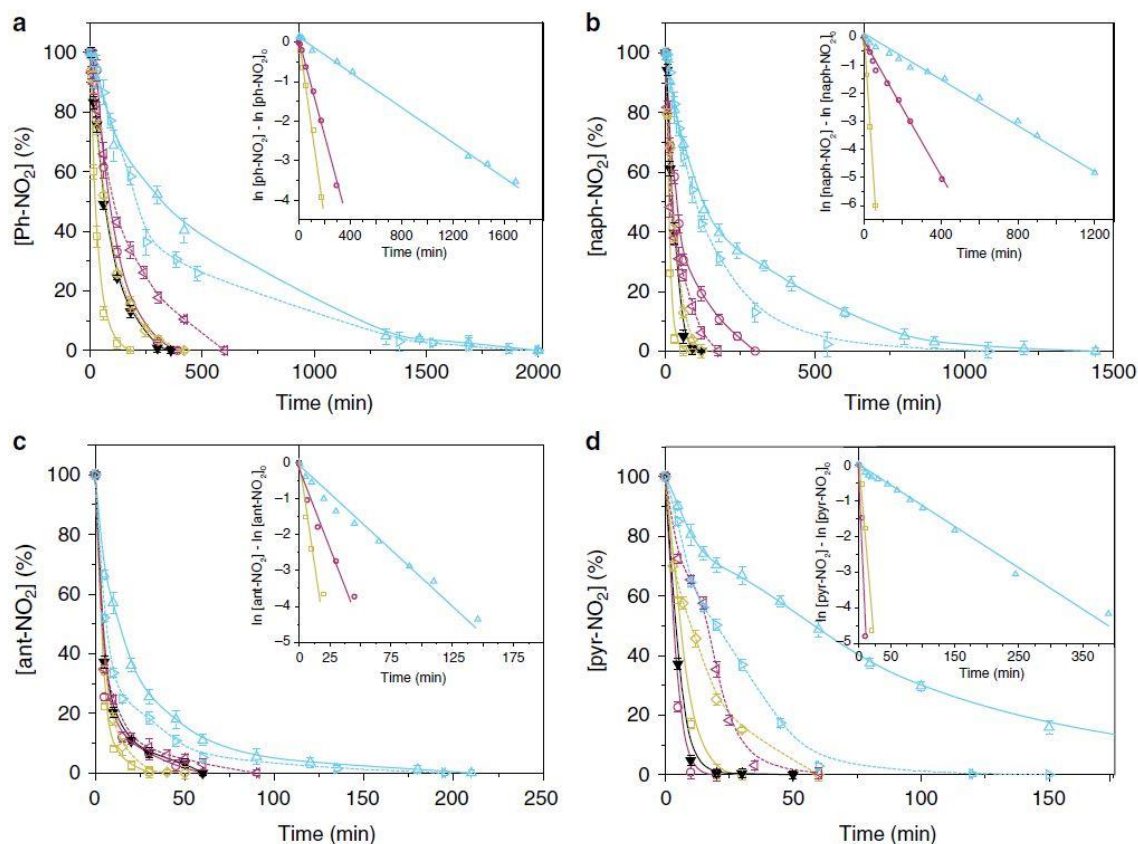


Figure. 3 Catalysts activity. Kinetics of the reduction of **a.** Ph-NO₂, **b.** naph-NO₂, **c.** ant-NO₂, **d.** pyr-NO₂ catalyzed by MINT-exTTF (yellow solid line), MINT-pyr (purple solid line), MINT-AQ (cyan solid line), 6,5-SWNT (black solid line), SWNT-exTTF (yellow dashed line), SWNT-pyr (purple dashed line), and SWNT-AQ (cyan dashed line). Error bars are standard deviation from three separate experiments.

With the different influence of the macrocycles on the electronic properties of the MINT samples established, we went on to test its effects on the catalytic reduction of nitroarenes. Supramolecular complexes resulting from the direct mixing of SWNTs and mac-exTTF, mac-pyr, or mac-AQ were used as reference samples. A series of aromatic compounds with increasing conjugated aromatic rings: nitrobenzene (ph-NO₂), 1-nitronaphthalene (naph-NO₂), 9-nitroanthracene (ant-NO₂), and 1-nitropyrene (pyr-NO₂), were selected as substrates to be reduced. Very briefly, the SWNT catalyst (5 mg) was mixed with 4.1 mmol of substrate in 2 mL of d₆-DMSO as solvent (selected after optimization of the reaction conditions) (Figure S13). Finally, 31.8 mmol of hydrazine were added as reducing agent, and the progress of the reaction was monitored by ¹H-

nuclear magnetic resonance (NMR) spectroscopy. In order to confirm that the active sites corresponded only to the nanotube walls, we conducted total reflection X-ray fluorescence (TRXF) measurements, and only ppm-level of metallic impurities were detected (Figures S15-18). More importantly, we used the same batch of SWNTs for all experiments and the synthesis of all MINTs, so any changes in catalytic activity can only be ascribed to modifications in the SWNT material. The reactions proceed smoothly with no induction period detected, yielding the aniline reduction products almost exclusively, with the corresponding hydroxylamines as only detectable intermediates (Figure S14). In Figure 3, we compare the activity of all catalysts under study. In the absence of catalyst, the reduction of Ph-NO₂ proceeded to a conversion of only ca. 50% after 24 h of reaction (Figure S14). The first observation is that conversions >95% with selectivity >95% to the target aniline were achieved in all catalyzed reactions. Some noticeable tendencies are clear across the different substrates. For example, for Ph-NO₂, MINT-exTTF converted 95% of the starting material in 120 ± 5 min, while MINT-pyr needed more than twice as much time to reach the same conversion (300 ± 6 min); finally the reaction catalyzed by MINT-AQ required over 1800 ± 20 min to achieve 95% conversion. For comparison, the pristine (6,5)-SWNT showed an intermediate catalytic activity and required 260 ± 7 min to consume 95% of the starting material. This last observation confirms that the possible decrease in available SWNT catalytic sites due to the encapsulation with the macrocycles does not result in a significant decrease in the catalytic activity. An electronic effect, however, is clear. Larsen et al. showed that the carbon nanomaterial extracts electrons from the medium, stocks them as an electronic reservoir, and provides them for the reacting molecules.⁸⁶ According to this picture, the electron-donor behavior of mac-exTTF moiety should be beneficial, either by supplying additional electrons to the nanotube or, most likely, by decreasing the energy barrier toward release of the electrons. Following the same argument, the electronically “neutral” character of the mac-pyr should not influence the reactivity, and the electron-acceptor nature of mac-AQ should withdraw electron density from the nanotube, which would result in decreased activity. This is exactly the picture that emerges for the reduction of Ph-NO₂ and is conserved for all other substrates, except the largest pyr-NO₂, where the catalytic activity of (6,5)-SWNT, MINT-exTTF, and MINT-pyr is approximately equal. The detrimental effect of the electronwithdrawing mac-AQ is patent in all cases. Interestingly, the same relative

tendencies are qualitatively reproduced in the supramolecular control experiments (mac-exTTF > mac-pyr > mac-AQ), but all supramolecular models show lower catalytic activity than the pristine SWNTs, except in the case of mac-exTTF for the reduction of ant-NO₂ (dashed lines, Figure 3). It has been argued that residual carbonyl groups are responsible for the catalytic effect of SWNTs in the reduction of nitroaromatics;⁹⁷ our results contradict such hypothesis and support the picture provided by Larsen *et al.*⁸⁶ The effect of the substrate on the catalytic reduction was also investigated. The reaction becomes progressively faster as the size of the aromatic nitroarene increases. For naph-NO₂, we needed 45 ± 2, 260 ± 4, and 1080 ± 10 min to achieve complete conversion using MINT-exTTF, MINT-pyr, and MINT-AQ, respectively. Shorter times were required to reduce ant-NO₂ (20 ± 4, 45 ± 5, and 120 ± 3 min) and even shorter for pyr-NO₂ (16 ± 1, 8 ± 1 and 240 ± 5 min). DFT calculations were performed to evaluate the binding energy between the nitroaromatic molecules and the nanotube walls.^{56,98} The calculations revealed a progressively more favorable binding energy between the nitroaromatic molecule and the carbon nanomaterial: -15.45 Kcal mol⁻¹ for Ph-NO₂, -21.73 Kcal mol⁻¹ for naph-NO₂, -26.54 Kcalmol⁻¹ for ant-NO₂, and -31.38 Kcalmol⁻¹ in the case of pyr-NO₂, as expected due to the increase in available surface of the nitroaromatic system.

Table 1 First-order kinetic constants (k values in s⁻¹ × 10⁻³) as obtained from the fits shown in the insets of Figure 3.

	<i>ph-NO₂</i>	<i>naph-NO₂</i>	<i>ant-NO₂</i>	<i>pyr-NO₂</i>
MINT-exTTF	0.36	1.71	2.93	3.98
MINT-pyr	0.20	0.36	1.28	7.98
MINT-AQ	0.03	0.06	0.47	0.18
(6,5)-SWNT	0.27	0.88	1.27	4.67
SWNT-exTTF	0.19	0.60	2.83	1.10
SWNT-pyr	0.07	0.45	0.86	3.98
SWNT-AQ	0.08	0.12	0.48	0.72

⁹⁷ Wu, S.; Wen, G.; Wang, J.; Rong, J.; Zong, B.; Schlögl, R.; Su, D. S., *Catal. Sci. Technol.* **2014**, 4 (12), 4183-4187.

⁹⁸ Calbo, J.; López-Moreno, A.; de Juan, A.; Comer, J.; Ortí, E.; Pérez, E. M., *Chem. Eur. J.* **2017**, 23 (52), 12909-12916.

Therefore, the increase in reduction rate is directly related to an increase in SWNT–substrate binding energy. Analysis of the first-order kinetic constants (insets of Figure 3, and Table 1) allows for a more quantitative comparison of the results. MINT-exTTF is consistently the best catalyst and k progressively increases with the number of condensed rings in the substrate. Meanwhile, the constants of the MINT-pyr are very similar to those obtained for the pristine (6,5)-SWNT and consistently smaller than those obtained for MINT-exTTF, with the exception of the pyr-NO₂ substrate. We interpret this exception in light of the strong tendency of pyrene to selfassociate and the presence of two pyrene moieties in mac-pyr, which probably facilitates the adsorption of pyr-NO₂ on the catalyst surface. Finally, MINT-AQ consistently shows significantly smaller k in all cases, reflecting the electron-acceptor character of mac-AQ. Supramolecular control samples show the same tendencies and comparable but slower reaction rates for the first round of reactions, ruling out a direct catalytic effect of the macrocycle (Figure 3). Remarkably, the supramolecular catalysts cannot be recycled, as they recover the basal activity of pristine (6,5)-SWNTs upon purification after the first reaction cycle (Figures S26 and S27). In comparison, recycling of the MINT-based catalysts MINT-exTTF, MINT-pyr, and MINT-AQ, without any detectable loss in the macrocycle effects for up to 12 cycles was straightforward. In line with this recyclability results, the structural integrity of the MINT catalysts under the reaction conditions was probed by Raman spectroscopy (Figure S28).

3.3. Conclusions.

In conclusion, we have shown that encapsulation within p- or n-doping macrocycles is a valid strategy for the regulation of the catalytic activity of SWNTs. As a test bed, we have chosen the reduction of nitroaromatic compounds. The effect of the macrocycles on the catalytic activity is most likely due to a combination of factors, including changes in the degree of aggregation, binding site availability, etc., but the electronic effect is clearly predominant. Electron-donating exTTF macrocycles lead to a higher activity, while electron-accepting mac-AQ moieties significantly slow the reaction rates. Meanwhile, SWNTs modified with the electronically neutral mac-pyr show very similar activity to pristine SWNTs. Crucially, mechanical interlocking of the macrocycles around the SWNTs to form MINTs results in stable catalysts that can be recycled, as

opposed to classic supramolecular model compounds, which lose the effect of the macrocycle after the first reaction cycle. To achieve these conclusions, we purposely designed, synthesized, and fully characterized MINTs based on mac-AQ. We have also carried out DFT calculations on all MINTs, which support the picture provided by the experimental data. This new artificial regulation strategy presents a combination of the features found in the natural regulation of enzymes that make it unique: it can be used for both positive and negative regulation, the effector (macrocycle) is associated with the catalyst (SWNT) via noncovalent yet stable mechanical bonds, and its effect is remote but not allosteric, since it does not affect the 3D structure of the catalyst active site. We have focused here on the regulation of activity of SWNT catalysts, but we anticipate that structural variations on the macrocycles could make this strategy of general interest to help overcome the problems of aggregation and substrate specificity in one-dimensional catalysts.

3.4. Supplementary Information.

3.4.1. General Information.

Materials. (6,5)-Enriched single walled nanotubes ((6,5)-SWNT) were purchased from Sigma-Aldrich (0.7–0.9 nm in diameter, length ≥ 700 nm, mostly semiconducting, 95% purity). Reagents were used as purchased. All solvents were dried according to standard procedures. All air-sensitive reactions were carried out under N₂ atmosphere. *Characterization methods.* Analytical thin layer chromatographies (TLC) were performed using aluminium-coated Merck Kieselgel 60 F254 plates. NMR spectra were recorded on a Bruker Avance 400 (1H: 400 MHz; 13C: 100 MHz) spectrometers at 298 K, using partially deuterated solvents as internal standards. Coupling constants (J) are denoted in Hz and chemical shifts (δ) in ppm. Electrospray ionization mass spectrometry (ESI-MS) and Matrix-assisted Laser desorption ionization (coupled to a Time-Of-Flight analyzer) experiments (MALDITOF) were recorded on a HP1100MSD spectrometer and a Bruker REFLEX. Thermogravimetric analyses (TGA) were performed using a TA Instruments TGAQ500 with a ramp of 10 °C min⁻¹ under air from 100 to 1000 °C. Transmission electron microscopy (TEM) images were obtained with JEOL-JEM 2100F instrument or a JEOL-JEM GRAND ARM300cF (ac-HRTEM). Atomic Force

Microscopy (AFM) images were acquired using a JPK NanoWizard II AFM working in dynamic mode. NT-MDT NSG01 silicon cantilevers, with typical values of 5.1 N m^{-1} spring constant and 150 kHz resonant frequency, were employed under ambient conditions in air. Total reflection X Ray Fluorescence analyses (TRXF) were performed on a TXRF 8030c - FEI Spectrometer. Raman spectra were acquired with a Bruker Senterra confocal Raman microscope instrument equipped with 532, 633 and 785 nm excitation lasers. UV-vis-NIR spectra were performed using a Shimadzu 3 UV-VIS-NIR Spectrophotometer UV-3600. Photoluminescence excitation intensity maps (PLE) were obtained with NanoLog 4 HORIBA instrument.

3.4.2. Experimental Procedures.

Synthesis and characterization of macrocycle mac-AQ. A catalytic amount of Grubb's 1st generation catalyst was added to a solution of the corresponding linear precursor⁹⁹ in dry and degassed DCM, and the mixture was stirred at room temperature. The progress of the reaction was monitored by TLC. When the starting linear precursor was consumed, the reaction was stopped by filtration through a pad of celite. Solvent was evaporated under reduced pressure, and the crude was purified by flash chromatography (Hex:AcOEt 3:1) to obtain the product in 70% yield. ¹H NMR (400 MHz, CDCl₃, 298 K) δ 7.93 – 7.89 (m, 4H, Hi), 7.40 – 7.28 (m, 8H, 4Hj+4Hi), 7.03 – 6.99 (m, 4H, Hh), 5.39 (t, $J = 3.9 \text{ Hz}$, 2H, Ha), 5.33 (s, 4H, Hk), 4.07 (t, $J = 6.8 \text{ Hz}$, 4H, Hg), 2.07 (dd, $J = 15.6, 5.9 \text{ Hz}$, 4H, Hf), 1.87 – 1.80 (m, 4H, Hb), 1.49 – 1.38 (m, 12H, Hc-He). ¹³C NMR (101 MHz, CDCl₃) δ 181.7, 181.2, 163.8, 162.6, 137.2, 135.5, 135.4, 131.1, 129.5, 127.1, 126.7, 126.0, 124.3, 121.1, 120.5, 111.8, 110.8, 69.6, 68.7, 32.0, 29.4, 29.0, 28.1, 25.7. HRMS-MALDI calculated for C₅₀H₄₆NaO₈ [M+Na]⁺: 797.3085; found 797.3114.

⁹⁹ Canevet, D.; Gallego, M.; Isla, H.; de Juan, A.; Pérez, E. M.; Martín, N., *J. Am. Chem. Soc.* **2011**, *133* (9), 3184-3190.

Chapter 1
Positive and Negative Regulation of Carbon Nanotube Catalyst through Encapsulation within
Macrocycles

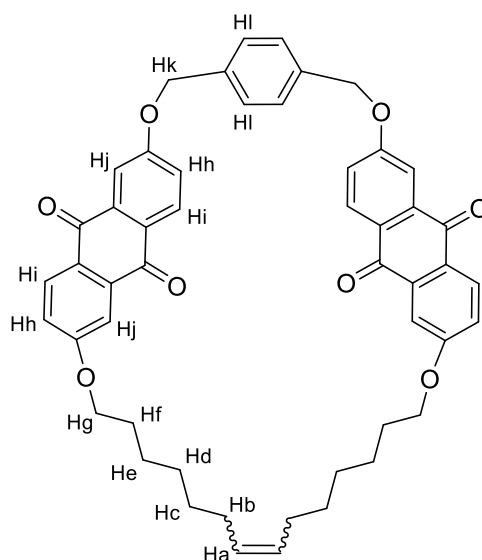


Figure S1. Proposed structure of mac-AQ with the assignment.

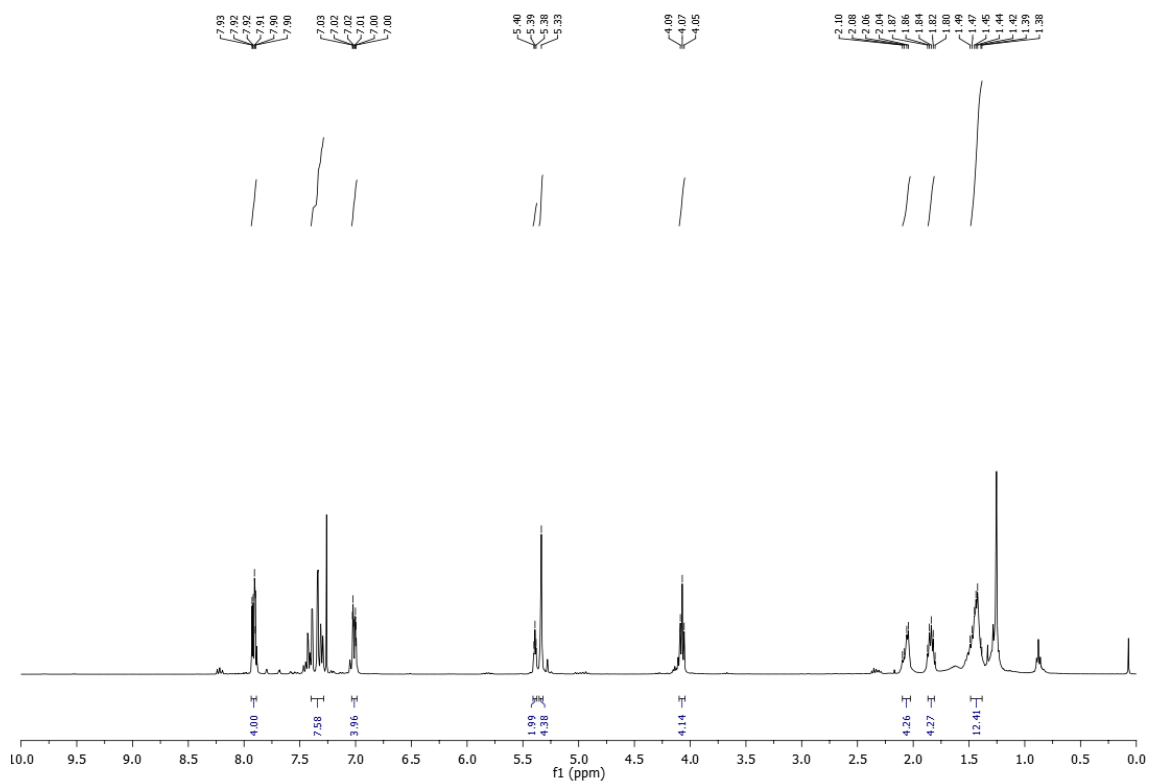


Figure S2. ¹H-NMR spectrum of mac-AQ (CDCl₃, 298 K).

Chapter 1
Positive and Negative Regulation of Carbon Nanotube Catalyst through Encapsulation within
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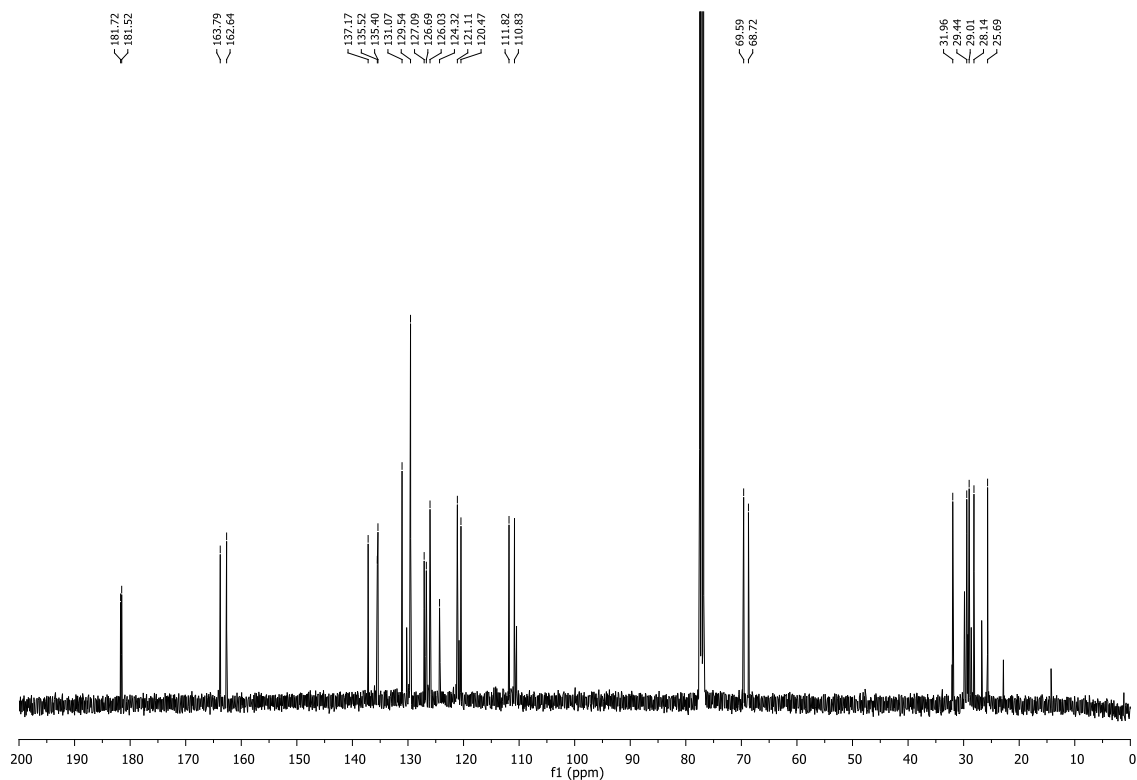
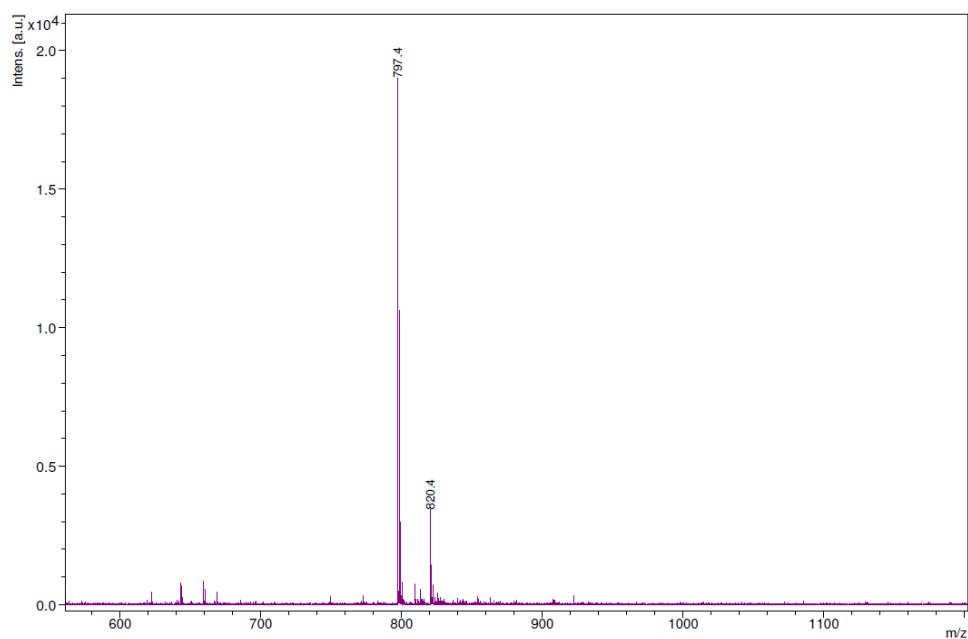


Figure S3. ^{13}C -NMR spectrum of mac-AQ (CDCl_3 , 298 K).



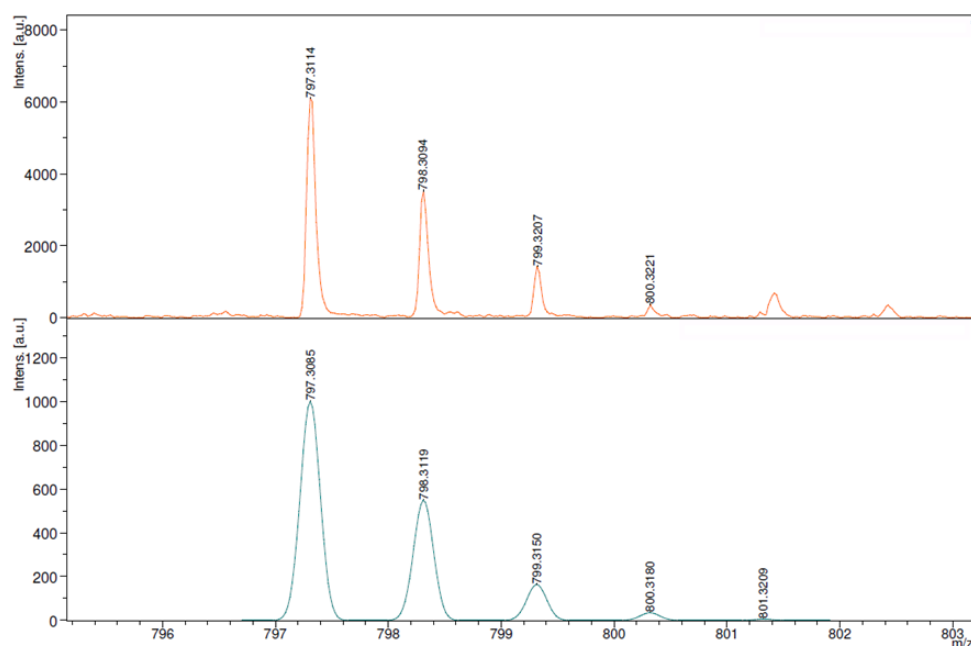


Figure S4. MALDI-TOF spectra of mac-AQ.

Synthesis and characterization of MINTs. The general method for the synthesis of MINTs has been reported elsewhere.^{52, 54} Briefly, the nanotubes (10 mg) were suspended in 10 mL of tetrachloroethane through sonication (10 min) and mixed with 0.01 mmol of linear bisalkene U-shaped precursors of the macrocycles mac-exTTF, mac-pyr or mac-AQ, and Grubbs' second-generation catalyst at room temperature for 72 h. After this time, the suspension was filtered through a PTFE membrane of 0.2 μm pore size and the solid washed profusely with DCM. The solid was resuspended in 10 mL of DCM through sonication for 10 min and filtered through a PTFE membrane of 0.2 μm pore size again. This washing procedure was repeated three times. Samples obtained were denoted as MINT-exTTF, MINT-pyr or MINT-AQ as a function of the threading macrocycle around the nanotubes. The synthesis of the supramolecular complexes denoted as SWNT-exTTF, SWNT-pyr and SWNT-AQ was performed by the direct mixing of the adequate amounts of (6,5)-SWNT and the corresponding macrocycle without catalyst to achieve the same functionalization loading of organic material over the nanotube compared to their respective MINT sample.

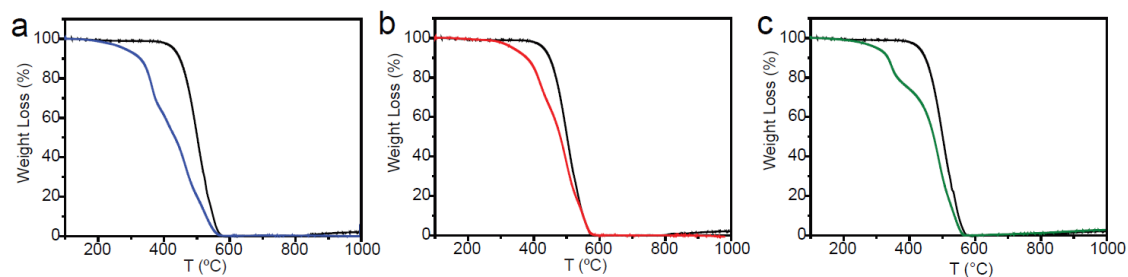


Figure S5. TGA plots (ramp of $10\text{ }^{\circ}\text{C min}^{-1}$ under air from 100 to 1000 $^{\circ}\text{C}$) of **a.** MINT-exTTF (blue), **b.** MINT-pyr (red) and **c.** MINT-AQ (green) compared with (6,5)-SWNT (black).

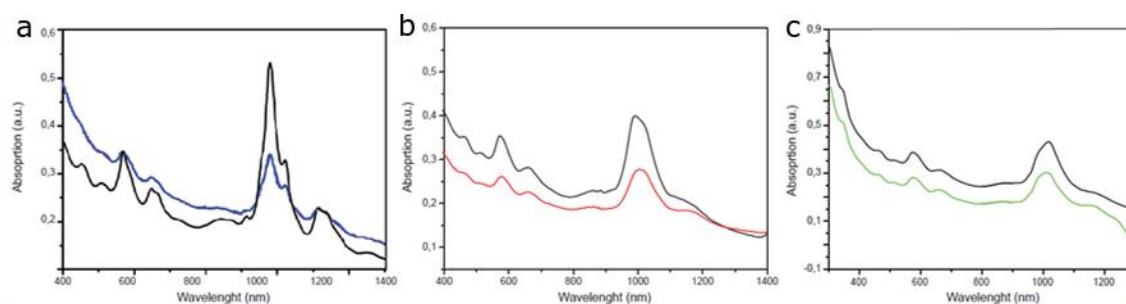


Figure S6. UV-Vis spectra (D_2O / SDS (1 wt%) at room temperature) of **a.** MINT-exTTF (blue), **b.** MINT-pyr (red) and **c.** MINT-AQ (green) compared with (6,5)-SWNT (black).

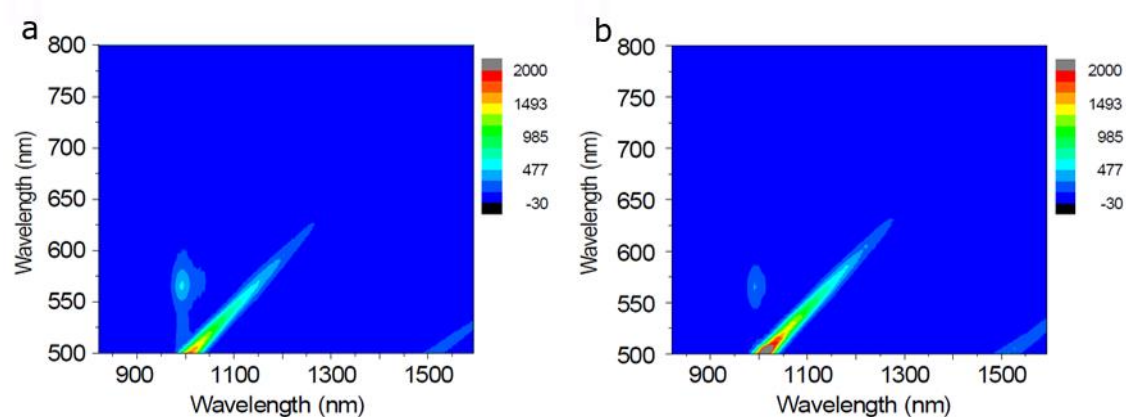


Figure S7. PLE map of **a.** (6,5)-SWNT and **b.** MINT-AQ. Rayleigh scattering has not been filtered in this image.

Analysis of the MINT-forming reaction. We have previously observed that under the MINT-forming reaction conditions, besides MINTs, oligomers of the bisalkene macrocycle precursors can be formed in-situ through acyclic diene metathesis polymerization (ADMP) and wrap around SWNTs forming supramolecular associates that are stable enough to survive our purification process.⁵³ To unambiguously discard a significant participation of oligomer-wrapped SWNTs in our final MINT product we analysed the kinetics of formation of MINT-AQ. To that end, we extracted aliquots at different reaction times and performed TGA of the products after purification. If Ring-Closing Metathesis (RCM) is the rate-determining step, the kinetics of the reaction must be of pseudo first order and the final products are MINTs. However, if ADMP were the main reaction pathway, the kinetics would correspond to a bimolecular reaction and follow second order kinetics. The data from the formation of MINT-AQ fit very well ($r^2 = 0.988$) to a pseudo-first order model with kinetic constant of $2.2 \times 10^{-3} \text{ s}^{-1}$. Therefore, the main path for functionalization of SWNTs is by macrocyclization around them, to form MINTs, with negligible contribution from supramolecularly attached oligomers (Supplementary Figure 8). For comparison, we also studied the use of fullerene C_{60} as soluble template for the RCM, since its diameter (0.7 nm) is very similar to that of (6,5)-SWNTs. To follow the reaction in real time by $^1\text{H-NMR}$, we performed the experiment using 1,1,2,2-tetrachloroethane- d_2 as solvent and recorded spectra at different times. As the reaction progresses, the signal at 4.19 ppm that corresponds to the $-\text{CH}_2$ group next to the oxygen ether group in the bisalkene precursor decreases and a new signal at 4.13 ppm, which corresponds to the macrocycle, appears. The data obtained from this experiment also fit well ($r^2 = 0.982$) to a pseudo-first order reaction kinetics, in this case with $k = 5.6 \pm 0.5 \times 10^{-4} \text{ s}^{-1}$. Since in this case we can unambiguously identify mac-AQ as the sole product of the reaction detectable by NMR, these data strongly support the formation of MINTs by analogy. Moreover, (6,5)-SWNTs are found better templates for the RCM than C_{60} , as the RCM reaction is approximately twice as fast with the nanotubes as templates.

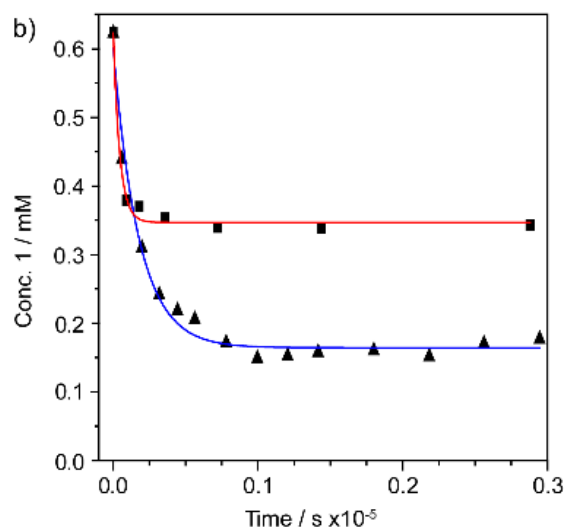


Figure S8. Data from kinetic experiment of MINT-AQ (squares) and RCM templated with C₆₀ (triangles). The fit to a mono-exponential decay are shown in red and blue respectively.

DFT calculations: All theoretical DFT calculations were carried out within the density functional theory (DFT) approach by using the C.01 revision of the Gaussian 09 program package. Optimization and molecular orbitals calculations of MINT derivative were performed using the long-range corrected B97D density functional,¹⁰⁰ which are able to incorporate the dispersion effects by means of a pair-wise London-type potential. The B97D density functional has emerged as a robust and powerful density functional able to provide accurate structures in large supramolecular aggregates dominated by non-covalent interactions of different nature. Raman spectra and analysis of Mülliken population were simulated by using the Coulomb-attenuated hybrid exchange-correlation functional (CAM-B3LYP) functional. This functional was developed by Yanai *et al.*¹⁰¹ which includes the Hartree-Fock and the Becke exchanges as a variable ratio depending of the intermolecular distance. Both functional were combined with the Pople's 3-21G* basis set.¹⁰² The dimension of the MINT-exTTF (Figure S9) is an example of the size of our systems.

¹⁰⁰ Grimme, S., *J. Comput. Chem.* **2006**, 27 (15), 1787-1799.

¹⁰¹ Yanai, T.; Tew, D. P.; Handy, N. C., *Chem. Phys. Lett.* **2004**, 393 (1), 51-57.

¹⁰² Binkley, J. S.; Pople, J. A.; Hehre, W. J., *J. Am. Chem. Soc.* **1980**, 102 (3), 939-947.

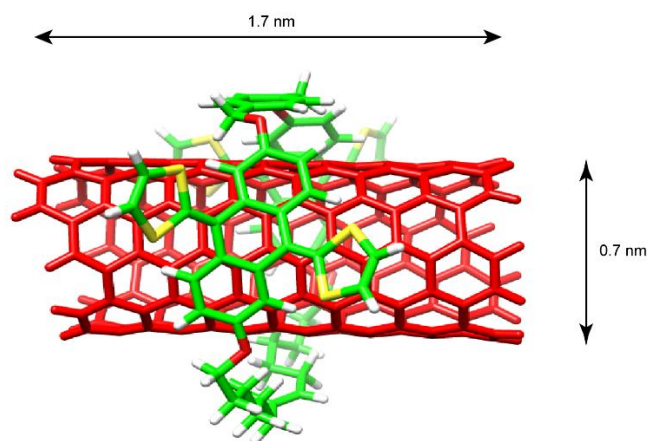


Figure S9. Optimized structure of MINT-exTTF. Dimension of the modelled carbon nanotubes are indicated in the figure.

Binding energies. The interaction energy between the macrocycles or the substrates (E_{int}) (Equation S1) and the carbon nanotube (Table S1 and S2) and MINT-AQ (Table S3) is defined as the energy difference between the two fully optimized monomers from the fully optimized dimer complex in the geometry of the dimer complex, where E_x^y is the energy of fragment X at the geometry of Y. The basis set superposition error (BSSE) was half-corrected according to the counterpoise (CP) scheme of Boyd and Bernardi for the single-point interaction energies.¹⁰³ Otherwise, the binding energy (E_{bind}) (Equation S2) was calculated taking into account the relaxation of the separate monomers and, therefore, considering the deformation energy required to transform the both moieties from their minimum-energy geometries to the geometry acquired in the assembly.

$$E_{int}(AB) = E_{AB}^{\alpha\beta} - E_A^{\alpha\beta} - E_B^{\alpha\beta} \quad \text{Equation S1}$$

$$E_{bind} = E_{def} + E_{int} \quad \text{Equation S2}$$

¹⁰³ Boys, S. F.; Bernardi, F., *Mol. Phys.* **1970**, *19* (4), 553-566.

Table S1. Energy parameters (kcal mol⁻¹) of the interaction between macrocycles and guest SWNTs at the B97-D/3-21G* level.

	E_{int}	E_{def}	$E_{bind} = E_{int} + E_{def}$
MINT-AQ	-97.74	22.81	-74.93
MINT-pyr	-108.61	40.74	-67.88
MINT-exTTF	-103.58	12.01	-91.57

Table S2. Energy parameters (kcal mol⁻¹) of the interaction between the nitroaromatic molecules and the (6,5)-SWNT at the B97-D/3-21G* level.

	E_{int}	E_{def}	$E_{bind} = E_{int} + E_{def}$
Nitrobenzene	-16.05	0.59	-15.45
1-Nitronaphthalene	-22.22	0.49	-21.73
9-Nitroanthracene	-28.06	1.52	-26.54
1-Nitropyrene	-32.03	0.65	-31.38

Table S3. Energy parameters (kcal mol⁻¹) of the interaction between the nitroaromatic molecules and the MINT-AQ at the B97-D/3-21G* level.

	E_{int}	E_{def}	$E_{bind} = E_{int} + E_{def}$
Nitrobenzene	-16.11	0.30	-15.81
1-Nitronaphthalene	-22.66	0.61	-22.04
9-Nitroanthracene	-28.56	1.48	-27.08
1-Nitropyrene	-33.09	1.07	-32.02

Mülliken population. The analysis of Mülliken population was carried out at CAMB3LYP/ 3-21g* level of theory (Table S4). An extra example at CAMB3LYP/ 6-31g* level for the case of MINT-AQ has been included to support that a change in the basis set does not modify the sense of the charge transfer.

This analysis suggests that there is charge transfer between the macrocycles and SWNT. For MINT-AQ, the extent of electron transfer upon the formation of the MINT in the SWNT is found to be +0.011 e (p-doping), while for the formation of the MINT-exTTF

the value is $-0.043 e$ (n-doping), higher and with opposite sign. This is consistent with the nature of electron-withdrawing tendency of the AQ and electron-donor tendency of exTTF. For the MINTpyr, the calculated charge transfer is $+0.005 e$, one order the magnitude lower and it is not a charge transference between the two moieties. These theoretical results are in relay good agreement with the Raman experimental tendencies (Figure 2b in the main text) and with our interpretation of the catalysis data.

Table S4. Calculated charge transfer from macrocycle to nanotube.

	<i>Charge transfer [e]</i>
MINT-AQ (3-21g*)	+0.011
MINT-AQ (6-31g*)	+0.087
MINT-pyr	+0.005
MINT-exTTF	-0.043

Raman Calculations. Raman spectra of MINT-exTTF, MINT-pyr and MINT-AQ along with the Raman spectrum of pristine (6,5)-SWNT (Figure S10a). All spectra are very similar, proving that the covalent structure of the SWNT is preserved upon formation of MINTs, with no increase in the relative intensity of the D band. However, the intensity of the radial breathing modes of all three MINT samples decreases with respect to (6,5)-SWNT, in accordance with the type of functionalization.⁸⁵ Some variations were observed in the frequency of the G band, too. For instance, in the cases of MINT-exTTF and MINT-AQ we observe a small blue shift of $2-5 \text{ cm}^{-1}$, but with MINT-pyr we do not observe remarkable variations. The fact that both the electron donor mac-exTTF and acceptor mac-AQ cause blue shifts¹⁰⁴ and that these are quantitatively small, suggests that the degree of charge-transfer in the ground state is small. To shed light on the underlying causes of these spectroscopic changes, we performed DFT calculations at the CAM-B3LYP/3-21g* level of theory (Figure 1b of the main text). All three macrocycles are a good fit for (6,5)-SWNTs and show sizeable binding energies towards them (-103.58 , -108.61 and $-97.74 \text{ Kcal mol}^{-1}$ for MINT-exTTF, MINT-Pyr and MINT-AQ, respectively). The calculated Raman spectra (Figure S10b) showed the same blue shift of

¹⁰⁴ Rao, C. N. R.; Voggu, R., *Mater. Today* **2010**, *13* (9), 34-40.

5 cm^{-1} upon formation of MINT-exTTF and MINT-AQ, in very good correlation with our experimental results. However, we also observe a blue shift for the MINT-pyr that we do not see experimentally.

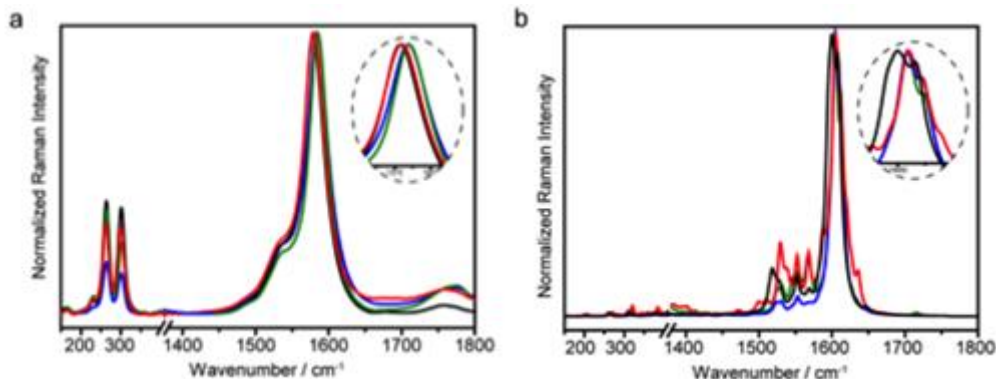


Figure S10. **a.** Experimental Raman spectra of SWNT (black), MINT-exTTF (blue), MINT-pyr (red) and MINT-AQ (green). **b.** Calculated Raman spectra at CAM-B3LYP/3-21g* level of theory. Same color code.

HOMO-LUMO calculations: The energy gap between the highest occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital (LUMO) plays an important role in the charge transport properties of any organic molecule (Figure S11). Specifically, in semiconducting SWNTs the HOMO-LUMO gap corresponds to absorption or luminescence of the lowest-energy transition (S_{11}). The calculations show that the degenerated HOMO/HOMO-1 of MINT-exTTF is mainly formed from a combination of the molecular orbitals (MOs) of the macrocycle threaded along the nanotube and the carbon scaffold, whereas its LUMO/LUMO+1 is formed only by 6,5-SWNT MOs (Figure S11). The opposite trend is observed for MINT-AQ, where the HOMO/HOMO-1 reside exclusively on the SWNT, while the LUMO/LUMO+1 are located on mac-AQ. Interestingly, for MINT-pyr, both HOMO and LUMO are located on the SWNT, without any participation of mac-pyr. These changes in the nature of MOs confirm the different electronic characteristics of each MINT sample. The HOMO of MINT-exTTF is significantly higher in energy than that of MINT-pyr, in correspondence with the donor behavior of mac-exTTF compared to mac-pyr. On the other hand, the LUMO of MINT-AQ is lower in energy than the corresponding LUMO of MINT-pyr, as is expected for an acceptor. The energy gap between the HOMO and the LUMO (ΔE

HOMO-LUMO) can be correlated with the conductivity of the complex. Experimentally, by spectrofluorimetric measurements, (6,5)-SWNTs have a ΔE HOMO-LUMO of 1.27 eV,¹⁰⁵ in good correlation with our calculated value of 1.22 eV, which support our theoretical data. This value decreases to 0.63 eV for MINT-exTTF, while is increased to 1.29 eV and 1.26 eV for MINT-pyr and MINT-AQ respectively (Figure S11). For MINT-exTTF, the first orbital with electronic density that resides mainly on the carbon nanotube moiety is found at 4.29 eV in the HOMO-4, at a significantly higher value that for MINT-pyr and MINT-AQ. All these theoretical results, together with the experimental findings, indicate that the electronic character of our MINT samples can be modulated changing the recognition motifs of U-shape precursors.

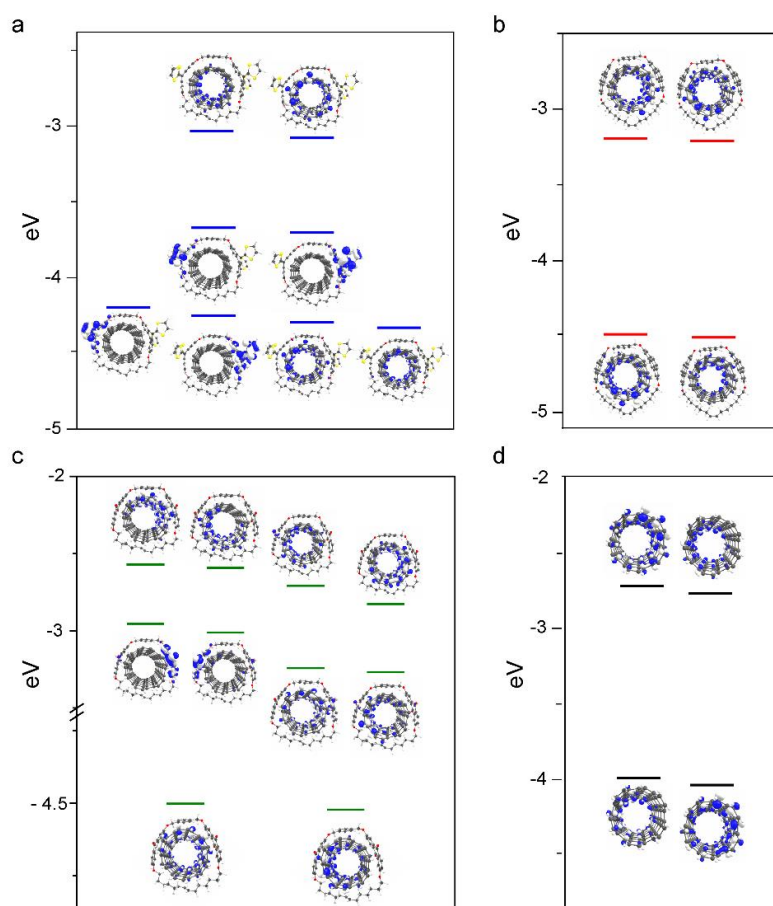
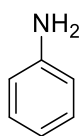


Figure S11. Energy diagrams and topologies of the frontier molecular orbitals of, **a.** MINT-exTTF (blue), **b.** MINT-pyr (red), **c.** MINT-AQ (green) and **d.** (6,5)-SWNT (black) calculated at B97D/3-21G* level of theory.

¹⁰⁵ Bachilo, S. M.; Strano, M. S.; Kittrell, C.; Hauge, R. H.; Smalley, R. E.; Weisman, R. B., *Science* **2002**, 298 (5602), 2361-2366.

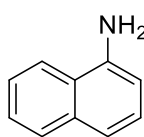
Catalytic activity. The typical catalytic experiment was performed as follows: a certain amount of nanotube-containing material (5 mg) was mixed with 4.1 mmol of nitroaromatic substrate in 2 mL of DMSO-d₆ as solvent (selected after reaction condition optimizations, Figure S13) in a round bottom flask under N₂ atmosphere. Finally, 31.8 mmol of hydrazine (acting as hydrogen source)¹⁰⁶ were added and the reaction was stirred magnetically and held at 85 °C for a desired time. At regular intervals, aliquots were withdrawn from the reaction and subjected to NMR spectroscopy analysis to follow the catalytic evolution (Figures S19-25). Once the reaction was complete, the crude mixture was diluted with 15 mL of DCM and the MINT-containing solid catalysts MINT-exTTF, MINT-pyr and MINT-AQ were recovered by filtration through a PTFE membrane of 0.2 μm pore-size and washed profusely with DCM. The solid was re-suspended in 10 mL of DCM through sonication for 10 min and filtered through a PTFE membrane of 0.2 μm pore size again. This washing procedure was repeated three times. After drying, the material was submitted to another catalytic run without adding in any case new catalyst precursor. To isolate the pure products, the organic phase was washed three times with water. Then, organic fractions were dried over anhydrous MgSO₄ and concentrated under reduced pressure. Crude product was purified by flash chromatography in silica (hexane: ethyl acetate 3:1) yielding the final product.

Aniline.



Prepared according to the general procedure. ¹H-NMR (400.16 MHz, DMSO-d₆, δ ppm): 7.02 (t, J = 7.3 Hz, 2H), 6.56 (d, J = 7.2 Hz, 1H), 6.49 (t, J = 7.3 Hz 2H), 4.99 (s, 2H).

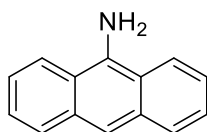
1-aminonaphthalene.



Prepared according to the general procedure. ¹H-NMR (400.16 MHz, DMSO-d₆, δ ppm): 8.05 (d, J = 8.3 Hz, 1H), 7.73 (d, J = 8.2 Hz, 1H), 7.37 (m, 2H), 7.19 (t, J = 7.7 Hz, 2H), 7.07 (d, J = 8.0 Hz, 1H), 6.67 (d, J = 7.3 Hz 2H), 5.68 (s, 2H).

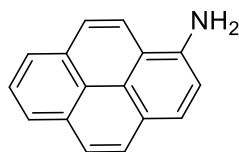
¹⁰⁶ Gao, Y.; Ma, D.; Wang, C.; Guan, J.; Bao, X., *Chem. Commun.* **2011**, 47 (8), 2432-2434.

9-aminoanthracene.



Prepared according to the general procedure. $^1\text{H-NMR}$ (400.16 MHz, DMSO- d_6 , δ ppm): 8.59 (s, 1H), 8.13 – 8.06 (m, 4H), 7.53 (dd, J = 6.6, 3.2 Hz, 4H), 6.87 (s, 2H).

1-Aminopyrene.



Prepared according to the general procedure. $^1\text{H-NMR}$ (400.16 MHz, DMSO- d_6 , δ ppm): 8.26 (d, J = 9.2 Hz, 1H), 8.02 – 7.84 (m, 6H), 7.72 (d, J = 8.8 Hz, 1H), 7.36 (d, J = 8.3 Hz, 1H), 6.32 (s, 2H).

The evolution of the $^1\text{H-NMR}$ spectra during the reduction of ph- NO_2 with MINT-exTTF as catalyst (Figure S14a) proceeds smoothly with no induction period detected, yielding the aniline reduction product almost exclusively, with phenylhydroxylamine as only detectable intermediate. The transformation can be easily monitored by the disappearance of the up-shielded protons of the starting material at $\sim \delta$ 8.25–7.75 ppm and the increase of the signals downshielded at $\sim \delta$ 6.75–6.25 ppm as a consequence of the increase in electron density of the aromatic ring caused by the aniline nitrogen, and the characteristic 2-proton singlet of the aniline group at $\sim \delta$ 5.0 ppm. The reduction proceeds to completion in approximately 2 hours. Compared to a blank non-catalyzed reaction, which saturated after 24 h with \sim 50% of conversion (Figure S14b), and resulted in an approximately equimolar mixture of phenylhydroxylamine and aniline, MINT-exTTF showed remarkably activity.

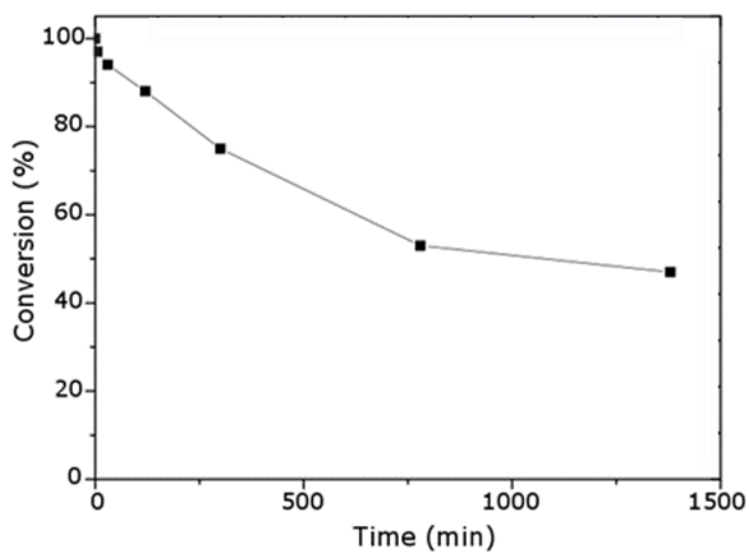


Figure S12. Reduction of 1-nitronaphtalene without catalyst.

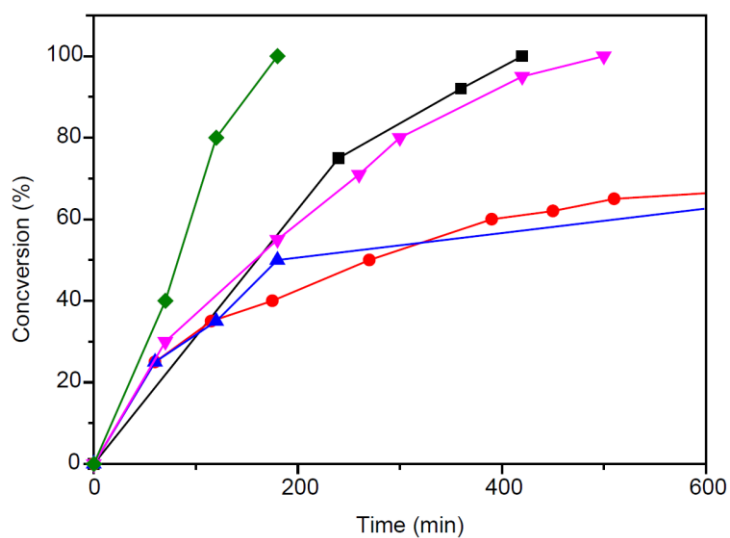


Figure S13. Solvent optimization on the reduction of 1-nitronaphtalene catalyzed by (6,5)-SWNT (green: DMSO; black: Ethanol; purple: Methanol; blue: H₂O; red: CHCl₃).

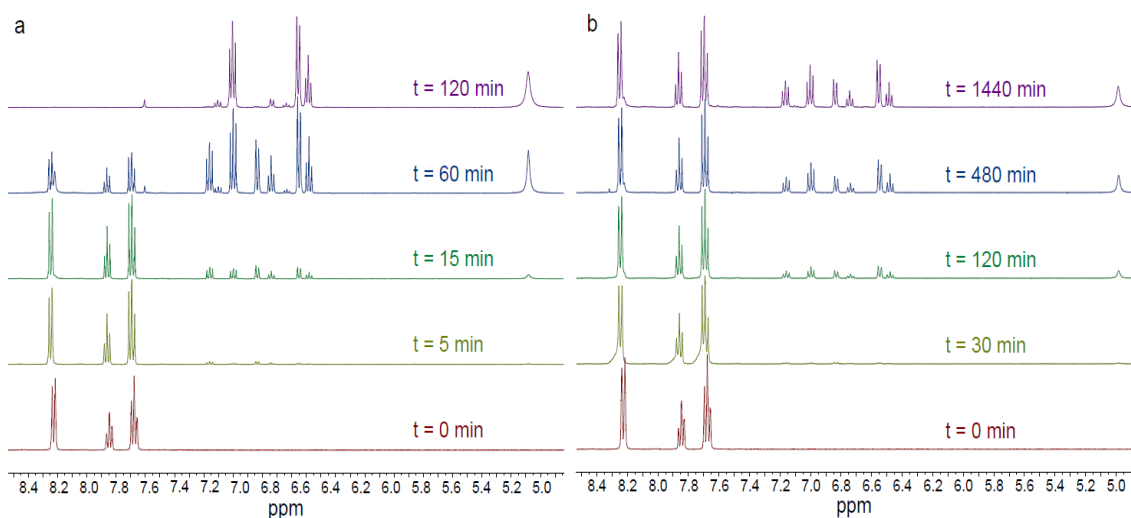


Figure S14. Partial $^1\text{H-NMR}$ (400 MHz, DMSO-d_6) spectra of the reduction of ph-NO_2 **a.** catalyzed by sample MINT-exTTF and **b.** without catalyst.

Analysis of X-Ray Fluorescence (TRXF). In order to confirm that the active sites corresponded only to the nanotube walls, we conducted total reflected X-ray fluorescence (TRXF) measurements, and only ppm-level of metallic impurities were detected (Figures S15- 18 and Table S5).

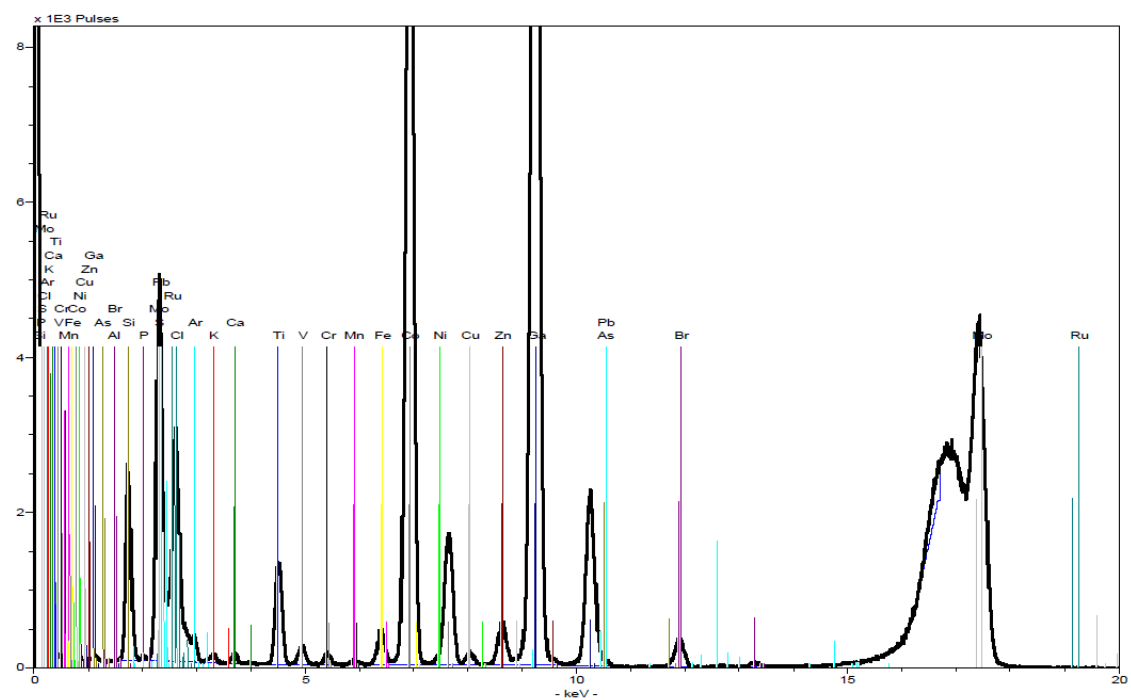


Figure S15. FTRX spectrum of MINT-exTTF sample.

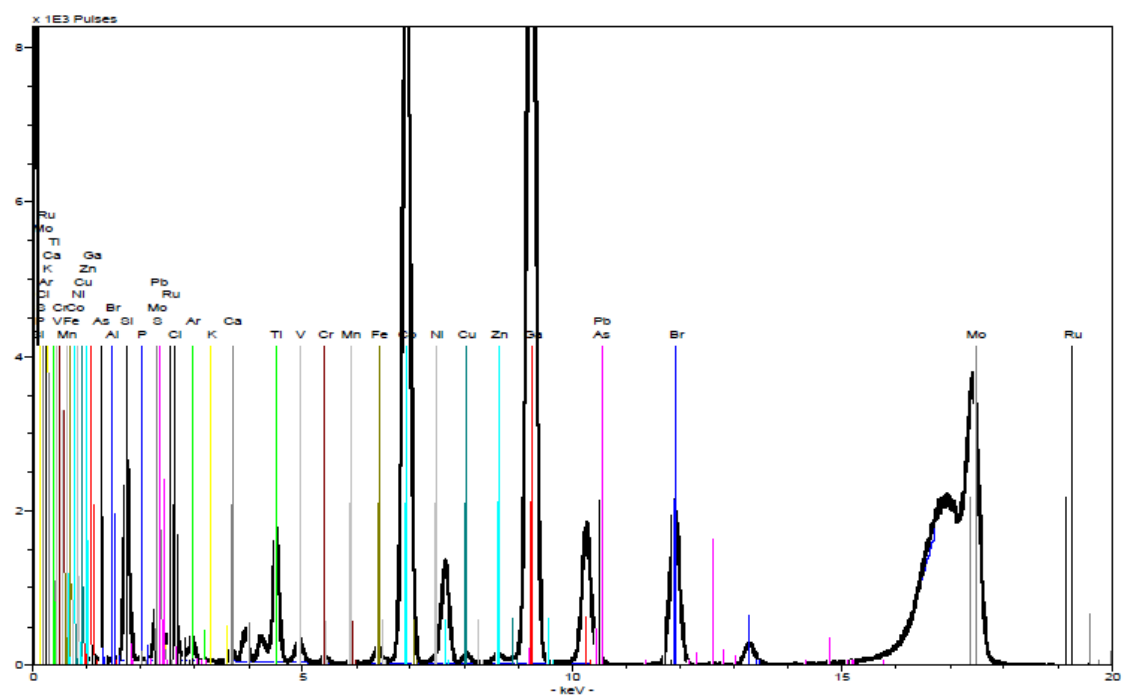


Figure S16. FTRX spectrum of MINT-pyr sample.

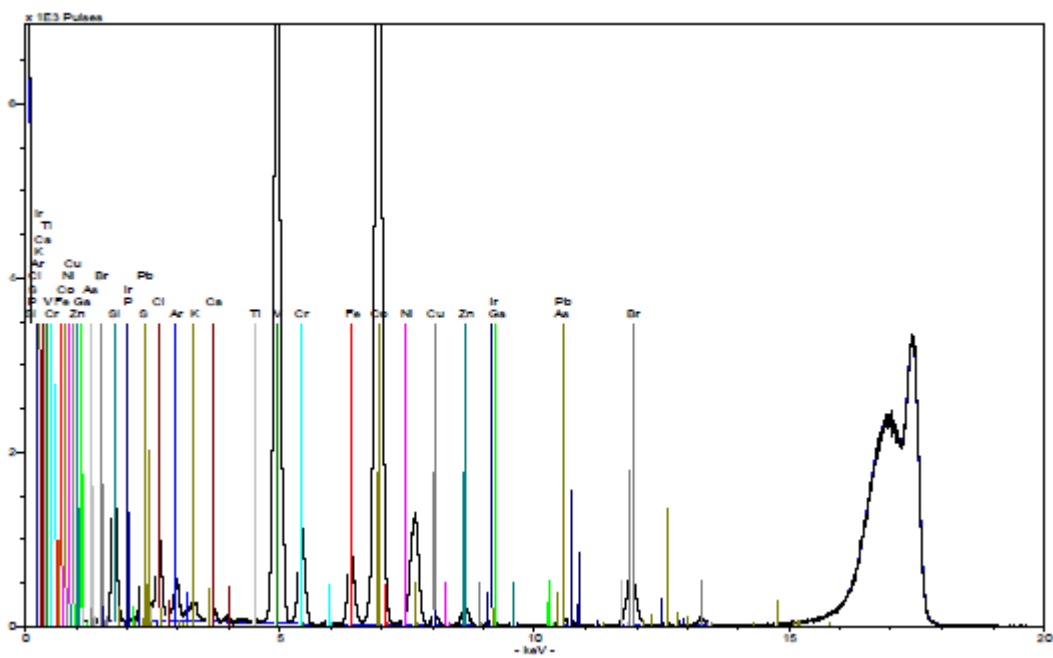


Figure S17. FTRX spectrum of MINT-AQ sample.

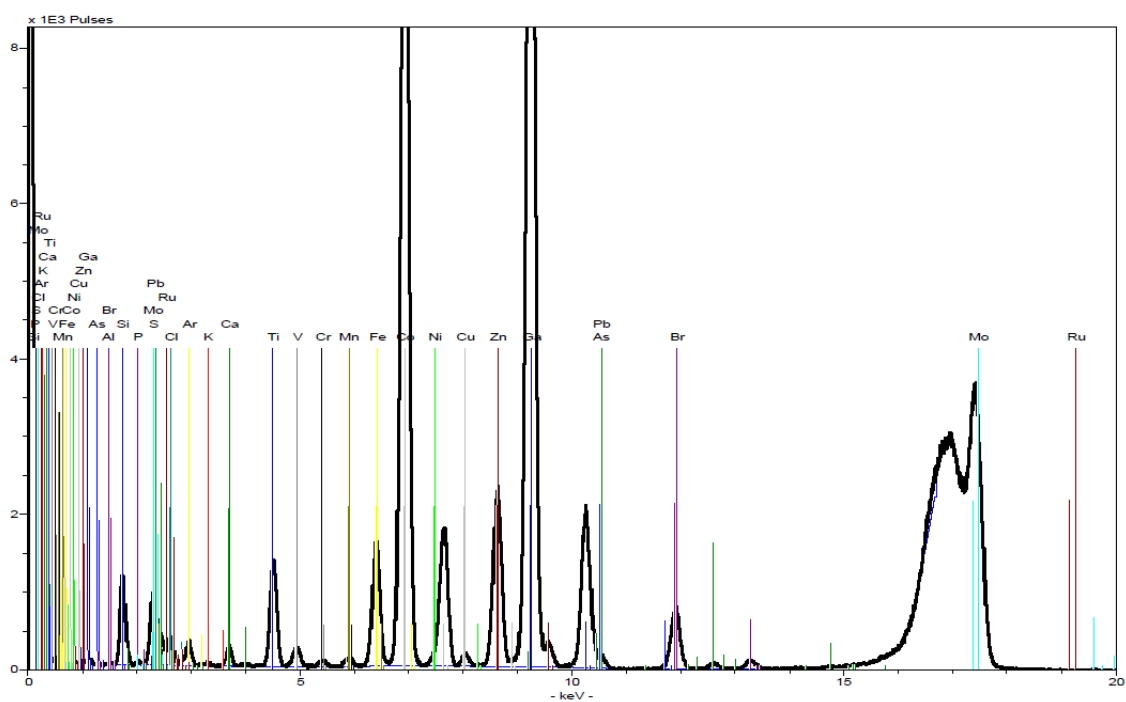


Figure S18. FTRX spectrum of (6,5)-SWNT sample.

Table S5. Metal analysis by TRXF.

<i>Element</i>	<i>MINT-exTTF^a</i>	<i>MINT-pyr^a</i>	<i>MINT-AQ^a</i>	<i>6,5-SWNT^a</i>
Al	-	-	-	-
Si	146.72	191.56	129.9	78.06
P	-	-	0.44	-
S	70.26	2.125	10.12	2.084
Cl	28.06	11.879	12.906	4.055
K	0.509	-	1.214	0.142
Ca	0.534	2.488	0.894	1.119
Ti	3.233	5.763	0.1	3.713
V	0.111	0.172	0.124	0.139
Cr	0.200	0.145	0.011	0.122
Mn	0.040	-	-	0.153
Fe	0.505	0.289	1.079	2.053
Co	13.435	12.748	11.285	15.438
Ni	0.032	0.023	0.015	0.063

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Cu	0.117	0.101	0.071	0.127
Zn	0.340	0.084	0.147	1.620
Ga^b	10.000	10.000	10.000	10.000
As	0.027	0.028	0.035	0.049
Br	0.168	1.185	0.315	0.396
Mo	17.82	25.69	20.48	29.68
Ru	17.02	0.494	0.941	-
Pb	0.017	0.006	-	0.086

^aConcentrations in mg L⁻¹, ^bInternal standard

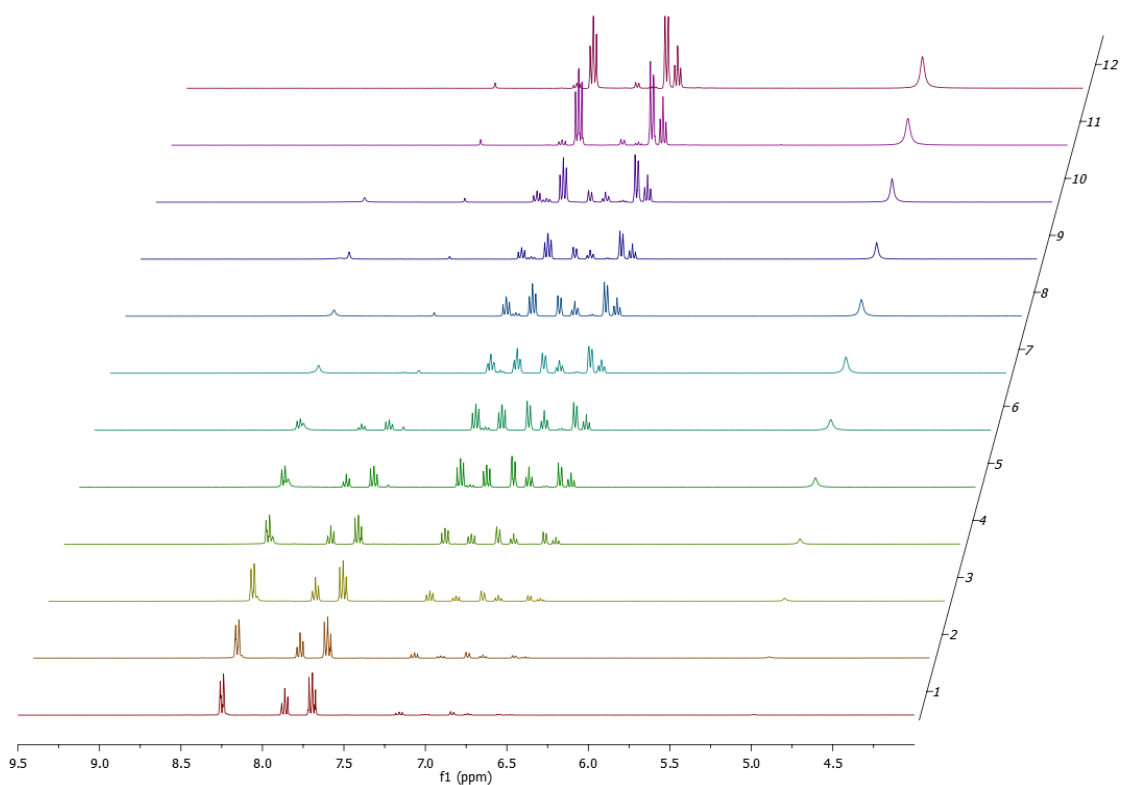


Figure S19. Nitrobenzene reduction catalyzed by catalyst MINT-exTTF.

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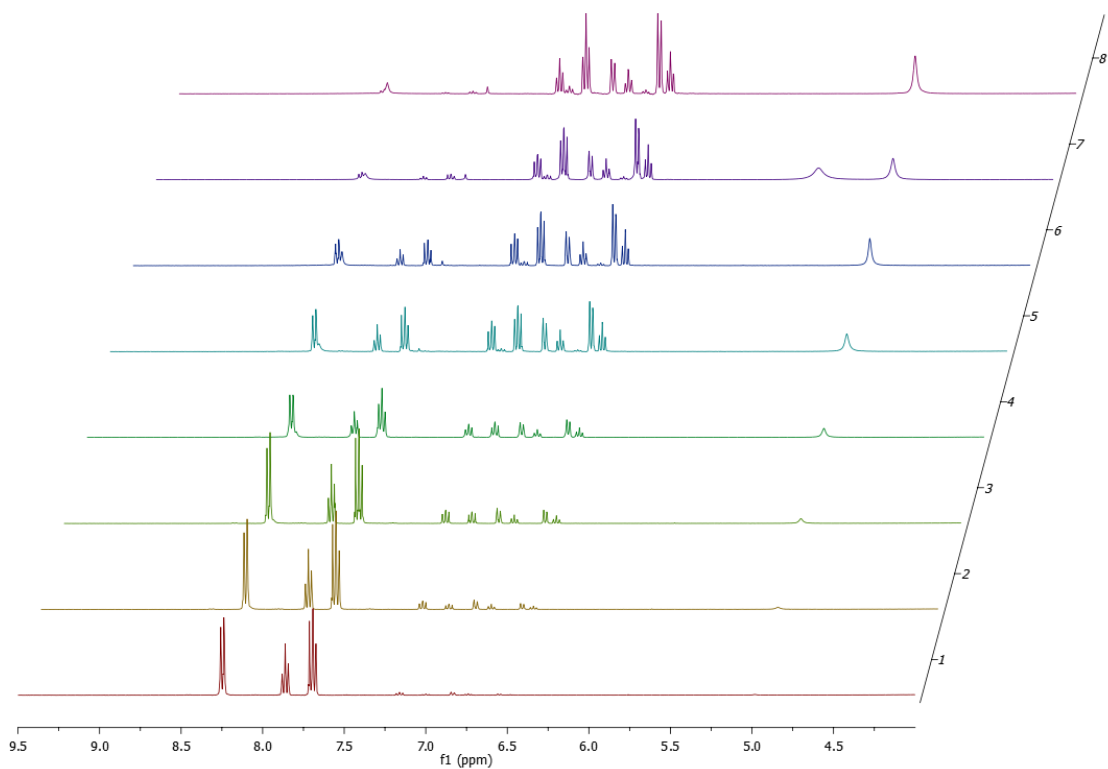


Figure S20. Nitrobenzene reduction catalyzed by catalyst MINT-pyr.

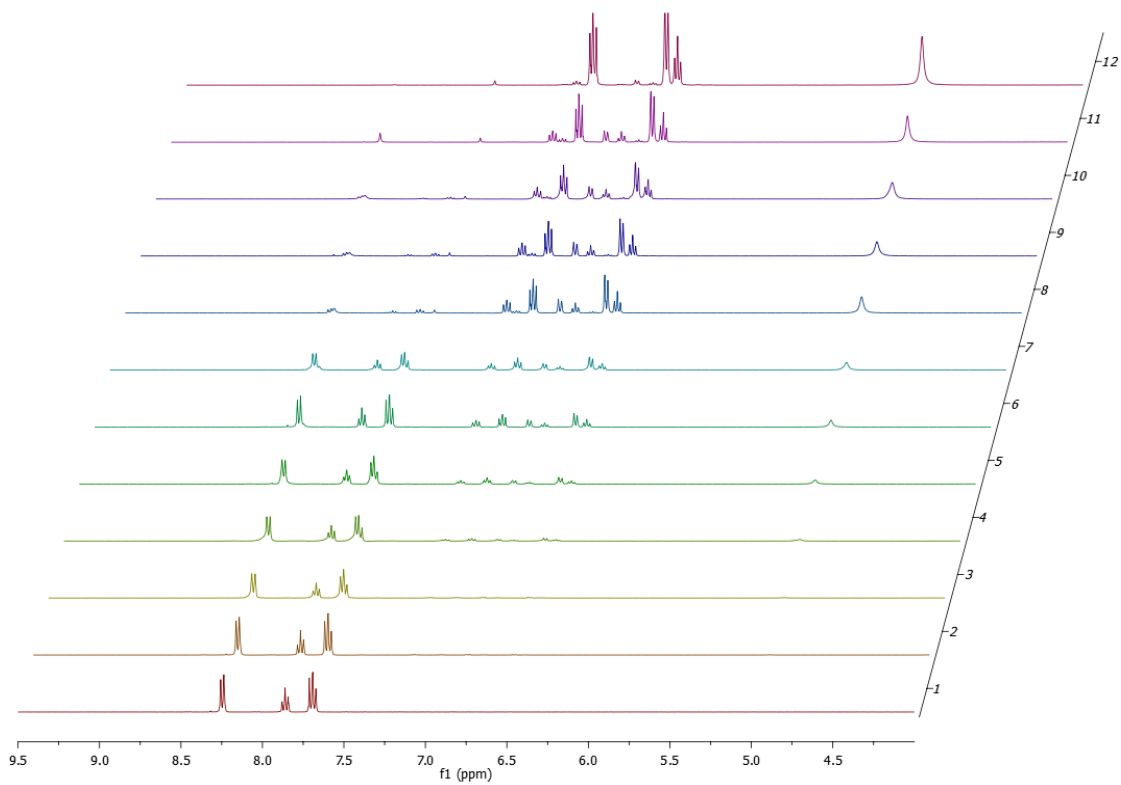


Figure S21. Nitrobenzene reduction catalyzed by catalyst MINT-AQ.

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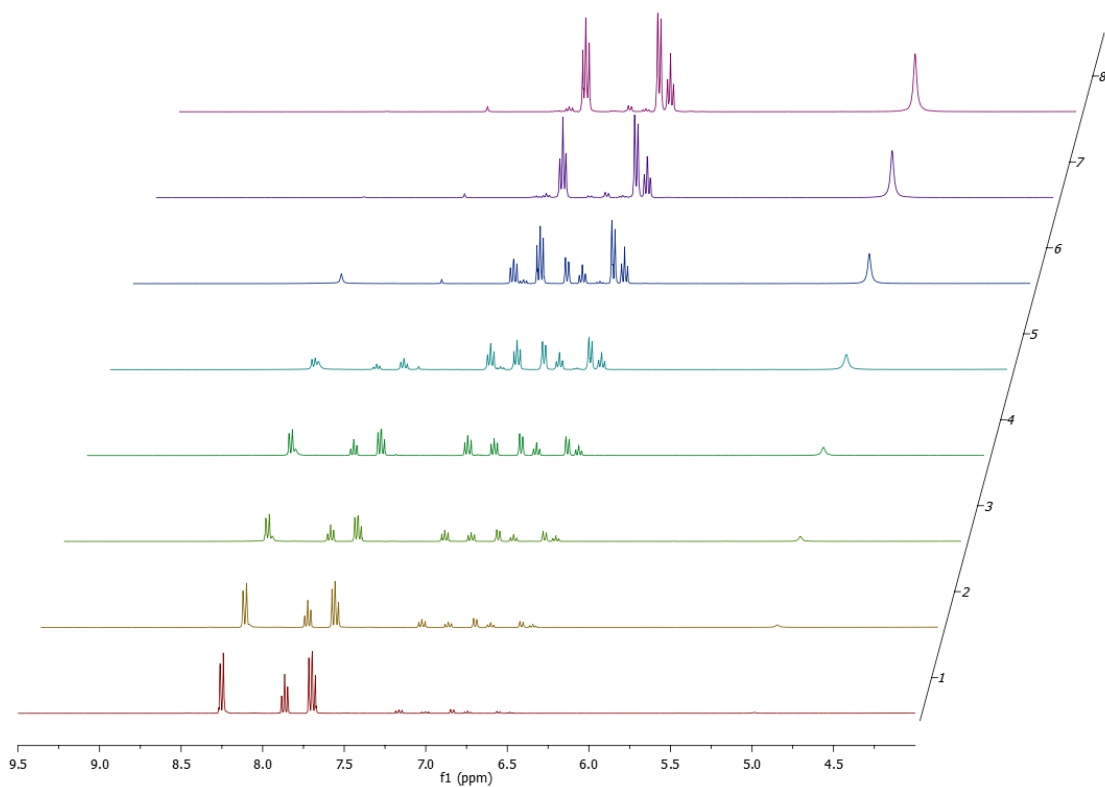


Figure S22. Nitrobenzene reduction catalyzed by catalyst (6,5)-SWNT.

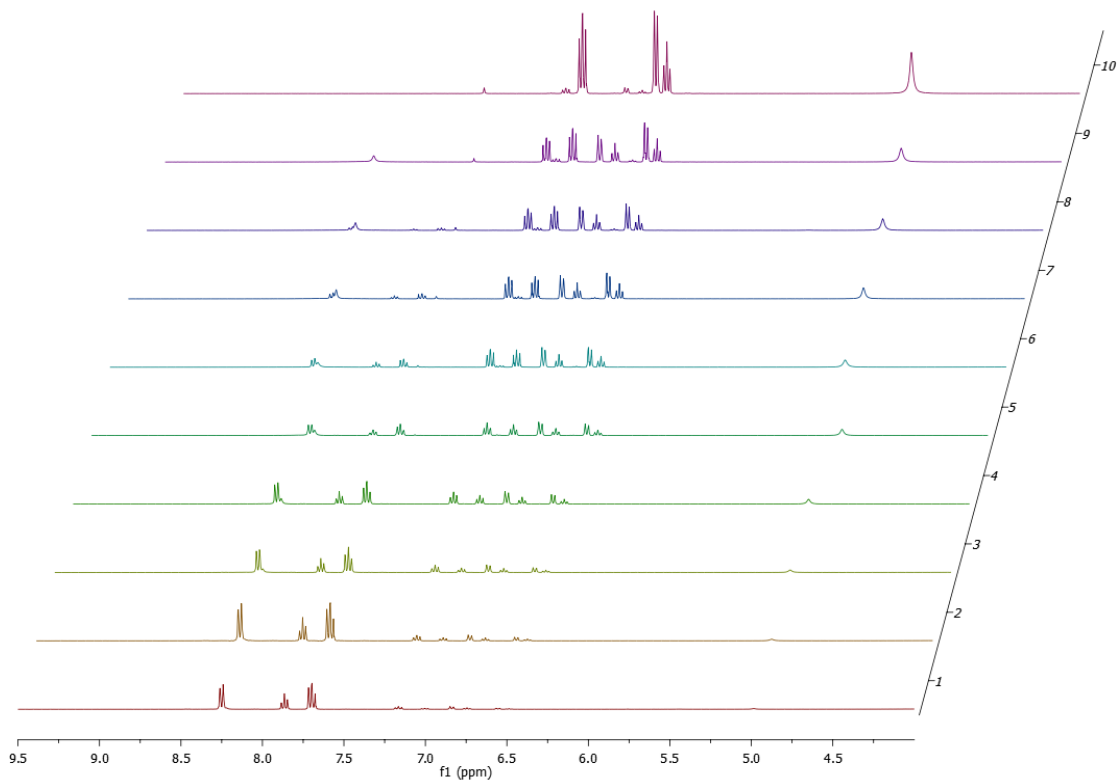


Figure S23. Nitrobenzene reduction catalyzed by catalyst SWNT-exTTF.

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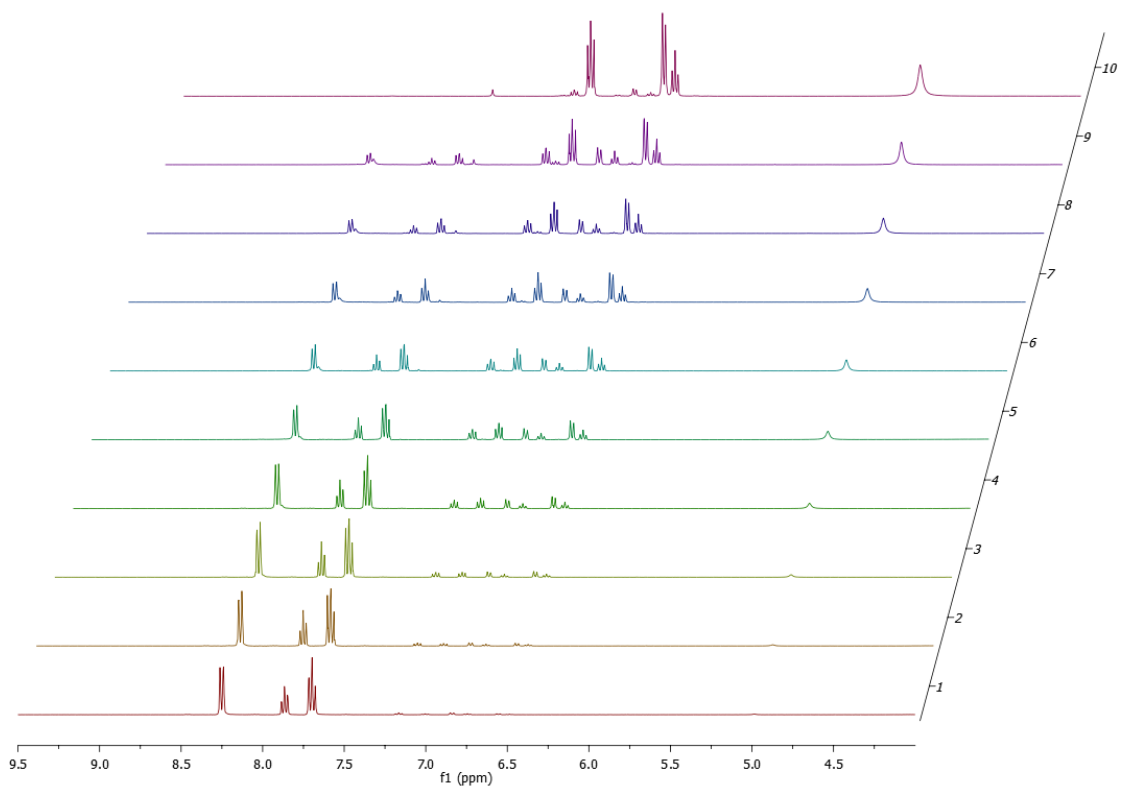


Figure S24. Nitrobenzene reduction catalyzed by catalyst SWNT-pyr.

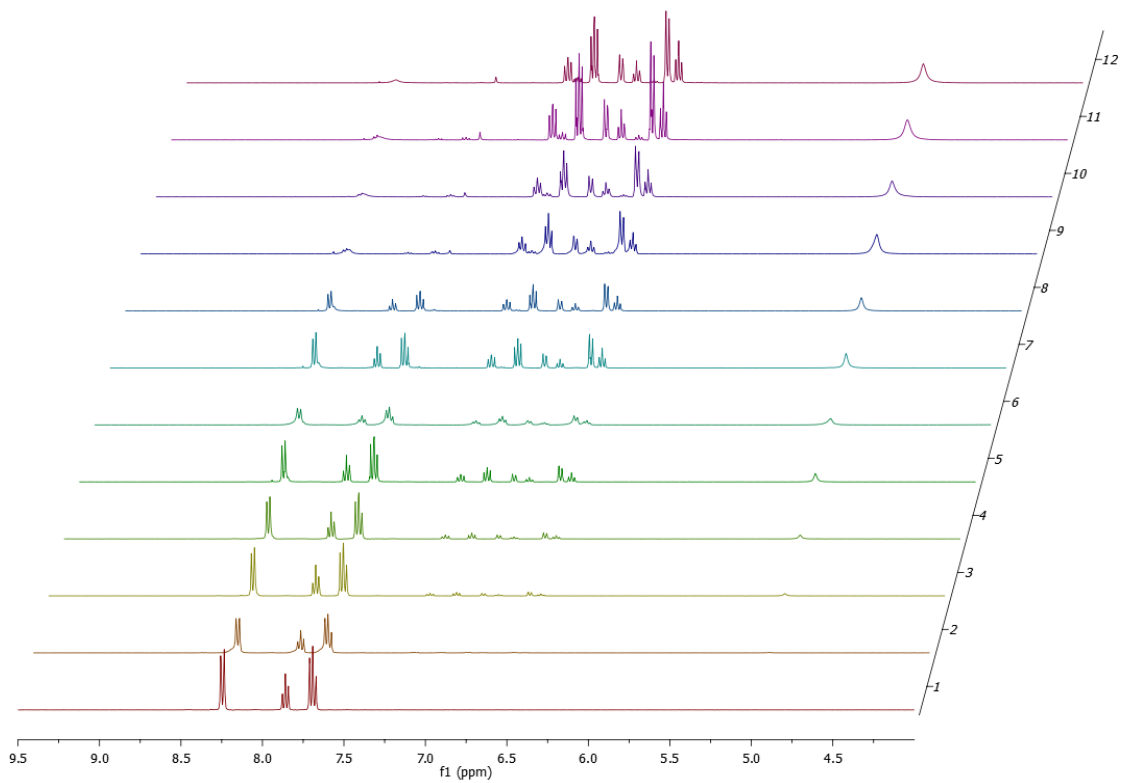


Figure S25. Nitrobenzene reduction catalyzed by catalyst SWNT-AQ.

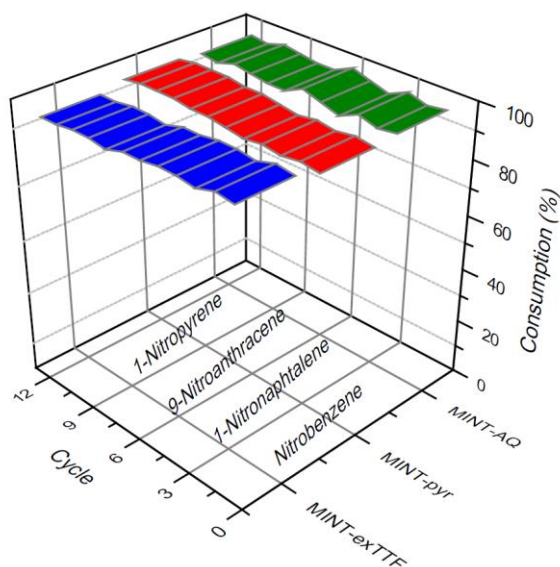


Figure S26. Recycling studies of the MINT-exTTF (blue), MINT-pyr (red) and MINT-AQ (green) catalysts.

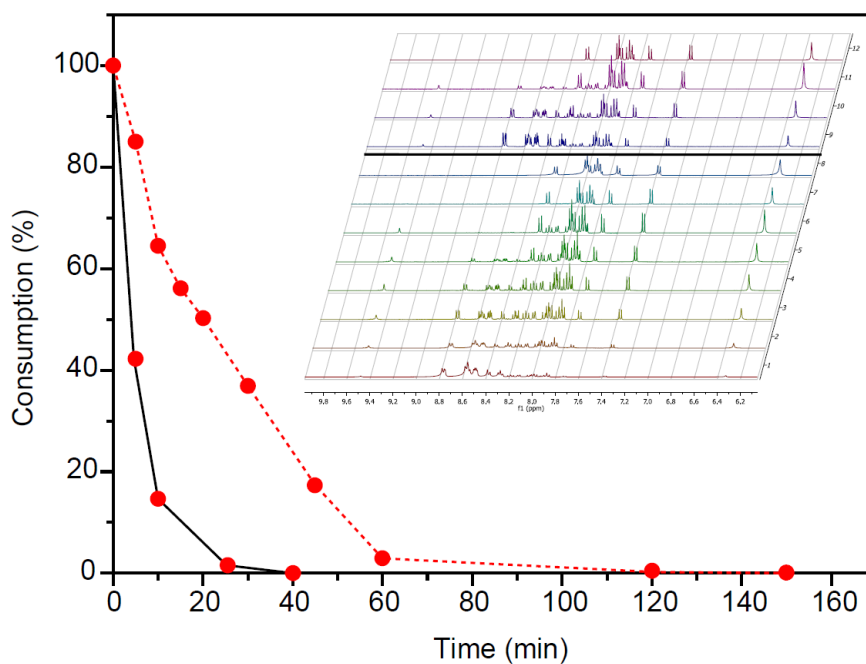


Figure S27. Recycling study of the reduction of 1-nitropyrene catalyzed by SWNT-pyr (red dots) on the first cycle (red pointed line) and on the second cycle (black line). Inset: NMR kinetic profile of the first and second cycle.

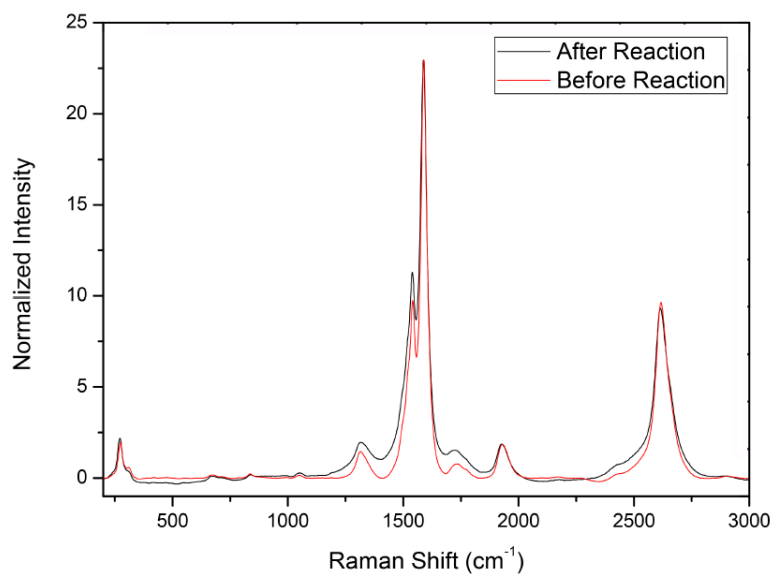


Figure S28. Raman spectra of MINT-AQ before and after the reduction reaction, showing its structural integrity.

Chapter 2.

4. Mechanically Interlocked Nanotubes (MINTs) as a Stable Electrochemical Platform

The work described in this chapter has been performed in collaboration with LIOS Institute[a] of Johannes Kepler University (JKU) in Linz, Austria. This chapter is not already published.

Dominik Wielend ^[a] †, Mariano Vera-Hidalgo †, Hathaichanok Seelajaroen ^[a], Niyazi Serdar Sariciftci ^[a], Emilio M. Pérez* and Dong Ryeol Whang*^[a].

[†] These authors contributed equally to this work.

Mechanically interlocking a redox-active anthraquinone (AQ) onto SWNT (MINT-AQ) gives a new, advanced example of a supramolecular architecture for an electrochemical platform. Electrochemical studies using MINT-AQ as an electrode reveal enhanced electrochemical stability in both aqueous and organic solvents compared to the physically coated AQ-based electrodes. While maintaining the electrochemical properties of the parent molecule, we observed a stable oxygen reduction reaction (ORR) to produce hydrogen peroxide (H₂O₂). Using such MINT-AQ electrodes we got 7 and 2 μmoles H₂O₂ produced over 8 h in basic and neutral conditions, while the control system of SWNT showed 2.2 and 0.5 μmoles respectively. Those catalytic results further substantiate the potential of this rotaxane-type immobilization approach for heterogenized electrocatalysis.

4.1. Introduction.

Great effort is made worldwide on investigations of appropriate electrocatalysts for energy storage-devices such as batteries,^{107,108,109} supercapacitors,¹¹⁰ electrolysis^{111,112} of water^{113,114} and/or recycling of carbon dioxide (CO₂).^{115,116,117,118} Due to their high efficiency, noble and rare metals, like platinum, palladium or rhenium, serve as state-of-the-art electrocatalysts for various energy storage reactions, like reduction of protons,^{119,120} CO₂¹²¹ and oxygen (O₂).^{22,122,123} However, as these metals are costly, one approach is to substitute those metals with cheap and more abundant metals like nickel,¹²⁴ iron,¹²⁵ manganese¹²⁶ or to use fully metal-free systems.¹²⁷ Specially regarding the oxygen reduction reaction (ORR), numerous reports on organic catalysts^{128,129} and even small molecules^{130,131} can be found in literature.²² Anthraquinone (AQ) and their derivatives have been widely explored as a pure molecular electrocatalyst for ORR, since AQ is cheap,¹³² has chemical functionality⁷¹ and high selectivity for

¹⁰⁷ Etacheri, V.; Marom, R.; Elazari, R.; Salitra, G.; Aurbach, D., *Energ. Environ. Sci.* **2011**, 4 (9), 3243-3262.

¹⁰⁸ Häupler, B.; Wild, A.; Schubert, U. S., *Adv. Energ. Mater.* **2015**, 5 (11), 1402034.

¹⁰⁹ Alotto, P.; Guarnieri, M.; Moro, F., *Renew. Sustain. Energ. Rev.* **2014**, 29, 325-335.

¹¹⁰ González, A.; Goikolea, E.; Barrera, J. A.; Mysyk, R., *Renew. Sustain. Energ. Rev.* **2016**, 58, 1189-1206.

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¹¹³ Eftekhari, A., *Int J Hydrog Energ.* **2017**, 42 (16), 11053-11077.

¹¹⁴ Safizadeh, F.; Ghali, E.; Houlachi, G., *Int. J. Hydrog. Energ.* **2015**, 40 (1), 256-274.

¹¹⁵ Apaydin, D. H.; Schlager, S.; Portenkirchner, E.; Sariciftci, N. S., *Organic, ChemPhysChem* **2017**, 18 (22), 3094-3116.

¹¹⁶ Aresta, M., *Carbon dioxide recovery and utilization*. Springer Science & Business Media: 2013.

¹¹⁷ Benson, E. E.; Kubiak, C. P.; Sathrum, A. J.; Smieja, J. M., *Chem. Soc. Rev.* **2009**, 38 (1), 89-99.

¹¹⁸ Aresta, M., *Carbon dioxide as chemical feedstock*. Wiley Online Library: 2010; Vol. 416.

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¹²¹ Francke, R.; Schille, B.; Roemelt, M., *Chem. Rev.* **2018**, 118 (9), 4631-4701.

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¹²³ Fukuzumi, S., *Biochim. Biophys. Acta.* **2016**, 1857 (5), 604-611.

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¹²⁶ Steinlechner, C. *et al. ACS Catal.* **2019**, 9 (3), 2091-2100.

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¹²⁹ Dey, S.; Mondal, B.; Chatterjee, S.; Rana, A.; Amanullah, S.; Dey, A., *Nat. Rev. Chem.* **2017**, 1, 0098.

¹³⁰ Warczak, M.; Gryszel, M.; Jakešová, M.; Ďerek, V.; Glowacki, E. D., *Chem. Commun.* **2018**, 54 (16), 1960-1963.

¹³¹ Jakešová, M.; Apaydin, D. H.; Sytnyk, M.; Oppelt, K.; Heiss, W.; Sariciftci, N. S.; Glowacki, E. D., *Adv. Funct. Mater.* **2016**, 26 (29), 5248-5254.

¹³² Goor, G.; Glenneberg, J.; Jacobi, S., *Ullmann's encyclopedia of industrial chemistry* **2000**.

H₂O₂.^{133,134} However, hence physical deposition of the AQ-derivatives on electrodes suffered from low stability and slow reaction kinetics¹³³ for long-term applications, an immobilization of the molecules at the electrode is a main point.

Accordingly, to overcome this problem, various attempts were already introduced, like for example, covalently linking to glassy carbon (GC) electrodes,¹³⁵ using insoluble polymers¹³⁶ or covalent linking to carbon nanotubes (CNT).¹³⁷ Regarding a broader scope in organic electronics, organic molecules like AQ are also investigated in battery research^{73,108,138} as well as using them for non-covalent enzyme linking.¹³⁹

Due to their attractive properties of being mechanically robust, having high electrical conductivity and tuneability upon synthesis,^{10,22} CNTs are favourable immobilization platforms. There are examples of antioxidant-linked CNTs in polymer additives¹⁴⁰ and as they are supposedly bio-compatible¹³⁹ also CNTs for medical applications.¹⁴¹ A report by Park and co-workers¹³⁸ investigated nanohybrids of organic molecules adsorbed onto SWNTs for battery application and reported significant improvement of electrical and electrochemical properties. Gong, Zhang and Wang⁷⁵ investigated similar adsorbed AQ on multi-walled CNTs (MWNT) for electrochemical ORR in neutral solution. Like the previous examples, in general direct covalent modification of CNTs is avoided. In general, SWNTs have more uniform shapes and properties,¹⁴² but their conductivities are lowering upon covalent modification compared to multi-walled CNTs (MWNT).¹⁴³ Combining both, high conductivity by non-covalently binding to the SWNT and still achieving close catalytic sites, Pérez and co-workers developed an advanced supramolecular rotaxane systems using SWNTs.^{51,53} The recent catalytic study by the Pérez-Group¹⁴⁴ inspired us to test those molecules for electrocatalytic application. In general, supramolecular architectures towards molecular machines are of high interest in

¹³³ Sarapuu, A.; Helstein, K.; Vaik, K.; Schiffrin, D. J.; Tammeveski, K., *Electrochim. Acta* **2010**, *55* (22), 6376-6382.

¹³⁴ Tammeveski, K.; Kontturi, K.; Nichols, R. J.; Potter, R. J.; Schiffrin, D. J., *J. Electroanal. Chem.* **2001**, *515* (1), 101-112.

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¹³⁶ P. M. Hoang, S. H., and B. Lionel Funt, *J. Electrochem. Soc.* **1985**, *132* (9), 2129-2133.

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¹³⁸ Lee, M.; Hong, J.; Kim, H.; Lim, H.-D.; Cho, S. B.; Kang, K.; Park, C. B., *Adv. Mater.* **2014**, *26* (16), 2558-2565.

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¹⁴¹ Constanze, L.; Huzil, J. T.; Marina, V. I.; Marianna, F., *Drug Delivery Lett.* **2011**, *1* (1), 45-57.

¹⁴² Belin, T.; Epron, F., *Mater. Sci. Eng.* **2005**, *119* (2), 105-118.

¹⁴³ Zhang, W.; Shaikh, A. U.; Tsui, E. Y.; Swager, T. M., *Chem. Mater.* **2009**, *21* (14), 3234-3241.

¹⁴⁴ Blanco, M.; Nieto-Ortega, B.; de Juan, A.; Vera-Hidalgo, M.; López-Moreno, A.; Casado, S.; González, L. R.; Sawada, H.; González-Calbet, J. M.; Pérez, E. M., *Nat. Commun.* **2018**, *9* (1), 2671.

the scientific community due to the Nobel prizes in 1987 for D. J. Cram, J.-M. Lehn and C. J. Pederson^{145,146} as well as recently in 2016 for J.-P. Sauvage, J. F. Stoddart and B. Feringa.^{147,148,149,150}

The main motivation of this work has two aspects: the first task was to investigate the electrochemical behaviour of a mechanically interlocked anthraquinone on SWNTs (MINT-AQ) and compare it with an adsorbed AQ analogue (supramolecular form, AQ@SWNT) as well as with a pure homogeneous AQ analogue cases. In order to do so, AQ as redox-active species on SWNTs in aqueous solution and in organic solvents were compared with the properties of adsorbed and pure AQ cases, like reported in a similar way with a metal complex.⁶¹ The second task was to demonstrate the application of this immobilized AQ platform for electrochemical ORR.

4.2. Results.

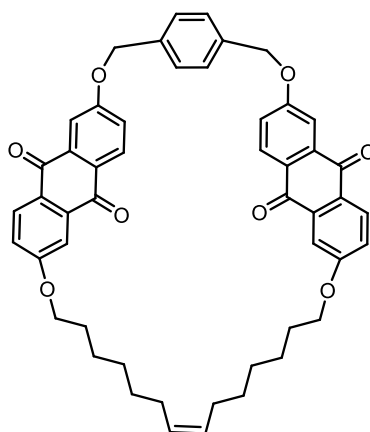


Figure 1. Chemical structure of mac-AQ.

The characterization of mac-AQ⁹⁹ and MINT-AQ¹⁴⁴ were synthesized as previously reported.^{52,53,54,55} After the MINT-forming reaction, the SWNTs showed a

¹⁴⁵ Lehn, J., *Science* **1993**, 260 (5115), 1762-1763.

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¹⁴⁷ Liu, Z.; Nalluri, S. K. M.; Stoddart, J. F., *Chem. Soc. Rev.* **2017**, 46 (9), 2459-2478.

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¹⁴⁹ Kassem, S.; van Leeuwen, T.; Lubbe, A. S.; Wilson, M. R.; Feringa, B. L.; Leigh, D. A., *Chem. Soc. Rev.* **2017**, 46 (9), 2592-2621.

¹⁵⁰ Stoddart, J. F., *Acc. Chem. Res.* **2001**, 34 (6), 410-411.

loading of macrocycle of 13% and 15% under nitrogen, for MINT-AQ and AQ@SWNT respectively, by TGA analysis (Figure S4 and S5). Regarding the Raman spectroscopy (Figure. S7), a shift showed in the G/2D bands in the MINT-AQ and AQ@SWNT samples, due to the presence of electron acceptor anthraquinone macrocycles. We also synthesized MINT-AQ and AQ@SWNT samples with less loading of macrocycle in order to see the effect of the quantity of anthraquinone moieties (Figure S4 and S5). In the case of synthesis of MINT-AQ and AQ@SWNT with low loading, were 4.3% and 5.8% respectively (under nitrogen).

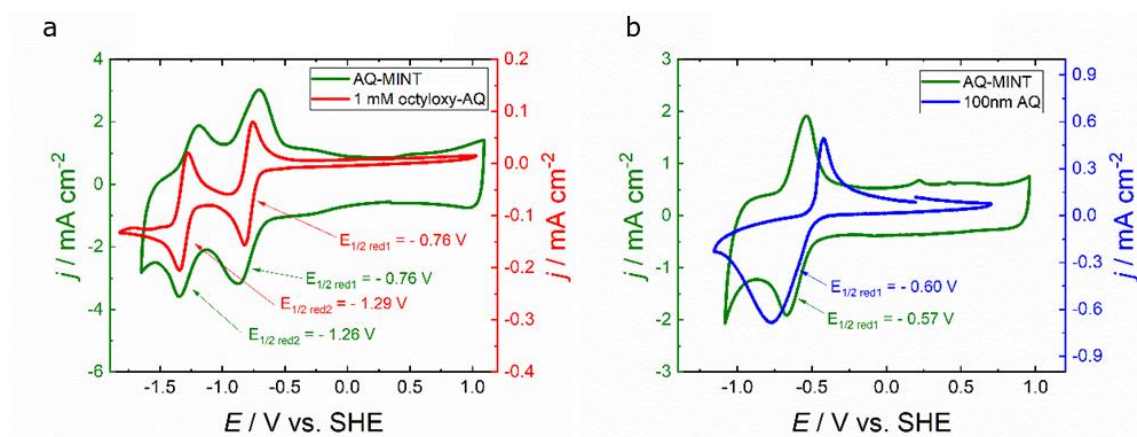


Figure 2. Cyclic voltammograms of **a.** MINT-AQ (green line) and 1 mM octyloxy-AQ (red line) ACN containing in 0.1 M TBAPF_6 and **b.** dropcasted MINT-AQ (green line) and 100 nm thin-film of AQ in 0.1 M Na_2SO_4 aqueous solution. The cyclic voltammograms were recorded under N_2 saturated conditions at a scan rate of 20 mV s^{-1} .

The electrochemical behaviour of MINT-AQ was investigated via cyclic voltammetry (CV) either in organic solvent (acetonitrile, ACN) or in aqueous solution as shown in Figure 2. For comparison with non-immobilized anthraquinone, a dissolved octyloxy-anthraquinone derivative (see Figure S10 for more information) as well as an evaporated film of pure AQ were studied. Figure 2a presents the electrochemical features of drop-casted MINT-AQ samples in ACN and dissolved octyloxy-AQ with the concentration of 1 mM. Both cyclic voltammograms are comparable excluding large capacitive current from SWNT carrier observed in the case of the immobilized MINT-AQ (Figure S15 for SWNT CV curves). The graphs show two separated reversible one-electron reduction features of anthraquinone at virtually identical $E_{1/2}$ of -0.76 and $-1.26 /$

-1.29 V.¹⁵¹ The electrochemical features of MINT-AQ and evaporated thin-film of AQ in aqueous solution were shown in Figure 2b. The graphs present one reversible and concerted two-electron reduction feature. However, the reduction potential of MINT-AQ with $E_{1/2} = -0.57$ V is 30 mV less negative as well as its peak separation (ΔE_P) is smaller, as compared to those of thin-film AQ. This smaller ΔE_P in case of MINT-AQ together with the reported delamination of hydroquinone in case of the evaporated AQ⁷⁴ shows that MINT-AQ system offers a more versatile redox system. This is in accordance to literature¹³⁸ of adsorbed species on SWNTs that stacking induced faster charge-transfer kinetics and smaller ΔE_P .

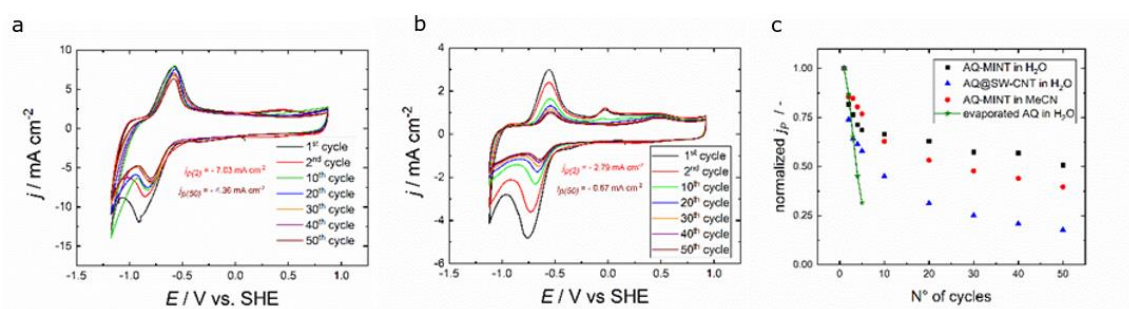


Figure 3. Cyclic voltammograms of **a.** MINT-AQ and **b.** AQ@SWNT in 0.1M Na₂SO₄ upon 50 cycles. **c.** The normalized peak current densities of the first reduction peak are compared.

The stability study of the immobilized MINT-AQ was performed with 50 CV cycles, as shown in Figure 3. The supramolecular adsorbed form (AQ@SWNT) as well as the evaporated AQ film were investigated in a similar manner. Figure 3 shows that MINT-AQ is more stable due to less decrease in reductive peak current compared to the supramolecular form. This observation was strengthened by the comparison of the relative loss of peak current density (j_p) upon 50 cycles. For the calculation of the j_p , the peak height by subtracting a baseline was determined. In MINT-AQ case, the j_p decreased by 38% from the 2nd to the 50th cycles, whereas in the AQ@SWNT case, the loss roughly doubled with 76%. These decreases were exponentially decaying while the evaporated AQ thin-film decayed linearly by 68% within the first 5 cycles (Figure 3c). Moreover, cycle stability was also performed in ACN solution. Similar behaviour was observed with less pronounced decrease from the 1st to the 2nd cycle (Figure S13). According to

¹⁵¹ Babaei, A.; Connor, P. A.; McQuillan, A. J.; Umapathy, S., *J. Chem. Educ.* **1997**, *74* (10), 1200.

literature⁷⁵ kinetic studies performing CV at different scan rates were performed which showed, as expected for immobilized materials, a linear relation between the scan rate (ν) and j_p for both, the MINT-AQ and the supramolecular sample (Figure S11).

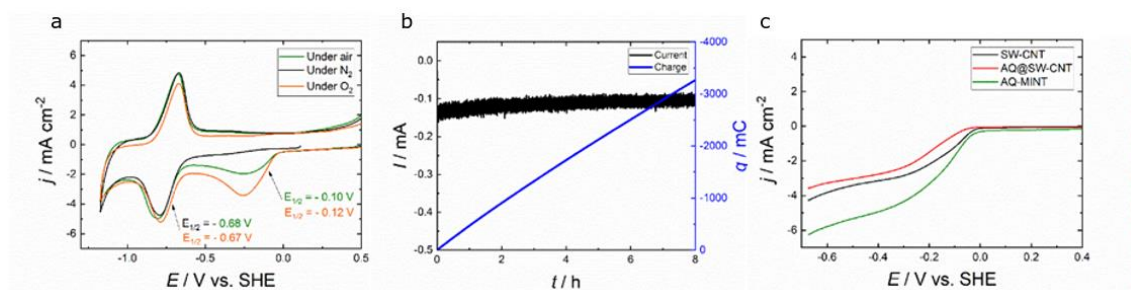


Figure 4. a. Cyclic voltammograms of MINT-AQ were recorded in 0.1 M NaOH under air (green line), N₂ (black line) and O₂ (orange line) saturated conditions. b. Chronoamperograms and accumulated charges of MINT-AQ was measured by applying a constant potential of -0.33 V vs. SHE in 0.1 M NaOH solution over 8 h. c. RDE-LSV results of MINT-AQ, AQ@SWCNT and SWCNT in 0.1M NaOH at a rotation speed of 1200 rpm.

As anthraquinone derivatives are known as chemical^{72,132} as well as electrochemical^{133,135,137} catalysts for the oxygen (O₂) reduction to hydrogen peroxide (H₂O₂) vide supra, the MINT-AQ was investigated for its electrocatalytic activity under O₂-saturated condition. AQ@SWCNT and pristine SWCNT were also tested as controls. Figure 4a shows that the characteristic AQ peak¹⁵² at around -0.68 V was observed in all conditions. Upon O₂ saturation, a reduction broad peak around -0.10/-0.12 V as a result of oxygen reduction was observed. Starting from +0.50 V on, some oxidative processes on the SWCNT occurred. Bare SWCNTs only showed the broad, capacitive current with a small reductive step upon O₂ reduction (Figure S15a). In order to prove the catalytic activities of MINT-AQ, AQ@SWCNT and SWCNT towards the reduction of O₂ to H₂O₂, a constant potential was applied for 8 h distinguishing the two conditions: neutral (0.1 M Na₂SO₄) and basic (0.1 M NaOH) aqueous solutions. (See Supporting Information for full details). The transient curves in Figure 4 b show that the current in MINT-AQ case was stable over the 8 h period whereas the AQ@SWCNT sample (Figure S16) revealed a drastic decrease in current from -0.24 mA cm⁻² to -0.05 mA cm⁻² within

¹⁵² J. Revenga, F. R. a. J. T., *J. Electrochem. Soc.* **1994**, *141* (2), 330-333.

8 h reaction. This decrease was attributed to the delamination of the AQ electroactive species, which is in accordance to the cycle stability experiments in Figure 3b. Further, the CV curves of MINT-AQ before and after the electrolysis showed nearly no difference in terms of electroactive AQ peaks which underlines the stable transient curve (Figure S17).

Table 1. Comparison of the total amounts of H₂O₂ produced over 8 h electrolysis reaction time.

	In 0.1 M Na ₂ SO ₄		In 0.1 M NaOH	
	n(H ₂ O ₂) over 8h / μmol	TON	n(H ₂ O ₂) over 8h / μmol	TON
MINT-AQ	1.9	116	7.0	417
AQ@SWNT	1.4	75	4.4	236
SWNT	0.5	-	2.2	-

Table 1 reveals that basic conditions are more favourable for oxygen reduction, as compared to neutral pH, which is in accordance to literature.^{72,153} In case of MINT-AQ, the amount produced was increased from 1.9 μmol in neutral conditions to 7.0 μmol in alkaline conditions. As observed in control experiments, the pristine SWNT also showed small catalytic effect, which was also already reported in literature.^{22,154} This effect we attributed to traces of catalytically active metals or small defects as a result of the SWNT production process^{22,155} as well as also some intrinsic catalytic activity of GC.¹⁵⁶ However, both AQ modified samples presented enhanced catalytic activity over SWNTs also with the supramolecular sample showing slight enhancement by a factor of 2 under basic conditions. The MINT-AQ even showed superior performance by increasing the activity by a factor of 3 in basic conditions. Considering the amount of AQ molecules in MINT as well as supramolecular case, turnover numbers (TON) were calculated in Table 1, which also substantiate the improved catalysis. Including the total mass of the MINT

¹⁵³ Vikkisk, M.; Kruusenberg, I.; Joost, U.; Shulga, E.; Kink, I.; Tammeveski, K., *Appl. Catal. Environ.* **2014**, *147*, 369-376.

¹⁵⁴ Qu, J.; Shen, Y.; Qu, X.; Dong, S., *Electroanal.* **2004**, *16* (17), 1444-1450.

¹⁵⁵ Kruusenberg, I.; Alexeyeva, N.; Tammeveski, K.; Kozlova, J.; Matisen, L.; Sammelselg, V.; Solla-Gullón, J.; Feliu, J. M., *Carbon* **2011**, *49* (12), 4031-4039.

¹⁵⁶ Šljukić, B.; Banks, C. E.; Compton, R. G., *J. Iran. Chem. Soc.* **2005**, *2* (1), 1-25.

and the activity from the supporting material, the average H₂O₂ production rate per mass was found to be 8.8 μmol mg⁻¹ h⁻¹ for MINT-AQ in basic aqueous solution. For the outstanding reports, the direct synthesis from the elements on Pd nanoparticles⁷² was shown with 1100 μmol mg⁻¹ h⁻¹ and the photo-electrocatalysis with epindolidione¹³¹ was presented with the rate of 120 μmol mg⁻¹ h⁻¹. For direct comparison to our system, one has to keep in mind, that in the MINT or supramolecular form also the SWNTs were counted towards the total mass.

In order to perform further electrocatalytic studies on the oxygen reduction process, rotating disc electrode (RDE) experiments were performed with SWNT, AQ@SWNT and MINT-AQ at various rotation speeds. The goal was to get preliminary mechanistic insights by determination of the number of transferred electrons per process. As expected, increasing the rotation speed (ω) resulted in increasing currents as well as the MINT-AQ sample showed a more pronounced behaviour (Figure 4c). The Koutecki-Levich-Analysis revealed that upon drop-casting the samples, we substantially underestimate our electroactive electrode surface area by considering only the geometrical one. As this increase in electrode surface area was related to the organization of the SWNTs on the surface, we suspect different ways of organization between the samples, caused by the attachments, which would be in accordance to the reported reorganization through hybridisation by Lee *et al.*¹³⁸ Nevertheless, the intercepts of the Koutecki-Levich analysis revealed that comparing those as-casted films MINT-AQ shows the highest i_K compared to SWNT and AQ@SWNT (Figure S18b). To proof this suspicion of organization, advanced studies with higher resolution SEM facilities than we have would be necessary.

4.3. Conclusions.

In this work, we explored the approach of interlocking the organic molecule anthraquinone around carbon nanotubes (MINTs) as efficient way of immobilization. Such advanced supramolecular architectures could solve the problem of dissolution-instability of physically adsorbed organic molecules upon electrochemical reduction. Besides the enhancement in stability while retaining the electrochemical properties of the pristine molecule, also an efficient immobilization towards electrochemistry could be demonstrated by a linear relation of j_P vs. v plots. Further, a proof of concept for

electrocatalytic application of MINT-AQ towards oxygen reduction was explored. In addition to the superior stability, an improvement in H₂O₂ production rate in MINT-AQ systems revealed promising properties of MINTs as immobilization carrier. The promising results described in this work revealed that there is still room for further investigation of different MINT type materials on other catalytic applications.

4.4. Supplementary Information.

4.4.1. Supplementary Methods.

Characterization methods of SWNT and MINT. (6,5)-Enriched single walled nanotubes (6,5-SWNT) were purchased from Sigma-Aldrich (0.7–0.9 nm in diameter, length ≥ 700 nm, mostly semiconducting, 95% purity). Analytical thin layer chromatographies (TLC) were performed using aluminium-coated Merck Kieselgel 60 F254 plates. NMR spectra were recorded on a Bruker Avance 400 (¹H: 400 MHz; ¹³C: 100 MHz) spectrometers at 298 K, using partially deuterated solvents as internal standards. Coupling constants (*J*) are denoted in Hz and chemical shifts (δ) in ppm. Electrospray ionization mass spectrometry (ESI-MS) and Matrix-assisted Laser desorption ionization (coupled to a Time-Of-Flight analyzer) experiments (MALDITOF) were recorded on a HP1100MSD spectrometer and a Bruker REFLEX. Thermogravimetric analyses (TGA) were performed using a TA Instruments TGAQ500 with a ramp of 10 °C min⁻¹ under air from 100 to 900 °C. Transmission electron microscopy (TEM) images were obtained with JEOL-JEM 2100F instrument or a JEOL-JEM GRAND ARM300cF (AC-HRTEM). Raman spectra were acquired with a Bruker Senterra confocal Raman microscope instrument equipped with 532 excitation laser. UV-vis-NIR spectra were performed using an Agilent Technologies equipment – Cary Series UV-Vis-NIR Spectrophotometer. Photoluminescence excitation intensity maps (PLE) were obtained with NanoLog 4 HORIBA instrument.

Electrode preparation. A 3 mm diameter GC disc-type electrode (BASi, US) was used in all experiments as a working electrode (WE) and prior use polished for 30 s each with a Buehler Micropolish II deagglomerated alumina in decreasing particle sizes from 1.0 to 0.3 to 0.05 μm . In between, the surface was rinsed with 18M Ω water (MQ water) and *iso*-propanol (VWR Chemicals, AnalaR Normapur) to remove excess alumina. To deposit single-walled nanotubes (SWNTs) sample onto the WE, SWNTs suspensions in

iso-propanol with loadings of 5.0 mg mL⁻¹ were prepared and sonicated for 2 h prior use. 20 µL aliquots were drop-casted onto the glassy carbon WE and dried for 30 min under ambient conditions.

Platinum plates (Pt) were used as counter electrode together with either commercially available Ag/AgCl/3M KCl (from BASi, US) reference electrodes (RE) in aqueous solutions or Ag/AgCl quasi-reference electrodes (QRE) in organic solutions for electrochemical investigations. In case of the QRE, the calibration towards standard hydrogen electrode (SHE) was done by addition of ferrocene (Sigma Aldrich, >98%) and determination the position of this redox potential.

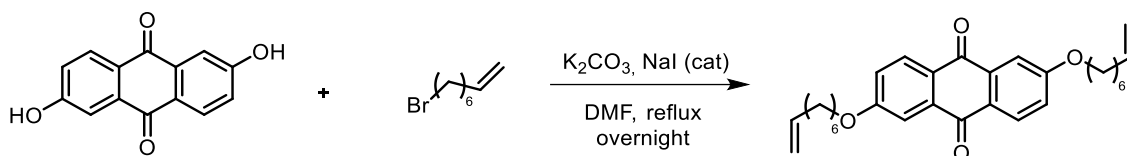
Electrochemical experiments. All electrochemical measurements were done on a Jaissle Potentiostat-Galvanostat PGU10V-100mA. A three-electrode one-compartment (for organic solution) or two-compartment (for aqueous solution) electrochemical cells were used. The mentioned glassy carbon (GC) was equipped as WE. Platinum plates (Pt) were used as a counter electrode. In aqueous solution, a Ag/AgCl (3 M KCl) reference electrodes (RE) was equipped in the system while in organic solutions, a Ag/AgCl quasi-reference electrodes (QRE) was used. The QRE was prepared by anodization in 1 M HCl solution and the calibration towards standard hydrogen electrode (SHE) was determined by testing with ferrocene.

Throughout this whole work, all potentials mentioned refer to the SHE. For cyclic voltammetry (CV) experiments in organic solvents, 15.0 mL of acetonitrile (ACN) (Roth, >99.9%) containing 0.1 M TBAPF₆ (Sigma Aldrich, >99.0%) was used as electrolyte solution. In aqueous solution, CV experiments were done in 20.0 mL of 0.1 M Na₂SO₄ (Sigma Aldrich, >99.0%) or 0.1 M NaOH (Alfa Aesar) as electrolyte solution. Prior measurement in N₂-saturated condition, the cell was purged with N₂ for 30 min and 60 min for ACN and aqueous solutions, respectively. In case of O₂-saturated case, the solutions were purged with O₂ (Linde Gas GmbH 5.0) for 30 min.

For the RDE measurements, an IPS Rotator 2016 rotating unit with IPS PI-ControllerTouch and an IPS Jaissle PGU BI-1000 Bipotentiostat/Galvanostat was used. An 8 mm diameter GC disc in polychlorotrifluoroethylene (PCTFE) was used as WE and polished like mentioned above. A platinized electrode and Ag/AgCl (3 M KCl) (Messtechnik Meinsberg, Germany) were used as counter electrode and reference electrodes. In order to achieve comparable surface loading, 141 µL of the 5 mg mL⁻¹ nanotube suspensions were drop-casted and dried prior use.

Determination of hydrogen hydroxide (H_2O_2) production. The detection of H_2O_2 was done accordingly to recent literature reports.^{157,158} Mixtures in a 1:1 ratio of 4 mM *p*-nitrophenyl boronic acid (*p*NBA, Sigma Aldrich, >95%) in DMSO (VWR, technical) and a 150 mM $Na_2CO_3/NaHCO_3$ (Fluka, >99.5%; Sigma Aldrich, 99.7-100.3%) buffer solution pH 9 were mixed with the sample. After 36 min incubation at room temperature under dark condition, the absorbance at 411 nm was recorded using a Thermo Fischer Multiskan Go Microplate Spectrophotometer. The amount of H_2O_2 was determined using a calibration curve made from H_2O_2 standard solution (Merck, 30%, stabilized for synthesis) (Figure S9). The measured absorbance was subtracted with the absorbance of blank sample (incubation of deionized water with chromophore). The subtracted value, Δ absorbance, was used for the quantification.

4.4.2. Synthesis and characterization of 2,6-bis(oct-7-en-1-yloxy)anthracene-9,10-dione (C_8 -AQ- C_8).



Anthraflavic Acid (0.5 g, 2.08 mmol, 1 eq) was dispersed with sonication in dry dimethylformamide (DMF) (21 mL, 0.1 M). Then, dry carbonate potassium (K_2CO_3) (0.86g, 6.24 mmol, 3eq), 8-Bromo-1-octene (0.7 mL, 4.16 mmol, 2 eq) and catalytic amount of sodium iodide (NaI) were added and the mixture was refluxed overnight under N_2 atmosphere. The next day, the crude of the reaction was allowed to rt and was poured into ice-cold 1M HCl and the precipitate was filtered. The solid was redissolved in dichloromethane (DCM) and washed with water twice times. The organic phase was dried over Na_2SO_4 and the solvent was evaporated under reduced pressure. Finally, the crude was purified by flash chromatography (DCM) to obtain the product in 86% yield. 1H NMR (400 MHz, $CDCl_3$) δ 8.22 (d, $J = 8.7$ Hz, 2H), 7.70 (d, $J = 2.6$ Hz, 2H), 7.21 (dd, $J = 8.7, 2.7$ Hz, 2H), 5.82 (ddt, $J = 16.9, 10.2, 6.7$ Hz, 2H), 5.07 – 4.90 (m, 4H), 4.14 (t, $J = 6.5$ Hz, 4H), 2.07 (q, $J = 6.9$ Hz, 4H), 1.90 – 1.78 (m, 4H), 1.55 – 1.32 (m, 12H). ^{13}C

¹⁵⁷ G. Su, Y. W. a. M. G., *Am. J. Anal. Chem.* **2011**, 2 (8), 879-884.

¹⁵⁸ Apaydin, D. H.; Seelajaroen, H.; Pengsakul, O.; Thamyongkit, P.; Sariciftci, N. S.; Kunze-Liebhäuser, J.; Portenkirchner, E., *ChemCatChem* **2018**, 10 (8), 1793-1797.

NMR (101 MHz, CDCl_3) δ 182.5, 164.2, 139.1, 136.0, 129.8, 127.1, 121.1, 114.5, 110.7, 68.9, 33.8, 29.1, 28.9, 26.0. MALDI calculated for $\text{C}_{30}\text{H}_{36}\text{NaO}_4$ $[\text{M}+\text{Na}]^+$: 483.2506; found 483.2522.

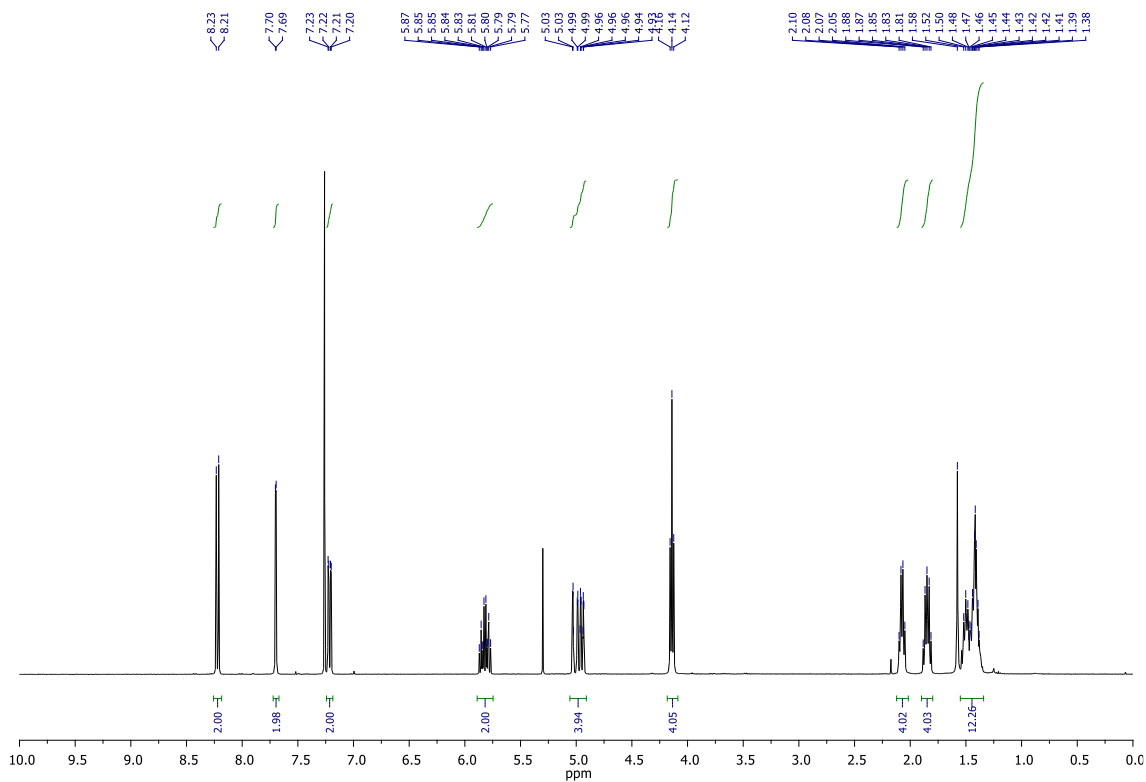


Figure S1. $^1\text{H-NMR}$ spectrum of $\text{C}_8\text{-AQ-C}_8$ (CDCl_3 , 298 K).

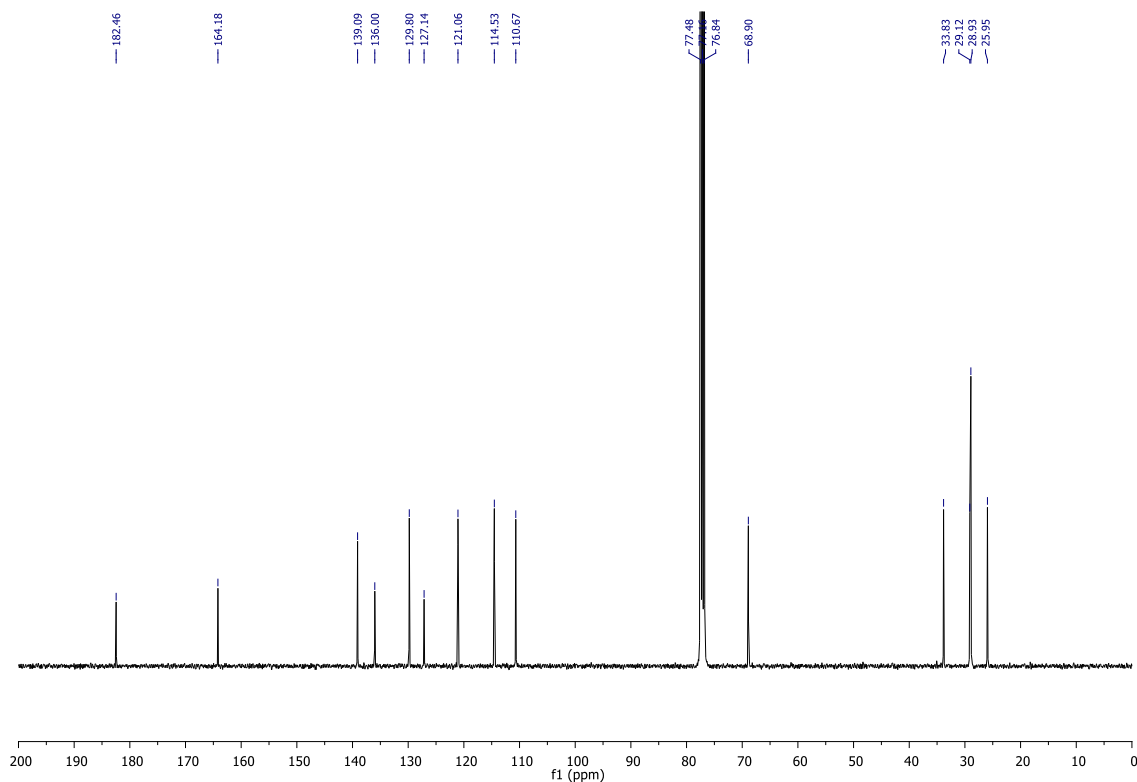


Figure S2. ^{13}C -NMR spectrum of $\text{C}_8\text{-AQ-C}_8$ (CDCl_3 , 298 K).

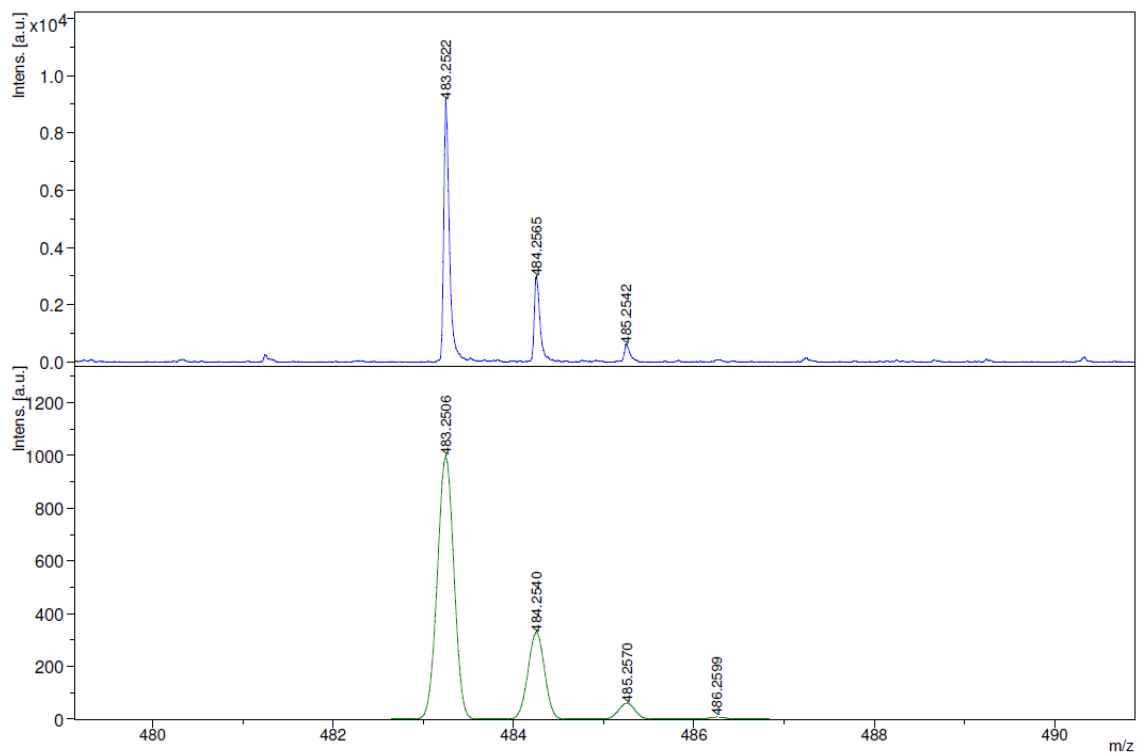


Figure S3. MALDI spectra of $\text{C}_8\text{-AQ-C}_8$.

4.4.3. Synthesis and characterization of MINT.

The (6,5)- enriched SWNTs purchased from Sigma Aldrich were purified previously. 100 mg of SWNTs were suspended in 70 mL of 35 % HCl, and sonicated for 10 min. The mixture was poured in 200 mL of MQ water and filtered through a polycarbonate membrane of 0.2 μm pore size. The solid was washed with water to neutral pH and then dried in an oven at 350 $^{\circ}\text{C}$ for 30 min. Pristine plasma-purified SWNTs were used without previous purification.

The pristine (10 mg) were suspended in 10 mL of tetrachloroethane through sonication (10 min) and mixed with 0.01 mmol of linear bisalkene U-shaped AQ,⁹⁹ and Grubbs' second-generation catalyst at room temperature for 72 h under N_2 atmosphere. After this time, the suspension was filtered through a PTFE membrane of 0.2 μm pore size and the solid washed profusely with DCM. The solid was re-suspended in 10 mL of DCM through sonication for 10 min and filtered through a PTFE membrane of 0.2 μm pore size again. This washing procedure was repeated three times. The sample obtained was denoted as MINT-AQ.¹⁴⁴ The synthesis of the supramolecular complex was denoted as AQ@SWNT and was performed by the direct mixing of the adequate amounts of (6,5)-SWNT and the corresponding macrocycle without catalyst to achieve the same functionalization loading of organic material over the nanotube compared to their respective MINT sample. Two samples with different loading of the macrocycle have been synthesized.

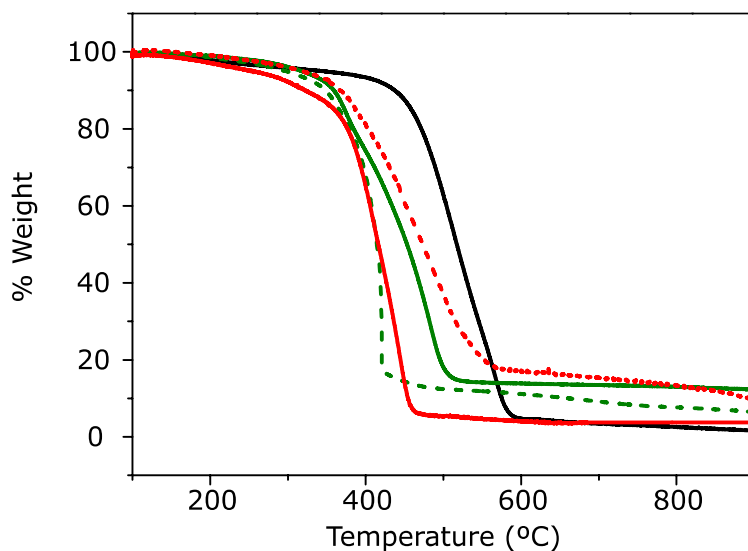


Figure S4. TGA plots (ramp of $10\text{ }^{\circ}\text{C min}^{-1}$ under air from 100 to 900 $^{\circ}\text{C}$) of SWNT (black), MINT-AQ (green), AQ@SWNT (red), MINT-AQ with low loading (green dashed line) and AQ@SWNT with low loading (red dashed line).

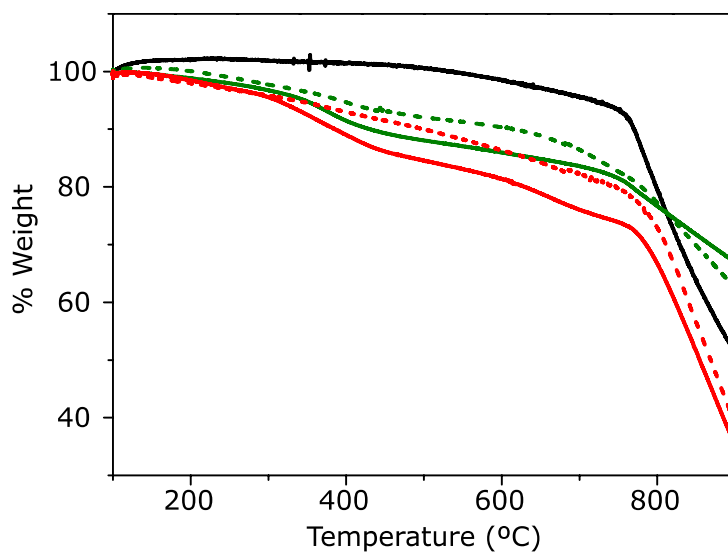


Figure S5. TGA plots (ramp of $10\text{ }^{\circ}\text{C min}^{-1}$ under N_2 from 100 to 900 $^{\circ}\text{C}$) of SWNT (black), MINT-AQ (green), AQ@SWNT (red), MINT-AQ with low loading (green dashed line) and AQ@SWNT with low loading (red dashed line).

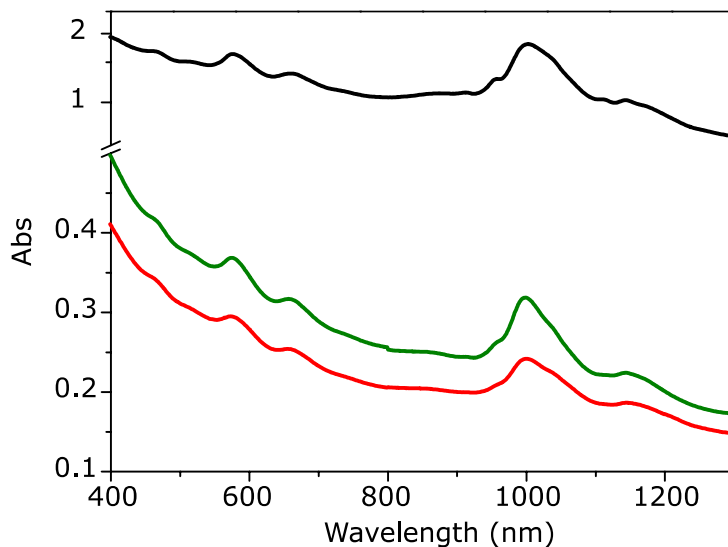


Figure S6. UV-Vis spectra (D_2O / SDS (1 wt. %) at room temperature) of SWNT (black), MINT-AQ (green) and AQ@SWNT (red).

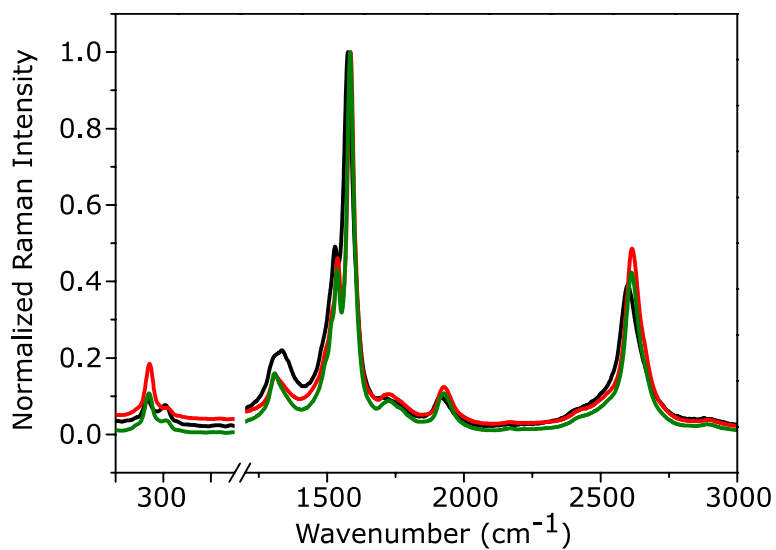


Figure S7. Raman spectroscopy. Average ($N = 25$). Raman spectra of SWNT (black), MINT-AQ (green) and AQ@SWNT (red).

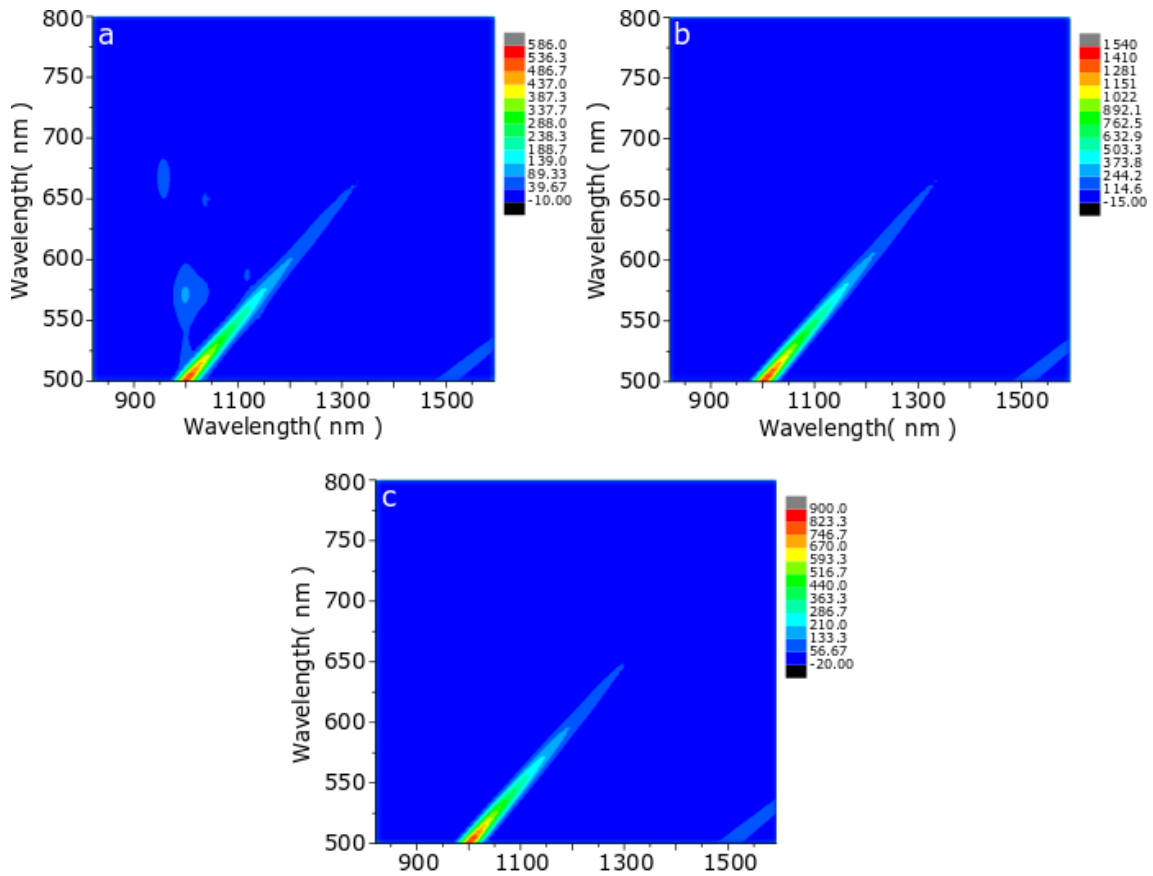


Figure S8. PLE map of **a.** (6,5)-SWNT, **b.** MINT-AQ and **c.** AQ@SWNT. Rayleigh scattering has not been filtered in this image.

4.4.4. H₂O₂ calibration curve.

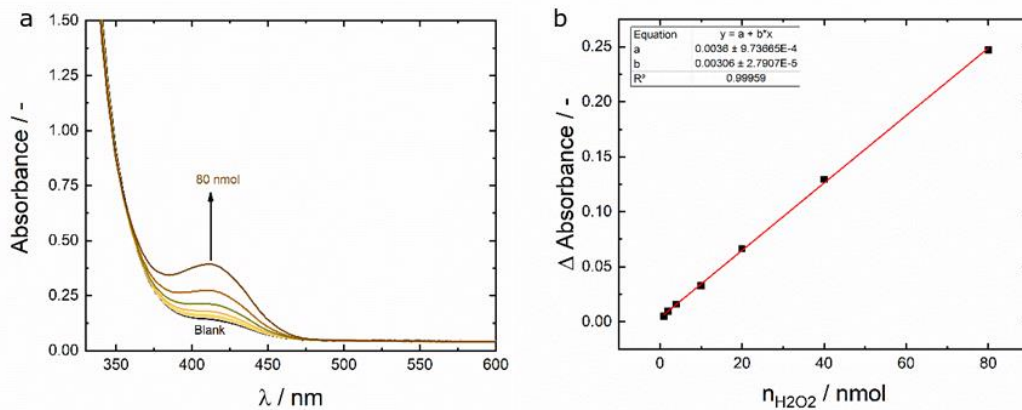


Figure S9. a. Absorption spectra of standard H₂O₂ solution at various concentrations and **b.** calibration curves of the H₂O₂ quantification including the formula.

4.4.5. Homogeneous investigations.

As mentioned in the article, an octyloxy-AQ derivative was chosen to compare it to the MINT-AQ electrochemical experiments. In the following Figure S10 the CV of 2.5 mM AQ is compared with the CV of 1 mM octyloxy-AQ in 0.1M TBAPF₆ in ACN solution.

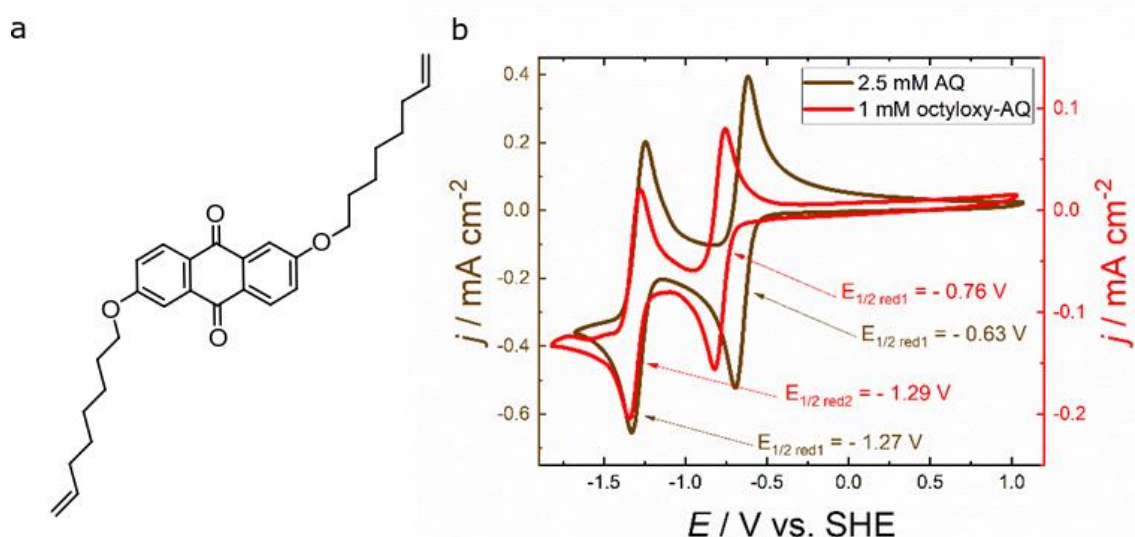


Figure S10. **a.** Structure of the (bis)octyloxy-AQ and **b.** cyclic voltammograms of 2.5 mM AQ and 1 mM octyloxy-AQ recorded in ACN containing 0.1 M TBAPF₆ with scan rates of 20 mV s⁻¹.

The CV curves shown in Figure S10 show, that the alkoxy substituents shift the first reduction wave cathodically by 130 mV whereas the second reduction wave is nearly unaffected.

4.4.6. Kinetic Investigations.

Regarding the cycle stability test, MINT-AQ was reasonably stable, while AQ@SWNT suffered from severe loss of j_p over 50 cycles. Nevertheless, kinetic studies of both MINT-AQ and AQ@SWNT were performed by CV with varied scan rate (Figure 3a and b). Due to the fact that especially the AQ@SWNT sample with the 15 % loading (and also the MINT-AQ sample with 13 %) suffered from significant loss of j_p during the kinetic studies (Figure S12b and d), from continuous delamination over the experiment time, no further kinetic data could be extracted thereof. The investigation of samples with lower loadings proved sufficient stability (Figure S12a and c), the mentioned kinetic studies and analysis could be determined.

In the following Figure S11 all the CV's of MINT-AQ (4 % m/m) as well as AQ@SWNT (6 % m/m) on GC electrodes in 0.1M Na₂SO₄ in H₂O are shown.

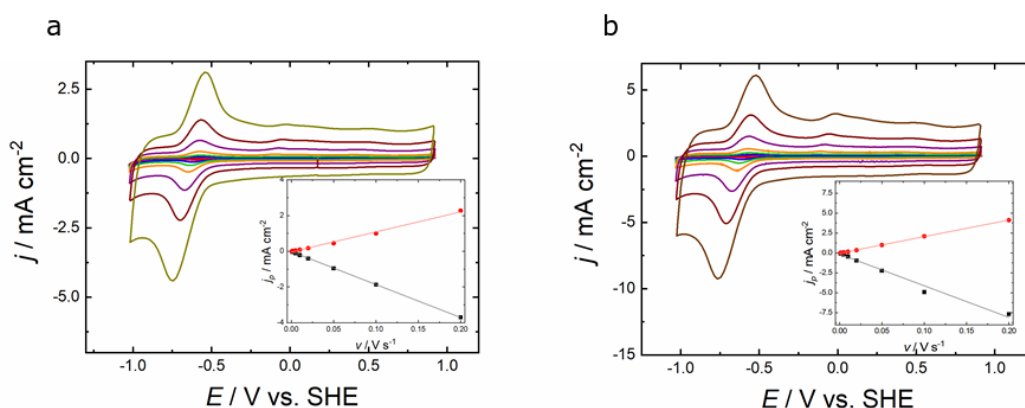


Figure S11. Cyclic voltammograms of **a.** MINT-AQ and **b.** AQ@SWNT recorded in 0.1 M Na₂SO₄ aqueous solution with various scan rates of 1, 2, 5, 10, 20, 50, 100 and 200 mV s⁻¹. The insets show plots of j_p vs the scan rate.

The curves shown in Figure S11 were analysed concerning peak currents j_p 's and the resulting plots as are shown as insets. In both cases, a linear correlation of the j_p with the scan rate (v) was observed.

Also, the integrated peak charges were calculated where in case of both MINT-AQ batches quite stable values of, in case of both AQ@SWNT due to degradation over the cycles only decreasing values were observed. This decreasing of the peak currents over the time-scale of the scan rate dependence experiments is shown in the following Figure S12 where in all cases “fast” scans at 200 mV s⁻¹ were done before, during and after the experiment.

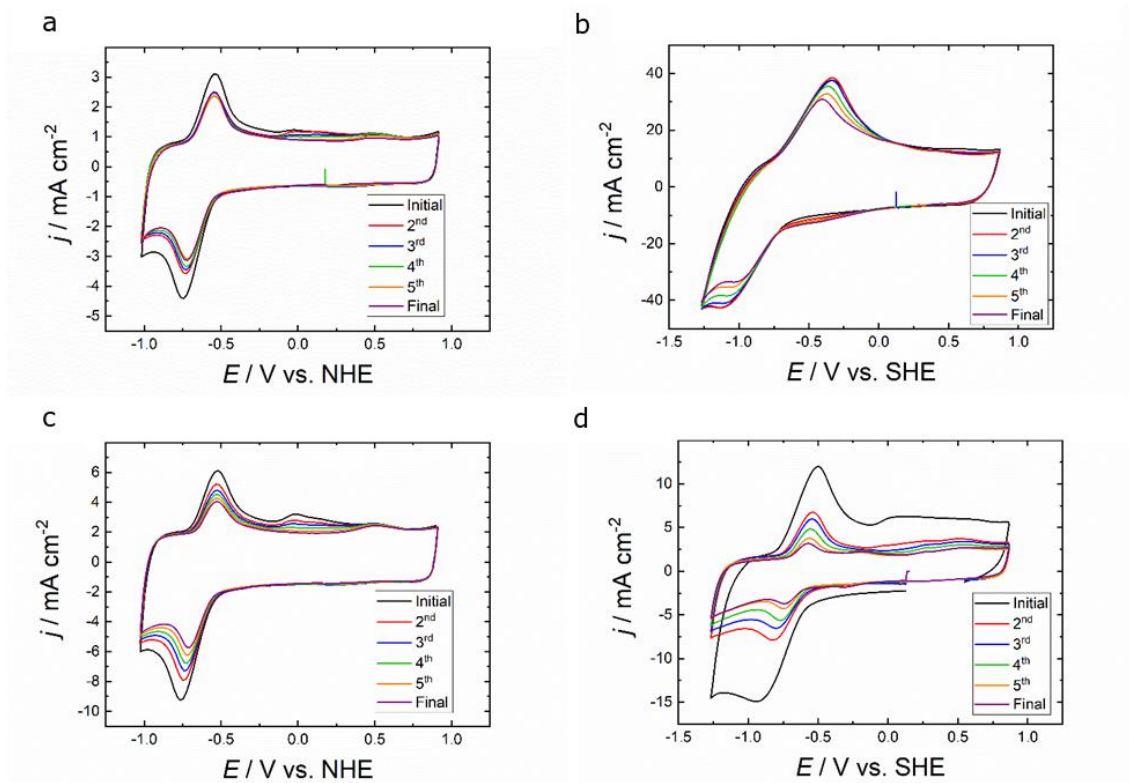


Figure S12. Cyclic voltammograms of **a.** MINT-AQ with low loading (4% m/m), **b.** MINT-AQ with high loading (13% m/m), **c.** AQ@SWNT with low loading (6% m/m) **d.** AQ@SWNT with high loading (15% m/m) (all recorded at 200 mV s^{-1}).

Besides the strong j_p dependence on the loading, a moderate decrease of j_p in case of both MINT-AQ samples was observed in Figure S12. In case of the AQ@SWNT sample with low loading, this j_p drop was still acceptable, although also as reported above the integrated peak charges decrease by 50 % over the experiment. The AQ@SWNT sample with high loading showed a significant decrease in j_p over this experiment, which does not allow a scientific analysis of the scan rate dependence of j_p .

In the following Figure S13, the cycle stability of MINT-AQ in the organic conditions of 0.1M TBAPF₆ in ACN is shown.

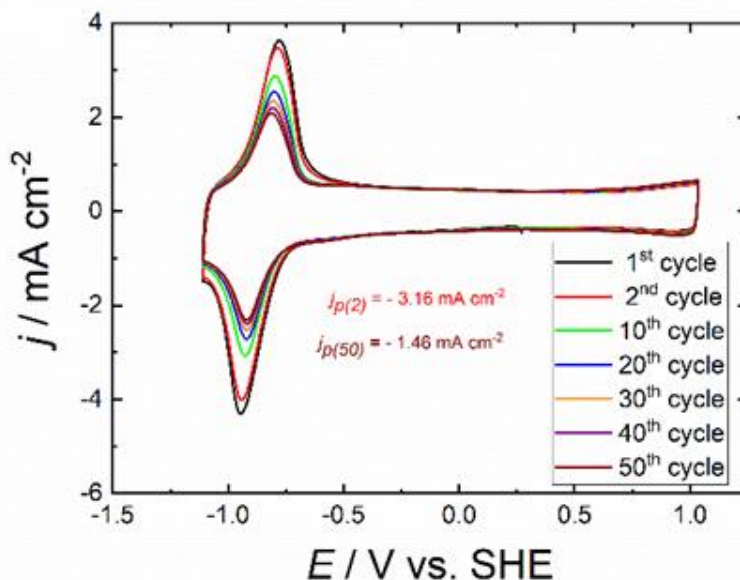


Figure S13. CV curve of MINT-AQ in ACN containing 0.1M TBAPF₆ upon 50 sweeping cycles.

In general, a similar behaviour like in aqueous solution (see Figure 3) was observed. The lower j_p can be explained by the fact that, in organic solvents in this potential range only a 1-electron reduction process is taking place.

4.4.7. Investigations on oxygen reduction reaction with MINT-AQ and SWNT.

In order to provide a full comparison between the MINT-AQ in 0.1M NaOH (Figure 4a), also in the following Figure S14 cyclic voltammetry of MINT-AQ and AQ@SWNT recorded in 0.1M Na₂SO₄ under air, N₂ and O₂ is compared.

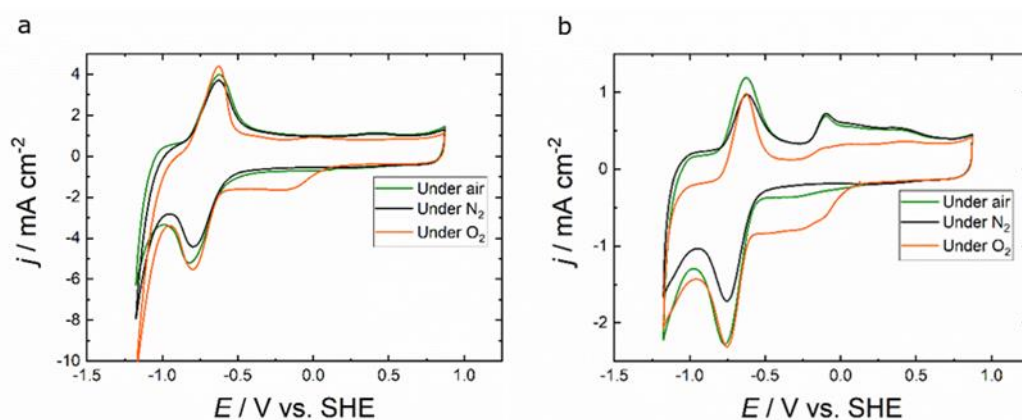


Figure S14. Cyclic voltammograms of **a.** MINT-AQ and **b.** AQ@SWNT recorded in 0.1 M Na₂SO₄ aqueous solution under air, N₂- and O₂-saturated conditions.

Like in the previous samples, upon O_2 present in the electrolyte solution, a reductive peak around 0.0 V vs. SHE was observed. Besides, in accordance to Figure 3b and Figure S12c, in case of AQ@SWNT at -0.15 V an oxidative feature appeared, which decreased in height upon O_2 addition.

Complementary to Figure 4a in the main text as well as Figure S14, the following Figure S15 shows a SWNT sample in 0.1M Na_2SO_4 under N_2 and O_2 as well as the chronoamperometry I/t curve of SWNT at -0.33 V for 8 h.

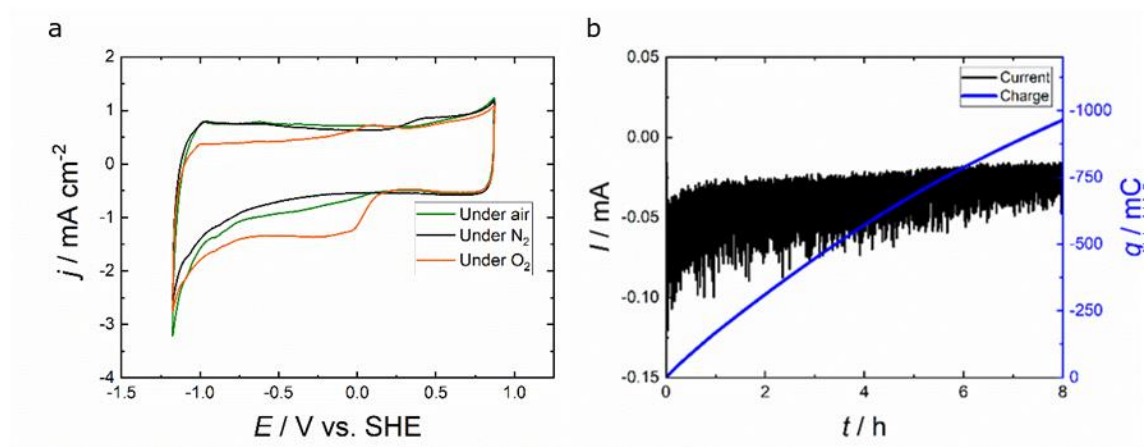


Figure S15. **a.** Cyclic voltammograms of SWNT in 0.1 M Na_2SO_4 aqueous solution under N_2 and O_2 -saturated conditions. **b.** Chronoamperometry curve and cumulative charges of SWNT were recorded at -0.33 V in 0.1 M NaOH aqueous solution over 8-h electrolysis.

As can be seen from Figure S15a, also pristine SWNT show a reductive step upon O_2 addition, which is already a hint for oxygen reduction. The I/t curve shows a lower current compared to the MINT-AQ case in Figure 4 with just slight decrease over time.

In addition to the chronoamperogram of MINT-AQ in Figure 4b, in this following Figure S16 the chronoamperogram of AQ@SWNT under otherwise identical conditions is shown.

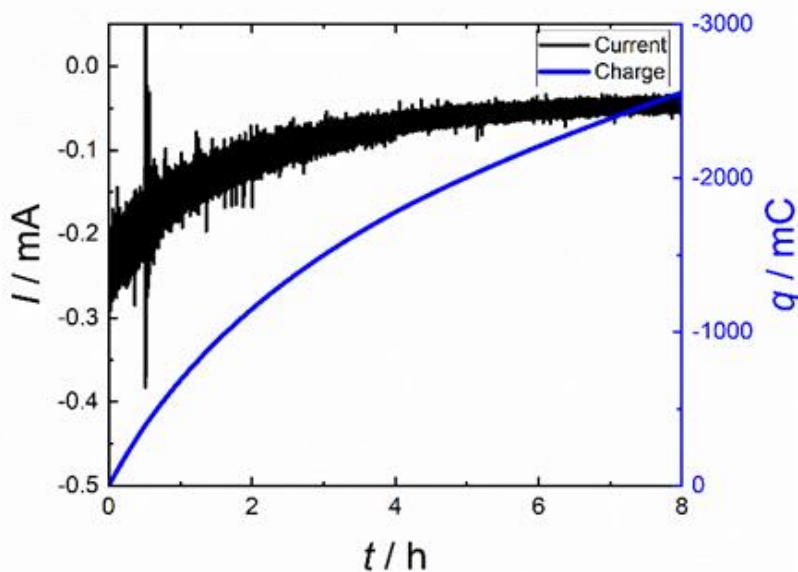


Figure S16. Chronoamperogram and accumulated charges of AQ@SWNT was measured by applying a constant potential of -0.33 V in 0.1 M NaOH solution over 8h.

In order to prove, that the MINT-AQ is stable on the electrode over the time of the electrolysis, in the following Figure S17, CV curves before and after were compared:

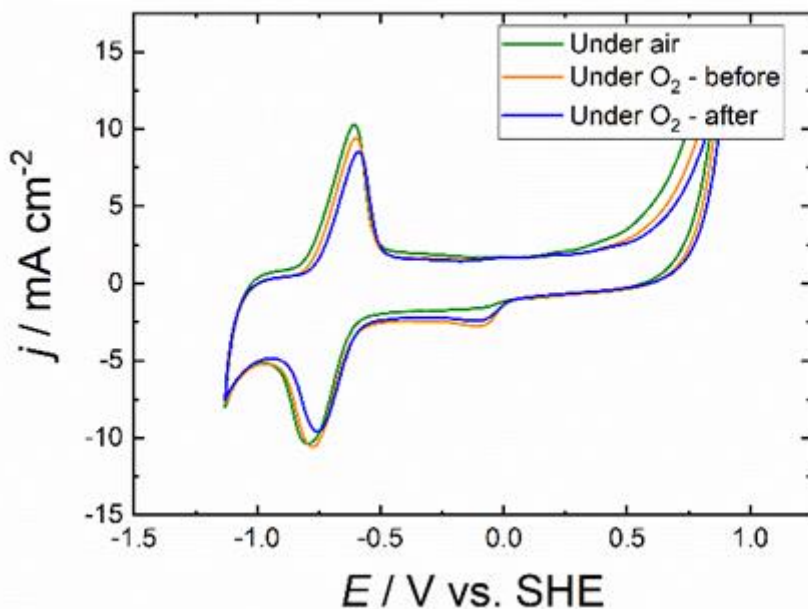


Figure S17. Cyclic voltammograms of MINT-AQ recorded in 0.1 M NaOH under air and O₂-saturated conditions before and after 8-h electrolysis.

From the CV in Figure S17 it can be stated, that the MINT-AQ is very stable under the conditions of electrolysis and shows just a slight decrease in j_P of 6.3 %.

All details on the kinetic parameters like the total moles of H_2O_2 produced, the rate and the Faradaic efficiency (FE) of oxygen reduction electrolysis at different conditions are displayed in the following Table S1:

Table S2. Electrocatalytic activities of SWNT, MINT-AQ and AQ@SWNT in 0.1 M Na_2SO_4 and 0.1 M NaOH for 8-h electrolysis at -330 mV vs. SHE at room temperature. 0.1 mg of the compound was drop-casted in each case.

SWNT

Time / h	In 0.1M Na ₂ SO ₄			In 0.1M NaOH		
	n(H ₂ O ₂) ^[a] / μmol	H ₂ O ₂ production rate ^[b] / μmol _{H₂O₂} mg ⁻¹ h ⁻¹	FE ^[b] / %	n(H ₂ O ₂) ^[a] / μmol	H ₂ O ₂ production rate ^[b] / μmol _{H₂O₂} mg ⁻¹ h ⁻¹	FE ^[b] / %
Initial	0.94	n. d.	n. d.	0.16	n. d.	n. d.
1	0.85	n. d.	n. d.	0.29	1.28	14.7
2	0.80	n. d.	n. d.	0.60	3.19	43.0
4	1.09	1.46	17.4	1.09	2.42	36.0
6	1.35	1.33	19.9	1.97	4.39	78.6
8	1.41	0.26	4.7	2.37	2.02	43.7

MINT-AQ

Time / h	In 0.1M Na ₂ SO ₄			In 0.1M NaOH		
	n(H ₂ O ₂) ^[a] / μmol	H ₂ O ₂ production rate ^[b] / μmol _{H₂O₂} mg ⁻¹ h ⁻¹	FE ^[b] / %	n(H ₂ O ₂) ^[a] / μmol	H ₂ O ₂ production rate ^[b] / μmol _{H₂O₂} mg ⁻¹ h ⁻¹	FE ^[b] / %
Initial	0.20	n. d.	n. d.	0.46	n. d.	n. d.
1	0.29	0.86	2.5	1.69	12.31	50.7
2	0.48	1.91	5.4	2.85	11.66	51.6
4	1.24	3.81	11.2	4.34	7.44	34.8
6	1.50	1.32	3.8	6.02	8.38	41.5
8	2.14	3.19	9.6	7.46	7.20	36.7

AQ@SWNT

Time / h	In 0.1M Na ₂ SO ₄			In 0.1M NaOH		
	n(H ₂ O ₂) ^[a] / μmol	H ₂ O ₂ production rate ^[b] / μmol _{H₂O₂} mg ⁻¹ h ⁻¹	FE ^[b] / %	n(H ₂ O ₂) ^[a] / μmol	H ₂ O ₂ production rate ^[b] / μmol _{H₂O₂} mg ⁻¹ h ⁻¹	FE ^[b] / %
Initial	0.39	n. d.	n. d.	1.54	n. d.	n. d.
1	0.48	0.86	4.4	2.06	5.18	14.6
2	0.67	1.89	10.8	2.47	4.13	17.2
4	0.98	1.57	8.1	3.36	4.48	27.4
6	1.38	1.97	10.1	4.41	5.25	47.5
8	1.80	2.15	11.7	5.95	7.68	84.6

[a] Cumulative, absolute amount of H₂O₂ detected by spectrophotometer.

[b] Calculated per time interval

4.4.8. RDE Experiments.

Samples of SWNT, MINT-AQ and AQ@SWNT were drop-casted onto RDE electrodes with the same loading and tested under O₂ saturation in 0.1M NaOH solution. The rotation speed was varied between 100 and 3600 rpm. In order to show ORR, linear sweep voltammograms (LSV) of an intermediate and representative speed of 1200 rpm was chosen to compare the three samples in Figure 4c.

Comparing the current densities of the three samples revealed that MINT-AQ shows the highest catalytic current. Nevertheless, performing Koutecki-Levich-Analysis¹⁵⁹ using eq. 1 showed that assuming the geometric electrode surface area is significantly underestimating the real electrode surface area with the CNT structure.

$$\frac{1}{i} = \frac{1}{i_K} + \frac{1}{0.62 n F A D^{2/3} \nu^{-1/6} c_0} \cdot \frac{1}{\omega^{1/2}} \quad (1)$$

Although the lack of the exact electrode area hinders further analysis of the slope in Koutecki-Levich-Plot, still the values of i_K correlate with the rate constant k , as shown in eq. 2:

$$i_K = n F A k_f(E) c_0 \quad (2)$$

As those values of i_K refer to the exchange current without any convection effects, it can still be regarded as value for comparing the three systems as they arrange on the electrode surface.

¹⁵⁹ Bard, A. J.; Faulkner, L. R., *Electrochem. Methods* **2001**, 2, 482.

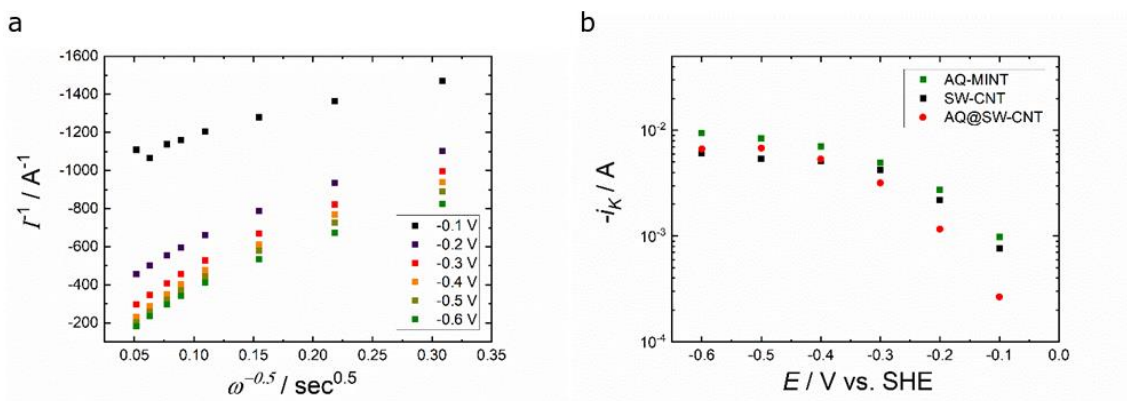


Figure S18. a. Koutecki-Levich plots of MINT-AQ in 0.1M NaOH at potentials between -0.1 and -0.6 V. **b.** i_K values of MINT-AQ, AQ@SWNT and SWNT determined from the intercept of the Koutecki-Levich plots depending on the potential applied.

As can be seen from Figure S18a, the Koutecki-Levich plots for MINT-AQ show a reasonable linear trend for those potentials applied. Plotting the i_K values of MINT-AQ, AQ@SWNT and SWNT from the Koutecki-Levich plots show that at more positive potentials AQ@SWNT and SWNT differ but come to quite close values at more negative potentials. In all potentials regarded, MINT-AQ shows the highest i_K which can be regarded as a hint for a higher electrocatalytic activity.

As a result, we propose that the different CNT samples with and without AQ modification arrange in a different way on the GC surface, which also affects the real, electroactive surface area.

5. Introduction. Chemistry of Thiol-ene “Click” Reaction on Materials

5.1. Introduction of “Click” Reaction.

The concept of “Click Reaction” was introduced by Sharpless and co-workers in 2001.¹⁶⁰ This concept refers to a group of reactions which have specific characteristics: a) stereospecific, b) orthogonality, c) simple reaction conditions, d) no or inoffensive subproducts, e) wide range of starting materials and f) tolerance for polar solvents, even water or sometimes even solventless. In summary, click reactions are robust, efficient and orthogonal tools in synthesis.¹⁶¹ These reactions have been widely used in a range of fields, such as chemistry of materials, metal surfaces or organic synthesis.^{161,162,163,164} In Figure 1 is possible to see some examples of click reactions.

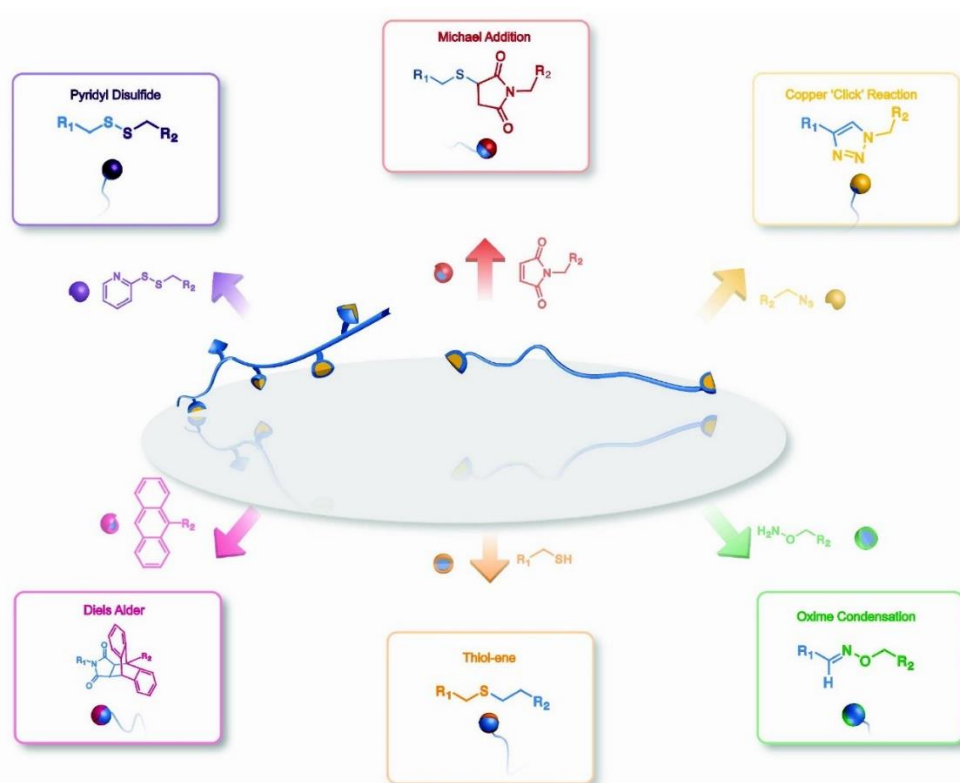


Figure 1. Different kinds of click reactions. Adapted with permission from *Chem. Rev.* **2009**, *109* (11), 5620-5686. Copyright (2009) American Chemical Society.

¹⁶⁰ Kolb, H. C.; Finn, M. G.; Sharpless, K. B., *Angew. Chem. Int. Ed.* **2001**, *40* (11), 2004-2021.

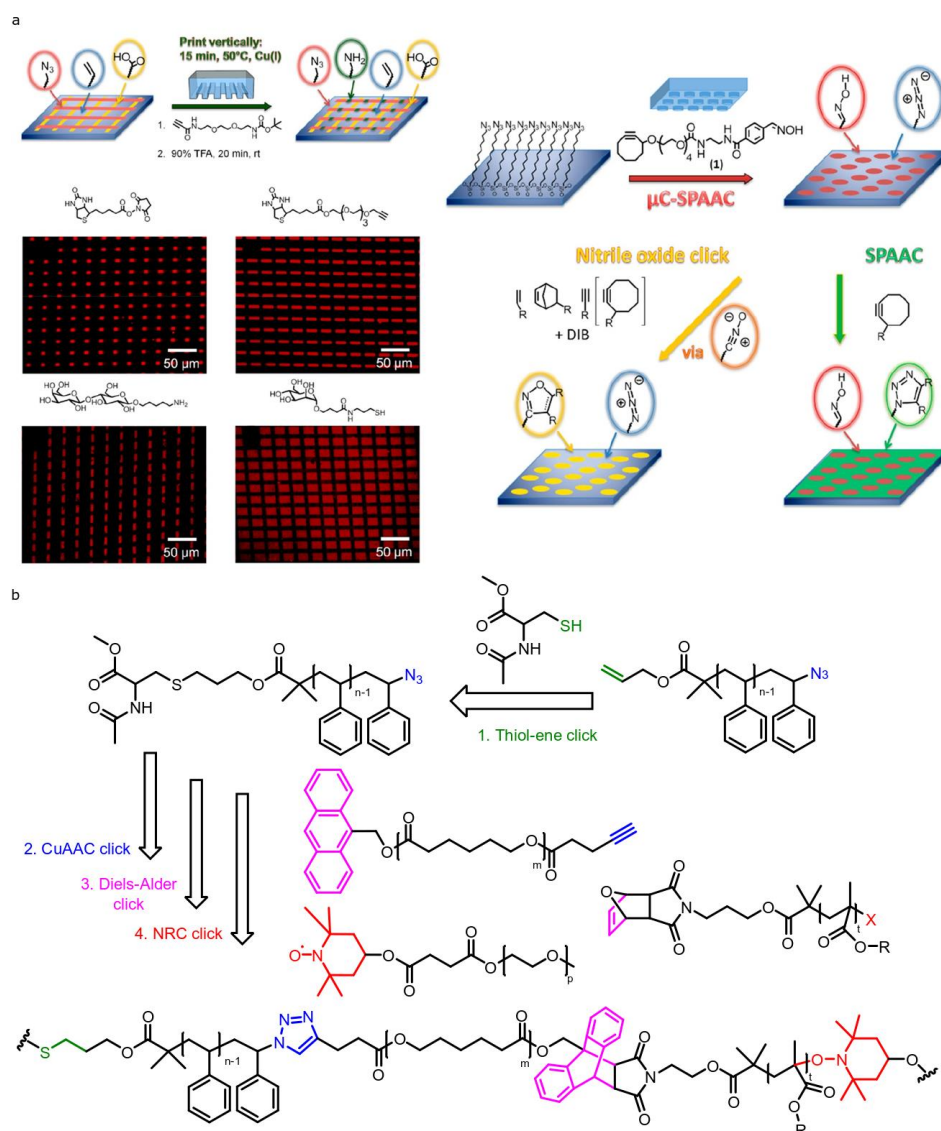
¹⁶¹ Iha, R. K.; Wooley, K. L.; Nyström, A. M.; Burke, D. J.; Kade, M. J.; Hawker, C. J., *Chem. Rev.* **2009**, *109* (11), 5620-5686.

¹⁶² Tunca, U., *Macromol. Chem. Phys.* **2018**, *219* (16), 1800163.

¹⁶³ Collman, J. P.; Devaraj, N. K.; Eberspacher, T. P. A.; Chidsey, C. E. D., *Langmuir* **2006**, *22* (6), 2457-2464.

¹⁶⁴ Xu, Z.; Bratlie, K. M., *ACS Biomater. Sci. Eng.* **2018**, *4* (7), 2276-2291.

The powerful click reaction become even more powerful when used in combination. For example, in the modification of Self-Assembled Monolayers (SAMs), Lamping *et al.*¹⁶⁵ functionalized a surface with different organic functional click groups via “microcontact chemistry” (Figure 2a). Microcontact chemistry consists in a functionalized ink deposited on a suitable substrate using a microstructured elastomer stamp, which delivers the ink exclusively in the area of contact between the stamp and substrate. This ink fixes with the SAM and using different inks and patterns, they obtained a SAM capable to functionalize in different ways. Then, with different click chemistry reactions, and thanks to their orthogonality, they functionalized selectively different patterns because each pattern reacts only with a specific functional group: azide-alkyne, thiol-olefin, amine- carboxylic acid and hydroxyimine –oxime.



¹⁶⁵ Lamping, S.; Buten, C.; Ravoo, B. J., *Acc. Chem. Res.* **2019**, 52 (5), 1336-1346.

Figure 2. a. Different examples of modification of SAMs (left, tetrafunctional alkene/azide/acid/amine SAM; right, difunctional azide/ nitrile oxide) through microcontact chemistry with different functionalized ink. Each pattern could be functionalized with different click reaction: CuAAC, thiol-ene and oxime condensation. Adapted with permission from *Acc. Chem. Res.* **2019**, 52 (5), 1336-1346. Copyright (2019) American Chemical Society. **b.** Synthesis of cysteine-terminated linear polystyrene through quadruple click reaction.

Another example of the power of combined click chemistry reaction was reported by Tunca and co-workers¹⁶⁶ in the synthesis of polymers. They synthesised a cysteine-terminated linear polystyrene through quadruple click reaction: thiol-ene reaction, CuAAC click, Diels-Alder click and nitro radical coupling click (Figure 2b).

Among all of click reactions, we will focus on thiol-ene reaction (thiol-double bond) and thiol-Michael Addition (thiol and carbonyl α,β -unsaturated), in both cases yielding C-S bond. This chemistry^{167,168,169,170} is widely found within in polymer chemistry,¹⁷¹ organic synthesis¹⁷² and materials, such as hydrogels¹⁶⁴ or photolithographic patterning of a substrate.¹⁷³

5.2. Thiol-ene “Click” Reaction.

Since the introduction of the click reaction concept, these reactions have been more developed and studied. One of the most used and important click reactions has been the thiol-ene reaction. This reaction consists in a coupling between a thiol and an olefin to form alkyl sulphide. Thiols are soft nucleophiles characterized by a weak sulfur-hydrogen bond which promotes easy deprotonation of the thiol. The breaking of the sulfur-hydrogen bond can be done through two pathways. These are free radical and base catalysed methods:

¹⁶⁶ Candan, O. A.; Durmaz, H.; Hizal, G.; Tunca, U., *J. Polym. Sci. Polym. Chem.* **2012**, 50 (14), 2863-2870.

¹⁶⁷ Hoyle, C. E.; Bowman, C. N., *Angew. Chem. Int. Ed.* **2010**, 49 (9), 1540-1573.

¹⁶⁸ Nair, D. P.; Podgórski, M.; Chatani, S.; Gong, T.; Xi, W.; Fenoli, C. R.; Bowman, C. N., *Chem. Mater.* **2014**, 26 (1), 724-744.

¹⁶⁹ Lowe, A. B., *Polym. Chem.* **2014**, 5 (17), 4820-4870.

¹⁷⁰ Mather, B. D.; Viswanathan, K.; Miller, K. M.; Long, T. E., *Prog. Polym. Sci.* **2006**, 31 (5), 487-531.

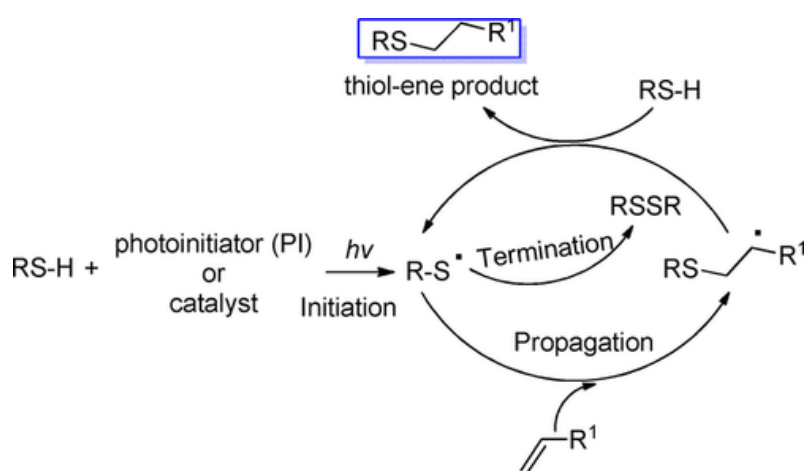
¹⁷¹ Hoyle, C. E.; Lowe, A. B.; Bowman, C. N., *Chem. Soc. Rev.* **2010**, 39 (4), 1355-1387.

¹⁷² Sinha, A. K.; Equbal, D., *Asian J. Org. Chem.* **2019**, 8 (1), 32-47.

¹⁷³ Jonkheijm, P. *et al.*, *Angew. Chem.* **2008**, 120 (23), 4493-4496.

- a) *Free radical*. In this case, the sulfur-hydrogen bond breaks homolytically, generating S^\bullet radicals. As a radical method, it is sensitive to oxygen.

The mechanism (Scheme 1) proceeds through the three steps of a radical reaction: initiation, propagation and termination. In the initiation step, a catalyst or photo-initiator generates a thiyl radical. This radical goes to propagation step which involves the addition to an olefin group. This addition generates a second radical which takes a proton from the initial thiol in order to obtain the product. This process generates again the thiyl radical which is the responsible to start again the cycle.



Scheme 1. Radical mechanism for thiol-ene reaction.

According to the reactivity of the thiol molecule, there are four basic groups of thiol groups: alkyl thiols, thiolpropionate thiols, thioglycolate (thiolacetate) thiols and aromatic thiols. In the free radical method, the reactivity decreases as the pK_a increases (Figure 3).

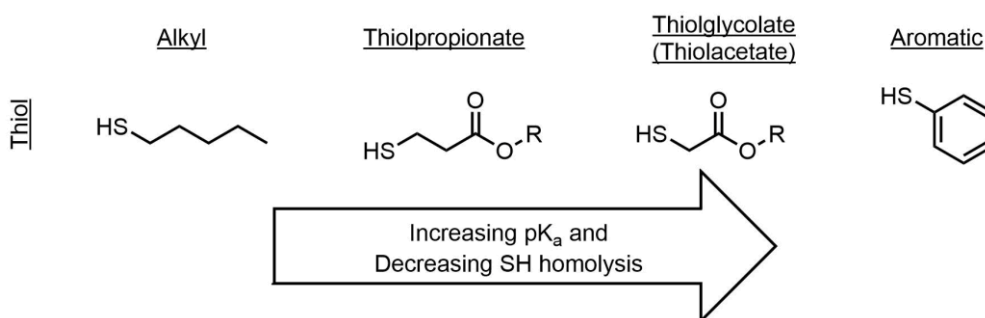


Figure 3. Reactivity of different thiols in the free radical mechanism.

An example of thiol-ene reaction through free radical mechanism in chemistry of materials was reported by Taubert and co-workers.¹⁷⁴ They performed a heterogeneous thiol-ene click photoaddition reaction using mesoporous silica gel functionalized with vinyl groups without photo-initiator. The functionalized mesoporous silica was synthesised previously through a sol-gel hydrolysis-polycondensation reaction from tetramethyl orthosilicate, vinyltriethoxysilane and trimethoxysilane respectively.

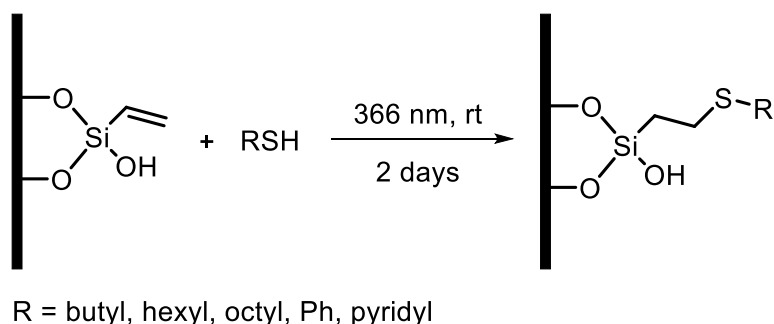
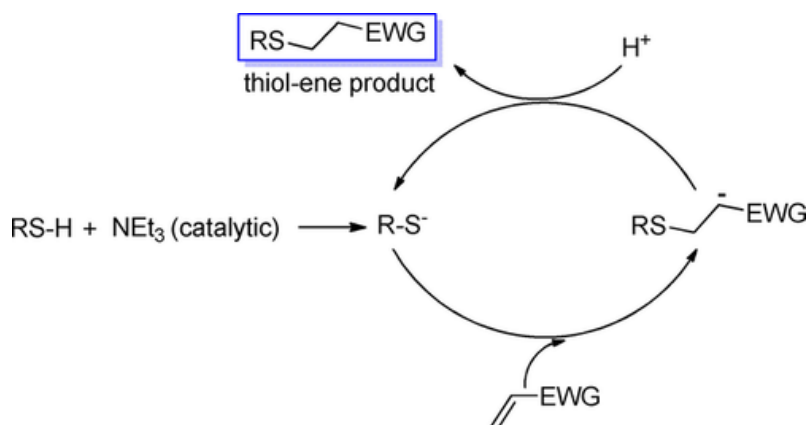


Figure 4. Surface modification of mesoporous silica monoliths through thiol-ene chemistry.

- b) *Base catalysed method.* The sulfur-hydrogen bond breaks using a base, normally tertiary amine, to obtain the thiolate anion (**S⁻**).

The base (in this case triethylamine, Et₃N) takes a proton from a thiol molecule generating thiolate anion. This thiolate attacks the double bond creating an intermediate specie which takes a proton from a new thiol molecule in order to obtain the product. This process generates again the thiolate anion which is the responsible to start again the cycle.



Scheme 2. Base catalysed mechanistic pathway for thiol-ene reaction.

¹⁷⁴ Göbel, R.; Heseemann, P.; Friedrich, A.; Rothe, R.; Schlaad, H.; Taubert, A., *Chem. Eur. J.* **2014**, *20* (52), 17579-17589.

In this kind of catalysis, the reactivity increases with the nucleophilicity of the thiolate. (Figure 5) favouring the attack to electron poor enes.

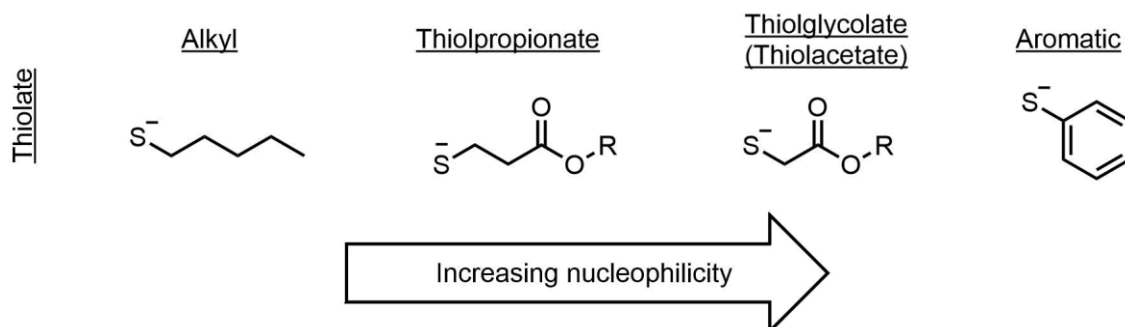


Figure 5. Reactivity of the thiolate molecule in the based-catalysed method.

An interesting example of comparison between the radical and the base catalysed methods was published in 2013 by Stolz *et al.*¹⁷⁵ They studied the regioselectivity of the thiol-ene reaction of *N*-allyl maleimide between free radical method and base catalysed method, specifically in the thiol-Michael Addition.

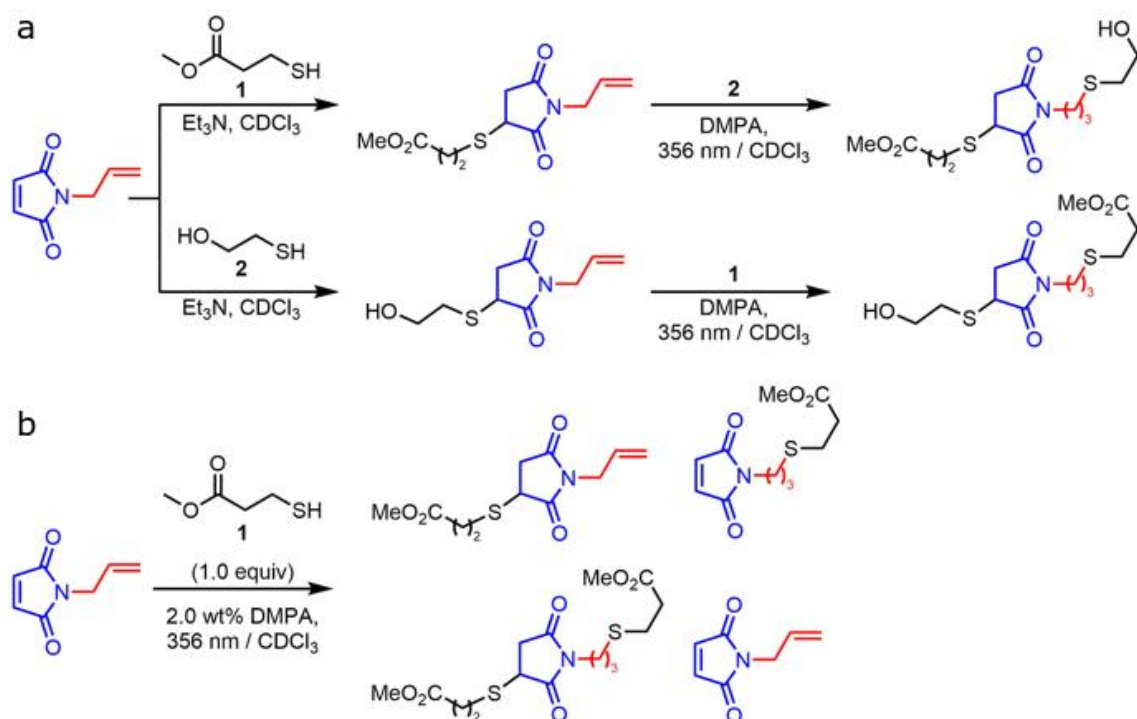


Figure 6. a. Regioselectivity of thiol-ene reaction. First step is via base catalysed thiol-Michael Addition with **1** and **2**. The second step by free radical method. **b.** Competitive

¹⁷⁵ Stolz, R. M.; Northrop, B. H., *J. Org. Chem.* **2013**, 78 (16), 8105-8116.

between allyl and maleimide double bonds through free radical method. *DMPA: 2,2-dimethoxy-2-phenylacetophenone. Adapted with permission from *J. Org. Chem.* **2013**, 78 (16), 8105-8116. Copyright (2013) American Chemical Society.

In the based catalysed conditions (thiol-Michael Addition), the regioselectivity remains obtaining succinimide adducts as only product. (Figure 6a). However, if free radical conditions are used, the authors obtained a complex mixture of products (Figure 6b) without total conversion (with 1.0 equiv. of **1**). The authors supported these experimental results with computational studies. There are significant differences in the energetics of thiolate (CH_3S^-) addition to the maleimide versus allyl underlie their absolute selectivity in thiol-Michael reactions. The energetics of thiyl ($\text{CH}_3\text{S}^\bullet$) addition to the maleimide versus allyl are much more similar and, therefore, competitive.

5.2.1. Thiol-Michael Addition.

As we have mentioned in the last section, there is a great affinity between thiols and maleimides through thiol-Michael Addition.¹⁶⁸ In the last years it is common to use another kind of bases in this kind of reaction, more nucleophilic, such as primary and secondary amines and alkyl phosphines.¹⁶⁸ With this nucleophilic character, the mechanism must change due to the decreasing of basic character¹⁷⁶ (Figure 7c).

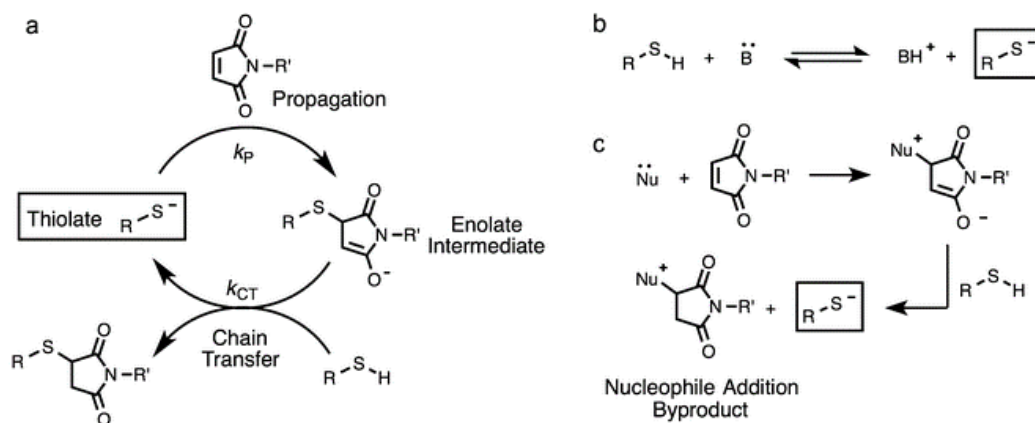


Figure 7. a. Mechanism for base catalysed thiol-ene Michael Addition reaction of thiols to an *N*-substituted maleimides. b. Acid-base equilibrium between base and thiol-thiolate anion. c. Mechanism for nucleophile catalysed.

¹⁷⁶ Northrop, B. H.; Frayne, S. H.; Choudhary, U., *Polym. Chem.* **2015**, 6 (18), 3415-3430.

The nucleophile (primary, secondary amines or alkyl phosphines) attacks to π -bond of maleimide to give a zwitterionic enolate intermediate. This enolate deprotonates the thiol to give a thiolate anion, which performed the same catalytic pathway as when initiated by a base. It is important to note that this pathway results in the formation of inconsequential amount of nucleophile addition byproduct. However, as most nucleophile-initiated agent reacts rapidly to the thiol-Michael Addition product even of < 1% of molecule.

The high affinity between thiol-maleimide leads to do multiple studies of the reaction: type of thiol based on pKa, solvent, base/nucleophile and different kinds of electron poor enes.^{168,176}

5.2.2. Maleimide as a Soft Electrophile.

The popularity of maleimide chemistry has been growing over the years due to his applicability in different field such chemistry of materials, bioorganic and organic synthesis.^{177,178} This can be attributed to the great characteristics of this molecule, for instance the unique reactivity. Besides of thiol-ene reaction, it is well known Diels-Alder “click” between maleimides and aromatic rings, such as furan. Moreover, this Diels-Alder “click” can be used as protecting group of double bond maleimide because this reaction is reversible,¹⁷⁹ just by heating. This flexibility has become an important strategy to the elaboration of novel macromolecular materials.¹⁸⁰ These two orthogonal reaction confer variety of applications, for instance, hydrogels¹⁸¹ or bioconjugation.¹⁸² Another characteristic is the great stability towards air, water and heat, ideal for bioconjugation, materials and organic synthesis. Finally, another characteristic is the large number of commercially available maleimides and easy, low-cost and scalable synthesis of maleimide derivatives. There are some examples in Figure 8.

¹⁷⁷ Oz, Y.; Sanyal, A., *Chem. Rec.* **2018**, *18* (6), 570-586.

¹⁷⁸ Ravasco, J. M. J. M.; Faustino, H.; Trindade, A.; Gois, P. M. P., *Chem. Eur. J.* **2019**, *25* (1), 43-59.

¹⁷⁹ Sanyal, A., *Macromol. Chem. Phys.* **2010**, *211* (13), 1417-1425.

¹⁸⁰ Gandini, A.; Carvalho, A. J. F.; Trovatti, E.; Kramer, R. K.; Lacerda, T. M., *Eur. J. Lipid Sci. Technol.* **2018**, *120* (1), 1700091.

¹⁸¹ Koehler, K. C.; Anseth, K. S.; Bowman, C. N., *Biomacromolecules* **2013**, *14* (2), 538-547.

¹⁸² Renault, K.; Fredy, J. W.; Renard, P.-Y.; Sabot, C., *Bioconjugate Chem.* **2018**, *29* (8), 2497-2513.

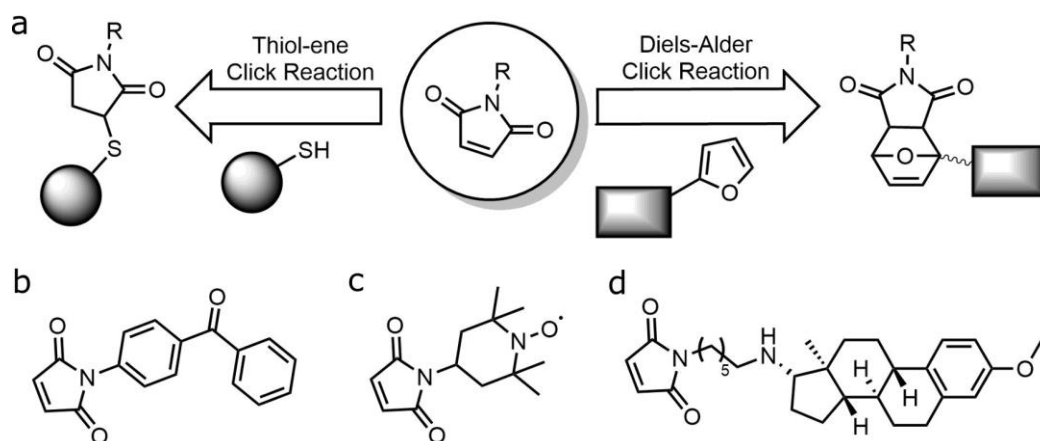


Figure 8. a. Maleimide functional group: An ideal “click” substrate. Maleimide *N*-functionalised with **b.** photoactive group, benzophenone, **c.** spin labels, (2,2,6,6-tetramethylpiperidin-1-yl)oxyl (TEMPO) and **d.** pharmacological inhibitor, U-73122, an inhibitor of phospholipase C-dependent processes.

In the following figure there are multiple examples of using maleimide group. This figure shows the great versatility of its chemistry.

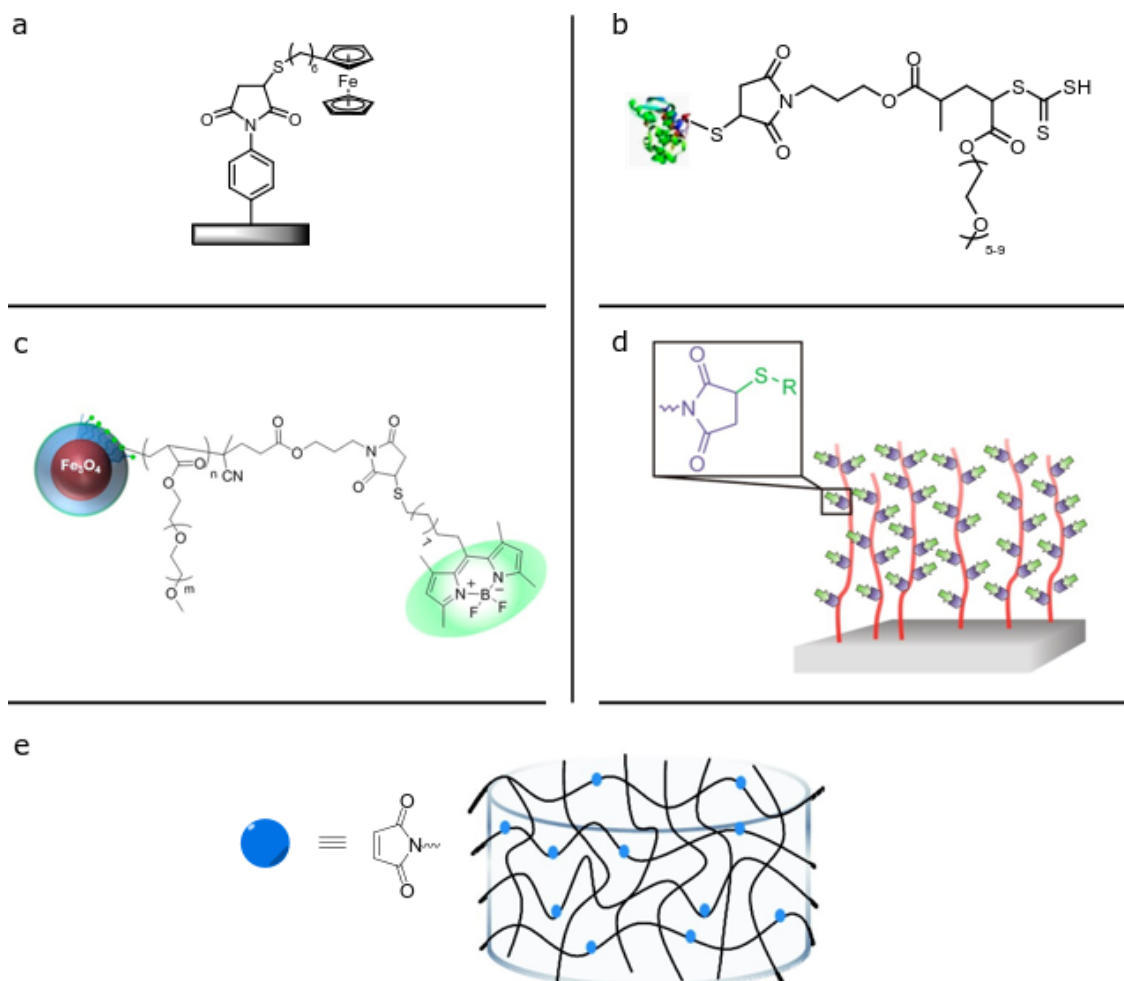


Figure 9. Maleimide containing in different fields as **a.** gold/carbon surfaces for electrochemistry¹⁸³ (adapted with permission from *Langmuir*. **2008**, 24 (5), 2206-2211. Copyright (2008) American Chemical Society), **b.** bioorganic with T4L protein¹⁸⁴ (adapted with permission from *Biomacromolecules*. **2009**, 10 (7), 1777-1781. Copyright (2009) American Chemical Society), **c.** nanoparticles coating¹⁸⁵ (adapted with permission from *ACS Appl. Mater. Interfaces*. **2016**, 8 (30), 19813-19826. Copyright (2016) American Chemical Society), **d.** planar surface coating¹⁸⁶ (adapted with permission from *Macromolecules* **2014**, 47 (22), 7842-7851. Copyright (2014) American Chemical

¹⁸³ Harper, J. C.; Polsky, R.; Wheeler, D. R.; Brozik, S. M., *Langmuir* **2008**, 24 (5), 2206-2211.

¹⁸⁴ Bays, E.; Tao, L.; Chang, C.-W.; Maynard, H. D., *Biomacromolecules* **2009**, 10 (7), 1777-1781.

¹⁸⁵ Oz, Y.; Arslan, M.; Gevrek, T. N.; Sanyal, R.; Sanyal, A., *ACS Appl. Mater. Interfaces*. **2016**, 8 (30), 19813-19826.

¹⁸⁶ Gevrek, T. N.; Bilgic, T.; Klok, H.-A.; Sanyal, A., *Macromolecules* **2014**, 47 (22), 7842-7851.

Society) and e. hydrogels¹⁸⁷ (adapted with permission from *Macromolecules* **2010**, *43* (9), 4140-4148. Copyright (2010) American Chemical Society).

5.2.3. Thiol-ene “Click” Reaction on Materials.

i) Low-dimensional materials.

Related to 0D materials, Zhu *et al.*¹⁸⁸ reported the synthesis of gold nanoparticles (AuNP) with maleimides (Figure 10a). First, they functionalized the AuNP with thiol-glycol-protected maleimide. Followed deprotection of maleimide, they attached thiol-rhodamine with the maleimide through thiol-Michael Addition. This functionalization with thiol-glycols improves the solubility in water. In addition, the post-functionalization of maleimide with different thiols (rhodamine or cysteine) are a promising template for biological applications.

¹⁸⁷ Kosif, I.; Park, E.-J.; Sanyal, R.; Sanyal, A., *Macromolecules* **2010**, *43* (9), 4140-4148.

¹⁸⁸ Zhu, J.; Waengler, C.; Lennox, R. B.; Schirmacher, R., *Langmuir* **2012**, *28* (13), 5508-5512.

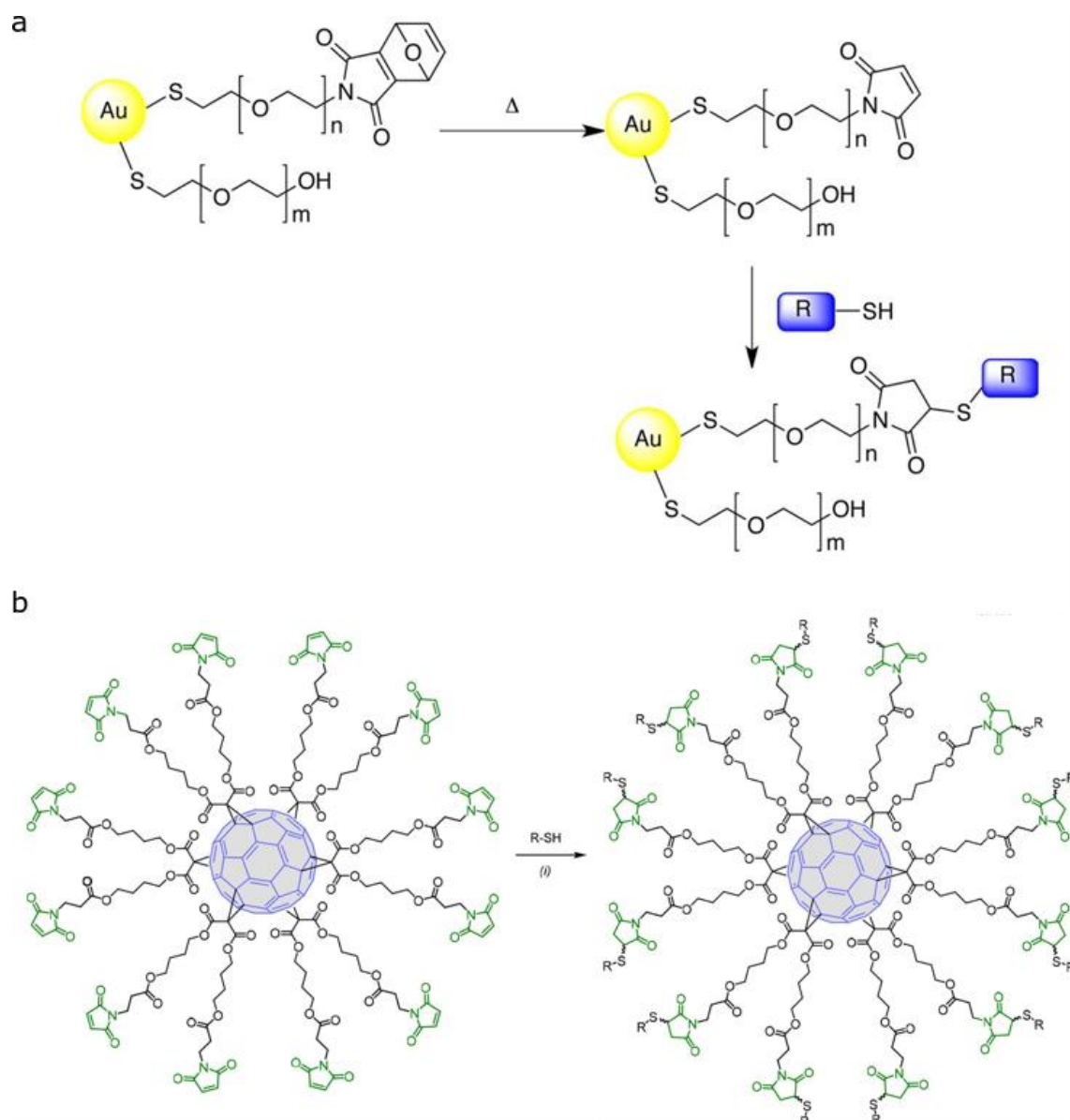


Figure 10. a. Functionalization of AuNP with thiols glycol-maleimide. Adapted with permission from *Langmuir* **2012**, 28 (13), 5508-5512. Copyright (2012) American Chemical Society. **b.** Symmetric hexakisadduct [60]fullerene maleimide derivatives and the thiol-Michael Addition reaction (R: PEG-thiol, alkyl thiol or cysteine methyl ester). (i): DMF, rt, 30 min. Adapted with permission from *J. Org. Chem.* **2018**, 83 (4), 1727-1736. Copyright (2018) American Chemical Society.

Another example of 0D material is the fullerene. Martín and co-workers¹⁸⁹ reported the synthesis of systems based on hexakisadducts of [60]fullerene through biocompatible click reactions: thiol-ene and copper-free click reactions. First, they synthesized the symmetric maleimide derivative (Figure 10b) and the post-functionalization was performed through thiol-Michael Addition. In this publication, they also synthesized an asymmetric hexakisadduct with a maleimide unit and ten cyclooctyne units in order to achieve the orthogonal click reactions. This kind of derivative could be of interest for several biological applications due to maleimide and cyclooctyne (copper-free) functional groups and these biocompatible orthogonal reactions.

Regarding examples with 1D materials, such as carbon nanotubes, there are multiple ways to functionalize them with thiol-ene reaction. For instance, the most common examples in literature are through via free radical (from thiol or disulfur bond) yielding covalent bonds S-C in the CNT.^{190,191,192} On the other hand, there are examples where this methodology is not used. Gobbo *et al.*¹⁹³ performed the functionalization of thiol-modified carbon nanotubes with maleimide-AuNP through base catalysed (thiol-Michael Addition). (Figure 11a). First, they functionalized covalently CNTs through oxidation (o-CNTs) and amidation, with cysteamine, of the carboxylic acid generated from the oxidation. Then, they connected through thiol-Michael Addition CNT and AuNP.

More recently, Nagai *et al.*¹⁹⁴ reported a smart non-covalent functionalization of SWNT with biocompatible and stable gel layer hybrid containing maleimide groups, which can react with thiol compounds through thiol-Michael Addition. They functionalized these maleimides groups with fluorescent thiols molecules (Figure 11b). They take advantage of stability of the gel layer, such is important for in vivo biological applications. This strategy offers the possibility to target active cancer cells without losing the unique optical properties of SWNTs

¹⁸⁹ Ramos-Soriano, J.; *et al. J. Org. Chem.* **2018**, 83 (4), 1727-1736.

¹⁹⁰ Mao, J.; Wang, Y.; Zhu, J.; Yu, J.; Hu, Z., *Appl. Surf. Sci.* **2018**, 447, 235-243.

¹⁹¹ Zabihi, O.; Ahmadi, M.; Akhlaghi bagherjeri, M.; Naebe, M., *RSC Adv.* **2015**, 5 (119), 98692-98699.

¹⁹² Duan, Q.; Wang, Y.; Chen, S.; Miao, M.; Chen, S.; Zhang, D., *Appl. Surf. Sci.* **2019**, 486, 144-152.

¹⁹³ Gobbo, P.; Biesinger, M. C.; Workentin, M. S., *Chem. Commun.* **2013**, 49 (27), 2831-2833.

¹⁹⁴ Nagai, Y.; Tsutsumi, Y.; Nakashima, N.; Fujigaya, T., *J. Am. Chem. Soc.* **2018**, 140 (27), 8544-8550.

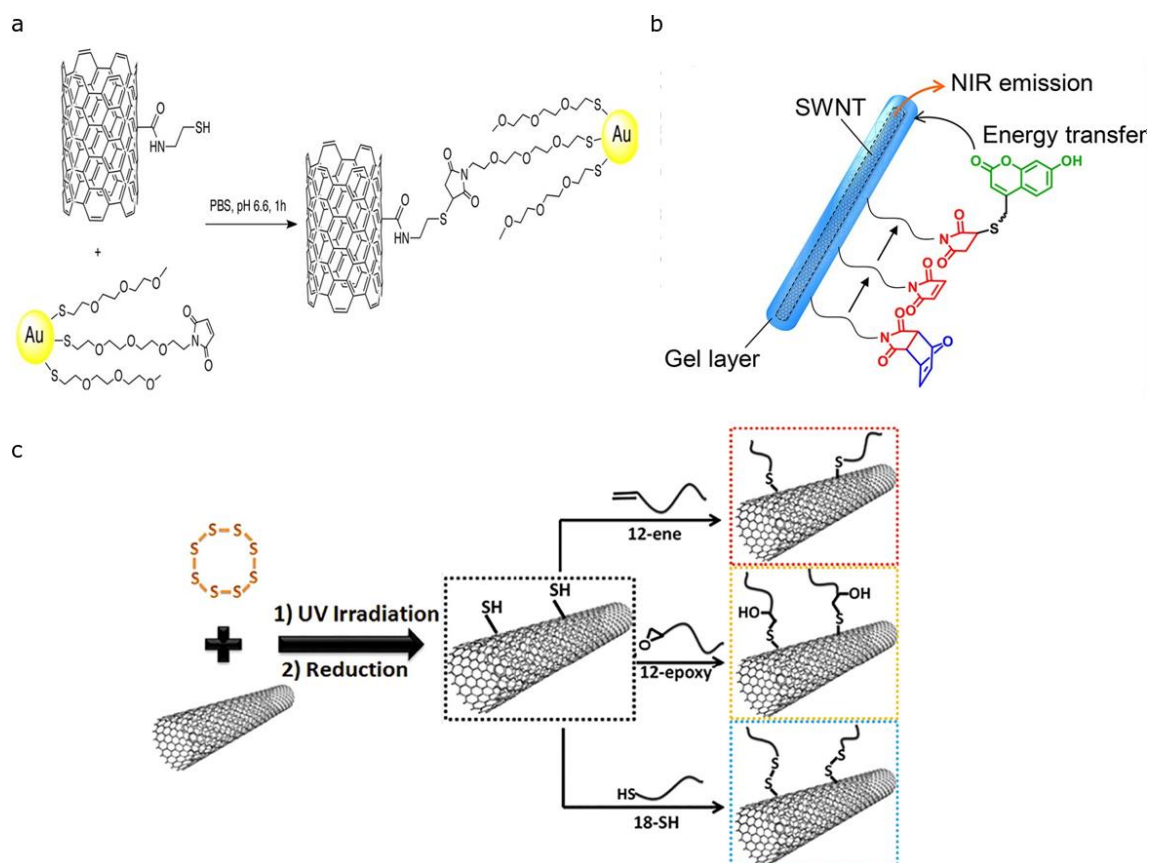


Figure 11. **a.** Covalent Functionalization of CNTs with maleimide-AuNP through thiol-Michael Addition with maleimide. **b.** Scheme of non-covalent functionalization of SWNTs with gel layer containing maleimides groups and post-functionalization with fluorescent dyes. Adapted with permission from *J. Am. Chem. Soc.* **2018**, *140* (27), 8544-8550. Copyright (2018) American Chemical Society. **c.** Scheme of functionalization of CNTs through thiol-ene click reaction.¹⁹⁰

Finally, to the best of our knowledge, graphene is the only 2D material modified with thiol-ene reactions. The same as CNTs, the most common method to functionalize graphene with thiol is by thiol-ene via free radical.^{195,196} Seppala and co-workers¹⁹⁷ made thiol-ene click reaction between cysteamine hydrochloride and graphene oxide (GO) via free radical mechanism using AIBN as initiator (Figure 12a). On the other hand, another pathway was reported by Sanyal and co-workers¹⁹⁸ through non-covalent interaction

¹⁹⁵ Li, Y.; Bao, L.; Zhou, Q.; Ou, E.; Xu, W., *ChemistrySelect* **2017**, *2* (29), 9284-9290.

¹⁹⁶ Castelaín, M.; Martínez, G.; Marco, C.; Ellis, G.; Salavagione, H. J., *Macromolecules* **2013**, *46* (22), 8980-8987.

¹⁹⁷ Luong, N. D.; Sinh, L. H.; Johansson, L.-S.; Campell, J.; Seppälä, J., *Chem. Eur. J.* **2015**, *21* (8), 3183-3186.

¹⁹⁸ Oz, Y.; Barras, A.; Sanyal, R.; Boukherroub, R.; Szunerits, S.; Sanyal, A., *ACS Appl. Mater. Interfaces.* **2017**, *9* (39), 34194-34203.

between reduced graphene oxide (rGO) and maleimide-containing dopamine (dopa-MAL). Dopamine acts as anchor between the maleimide and rGO through hydrophobic interactions. Then, they used the thiol-maleimide chemistry which allows the facile attachment of thiol molecules via thiol-Michael Addition. They used different thiol compounds such as thiol ferrocene derivative, glutathione or cancer cell targeting cyclic peptide, c(RGDfC) (Figure 12b).

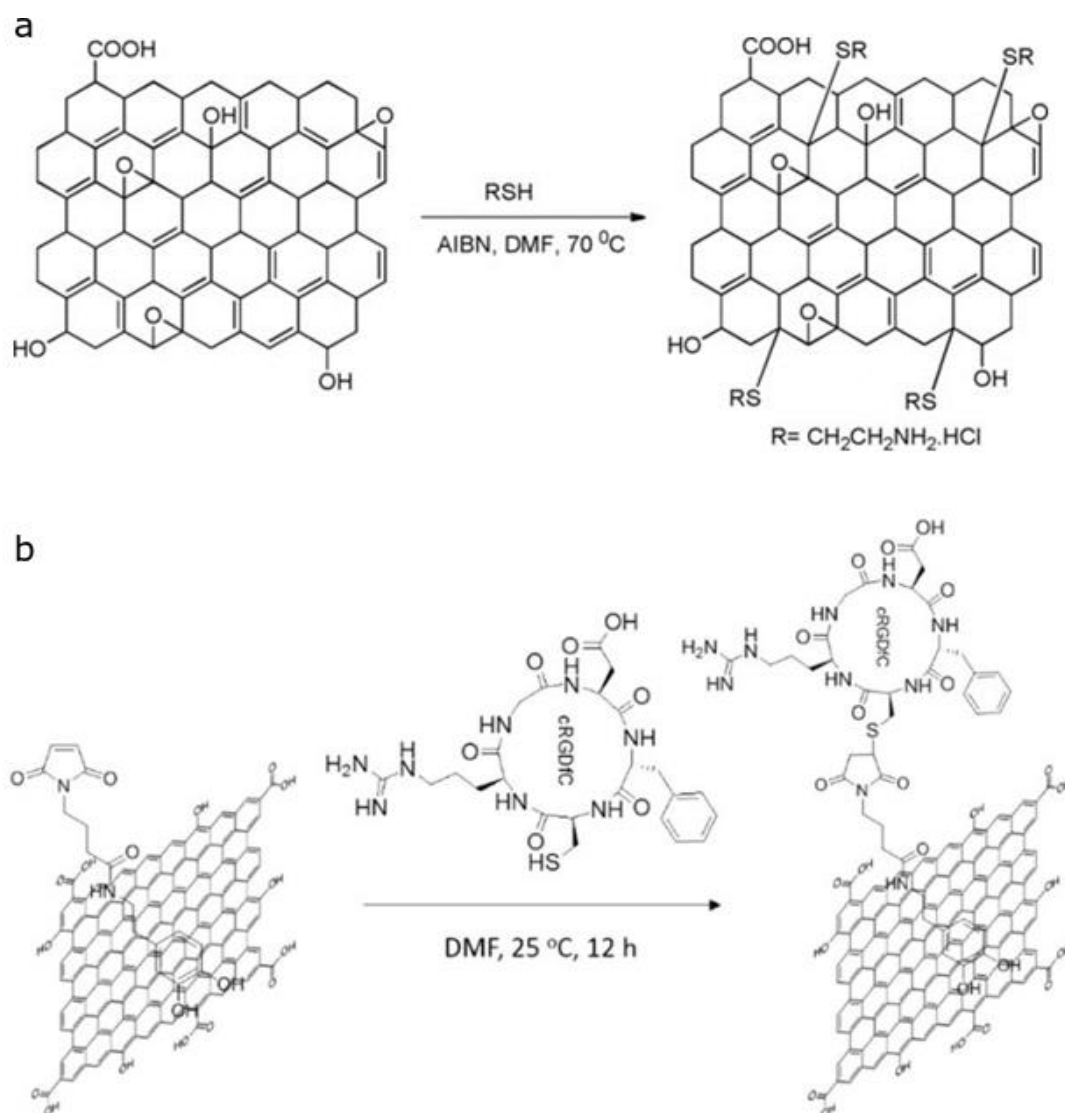
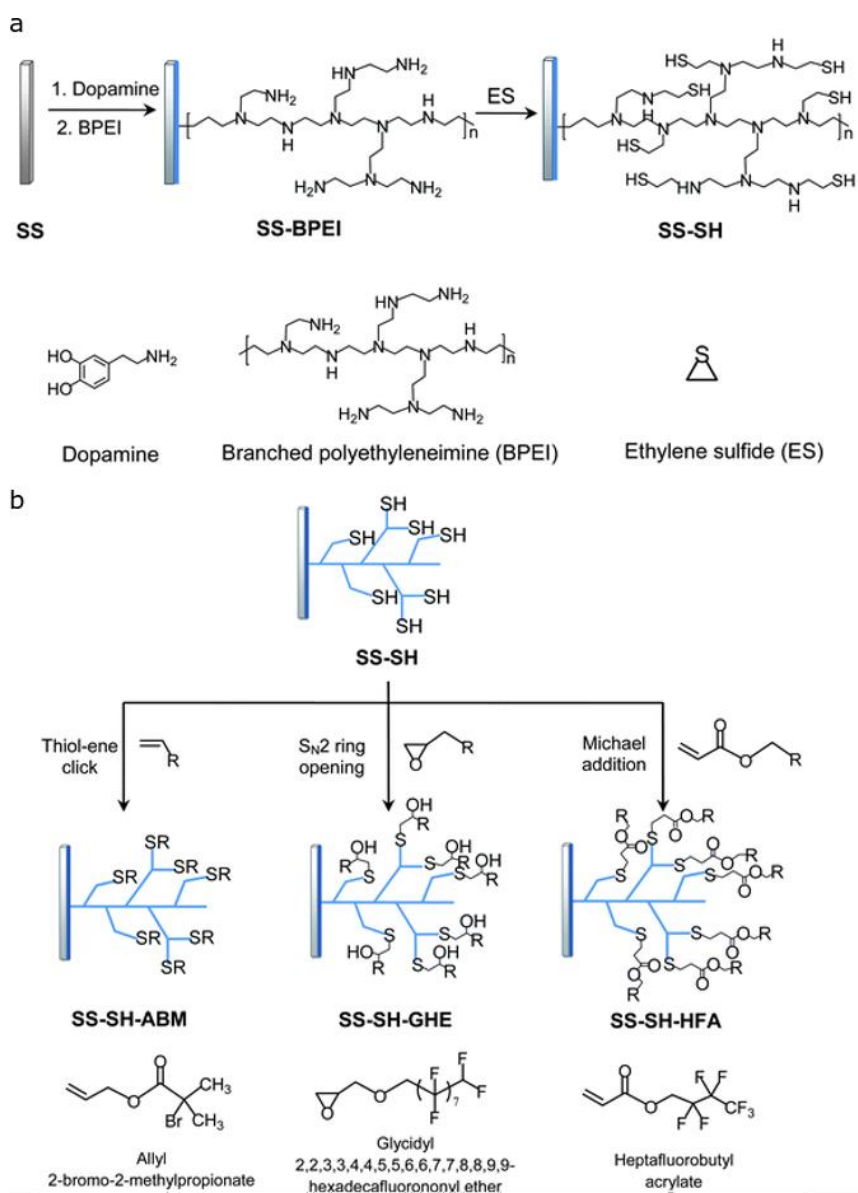


Figure 12. a. AIBN initiator of the thiol-ene click reaction for chemical modification of GO. The modified GO showed an improvement of physical properties such as dispersability. **b.** Synthesis of rGO/dopa-MAL-c(RGDfC) through thiol-ene click reaction. Adapted with permission from *ACS. Appl. Mater. Interfaces* **2017**, 9 (39), 34194-34203. Copyright (2017) American Chemical Society.

ii) Surface modification.

Yang *et al.*¹⁹⁹ reported the modification and functionalization of stainless steel surface. The first step is the coating of the surface with dopamine through alcohol groups. Then, the branching was performed with the attack of the amine from the dopamine to polyethyleneimine (BPEI) (Figure 13a). Finally, the polyethyleneimine layer (SS-BPEI) was functionalized with ethylene sulphide (ES) obtaining the thiol-surface modification (Figure 13a). These mercapto groups on the surface were modified with three different thiol-ene chemistries (Figure 13b): 1) with allyl derivative through thiol-ene click reaction via free radical; 2) with epoxy derivative with S_N2 ring-opening reaction and 3) acrylate derivative through thiol-Michael Addition.



¹⁹⁹ Yang, W. J.; Neoh, K.-G.; Kang, E.-T.; Lay-Ming Teo, S.; Rittschof, D., *Polym. Chem.* **2013**, 4 (10), 3105-3115.

Figure 13. a. Surface modification of stainless steel towards obtaining post-functionalized surface with thiol. **b.** Different of thiol-ene click reaction according to the mechanism.

Another example is the construction of self-assembled monolayers (SAMs) on gold surfaces using different kinds of thiol. The general method to make SAMs involves immersing gold-coated surfaces into thiols. Mrksich and co-workers²⁰⁰ reported maleimide-SAMs on gold surfaces and immobilised ligands which participate in biospecific interactions with proteins and enzymes. They immersed the gold surface into a mixture containing disulphides, one presenting a terminal maleimide group and the other presenting oligo(ethylene glycol) groups. Then, taking advantage of thiol-ene click reaction, they functionalize the maleimide with different thiols for instance peptides and thiol-mannose. The polyethylene glycol chains prevents the nonspecific adsorption of biological compounds such as proteins.

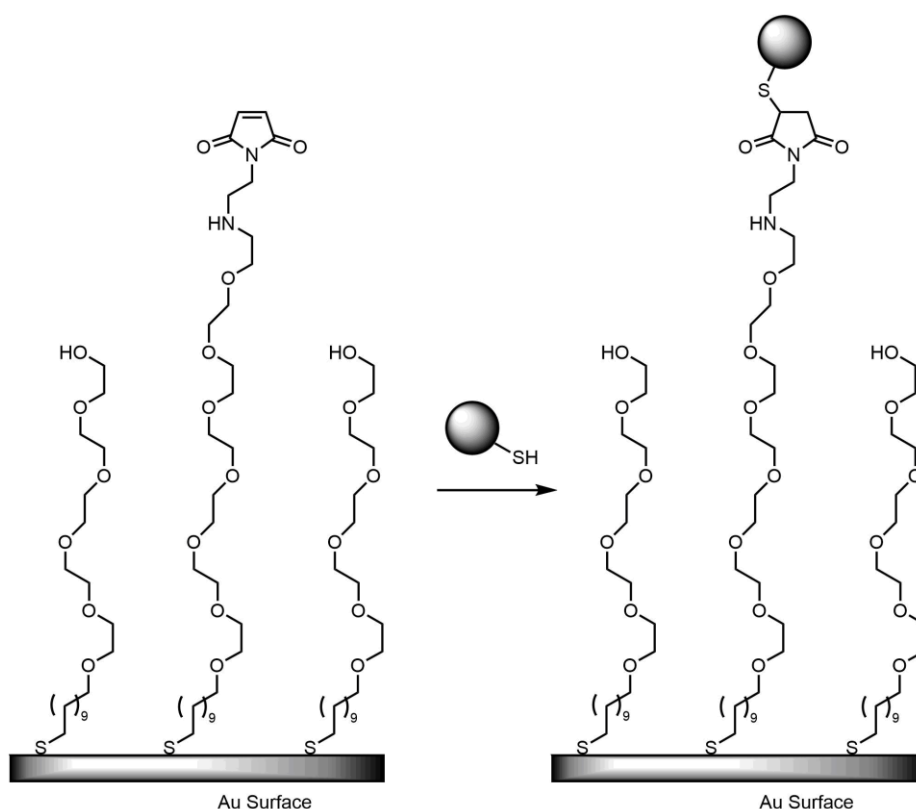


Figure 14. Coating of surface with different thiol molecules and post-functionalization via thiol-Michael Addition to maleimides. This method is useful for preparing substrates

²⁰⁰ Houseman, B. T.; Gawalt, E. S.; Mrksich, M., *Langmuir* **2003**, *19* (5), 1522-1531.

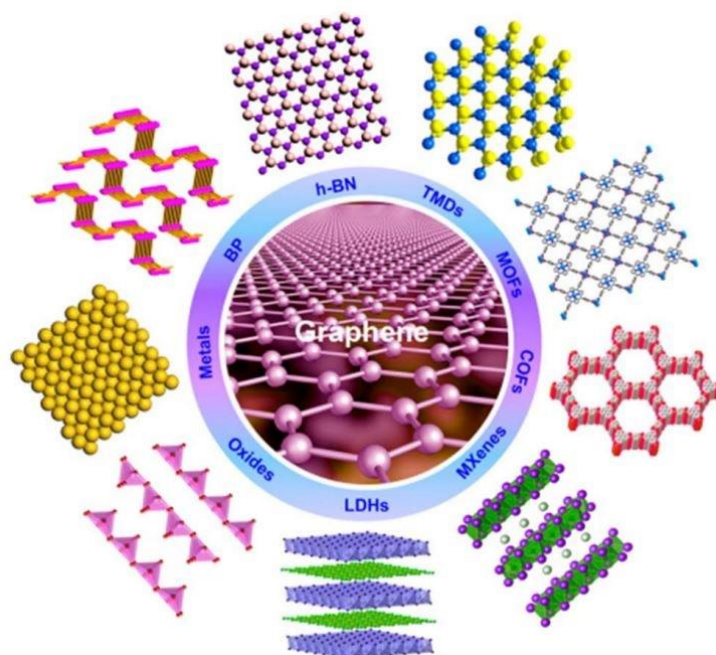
for a wide range of application in basic science and biotechnology. Adapted with permission from *Langmuir* **2003**, *19* (5), 1522-1531. Copyright (2003) American Chemical Society.

6. Introduction. Transition Metal Dichalcogenides (TMDCs)

6.1. Introduction of Layered Materials.

Since the discovering of graphene²⁰¹ and its electronic properties, other 2D materials have garnered great interest.²⁰²

Layered materials are defined as solids with strong in-plane chemical bonds but weak out-of-plane, van der Waals interactions. There are many types of layered materials, which can be grouped into diverse families: hexagonal planar of graphene and boron nitride (h-BN). Transition Metal Dichalcogenides^{203,204} (TMDCs; MX_2 , for instance MoS_2 and WSe_2), metal halides (PbI_2), layered oxides (MnO_2) and non-planar hexagonal phosphorus, Black Phosphorus (BP) (Scheme 3).²⁰⁵ One of the advantages of this materials is the abundance of some of them. For instance, graphite and MoS_2 are very abundant and easy to obtain.



Scheme 3. Schematic of 2D layered materials.

²⁰¹ Novoselov, K. S.; Geim, A. K.; Morozov, S. V.; Jiang, D.; Zhang, Y.; Dubonos, S. V.; Grigorieva, I. V.; Firsov, A. A., *Science* **2004**, *306* (5696), 666-669.

²⁰² Xu, M.; Liang, T.; Shi, M.; Chen, H., *Chem. Rev.* **2013**, *113* (5), 3766-3798.

²⁰³ Han, G. H.; Duong, D. L.; Keum, D. H.; Yun, S. J.; Lee, Y. H., *Chem. Rev.* **2018**, *118* (13), 6297-6336.

²⁰⁴ Chhowalla, M.; Shin, H. S.; Eda, G.; Li, L.-J.; Loh, K. P.; Zhang, H., *Nat. Chem.* **2013**, *5*, 263.

²⁰⁵ Tan, C. *et al.* *Chem. Rev.* **2017**, *117* (9), 6225-6331.

As we mentioned before, layered materials are connected by weak out of plane interactions, so this weak forces can be break easily (exfoliation) obtaining few layers or monolayer. The exfoliation improves the surface area of the material, ideal for catalytic purposes because it enhances physical properties and chemical reactivity. Also, could change the mechanical properties such as strength and resistance. However, electronic and optical properties are the most important in these materials. After exfoliation, the band gap and photoluminescence properties of some materials change dramatically,²⁰⁶ for instance, the band-gap of BP is 0.3-0.5 eV and phosphorene 1.0-2.0 eV,²⁰⁷ and monolayer of MoS₂ is photoluminescence and bulk material is not.^{206,208}

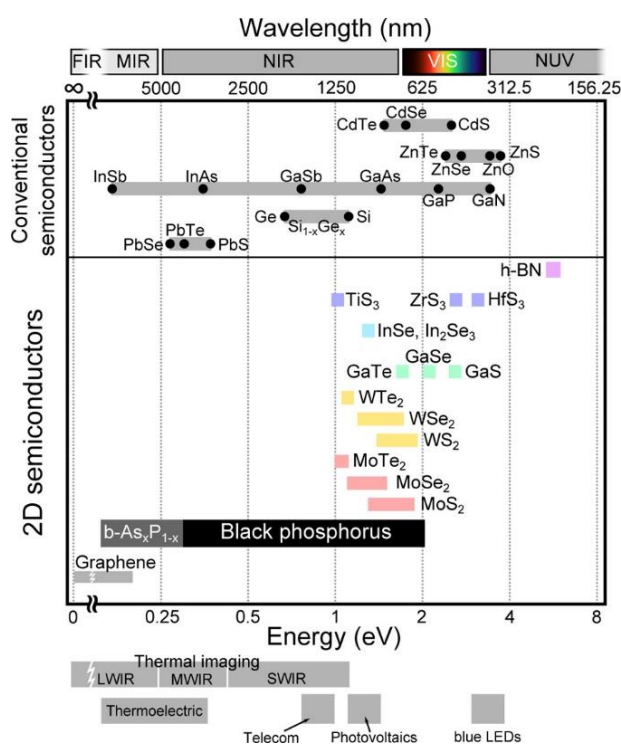


Figure 15. Comparison of the band gap for different 2D layered materials. Graphene is not semiconductor but after exfoliation, most of them are semiconductors: BP, h-BN and TMDCs such as MoS₂, WS₂, and MoSe₂. Reprinted with permission from *J. Phys. Chem. Lett.* **2015**, 6 (21), 4280-4291. Copyright (2015) American Chemical Society.

²⁰⁶ Splendiani, A.; Sun, L.; Zhang, Y.; Li, T.; Kim, J.; Chim, C.-Y.; Galli, G.; Wang, F., *Nano Lett.* **2010**, 10 (4), 1271-1275.

²⁰⁷ Castellanos-Gomez, A., *J. Phys. Chem. Lett.* **2015**, 6 (21), 4280-4291.

²⁰⁸ Mak, K. F.; Lee, C.; Hone, J.; Shan, J.; Heinz, T. F., *Phys. Rev. Lett.* **2010**, 105 (13), 136805.

Nevertheless, not all characteristics of these materials are good news, they have some important disadvantages, for instance, even if some of bulk materials are easily available, the large-production of few-layers or monolayers is difficult and expensive. In addition, their properties are layer-dependent: change significantly with the number of layers; and some of exfoliated materials, such as BP, are sensitive to water and oxygen. As expected, this sensitivity when the number of layers decreases.²⁰⁹

Finally, a major focus of experimental research in recent years has concentrated on the development of experimental method to functionalize these materials^{210,211} with organic molecules. This functionalization could enhance or change the properties, broaden their applications and improve their manageability too.

6.2. Transition Metal Dichalcogenides (TMDCs).

Transition Metal Dichalcogenides (TMDCs) are two-dimensional layered consisting of a hexagonally packed layer of a transition metal M sandwiched between two layers of chalcogenide atoms X (S, Se or Te) and interacting through strong covalent bonds, forming a MX_2 compound. In multilayer TMDCs, the single layers are connected to each other by van-der-Waals interactions²⁰³. One the advantages of TMDCs is their diversity. Different combinations of transition metal atom (M) with chalcogenide atoms can give rise to many possible of compounds (Figure 16b).

²⁰⁹ Abellán, G.; Wild, S.; Lloret, V.; Scheuschner, N.; Gillen, R.; Mundloch, U.; Maultzsch, J.; Varela, M.; Hauke, F.; Hirsch, A., *J. Am. Chem. Soc.* **2017**, *139* (30), 10432-10440.

²¹⁰ Hirsch, A.; Hauke, F., *Angew. Chem. Int. Ed.* **2018**, *57* (16), 4338-4354.

²¹¹ Chen, X.; McDonald, A. R., *Adv. Mater.* **2016**, *28* (27), 5738-5746.

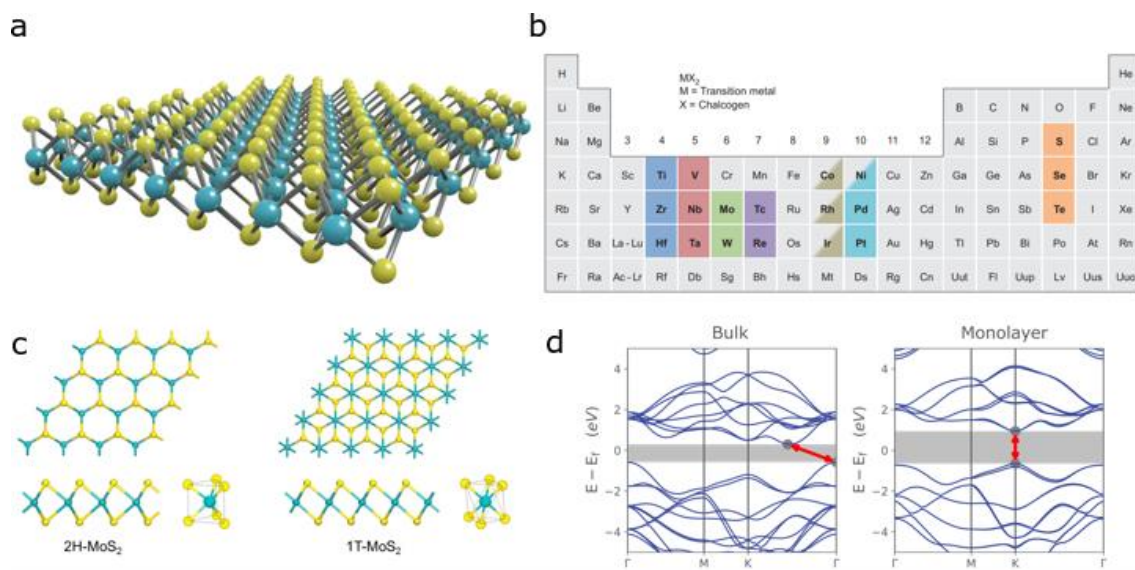


Figure 16. **a.** Monolayer of MoS₂. **b.** Periodic table with all of possibilities to form TMDCs. **c.** The two polymorphs of MoS₂: 1T and 2H. **d.** Band structure diagram (Brillouin zone) of bulk and monolayer MoS₂ showing the crossover from indirect (from Γ -point) to direct (from K-point) bandgap accompanied by a widening of the bandgap.²¹²

In contrast to graphene, TMDCs exhibit a variety of stacking polymorphs because inside of an individual MX₂ monolayer the atoms can be organised in different phases, depending on the coordination of the metal atom. Most common polymorphs are 1T and 2H (Figure 16c) where the letters mean trigonal and hexagonal (respectively) and the digit indicates the number of X-M-X units in the unit cell.

The electronic properties of TMDCs^{203,213,214} are diverse, starting from insulator HfS₂, semiconductors MoS₂ and WS₂, semimetals WTe₂ and TiSe₂, metal behaviour of NbS₂ and VSe₂. A few TMDCs such as NbSe₂ and TaS₂ exhibit low-temperature superconductivity. In summary, the chemistry of TMDCs compounds opens a new door to applications (such as transistors,^{215,216} biomarkers,^{217,218} organic solar cells²¹⁹ and

²¹² Zhao, W.; Ribeiro, R. M.; Eda, G., *Acc. Chem. Res.* **2015**, *48* (1), 91-99.

²¹³ Wang, Q. H.; Kalantar-Zadeh, K.; Kis, A.; Coleman, J. N.; Strano, M. S., *Nat. Nanotechnol.* **2012**, *7*, 699.

²¹⁴ Mak, K. F.; Shan, J., *Nature Photonics* **2016**, *10*, 216.

²¹⁵ Radisavljevic, B.; Radenovic, A.; Brivio, J.; Giacometti, V.; Kis, A., *Nat. Nanotechnol.* **2011**, *6* (3), 147-150.

²¹⁶ Si, M.; Su, C.-J.; Jiang, C.; Conrad, N. J.; Zhou, H.; Maize, K. D.; Qiu, G.; Wu, C.-T.; Shakouri, A.; Alam, M. A.; Ye, P. D., *Nat. Nanotechnol.* **2018**, *13* (1), 24-28.

²¹⁷ Bernal, M. M.; Álvarez, L.; Giovanelli, E.; Arnáiz, A.; Ruiz-González, L.; Casado, S.; Granados, D.; Pizarro, A. M.; Castellanos-Gomez, A.; Pérez, E. M., *2D Mater.* **2016**, *3* (3), 035014.

²¹⁸ Anbazhagan, R.; Wang, H.-J.; Tsai, H.-C.; Jeng, R.-J., *RSC Adv.* **2014**, *4* (81), 42936-42941.

²¹⁹ Gu, X.; Cui, W.; Li, H.; Wu, Z.; Zeng, Z.; Lee, S.-T.; Zhang, H.; Sun, B., *Adv. Energ. Mater.* **2013**, *3* (10), 1262-1268.

photodetectors^{220,221}), new fundamental and technological pathways for inorganic 2D materials.

6.2.1. Structure, Characteristics and Properties of MoS₂ and WS₂.

Among all TMDCs compounds, we focus on the most abundant on Earth and the most studied, MoS₂ and WS₂, in special MoS₂. Both are semiconductors where band gap increases at the same time decreases the number of layers^{208,222} (Figure 16d). Also, they have polymorphs 1T and 2H (Figure 16c). In particular in MoS₂, the electronic properties change dramatically between polymorphs.^{223,224} 2H-MoS₂ is semiconductor and photoluminescence²¹³. However, 1T-MoS₂ is metallic conductor.²²⁵ A diagram of the band structure of bulk and monolayer of MoS₂ is shown in Figure 16d. At the Γ -point, the band gap transition is indirect for the bulk material. This band gap is negligible because is slower that the nonradioactive decay processes. But this indirect band gap gradually shifts to be direct for the monolayer²¹². In this point, the transitions occurs in the K-point. (Figure 16d). In general, all MoX₂ and WX₂ compounds are expected to undergo a similar indirect- to direct- band gap energy. This transition has important effects for photonics, optoelectronics and sensing applications.

Raman spectroscopy is a useful and non-destructive tool to analyse and characterize the TMDCs. In the case of MoS₂, the Raman spectrum of bulk 2H-MoS₂ has two prominent peaks:²²⁶ an in-plane (E_{2g}) mode located around $\sim 382\text{ cm}^{-1}$ and an out-of-plane (A_{1g}) mode which is located $\sim 407\text{ cm}^{-1}$ (Figure 17a). The distance of these peaks shows the grade of exfoliation and the number of layers²²⁶. Also, if the structure of MoS₂ is different (polymorphs 1T and 2H), the Raman spectra changes.²²⁷ In addition, TMDCs exhibit characteristic absorption peaks. In the UV-vis spectra of MoS₂, these bands appear at 680, 610 450 and 395 nm and are referred to as A, B, C and D respectively (Figure 17b). Decreasing the number of layers, each peak from UV-vis tends to shift toward the

²²⁰ Lopez-Sanchez, O.; Lembke, D.; Kayci, M.; Radenovic, A.; Kis, A., *Nat. Nanotechnol.* **2013**, *8*, 497.

²²¹ Massicotte, M. *et al. Nat. Nanotechnol.* **2015**, *11*, 42.

²²² Li, T.; Galli, G., *J. Phys.Chem.* **2007**, *111* (44), 16192-16196.

²²³ Eda, G.; Fujita, T.; Yamaguchi, H.; Voiry, D.; Chen, M.; Chhowalla, M., *ACS Nano* **2012**, *6* (8), 7311-7317.

²²⁴ Eda, G.; Yamaguchi, H.; Voiry, D.; Fujita, T.; Chen, M.; Chhowalla, M., Photoluminescence from Chemically Exfoliated MoS₂. *Nano Lett.* **2011**, *11* (12), 5111-5116.

²²⁵ Wypych, F.; Schöllhorn, R., *J. Chem Soc. Chem. Commun.* **1992**, (19), 1386-1388.

²²⁶ Lee, C.; Yan, H.; Brus, L. E.; Heinz, T. F.; Hone, J.; Ryu, S., *ACS Nano* **2010**, *4* (5), 2695-2700.

²²⁷ Yu, Y *et al.*, *Nat. Chem.* **2018**, *10* (6), 638-643.

blue, demonstrated by Coleman and co-workers.²²⁸ In addition, the scattering effect decrease while decreases the number of layers too.

Regarding the applications of TMDCs, MoS₂ is the most used: for instance, has environmental properties,²²⁹ such as contaminant adsorption and disinfection, and it is an attractive material for flexible field-effect transistors (FETs)²³⁰ due to the large in-plane carrier mobility and robust mechanical properties. Furthermore, MoS₂ is used as catalyst for electrocatalytic hydrogen evolution (HER) from water^{231,232} and as alternative to metal catalysis such as Pt. The catalysis is produced in the sulfur vacancies localized on all the basal plane of MoS₂. In these catalytic sides, the proton binds directly to expose Mo atoms. The catalytic sides or vacancies of S are generated during the exfoliation process or defects in the synthesis of MoS₂ by chemical vapour deposition (CVD). In addition, 1T-MoS₂ is much more active than 2H-MoS₂ for HER²²⁷.

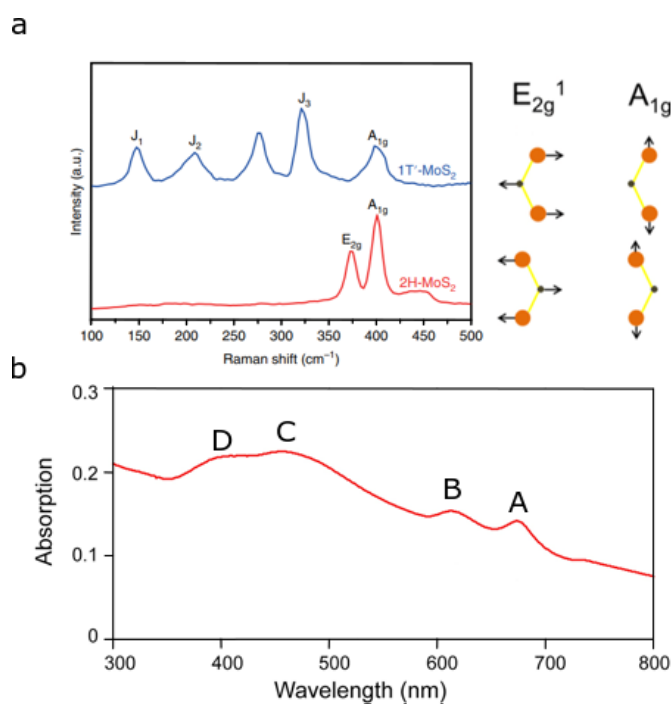


Figure 17. a. Comparison of Raman spectra between 1T and 2H MoS₂ polymorphs²²⁷. Also, the vibrations E_{2g}^1 and A_{1g} from 2H-MoS₂. **b.** UV-vis of MoS₂ with A, B, C and D bands.

²²⁸ Backes, C. *et al. Nat. Commun.* **2014**, 5 (1), 4576.

²²⁹ Wang, Z. Mi, B., *Environ. Sci. Technol.* **2017**, 51 (15), 8229-8244.

²³⁰ Ayari, A.; Cobas, E.; Ogundadegbe, O.; Fuhrer, M. S., *J. Appl. Phys.* **2007**, 101 (1), 014507.

²³¹ Li, H *et al. Nat. Mater.* **2015**, 15, 48.

²³² Li, G.; Zhang, D.; Yu, Y.; Huang, S.; Yang, W.; Cao, L., *J. Am. Chem. Soc.* **2017**, 139 (45), 16194-16200.

Finally, about WS₂, recently Lin *et al.*²³³ reported CVD-grown monolayer of 2H-WS₂ has large circular polarization (circularly polarized emission (P_{circ}) up to 60%) at 300 K. This high values are related to the lower defect densities in the structure of CVD-2H-WS₂ suggesting it is possible to control the opto-electronics properties of TMDCs with the defects of their structure.

6.2.2. Production of ultrathin TMDCs.

There are two methods to obtain few-layer or monolayers of TMDCs: 1) bottom up, which consists in the synthesis and growing of large-area thin layers of TMDCs and 2) top-down method, which consists in the exfoliation of TDMCs breaking the weak interactions between layers. In this part we explain different methodologies, with advantages and disadvantages:

1) Chemical Vapour Deposition (CVD).

CVD belongs to bottom-up methods. An example of this method is a two-step thermolysis process by dip-coating in ammonium thiomolybdates [(NH₄)₂MoS₄] and converting to MoS₂ by annealing at 500 °C followed by sulfurization at 1000 °C in sulfur vapour.²³⁴ The second annealing at 1000 °C is required for improving the crystallinity.

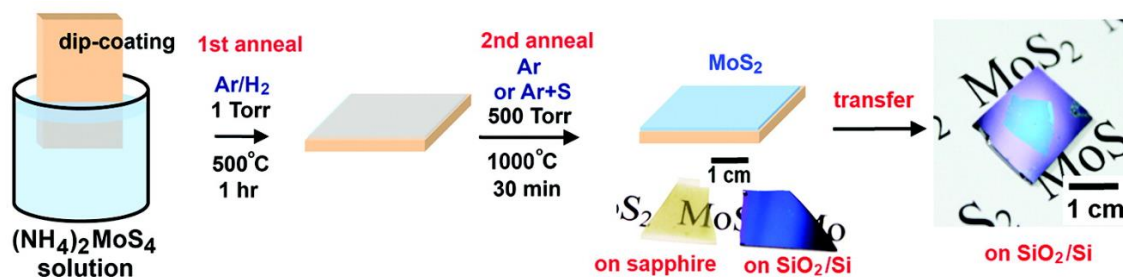


Figure 18. Process of CVD-exfoliation of MoS₂. The reaction is $(\text{NH}_4)_2\text{MoS}_4 + \text{H}_2 \rightarrow 2\text{NH}_3 + 2\text{H}_2\text{S} + \text{MoS}_2$. Adapted with permission from *Nano Lett.* **2012**, *12* (3), 1538-1544. Copyright (2012) American Chemical Society.

The advantage of this methodology is the good quality of few-layers and monolayer. On the other hand, the disadvantages are the harsh conditions, it is not a scaled-up method and it is only possible to do on a surface.

²³³ Lin, W.-H.; Tseng, W.-S.; Went, C. M.; Teague, M. L.; Rossman, G. R.; Atwater, H. A.; Yeh, N.-C., *ACS Nano* **2019**.

²³⁴ Liu, K.-K.; Zhang, W.; Lee, Y.-H.; Lin, Y.-C.; Chang, M.-T.; Su, C.-Y.; Chang, C.-S.; Li, H.; Shi, Y.; Zhang, H.; Lai, C.-S.; Li, L.-J., *Nano Lett.* **2012**, *12* (3), 1538-1544.

2) *Mechanical Exfoliation.*

Mechanical exfoliation is part of top-down methods: layers of TMDCs can be peeled from their bulk crystals. This method consist in putting the 2D material on a substrate with an adhesive tape. It is the best methodology to obtain the highest-quality mono-layered samples, ideal for studying properties. However, it is not a scaled-up method and it is only possible to do on a surface.

3) *Chemical Exfoliation (CE).*

Chemical exfoliation (top-down method) consists in the ion intercalation between layers. An example of this method is the exfoliation of MoS₂ using n-BuLi. MoS₂ reacts with n-BuLi to yield the reduced product [Li_xMoS₂]. Then, the reduce product reacts with water (reoxidized process) causing delamination of MoS₂ monolayers and formation of hydrogen and LiOH. The product is called ce-1T-MoS₂. This is scaled-up and low-cost process but during this process the polymorph structure of MoS₂ change (2H to 1T but with an annealing at 300°C the 2H structure is restored). Also, a mixture of different sizes of layers is obtained in the process. It is sensitive to air and are used toxic chemicals.

4) *Liquid-Phase Exfoliation (LPE).*^{235,236}

Liquid phase exfoliation (top-down method) consists in the sonication of a suspension between the layered material and a solvent. The temperature have to be controlled in order to avoid defects in the layers. In addition, polar solvent are preferred as LPE media²³⁵ due to the cohesive forces, such as dipole-dipole forces and hydrogen bonding among others, improve the layer separation. This method is simple, versatile, low-cost and potentially scaled-up,²³⁷ to give large amounts of exfoliated sample. In addition, it is insensitive to air and water. However, it is necessary to find a suitable solvent and some of them are toxic. Also, different sizes are generated during the process.

²³⁵ Backes, C.; Higgins, T. M.; Kelly, A.; Boland, C.; Harvey, A.; Hanlon, D.; Coleman, J. N., *Chem. Mater.* **2017**, *29* (1), 243-255.

²³⁶ Coleman, J. N. *et al. Science* **2011**, *331* (6017), 568-571.

²³⁷ Smith, R. J.; King, P. J.; Lotya, M.; Wirtz, C.; Khan, U.; De, S.; O'Neill, A.; Duesberg, G. S.; Grunlan, J. C.; Moriarty, G.; Chen, J.; Wang, J.; Minett, A. I.; Nicolosi, V.; Coleman, J. N., *Adv Mater.* **2011**, *23* (34), 3944-3948.

6.2.3. Functionalization of MoS₂.

Not only the study of intrinsic properties of these layered materials is important. Also how can we modified is a fundamental objective.²³⁸ Through non-covalent or covalent functionalization we can change the physical/chemical properties. For instance, a non-covalent modification of MoS₂ with 2,3,5,6-tetrafluoro-7,7,8,8-tetracyanoquinodimethane (F₄-TCNQ) permits a tunable modification of photoluminescence of a monolayer-MoS₂ via chemical-doping.²³⁹

Regarding the covalent functionalization of MoS₂, there are multiple methods and it is important to know which exfoliation method, organic molecules and polymorph of MoS₂ to use. Here, we present some examples of functionalization of different publications.

1) *Ligand conjugation Functionalization of 1T-MoS₂.*

Dravid and co-workers²⁴⁰ reported a ligand conjugation functionalization of MoS₂ with different thiol molecules (Figure 19a). MoS₂ was chemically exfoliated using n-BuLi (ce-1T-MoS₂). In this process defects on the surface are generated in both internal edges and perimeter edges. Different thiol molecules were added to functionalize these defects. Using charged thiol molecules they could modulate the ζ -potential (Figure 19b) proving the functionalization. Also, with Fourier Transform Infrared spectroscopy (FT-IR) they found the typical peaks of thiol molecules (Figure 19c, d). With this method they obtained colloidal suspension in water stable during 21 days. In addition, using an NMe⁺ thiol molecule, they can controlled the stability of the colloidal using pH.

The authors defined this procedure as covalent functionalization but the exact nature of thiol/MoS₂ interaction is unclear. It is possible that the thiol molecule is coordinating to Mo-atoms yielding a ligand coordination.

²³⁸ Bertolazzi, S.; Gobbi, M.; Zhao, Y.; Backes, C.; Samorì, P., *Chem. Soc. Rev.* **2018**, *47* (17), 6845-6888.

²³⁹ Mouri, S.; Miyauchi, Y.; Matsuda, K., *Nano Lett.* **2013**, *13* (12), 5944-5948.

²⁴⁰ Chou, S. S.; De, M.; Kim, J.; Byun, S.; Dykstra, C.; Yu, J.; Huang, J.; Dravid, V. P., *J. Am. Chem. Soc.* **2013**, *135* (12), 4584-4587.

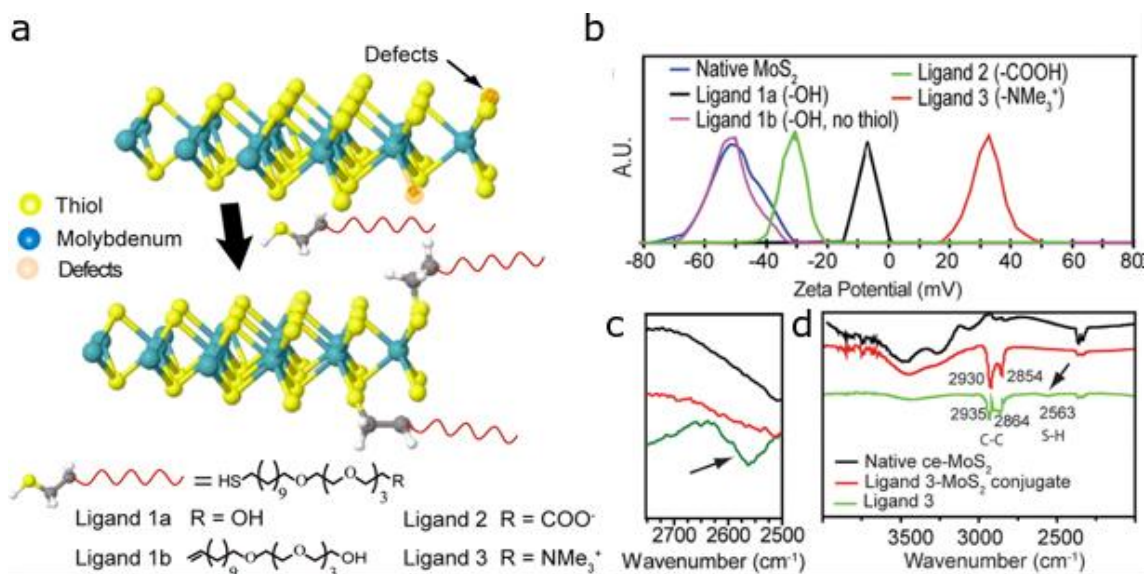


Figure 19. **a.** Scheme of ligand functionalization with thiol molecules. **b.** Dynamic Light Scattering (DLS): measurement of ζ -potential. **c.** ν (S-H) and **d.** FT-IR of the samples. Adapted with permission from *J. Am. Chem. Soc.* **2013**, *135* (12), 4584-4587. Copyright (2015) American Chemical Society.

2) Covalent functionalization of 1T-MoS₂.

Chhowalla and co-workers²⁴¹ reported a covalent functionalization of MoS₂ (and WS₂) using iodide molecules. They took advantages of negative charges on the surfaces of MoS₂ after chemical exfoliation. They chemically exfoliated MoS₂ with n-BuLi. Then, they added different iodide based electrophiles, which were attacked by these negative charges (Figure 20a). They probed this covalent functionalization with X-ray photoelectron spectroscopy (XPS) where they found a new component (Figure 20b) corresponded to S-C bond. Also appears a new component of N atom from one of molecules used. In addition, with FT-IR they found the typical signals of the product. They showed that the covalent attachment of functional groups leads to dramatic changes in the optoelectronic properties of the material.

²⁴¹ Voiry, D.; Goswami, A.; Kappera, R.; Silva, C. d. C. C. e.; Kaplan, D.; Fujita, T.; Chen, M.; Asefa, T.; Chhowalla, M., *Nat. Chem.* **2014**, *7*, 45.

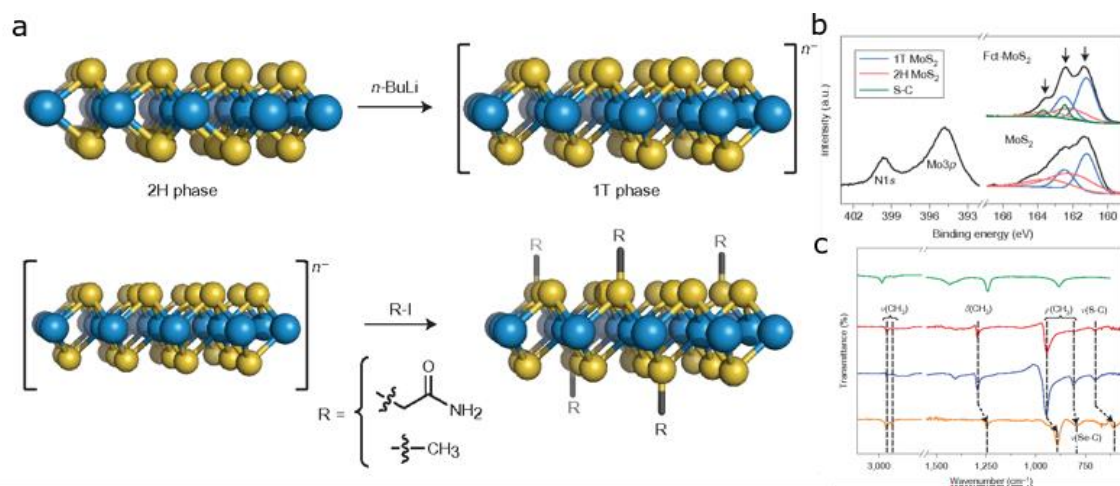


Figure 20. **a.** Scheme of the functionalization with iodide molecules. **b.** XPS measurement. **c.** FT-IR of all the samples on the publication. The red line corresponds to MoS₂ functionalized.

Other current method to functionalize MoS₂ is using diazonium salts. Knirsch *et al.*²⁴² reported this method beginning with a chemical exfoliation (ce-1T-MoS₂). Then, they added the electrophile aromatic diazonium salts to functionalize covalently, through negative charges on the surface of MoS₂.

Benson *et al.*²⁴³ used the same method of functionalization but they studied the effect of the covalent functionalization and the type of ligand in the diazonium salt (electron donating or electron drawing groups) in the HER process. They showed a clearly effect in this process: first, the functionalized MoS₂ is more stable than unfunfunctionalized 1T-MoS₂ and secondly, the HER process works better with electron donating groups. This is a clear example of tunabing properties of MoS₂ with covalent functionalization.

3) Functionalization of 2H-MoS₂.

Until now, all examples of functionalization have been for ce-1T-MoS₂. It is important to remember that 1T losses all the electronic properties of 2H-MoS₂ such as semiconductor and photoluminescence (although it is possible to recover 2H-phase with an annealing at 300°C). There are not too many examples of covalent functionalization of 2H-MoS₂.

²⁴² Knirsch, K. C. *et al.* *ACS Nano* **2015**, *9* (6), 6018-6030.

²⁴³ Benson, E. E.; Zhang, H.; Schuman, S. A.; Nanayakkara, S. U.; Bronstein, N. D.; Ferrere, S.; Blackburn, J. L.; Miller, E. M., *J. Am. Chem. Soc.* **2018**, *140* (1), 441-450.

Backes *et al.*²⁴⁴ reported a functionalization of 2H-MoS₂ exfoliated by LPE with different metal complexes. These metal complexes coordinate to sulfur atoms on the surface of MoS₂. They performed liquid-phase exfoliation of MoS₂ in 2-propanol. With this method the 2H structure is preserved. Then, they use different metal complex (M(OAc)₂), where M: Cu^{II}, Ni^{II} and Zn^{II}, to functionalize the S atoms. They showed that the grade of functionalization depends on the metal and occurs on the basal planes: MoS₂-Cu(OAc)₂ (50%) >> MoS₂-Ni(OAc)₂ (30%) >> MoS₂-Zn(OAc)₂ (10%). They demonstrated the functionalization with FT-IR, XPS and TGA.

Likewise, Chen *et al.*²⁴⁵ reported another functionalization of 2H-MoS₂ through ligand functionalization with cysteine molecule. One more time, MoS₂ was exfoliated by LPE in order to conserve the 2H structure. Then, they functionalize MoS₂ with cysteine molecule by coordination of the thiol group to Mo-atoms at S-atom vacancies. Moreover, they observed disulphide products in the reaction. With several control experiments, they probed the oxidation of thiol molecule in the reaction and they proposed a mechanism where MoS₂ is mediating the conversion of thiol to disulphide releasing hydrogen from both thiols.

One last example was reported by Wang and co-workers.²⁴⁶ They functionalized the basal plane of 2H-MoS₂, obtained previously by mechanical exfoliation, using aryl diazonium salts without any pre-treatments. In addition, with theory calculation and microscopy studies, they observed that the reactivity of MoS₂ increases with the defects on its structure.

²⁴⁴ Backes, C.; Berner, N. C.; Chen, X.; Lafargue, P.; LaPlace, P.; Freeley, M.; Duesberg, G. S.; Coleman, J. N.; McDonald, A. R., *Angew. Chem. Int. Ed.* **2015**, *54* (9), 2638-2642.

²⁴⁵ Chen, X.; Berner, N. C.; Backes, C.; Duesberg, G. S.; McDonald, A. R., *Angew. Chem. Int. Ed.* **2016**, *55* (19), 5803-5808.

²⁴⁶ Chu, X. S.; Yousaf, A.; Li, D. O.; Tang, A. A.; Debnath, A.; Ma, D.; Green, A. A.; Santos, E. J. G.; Wang, Q. H., *Chem. Mater.* **2018**, *30* (6), 2112-2128.

7. Objectives

With all these premises, part of this thesis aims to functionalize of 2H-TMDCs (MoS_2 and WS_2) using thiol-ene chemistry with maleimides (thiol-Michael Addition)

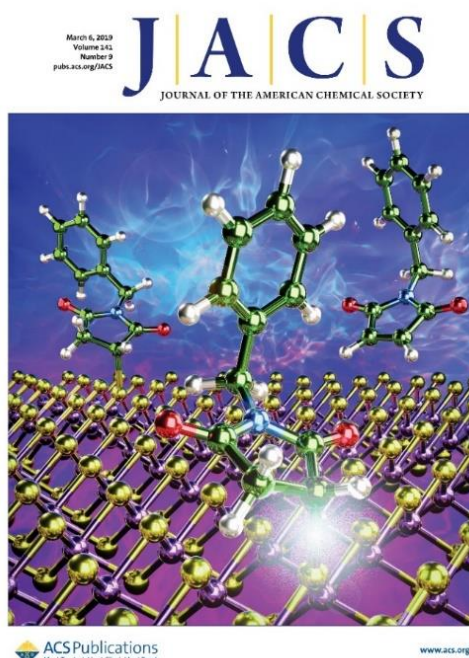
The specific objectives are:

- 1) **Liquid-Phase exfoliation of MoS_2 and WS_2 .**
- 2) **Functionalization of exfoliated samples with the chemistry of thiol-ene click reaction with maleimides.**
- 3) **Study of thiol-ene reaction between MoS_2 and maleimides.**

Chapter 3.

8. Mild Covalent Functionalization of Transition Metal Dichalcogenides with Maleimides: A “Click” Reaction for 2H-MoS₂ and WS₂

The work described in this chapter is published on *J. Am. Chem. Soc.*, **2019**, *141*, 3767.



The physical properties of ultrathin transition metal dichalcogenides (2D-TMDCs) make them promising candidates as active nanomaterials for catalysis, optoelectronics, and biomedical applications. Chemical modification of TMDCs is expected to be key in modifying/adding new functions that will help make such promise a reality. We present a mild method for the modification of the basal planes of 2H-MoS₂ and WS₂. We exploit the soft nucleophilicity of sulfur to react it with maleimide derivatives, achieving covalent functionalization of 2H-TMDCs under very mild conditions. Extensive characterization proves that the reaction occurs through Michael addition. The orthogonality and versatility of the thiol–ene “click” chemistry is expected to allow the à la carte chemical manipulation of TMDCs

8.1. Introduction.

Transition metal dichalcogenides (TMDCs) are one of the most interesting families of lamellar materials that can be exfoliated down to bidimensional sheets of single or very few layers (2D-TMDCs).^{203,213,214,247,248} The (photo)catalytic properties of 2D-TMDCs have been known for decades,²⁴⁹ but their electronic properties^{208,220,224,250} have brought them back to the research spotlight.^{216,238,251,252,253,254}

Chemically, each sheet of a TMDC is composed of a layer of transition metal atoms (M, typically Mo or W) sandwiched between two layers of chalcogen atoms (X, typically S, Se or Te), to which they are covalently bound with MX₂ stoichiometry. These three-atom thick TMDC sheets are stacked through van der Waals forces to form the bulk material, and can be separated using mechanical²⁵⁵ or liquid phase exfoliation (LPE) techniques.^{217,236,256} While the mechanical exfoliation from the bulk²⁵⁷ and the synthesis of monolayers (typically through chemical vapour deposition)²⁵⁸ have dominated the production of individual 2D-TMDCs to study their physical properties and for the prototyping of (opto)electronic devices, LPE is a complementary approach that renders colloidal suspensions of 2D nanomaterials in much larger amounts.^{237,259}

The chemical modification of TMDCs with small molecules has been explored to modify their surface properties (i.e., fine-tune colloidal properties, include specific interactions, etc.) and electronic features (i.e., improve absorption, modify band gap, etc.)^{210,238}.

The direct decoration with thiols is the most studied approach for the covalent modification of MoS₂.²⁶⁰ Initially assumed to proceed via covalent attachment of the thiols at sulfur vacancies,²⁴⁰ this interpretation has recently been called into question, and

²⁴⁷ Hu, Z.; Wu, Z.; Han, C.; He, J.; Ni, Z.; Chen, W., *Chem. Soc. Rev.* **2018**, 47 (9), 3100-3128.

²⁴⁸ Jariwala, D.; Sangwan, V. K.; Lauhon, L. J.; Marks, T. J.; Hersam, M. C., *ACS Nano* **2014**, 8 (2), 1102-1120.

²⁴⁹ Frindt, R. F., *J. Appl. Phys.* **1966**, 37 (4), 1928-1929.

²⁵⁰ Radisavljevic, B.; Radenovic, A.; Brivio, J.; Giacometti, V.; Kis, A., *Nat. Nanotechnol.* **2011**, 6, 147.

²⁵¹ Castellanos-Gomez, A. *et al. Adv. Mater.* **2013**, 25 (46), 6719-6723.

²⁵² Castellanos-Gomez, A. *et al. Nano Lett.* **2013**, 13 (11), 5361-5366.

²⁵³ Kang, K.; Xie, S.; Huang, L.; Han, Y.; Huang, P. Y.; Mak, K. F.; Kim, C.-J.; Muller, D.; Park, J., *Nature* **2015**, 520, 656.

²⁵⁴ Xie, S. *et al. Science* **2018**, 359 (6380), 1131-1136.

²⁵⁵ Li, H.; Wu, J.; Yin, Z.; Zhang, H., *Acc. Chem. Res.* **2014**, 47 (4), 1067-1075.

²⁵⁶ Cunningham, G. *et al. ACS Nano* **2012**, 6 (4), 3468-3480.

²⁵⁷ Castellanos-Gomez, A. *et al. 2D Mater.* **2014**, 1 (1), 011002.

²⁵⁸ Lv, R.; Robinson, J. A.; Schaak, R. E.; Sun, D.; Sun, Y.; Mallouk, T. E.; Terrones, M., *Acc. Chem. Res.* **2015**, 48 (1), 56-64.

²⁵⁹ Zhang, X.; Lai, Z.; Tan, C.; Zhang, H., *Angew. Chem. Int. Ed.* **2016**, 55 (31), 8816-8838.

²⁶⁰ Presolski, S.; Pumera, M., *Mater. Today* **2016**, 19 (3), 140-145.

a different mechanism, involving strong physisorption of disulfides formed in situ has been proposed instead.²⁴⁵ The formation of coordination bonds between metal cations and the sulfur atoms of MoS₂ has also been explored.^{244,261} Finally, the nucleophilicity provided by the negative charges left over in the 1T-MoS₂ obtained after chemical exfoliation with n-BuLi has been exploited for functionalization with electrophiles, typically alkyl halides,²⁴¹ but also with aryldiazonium salts.²⁴²

On the other hand, maleimides are the prototypical electrophiles for sulfur-based nucleophiles, reacting through Michael addition, typically under mild conditions and orthogonally to most other functional groups. The robustness of this chemistry has been tried and tested in the biochemistry and polymer chemistry realms, where it has become part of the “click” chemistry toolbox.^{167,176,262,263}

Here, we exploit the inherent soft nucleophilic character of S to functionalize 2H-MoS₂ and WS₂ covalently with maleimide derivatives at room temperature.

8.2. Results.

Few-layer colloids of MoS₂ and WS₂ were obtained by LPE in 2-propanol/water using an ultrasonic probe (7:3 v/v and 1 h for MoS₂; 1:1 v/v and 8 h for WS₂). This relatively mild exfoliation procedure yields colloids of the 2H polytype, as evidenced by UV–vis extinction and Raman spectroscopies, and high-resolution transmission electron microscopy (HRTEM, see below), with a majority of flakes in the few layers limit (<10 nm from AFM data), and lateral sizes in the hundreds of nanometres (100–600 nm from TEM data, see Figures S1–6). After this process, the solvent of the dispersion was changed to acetonitrile (Figure S7),²⁶⁴ and *N*-benzylmaleimide or *N*-methylmaleimide, and triethylamine were added. The reaction was stirred overnight (Figure 1a). Then, the product was centrifuged and thoroughly washed with acetonitrile in order to eliminate excess reagents and physisorbed maleimide (Figure S8).

²⁶¹ Liu, Y.-T.; Tan, Z.; Xie, X.-M.; Wang, Z.-F.; Ye, X.-Y., *Chem. Asian J.* **2013**, *8* (4), 817-823.

²⁶² Bhatia, S. K.; Shriver-Lake, L. C.; Prior, K. J.; Georger, J. H.; Calvert, J. M.; Bredehorst, R.; Ligler, F. S., *Anal. Biochem.* **1989**, *178* (2), 408-413.

²⁶³ Kade, M. J.; Burke, D. J.; Hawker, C. J., *J. Polym. Sci. Polym. Chem.* **2010**, *48* (4), 743-750.

²⁶⁴ Giovanelli, E.; Castellanos-Gomez, A.; Pérez, E. M., *ChemPlusChem* **2017**, *82* (5), 732-741.

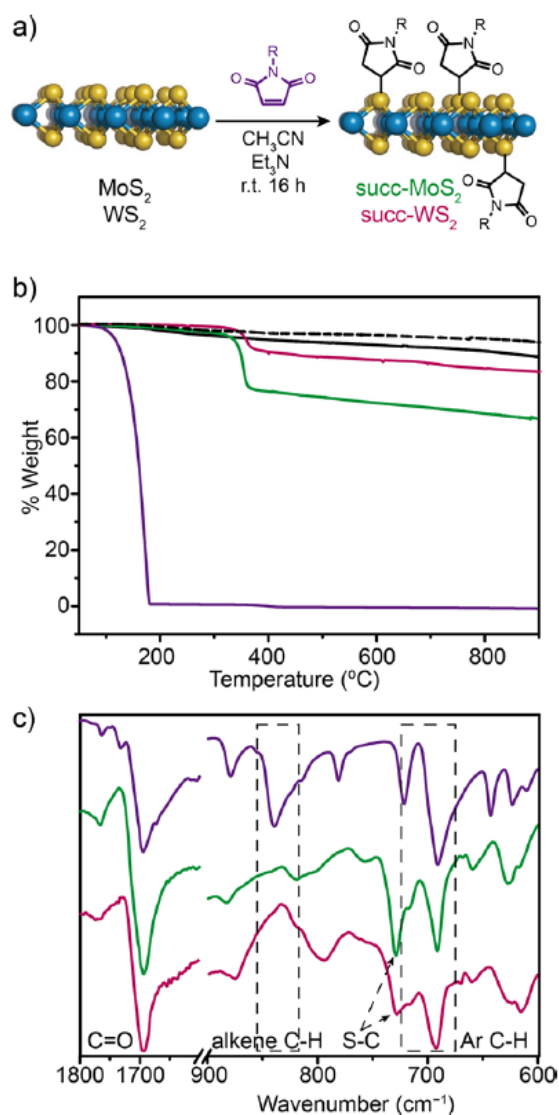


Figure 1. a) General scheme for the functionalization of TMDCs with maleimides (R = Bn or Me). b) TGA (N₂, 10 °C/min) of exfoliated MoS₂ (black solid line) and WS₂ (black dashed line), Bn-succ-MoS₂ (green), Bn-succ-WS₂ (magenta), and *N*-benzylmaleimide (purple). The colour code is identical for c). c) Comparison of the ATR-IR spectra of *N*-benzylmaleimide, Bn-succ-MoS₂ and Bn-succ-WS₂.

The product shows a functionalization of 24 wt % for the *N*-benzylmaleimide-functionalized MoS₂ flakes (Bn-succ-MoS₂) and 11 wt % for Bn-succ-WS₂, estimated from thermogravimetric analysis (Figure 1b). The large increase in desorption temperature of the organic material (from ca. 155 °C to ca. 320 °C) is a direct indication of covalent bonding. To prove this, we purposely prepared samples of Bn-succ-MoS₂ with a significant amount of physisorbed *N*-benzylmaleimide, where the desorption

temperature of the physisorbed and covalently attached materials are clearly distinguishable (Figure S20). The large degree of functionalization is incompatible with reaction at the edges/defect sites only, and indicates that the basal planes also undergo significant chemical modification.^{241,244} Comparison of the ATR-IR spectra of the *N*-benzylmaleimide reagent, Bn-succ-MoS₂ and Bn-succ-WS₂ is conclusive with respect to the mode of functionalization. Figure 1c shows the presence of most vibrations of *N*-benzylmaleimide in both the Bn-succ-MoS₂ and Bn-succ-WS₂ samples, although significantly weaker in the latter case due to the lower degree of functionalization (see Figures S10 and S11 for full spectra). For instance, the intense carbonyl stretch appears at 1695 cm⁻¹ in *N*-benzylmaleimide and at 1694 cm⁻¹ in Bn-succ-MoS₂, while the aromatic C–H bending are visible at 690 and 721 cm⁻¹, and at 691 and 718 cm⁻¹ in the reagent and product, respectively. Remarkably, the strong alkene C–H bending mode, at 840 cm⁻¹ in the spectrum of *N*-benzylmaleimide, is completely depleted in both Bn-succ-MoS₂ and Bn-succ-WS₂. Finally, the new signals in the spectra of Bn-succ-MoS₂ (723 cm⁻¹) and Bn-succ-WS₂ (728 cm⁻¹) are assigned to the S–C stretching mode. These observations not only prove that the Bn-succ-MoS₂ and Bn-succ-WS₂ samples are functionalized covalently through a Michael addition mechanism, but also that they contain negligible amounts of physisorbed unreacted *N*-benzylmaleimide. Indeed, in Bn-succ-MoS₂ samples with physisorbed *N*-benzylmaleimide, the alkene C–H bending mode is clearly distinguishable (Figure S20). Solid-state CP-MAS-¹³C NMR spectra of starting materials and products, and solution ¹³C NMR spectra of adequate model compounds, are shown in Figure 2. As expected, we observe no signal from the unreacted exfoliated samples. In the *N*-benzylmaleimide spectrum, the relatively weak and sharp signal in the alkyl region, at 40.7 ppm can be unambiguously assigned to the methylene group. In the C sp² region, the signals between 137.0 and 125.0 ppm correspond to the phenyl and alkene carbons, and the carbonyl group is found at 171.7 ppm.

The evidence for covalent functionalization via Michael addition is unmistakable in the spectra of Bn-succ-MoS₂ and Bn-succ-WS₂. Although with our set up the CP-MAS-¹³C NMR is not quantitative, it is qualitatively obvious that the alkyl signals increase in relative integration and show more than one chemical environment. In parallel with the increase in alkyl signals, in the aromatic-alkene region, the most deshielded signal,

corresponding to the electron-poor alkene, disappears. Comparison with the ¹³C NMR (CDCl₃, 100 MHz) spectrum of the small-molecule model product of the reaction between *N*-benzylmaleimide and 1-propylthiol confirms the preceding statement. Moreover, we also tested the reaction with *N*-methylmaleimide, to avoid the possible overlapping of aromatic and alkene signals. Indeed, the disappearance of the alkene signal (at ca. 140 ppm in the starting material) is unambiguous in the case of Me-succ-MoS₂ where the region is free of any other sp² carbon signal. Combined with the disappearance of the alkene signal, the appearance of new methylene signals in the alkyl region is a definite proof of functionalization via Michael addition. Finally, the carbonyl signal is significantly shifted downfield (to ca. 178 ppm from ca. 175 ppm in the starting materials) and broadened in all the functionalized materials, in agreement with an attack by a sulfur nucleophile and saturation of the double bond, which results in decreased electronic density and desymmetrization of the two carbonyl groups. Again, this effect is perfectly mirrored in the soluble small-molecule models.

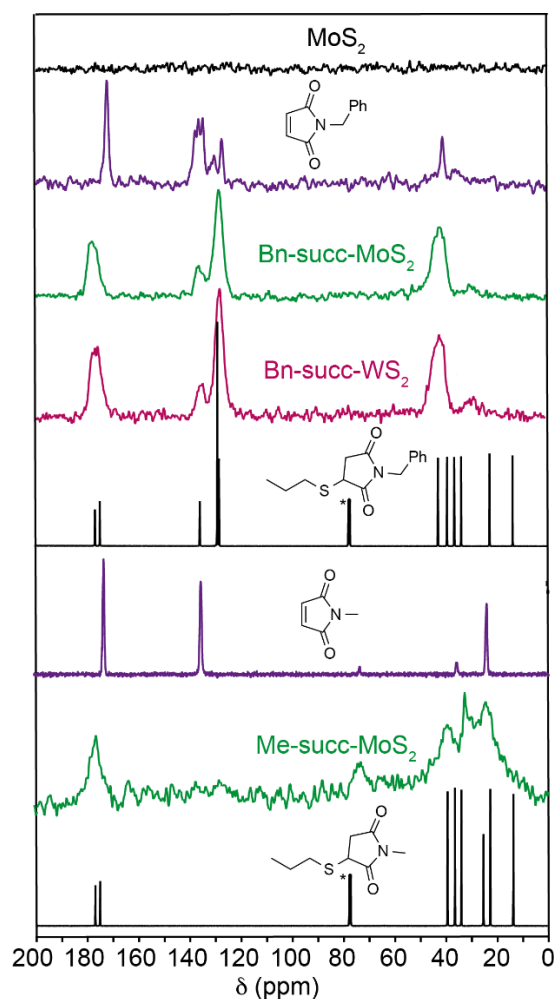
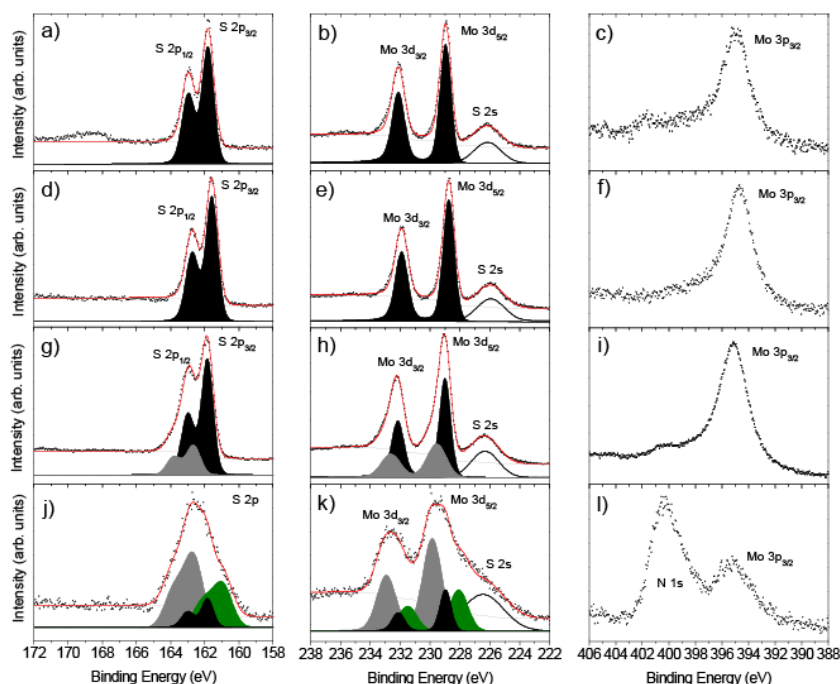


Figure 2. Comparison of the CP-MAS-¹³C NMR (100 MHz) spectra of MoS₂, *N*-benzylmaleimide, Bn-succ-MoS₂, Bn-succ-WS₂, *N*-methylmaleimide and Me-succ-MoS₂. The solution ¹³C NMR spectra (CDCl₃, 100 MHz, room temperature) of model small-molecule compounds, obtained by reaction of the corresponding maleimide and 1-propylthiol, are also shown. Solvent peaks are marked with an asterisk.

Considering that each sulfur atom in MoS₂/WS₂ is covalently bound to three Mo/W atoms, the formation of a covalent bond with the maleimide would imply the formation of a hypervalent S species with covalent bonds to three Mo/W atoms and one C atom. Such species have already been documented in the literature of soluble Mo and W clusters.^{265,266,267} Alternatively, the reactivity might arise from S⁻ present in the structure, due to Mo vacancies created during the LPE or solvent-transfer processes.²⁶⁸ To explore these hypotheses, we carried out X-ray photoemission spectroscopy (XPS) measurements.



²⁶⁵ Matsumoto, T.; Namiki, R.; Chang, H.-C., *Eur. J. Inorg. Chem.* **2018**, 2018 (35), 3900-3904.

²⁶⁶ Gomes de Lima, M. B.; Guerschais, J. E.; Mercier, R.; Petillon, F. Y., *Organometallics* **1986**, 5 (10), 1952-1964.

²⁶⁷ Ellis, J. E.; Rochfort, G. L., *Organometallics* **1982**, 1 (5), 682-689.

²⁶⁸ Hai, X.; Chang, K.; Pang, H.; Li, M.; Li, P.; Liu, H.; Shi, L.; Ye, J., *J. Am. Chem. Soc.* **2016**, 138 (45), 14962-14969.

Figure 3. XPS spectra of S, Mo and N core levels for the bulk MoS₂ material **a-c)**, **d-f)** MoS₂ as obtained in 2-propanol/water. **g-i)** MoS₂ after transfer to CH₃CN. **j-l)** Bn-succ-MoS₂.

The core level of C 1s centered at 284.6 eV was used as a binding energy reference. The main results for MoS₂ are described in Figure 3, depicting the XPS core levels corresponding to the S 2p (left column), Mo 3d and S 2s (middle column), and N 1s and Mo 3p_{3/2} regions (right column), with their corresponding fits. In the first row, the reference powder bulk MoS₂ data is shown (Figure 3a–c). The process to change solvent from 2-propanol/water (Figure 3d–f) to CH₃CN (Figure 3g–i) already produces observable changes. Although the sharp doublet structures are maintained, the best fit requires including a second component (in gray). The origin of this component could be attributed to a different ratio of surface to bulk atoms, due to reaggregation processes, or to the partial formation of MoO_yS_z species.²⁶⁹ However, the changes upon chemical functionalization (Figure 3j–l) are much more significant. To begin with, a characteristic peak for N 1s appears (Figure 3l), which can be unambiguously assigned to the maleimide fragment thanks to the comparison with the small shoulder due to residual CH₃CN in the unreacted material (Figure 3i). Furthermore, the S peak becomes significantly broader and requires a large lower energy contribution at 160.9 eV (green in Figure 3j). Likewise, the Mo signal is best fitted with a new component at 228.1 eV (green in Figure 3k). From these new components, we estimate that the functionalization is reflected in the S 2p region as a 33% of its integrated area and in the Mo 3d region as a 25%. This degree of functionalization is in good agreement with that obtained by TGA (24 wt %, i.e. 21 mol %). Similar results were obtained for WS₂ (Figure S12). These changes are consistent with the dominant mechanism for the reaction being the formation of hypervalent S, as proposed above, where the formal negative charge on the S atoms would facilitate the electron extraction.

Chemical exfoliation/functionalization of TMDCs under harsh conditions typically results in transformation of the semiconducting 2H to the metallic 1T polytype.²³⁸ UV–vis and Raman spectroscopies, as well as HRTEM show that the

²⁶⁹ Gu, J.; Aguiar, J. A.; Ferrere, S.; Steirer, K. X.; Yan, Y.; Xiao, C.; *Nat. Energy* **2017**, *2*, 16192.

semiconducting 2H nature of the TMDCs nanosheets is not altered during our functionalization protocol. For example, the Raman spectra ($\lambda_{\text{exc}} = 532 \text{ nm}$) of both the pristine and functionalized samples are dominated by the characteristic E_{2g}^1 and A_{1g} modes which appear only slightly shifted after functionalization (Figure S13). For comparison, covalent functionalization methods which require phase transitions result in significant changes in Raman spectroscopy.^{241,242} Figure 4 shows HRTEM images of MoS₂ and WS₂ and the corresponding Bn-succ-MoS₂ and Bn-succ-WS₂ samples, where the conservation of the 2H polytype is evidenced by the hexagonal pattern in the 2D fast Fourier transforms (2D FFT, insets in Figure 4).

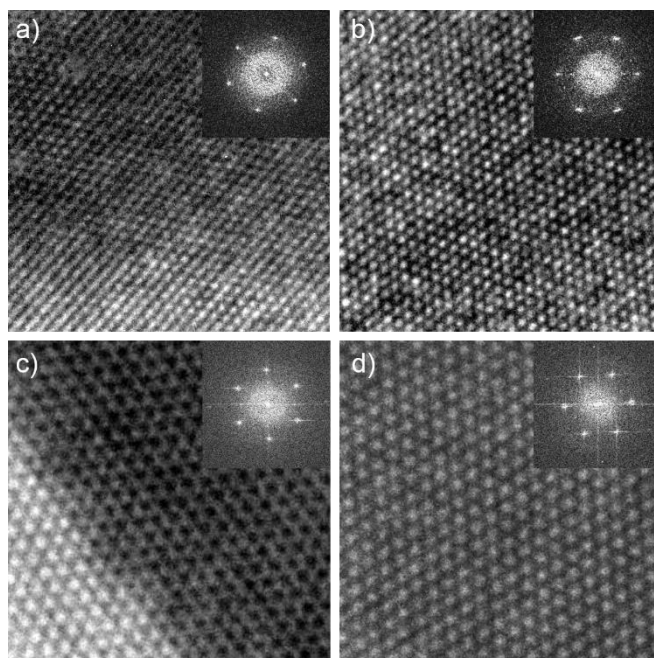


Figure 4. HRTEM micrographs of **a)** MoS₂, **b)** Bn-succ-MoS₂, **c)** WS₂ and **d)** Bn-succ-WS₂. The insets show the corresponding 2D-fast Fourier transforms.

An interesting macroscopic consequence of the chemical functionalization with organic fragments is that it brings about a noticeable increase of the stability of the colloids in nonpolar organic solvents such as CHCl₃, indicating a more oleophilic character of the Bn-succ-MoS₂ and Bn-succ-WS₂ surface (Figures S34 and S35).

8.3. Conclusions.

In summary, we develop a new strategy for the covalent functionalization of TMDCs based on the avidity of S as a soft nucleophile for soft electrophiles. In particular, we describe that 2H-MoS₂ and WS₂ can be covalently functionalized with maleimide reagents via Michael addition. The reaction occurs at room temperature, under very mild conditions that do not affect the 2H semiconducting polytype of the starting materials. Our results will allow those interested in the chemistry of TMDCs to tap into the rich toolbox of maleimide-based reagents developed to modify proteins and polymers, many even commercially available, or design à la carte reagents using well-established chemistry on the maleimide.

8.4. Supplementary Information.

8.4.1. General Information.

Materials. MoS₂ powder (<2 μm, 99%), WS₂ powder (2 μm, 99%), *N*-benzylmaleimide (99%) and triethylamine (>99%) were obtained from Sigma Aldrich. *N*-methylmaleimide (>98%) was obtained from TCI Europe and 1-propanethiol (98%) was obtained from Alfa Aesar. Solvents were purchased from Scharlau chemicals and used as received; Water was obtained from a Milli-Q filtration station (“Type 1” ultrapure water; resistivity: 18.2 MWcm at 25°C).

Characterization methods. Analytical thin layer chromatographies (TLC) were performed using aluminium-coated Merck Kieselgel 60 F254 plates. NMR spectra were recorded on a Bruker Avance 400 (1H: 400 MHz; ¹³C: 100 MHz) spectrometers at 298 K, using partially deuterated solvents as internal standards. Coupling constants (*J*) are denoted in Hz and chemical shifts (*δ*) in ppm. Solid-State CP-MAS-¹³C Nuclear Magnetic Resonance (CP-MAS-¹³C NMR) spectras were obtained with a Bruker AV 400 WB spectrometer. Thermogravimetric analyses (TGA) were performed using a TA Instruments TGAQ500. First, the sample was at 50°C during 15 minutes. After that, the experiment was run with a ramp of 5 °C/min under N₂ from 50 to 1000 °C with a ramp of 10 °C/min under air from 100 to 1000 °C. Transmission electron microscopy (TEM) images were obtained with JEOL JEM 2100 microscope operated at 200 kV. Colloidal samples, exfoliated TMDCs and Bn-succ-TMDCs, were drop-cast onto 200 square mesh

copper grids covered with a carbon film. Atomic Force Microscopy (AFM) images were acquired using a JPK NanoWizard II AFM working in dynamic mode. NT-MDT NSG01 silicon cantilevers, with typical values of 5.1 N m⁻¹ spring constant and 150 kHz resonant frequency, were employed under ambient conditions in air. Raman spectra were recorded with a Bruker Senterra confocal Raman microscope (Bruker Optic, Ettlingen, Germany, resolution 3- 5 cm⁻¹) by using the following parameters: objective NA 0.75, 50V; laser excitation: 532 nm, 2 mW. Colloidal samples, exfoliated TMDCs and Bn-succ-TMDCs, were drop-cast and dried onto glass slides at 50°C. Each spectrum results from the average of ten measurements carried out in different regions distributed all over the sample. Fourier-transform infrared spectroscopy with attenuated total reflection (FT-ATR-IR) were performed with ALPHA FT-IR spectrometer of Bruker. UV-vis spectra were performed using a Cary 50 UV/Visible spectrophotometer and measured in a quartz cuvette (path length=1 cm). The colloidal stability was carried out with a suspension of exfoliated TMDCs and Bn-succ-TMDCs (0.10 mL in the case of MoS₂ and 0.15 mL of WS₂) in CHCl₃. It was sonicated for 2 min and transferred into a quartz cuvette (path length=1 cm). The cuvette was closed with a stopper and the extinction spectrum of the suspension was measured immediately (time zero measurement). The suspension was left at room temperature and each extinction spectrum was recorded at eight times in intervals of one hour. This process was repeated three times. The XPS measurements (X ray Photoelectron Spectroscopy) were performed under Ultra High Vacuum conditions (UHV, with a base pressure of 5×10⁻¹⁰ mbar), using a monochromatic Al K α line as exciting photon source for core level analysis (h ν = 1486.7). The emitted photoelectrons were collected in a hemispherical energy analyzer (SPHERA-U7, pass energy set to 20 eV for the XPS measurements to have a resolution of 0.6 eV) and to compensate the built up charge on the sample surface it was necessary the use of a Flood Gun (FG-500, Specs), with low energy electrons of 3 eV and 40 μ A.

8.4.2. Experimental Procedure.

8.4.2.1. Exfoliation of TMDCs.

Liquid-phase exfoliation. MoS₂ powder (<2 μ m, 99%, 1 mg/mL) was dispersed in 150 mL of a 7:3 mixture (v/v) of 2-propanol (*i*PrOH) and water,^{217,264} in a 250 mL round-bottom flask further cooled down at 2.5°C using Minichiller Huber.

WS₂ powder (2 μm, 99%, 1 mg/mL) was dispersed in 150 mL of a 1:1 mixture (v/v) of 2-propanol (*i*PrOH) and water,²⁷⁰ in a 250 mL round-bottom flask further cooled down at 2.5°C using Minichiller Huber.

The liquid-phase exfoliation (LPE) was performed by using an ultrasonic probe (Vibracell 75115, Bioblock Scientific, 500 W) immersed in the dispersion and operating at the amplitude of 40% for different hours. After sonication, the black suspension was distributed into six 50 mL conical centrifuge tubes which were centrifuged for 30 min at 1792 g (4000 rpm, Allegra X-15R Beckman Coulter centrifuge, FX6100 rotor, 20°C). The corresponding olive-color supernatants (≈20mL) were carefully separated from the black sediment (non-exfoliated material) and collected each in another 50 mL conical centrifuge tubes for further phase transfer.

Five different experiments were carried out for each bulk powder of TMDCs, differing in the sonication time (Figure S1) (continuous ultrasound irradiation and constant temperature (2.5°C)): 1 h, 2 h, 8 h, 16 h and 24 h. Each experiment was replicated independently.

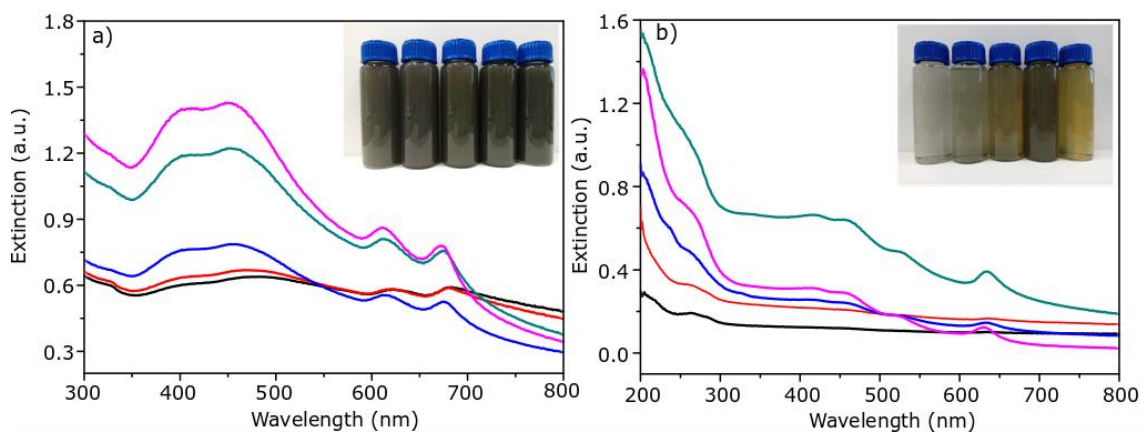


Figure S1. UV-vis spectroscopy of TMDC colloids obtained after exfoliation of TMDC powders at different times of sonication: 1h (black line), 2h (red), 8h (blue), 16h (green) and 24h (pink). **a)** MoS₂ colloids. **b)** WS₂ colloids. It was used 1.5 mL of the suspension to do the UV-vis and was added solvent until full the cuvette. (1 mL in the case of 24h of sonication). There is a blue shift while increasing the time of exfoliation.

²⁷⁰ Shen, J.; He, Y.; Wu, J.; Gao, C.; Keyshar, K.; Zhang, X.; Yang, Y.; Ye, M.; Vajtai, R.; Lou, J.; Ajayan, P. M., *Nano Lett.* **2015**, *15* (8), 5449-5454.

*Phase transfer of the TMDC nanosheets.*²⁶⁴ In a typical experiment, chloroform (CHCl₃) (15 mL) was added to the previously collected colloidal suspension (≈20 mL) and the mixture was rested for a few minutes. The nanosheets are located at the interface between the polar and nonpolar phases (Figure S2). Both phases were removed and the remaining nanosheets were redispersed in pure *i*PrOH (1 mL) and sonicated (Fisherbrand FB15051 bath sonicator, ultrasound frequency 37 kHz, 280 W, ultrasonic peak max. 320 W, standard sine-wave modulation). The suspension was transferred to five eppendorfs which were centrifuged (Hettich Mikro 120 centrifuge, 24-tube rotor, 14000 rpm, 18626 g, 10 min). The liquid phase was removed and the black precipitate was dispersed another time in *i*PrOH (1 mL). This procedure was repeated two times more. Finally, the exfoliated TMDCs was suspended in 5 mL of *i*PrOH and transferred to a glass vial.

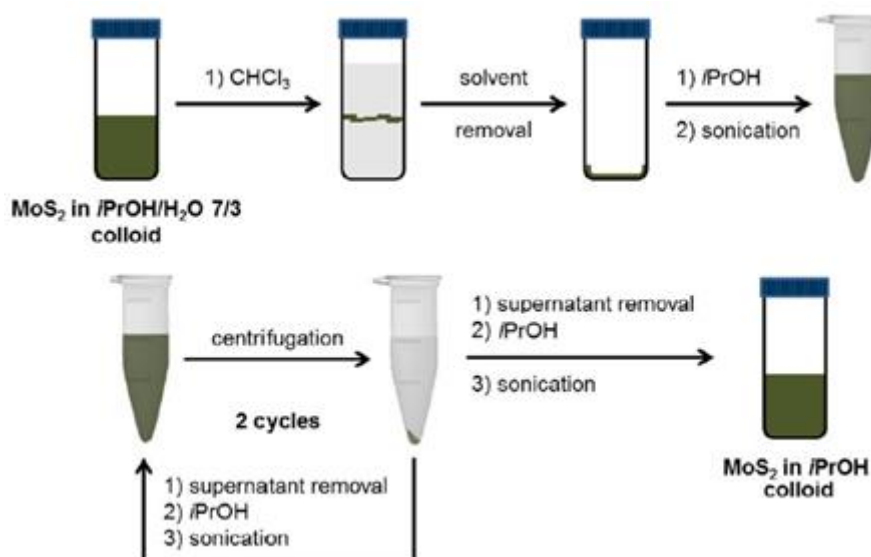


Figure S2. Phase transfer of the solvent.

Characterization of exfoliated TMDCs.

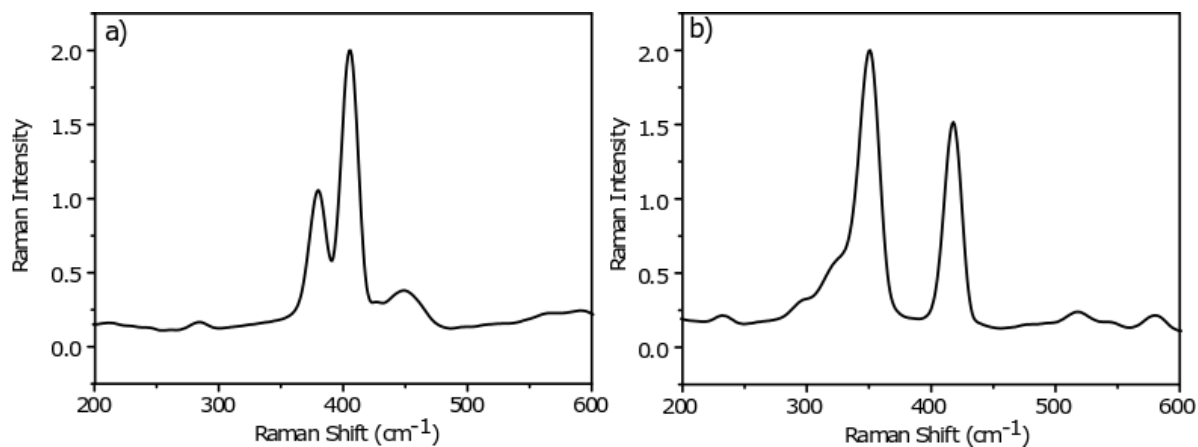


Figure S3. Raman spectroscopy (laser excitation: 532 nm) of exfoliated MoS₂ (a) and exfoliated WS₂ (b).

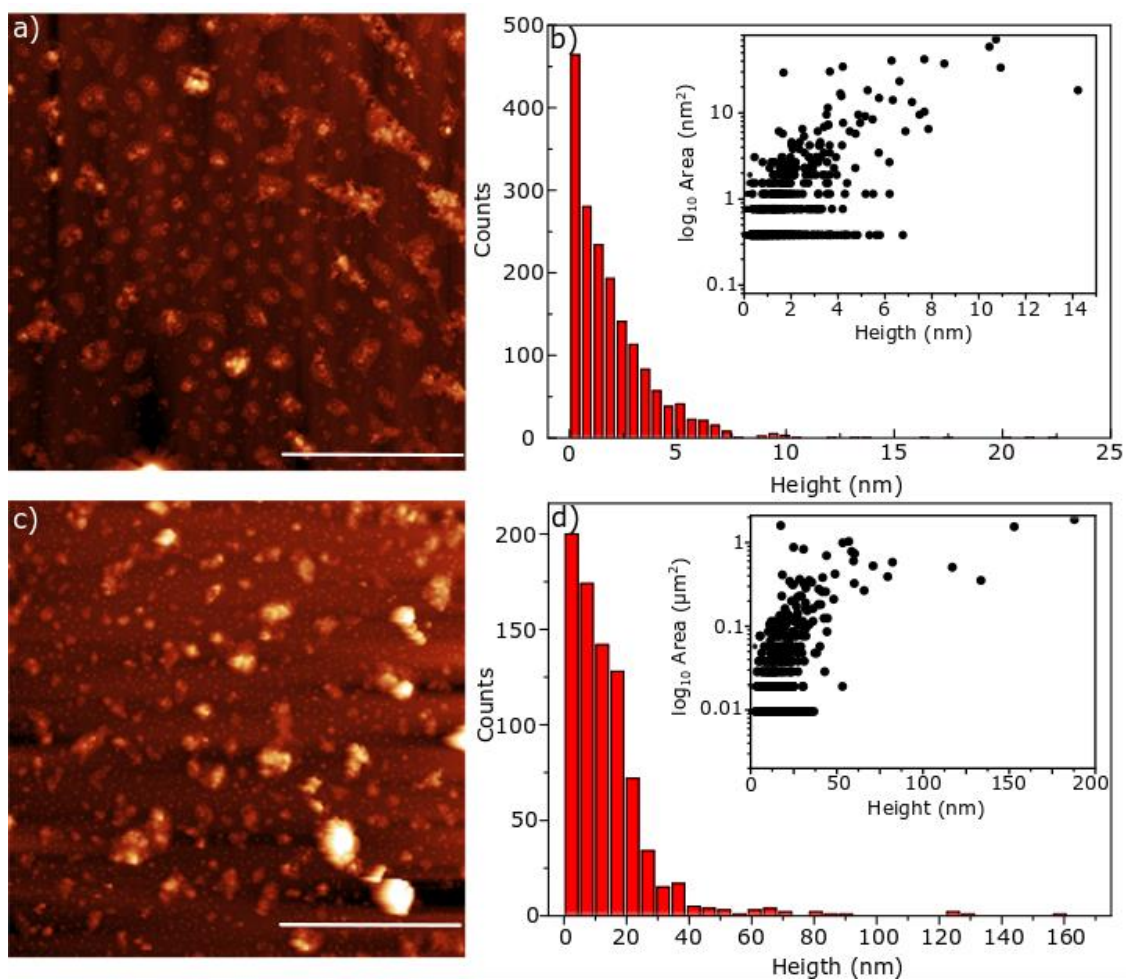


Figure S4. Atomic Force Microscopy (AFM). a) Image of exfoliated MoS₂. (Scale 4 μm).

b) Half value of 1730 flakes of the image. Inset: area versus height correlation of the flakes analyzed. Average value of height is less of 5 nm. **c)** Image of exfoliated WS₂. (Scale 10 μm). **d)** Average value of 812 flakes of the image. Inset: area versus height correlation of the flakes analyzed. The half value of height is less of 5 nm.

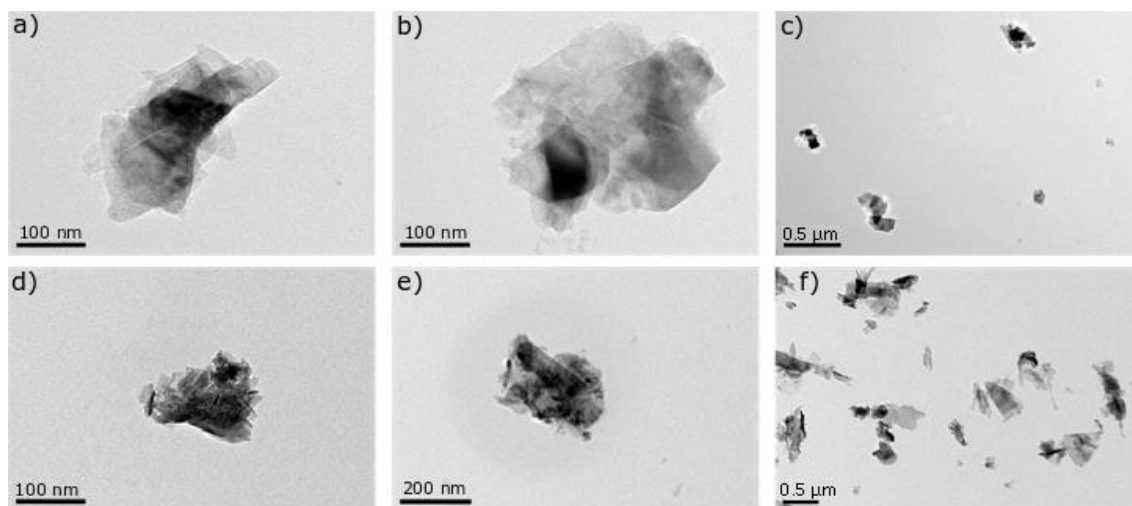


Figure S5. Transmission Electronic Microscopy (TEM) of the exfoliated flakes **a)**, **b)** and **c)** images of exfoliated MoS₂. **d)**, **e)** and **f)** images of exfoliated WS₂.

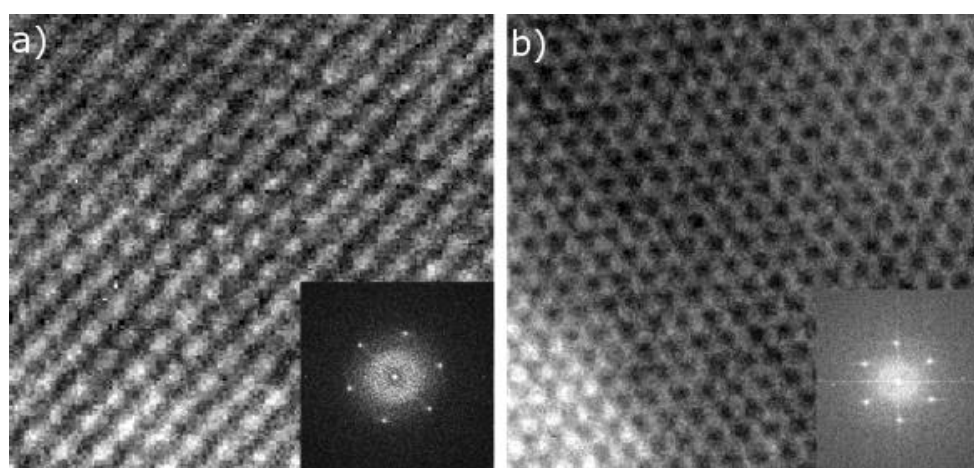


Figure S6. **a)** High Resolution TEM (HRTEM) of exfoliated MoS₂. Inset, FFT. **b)** HRTEM of exfoliated WS₂. Inset, FFT.

8.4.2.2. Functionalization of TMDCs.

Experimental procedure. 1 mL suspension of exfoliated TMDCs nanosheets in *i*PrOH was transferred to 2 eppendorfs and 0.25 mL of CHCl₃ was added in each one. The solvent mixture was sonicated for a few-seconds and the nanosheets were separated from the solvent by centrifugation (14000 rpm, 18626 g, 10 min). The resulting black precipitated was washed with *i*PrOH/CHCl₃ 2:1 (v/v, 1 mL per eppendorf), that is, redispersed in the solvent mixture by sonication, and then centrifuged again. This procedure was repeated 2 times more. Finally, 0.5 mL of acetonitrile (ACN) was added to each eppendorf and redispersed (Figure S7). The suspension of each eppendorf was transferred to the same glass vial to do the next step.

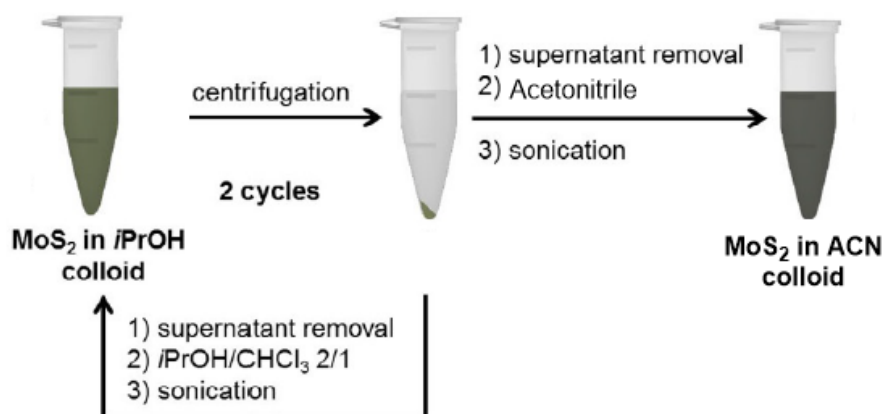


Figure S7. Solvent transfer process previous to the functionalization.

In a glass vial, 1 mL of exfoliated TMDCs nanosheets in ACN and a magnetic stir bar were added. Then, a solution of *N*-benzylmaleimide (190 mg, 1 mmol) in 1 mL of ACN (1 M) was added. Finally, 5 drops of triethylamine (Et₃N) were added to the reaction. The final mixture was stirred overnight at room temperature.

The next day the colour of the suspension was changed to red. The mixture was transferred to 2 eppendorfs (1 mL per eppendorf) and were centrifuged (14000 rpm, 18626 g, 15 min). The red liquid phase was separated from the black precipitate. Then, ACN was added (1 mL per eppendorf) for washing the non-reactive material. The mixture was dispersed by sonication and centrifuged again (14000 rpm, 18626 g, 15 min). This procedure was repeated until *N*-benzylmaleimide was disappeared in the liquid phase (each washed was checked by UV-Visible) (Figure S8). Finally, 1 mL of ACN was added

to each eppendorf and the Bn-succ-TMDCs nanosheets were dispersed. The final suspension was transferred to a glass vial.

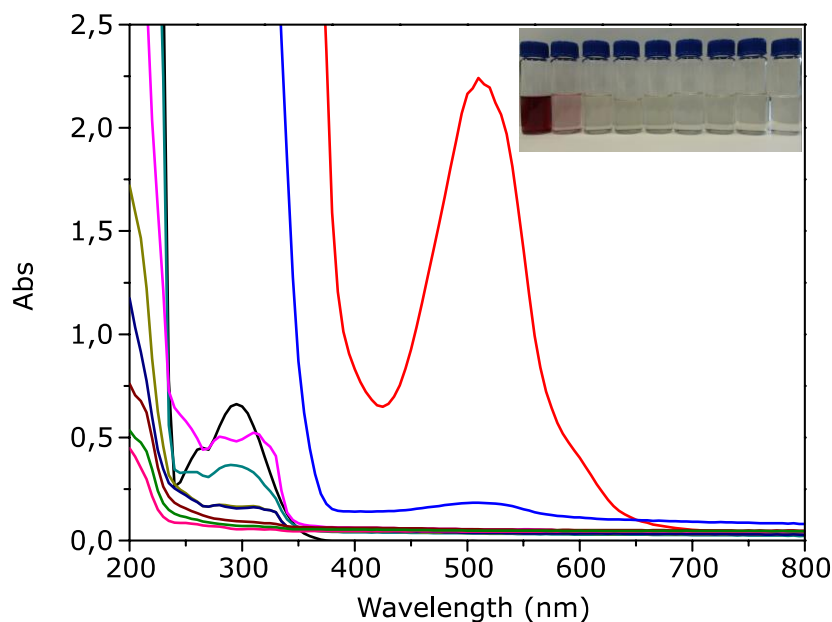


Figure S8. UV-Vis spectroscopy of washed procedure of Bn-succ-TMDCs. In this case, with eight washes was enough to clean the non-reactive material. *N*-benzylmaleimide (black line) is used as reference.

Scale-up of the reaction. In a glass vial, 5 mL of exfoliated TMDCs nanosheets in ACN and a magnetic stir bar were added. Then, *N*-benzylmaleimide (1g, 5 mmol) was added directly to the glass vial. Finally, 5 drops of triethylamine were added to the reaction. The final mixture was stirred overnight at room temperature. The washes of non-reactive material is the same that the previous section.

Characterization of *Bn-succ-TMDCs*.

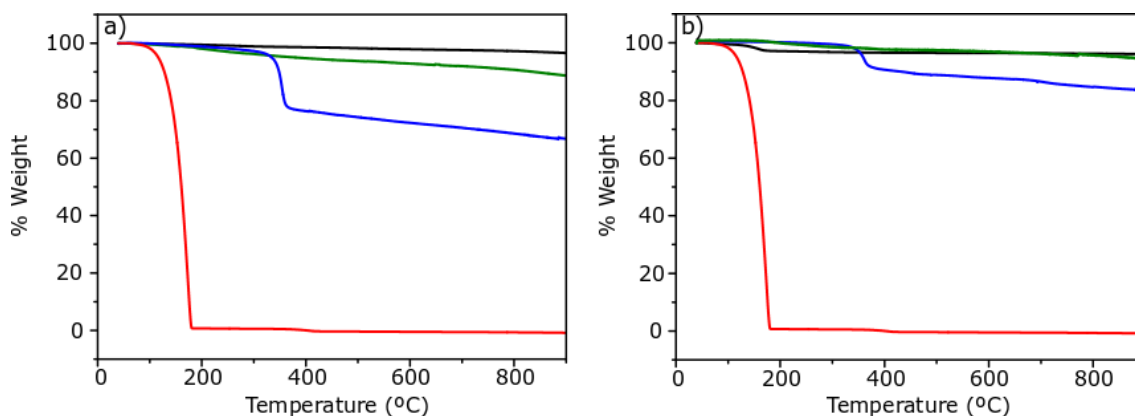


Figure S9. **a)** Thermogravimetric traces of MoS₂-bulk (black line), exfoliated MoS₂ (green), Bn-succ-MoS₂ (blue) and *N*-benzylmaleimide (red). **b)** WS₂-bulk (black line), exfoliated WS₂ (green), Bn-succ-WS₂ (blue) and *N*-benzylmaleimide (red).

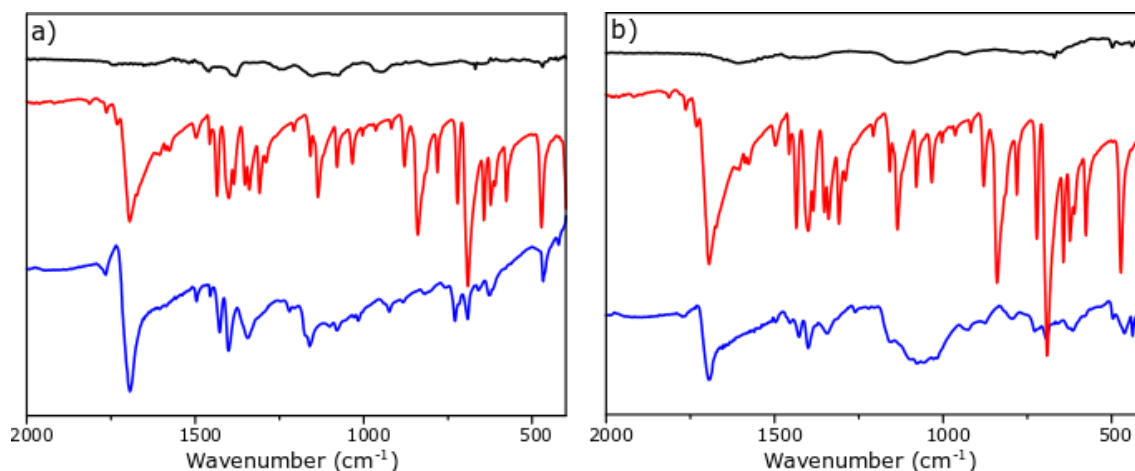


Figure S10. ATR-IR spectroscopy. **a)** Exfoliated MoS₂ (black line), *N*-benzylmaleimide (red) and Bn-succ-MoS₂ (blue). ν (C=O)_{maleimide}: 1695 cm⁻¹; ν (C=O)_{Bn-succ-MoS2}: 1694 cm⁻¹. **b)** Exfoliated WS₂ (black line), *N*-benzylmaleimide (red) and Bn-succ-WS₂ (blue). ν (C=O)_{maleimide}: 1695 cm⁻¹; ν (C=O)_{Bn-succ-WS2}: 1693 cm⁻¹.

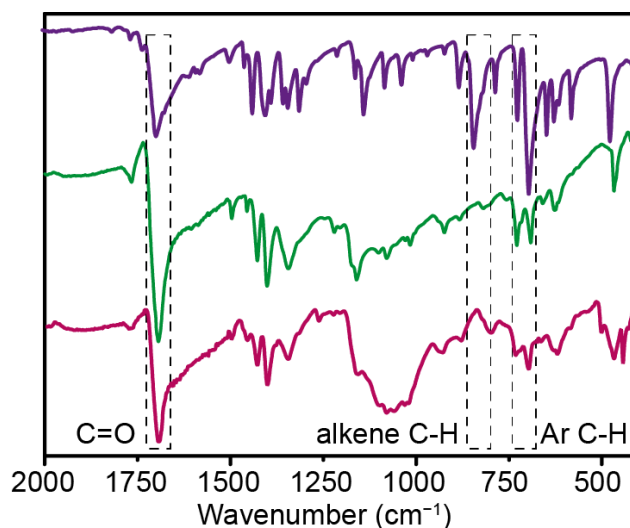


Figure S11. ATR-IR spectroscopy. Comparison between *N*-benzylmaleimide (purple line), Bn-succ-MoS₂ (green) and Bn-succ-WS₂ (magenta).

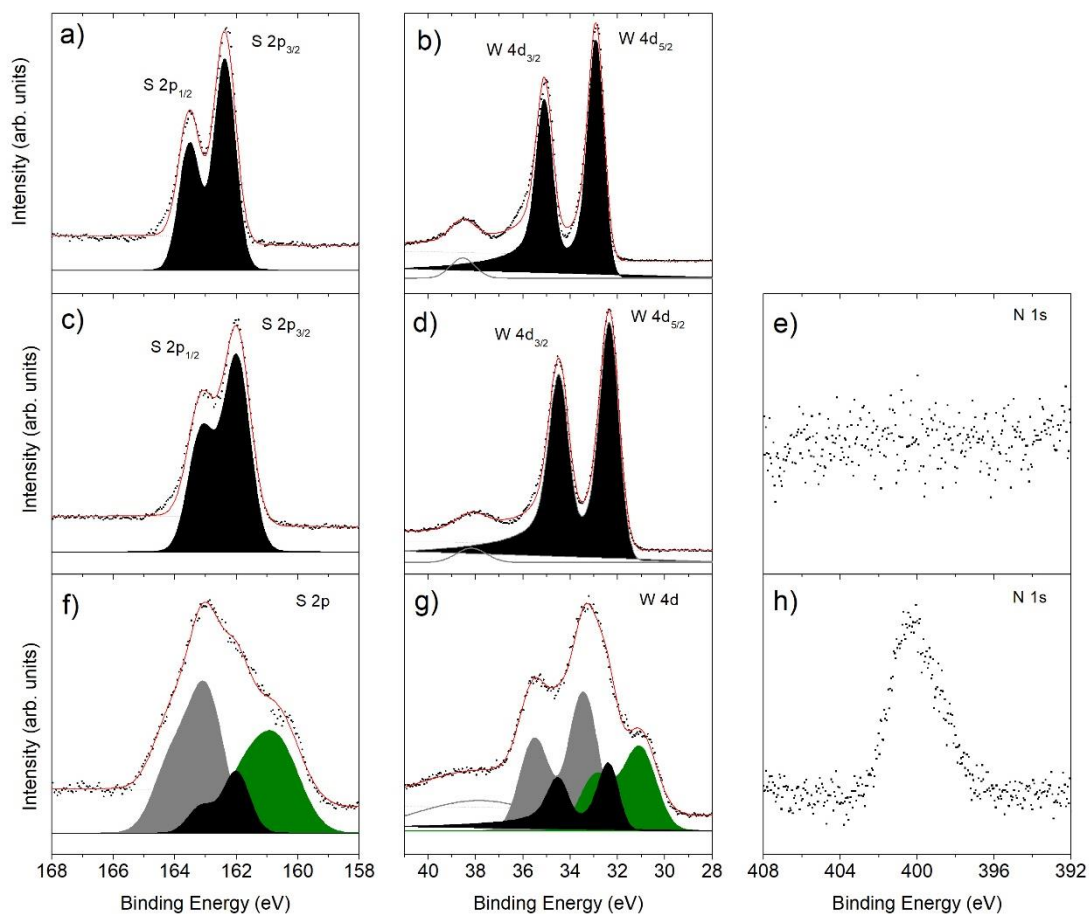


Figure S12. XPS spectra of S, W and N core levels for **a-b)** WS₂ from the bulk material, **c-e)** as obtained in 2-propanol/water (exfoliated WS₂) and **f-h)** Bn-succ-WS₂.

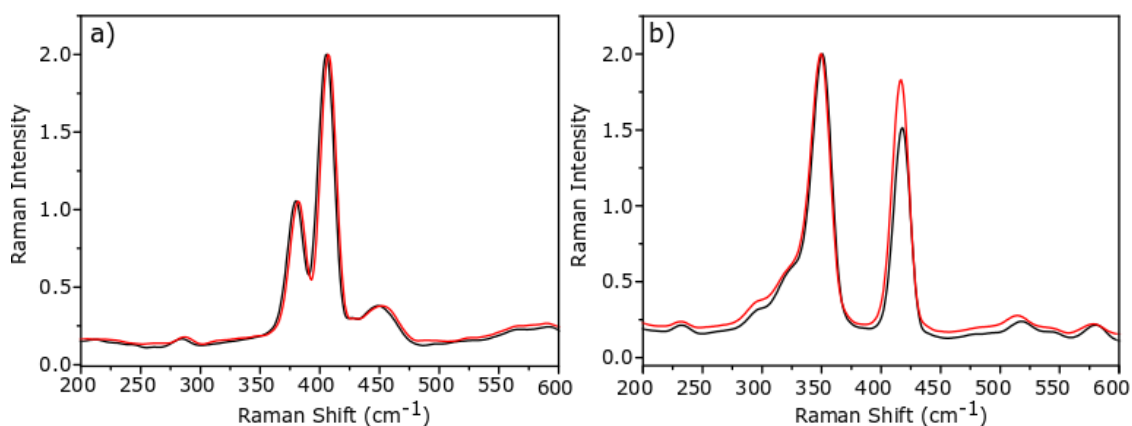


Figure S13. Raman Spectroscopy of Bn-succ-TMDCs (laser excitation: 532nm). **a)** Exfoliated MoS₂ (black line, 380 and 406 cm⁻¹) and Bn-succ-MoS₂ (red line, 382 and 408 cm⁻¹). **b)** Exfoliated WS₂ (black line, 351 and 418 cm⁻¹) and Bn-succ-WS₂ (red line, 350 and 417 cm⁻¹).

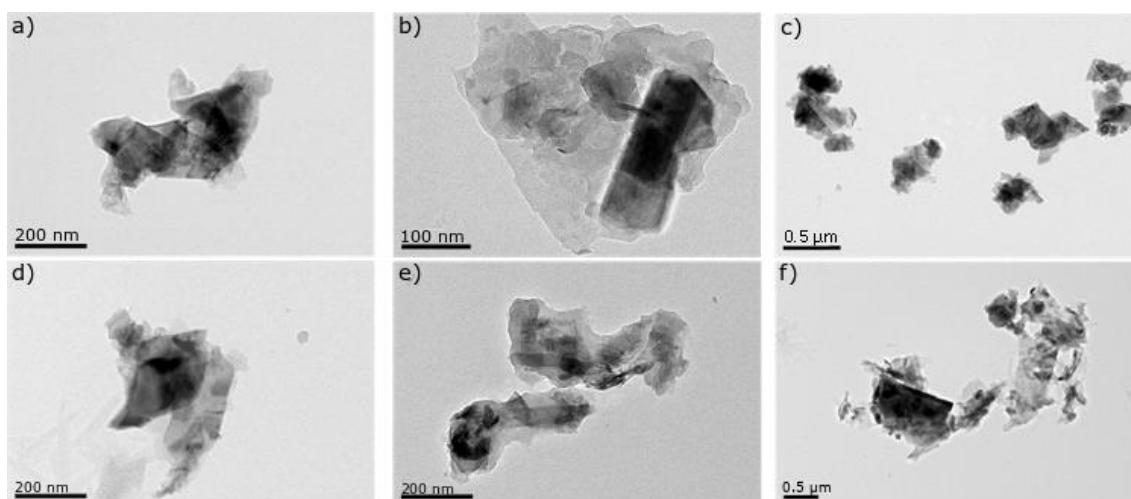


Figure S14. Transmission Electronic Microscopy (TEM) of the functionalized flakes **a)**, **b)** and **c)** images of Bn-succ-MoS₂. **d)**, **e)** and **f)** images of Bn-succ-WS₂.

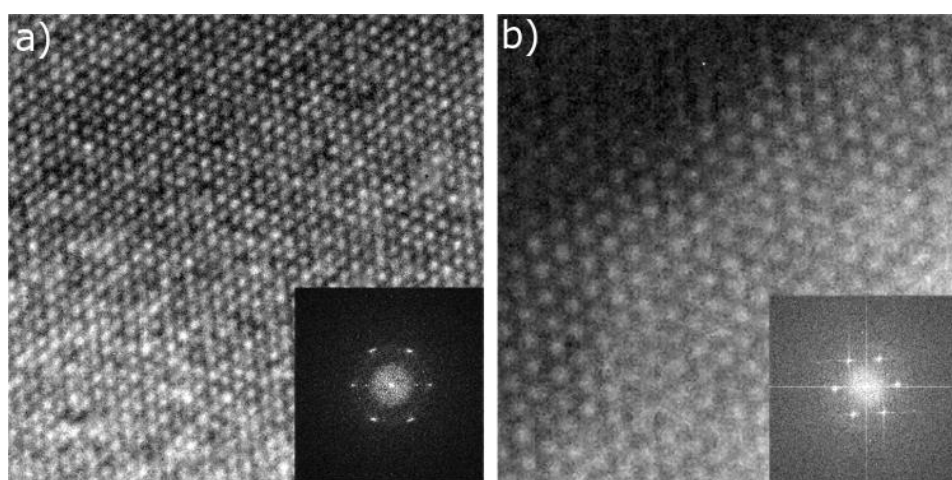


Figure S15. **a)** High Resolution TEM (HRTEM) of Bn-succ-MoS₂. Inset, FFT. **b)** HRTEM of Bn-succ-WS₂. Inset, FFT.

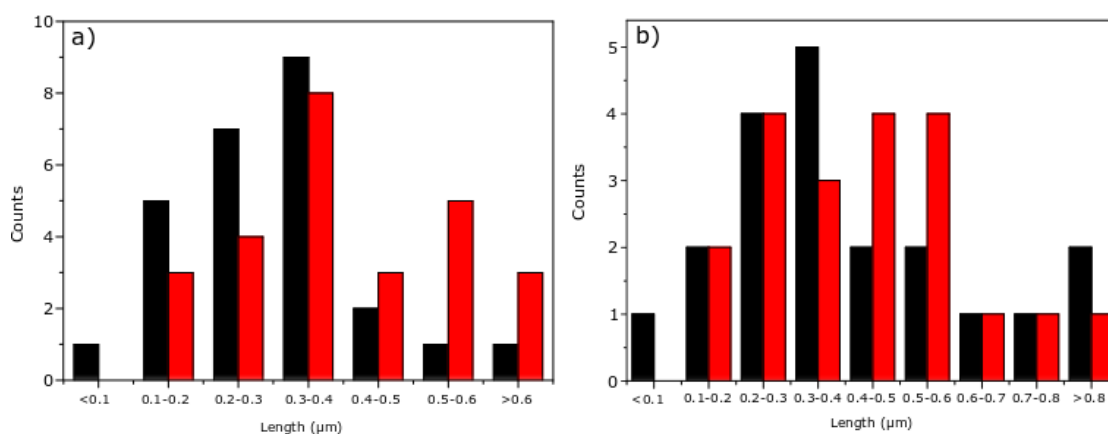


Figure S16. Analysis of length of 26 different flakes of TEM images. **a)** Exfoliated MoS₂ (black) and Bn-succ-MoS₂ (red). **b)** Exfoliated WS₂ (black) and Bn-succ-WS₂ (red).

8.4.2.3. Control experiments.

Reaction without triethylamine. In a glass vial, 1 mL of exfoliated MoS₂ in ACN and a magnetic stir bar were added. Then, a solution of *N*-benzylmaleimide (190 mg, 1 mmol) in 1 mL of ACN (1M) was added. The mixture was stirred overnight at room temperature. The next day the colour of the suspension was not changed. The procedure of washing is the same that the previous section.

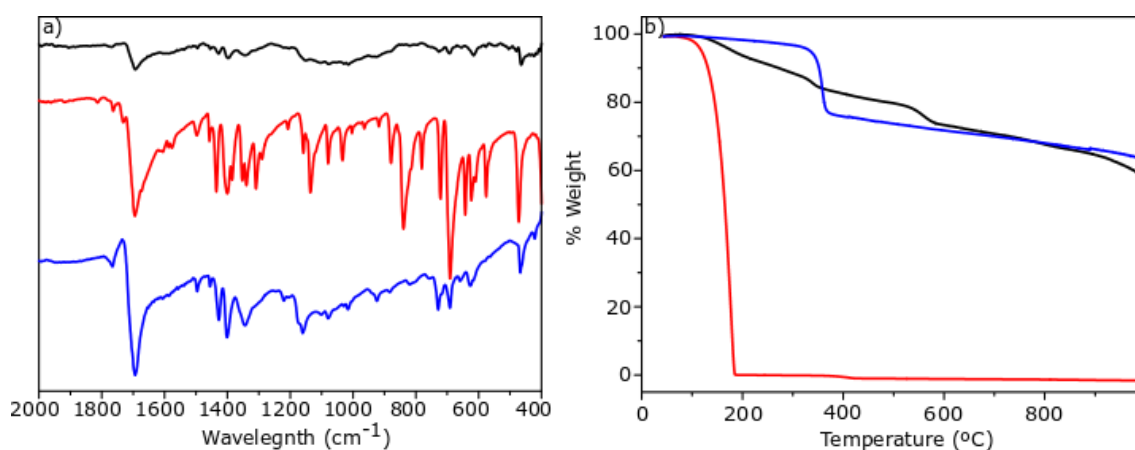


Figure S17. **a)** ATR-IR spectroscopy. **b)** Thermogravimetric analysis. Blank without Et₃N (black line), *N*-benzylmaleimide (red line) and Bn-succ-MoS₂ (blue).

*Reaction in *i*PrOH/H₂O.* In a glass vial, 1 mL of exfoliated MoS₂ in *i*PrOH /H₂O (7:3) and a magnetic stir bar were added. Then, a solution of *N*-benzylmaleimide (190 mg, 1 mmol) in 1 mL of *i*PrOH /H₂O (7:3) (1M) was added (it is not complete soluble). Finally, 5 drops of Et₃N were added to the reaction. The mixture was stirred overnight at room temperature. The next day the colour of the suspension was changed and all of the *N*-benzylmaleimide was dissolved. The procedure of washing is the same that the previous section.

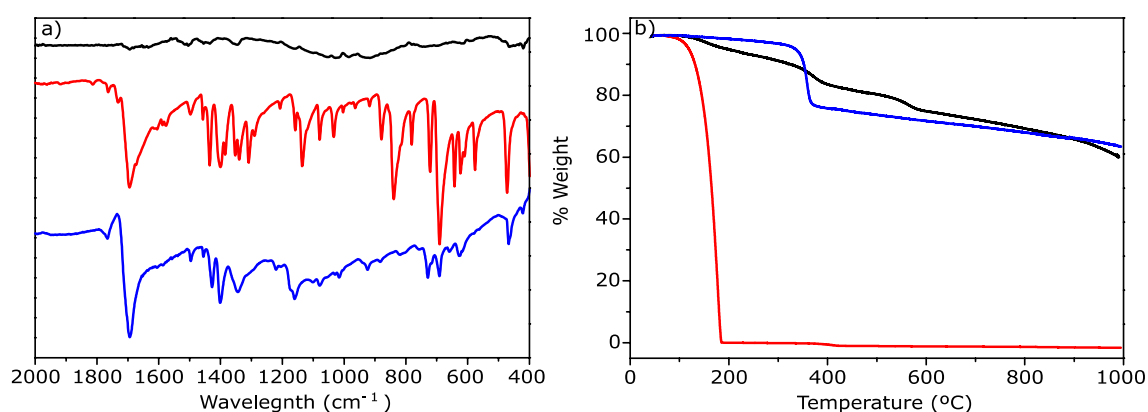


Figure S18. a) ATR-IR spectroscopy. b) Thermogravimetric analysis. Blank in IPA/H₂O (black line), *N*-benzylmaleimide (red line) and Bn-succ-MoS₂ (blue).

Reaction in iPrOH. In a glass vial, 1 mL of exfoliated MoS₂ in *iPrOH* and a magnetic stir bar were added. Then, a solution of *N*-benzylmaleimide (190 mg, 1 mmol) in 1 mL of IPA (1M) was added (it is not soluble). Finally, 5 drops of Et₃N were added to the reaction. The mixture was stirred overnight at room temperature. The next day the colour of the suspension was changed a little. The procedure of washing is the same that the previous section.

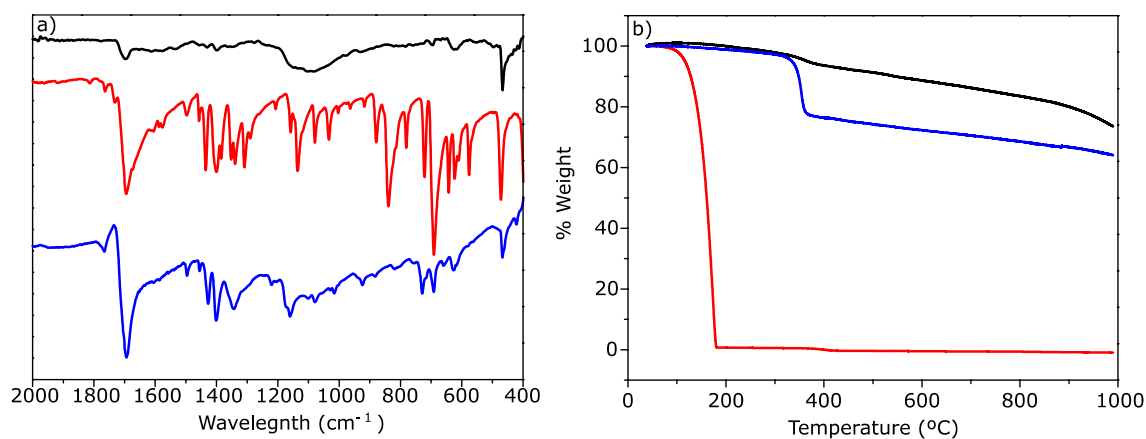


Figure S19. a) ATR-IR spectroscopy. b) Thermogravimetric analysis. Blank in *iPrOH* (black line), *N*-benzylmaleimide (red line) and Bn-succ-MoS₂ (blue).

Reaction without washes. In a glass vial, 1 mL of exfoliated MoS₂ in ACN and a magnetic stir bar were added. Then, a solution of *N*-benzylmaleimide (190 mg, 1 mmol) in 1 mL of ACN (1M) was added. Finally, 5 drops of Et₃N were added to the reaction.

The mixture was stirred overnight at room temperature. The next day the colour of the suspension was changed to red. The mixture of the reaction was centrifugated once: The crude was transferred to 2 eppendorfs (1 mL per eppendorf) and were centrifugated (14000 rpm, 18626 g, 15 min). The red liquid phase was separated from the black precipitate. Then, ACN was added (1 mL per eppendorf) and was transferred to a vial.

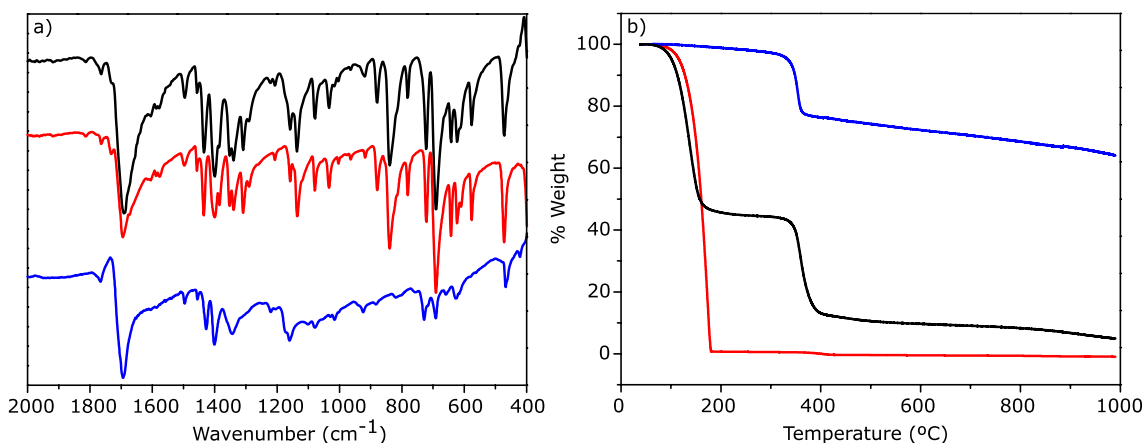


Figure S20. a) ATR-IR spectroscopy. b) Thermogravimetric analysis. Blank without washes (black line), *N*-benzylmaleimide (red line) and Bn-succ-MoS₂ (blue).

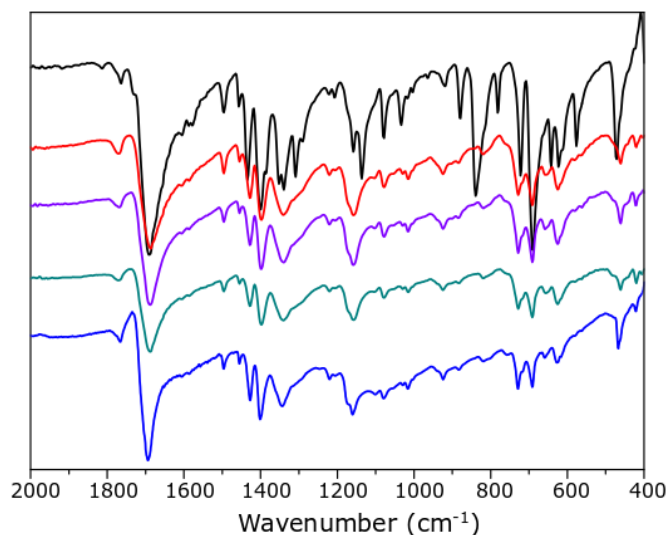
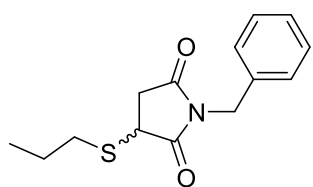


Figure S21. ATR-IR spectroscopy of the washes. Blank without washes (black line), third wash (red), sixth wash (purple), eighth wash (green) and Bn-succ-MoS₂ (blue).

Synthesis of 1-benzyl-3-(propylthio)pyrrolidine-2,5-dione.



N-benzylmaleimide (200 mg, 1.07 mmol, 1 eq) was dissolved in ACN (14 mL). Then, 1-propanethiol (0.12 mL, 1.23 mmol, 1.15 eq) was added. Finally, triethylamine (0.12 mL, 0.86 mmol, 0.8 eq) was added. The reaction was turned to pink.

The reaction was checked by thin-layer chromatography (TLC) (dichloromethane). When the reaction finished, the solvent was evaporated by vacuum. Then, the crude was extracted with dichloromethane and water. The organic phase was dried over Na₂SO₄ and concentrated by vacuum. The product was purified by chromatography column using DCM as eluent. ¹H NMR (400 MHz, CDCl₃) δ 7.40 – 7.25 (m, 5H), 4.71 – 4.60 (m, 2H), 3.70 (dd, *J* = 9.1, 3.6 Hz, 1H), 3.11 (ddd, *J* = 18.7, 9.1, 1.6 Hz, 1H), 2.81 (ddd, *J* = 13.0, 8.0, 6.4 Hz, 1H), 2.67 (dd, *J* = 13.7, 6.7 Hz, 1H), 2.57 – 2.48 (m, 1H), 1.73 – 1.52 (m, 2H), 0.98 (t, *J* = 7.4 Hz, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 176.4, 174.5, 135.5, 128.7, 128.7, 128.0, 42.5, 39.0, 36.2, 33.5, 22.4, 13.4.

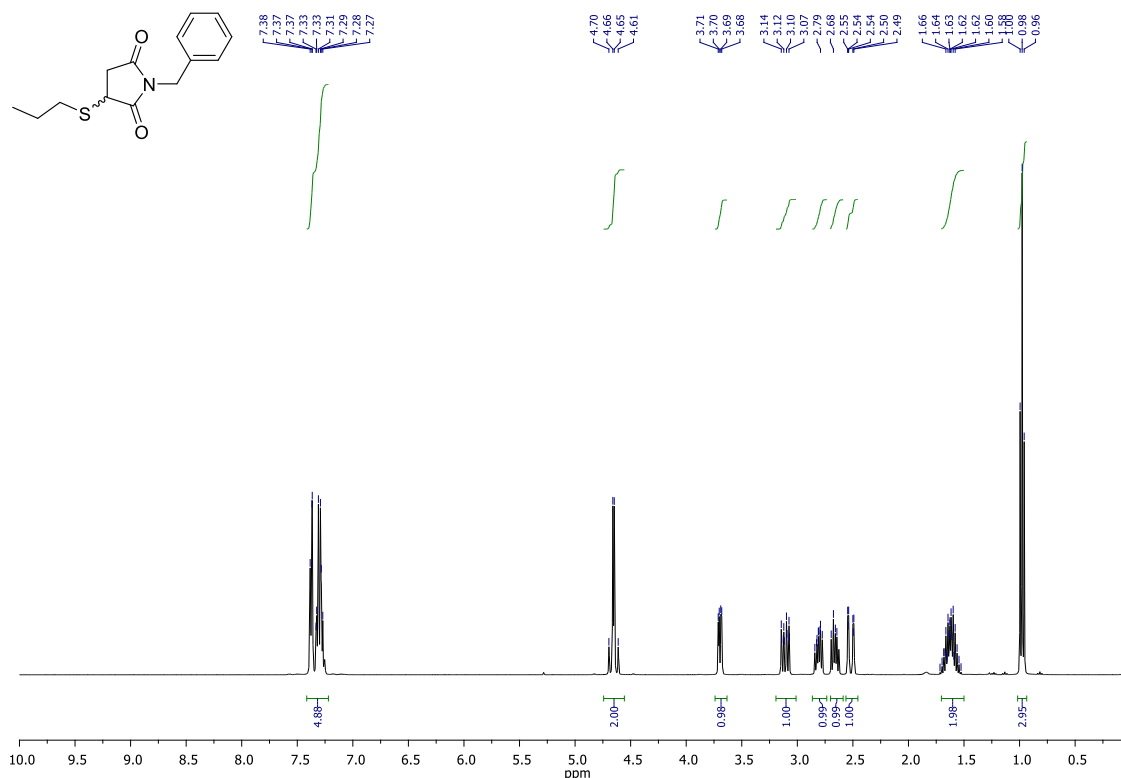


Figure S22. ¹H NMR of 1-benzyl-3-(propylthio)pyrrolidine-2,5-dione.

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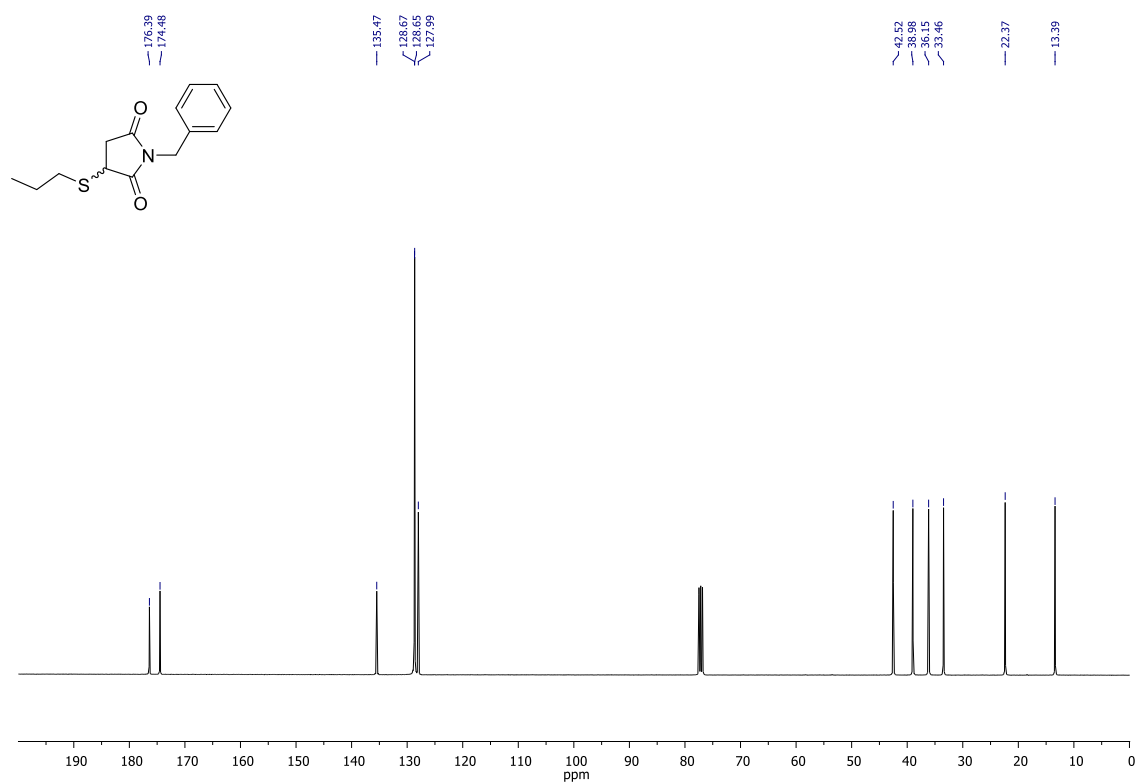


Figure S23. ¹³C NMR of 1-benzyl-3-(propylthio)pyrrolidine-2,5-dione.

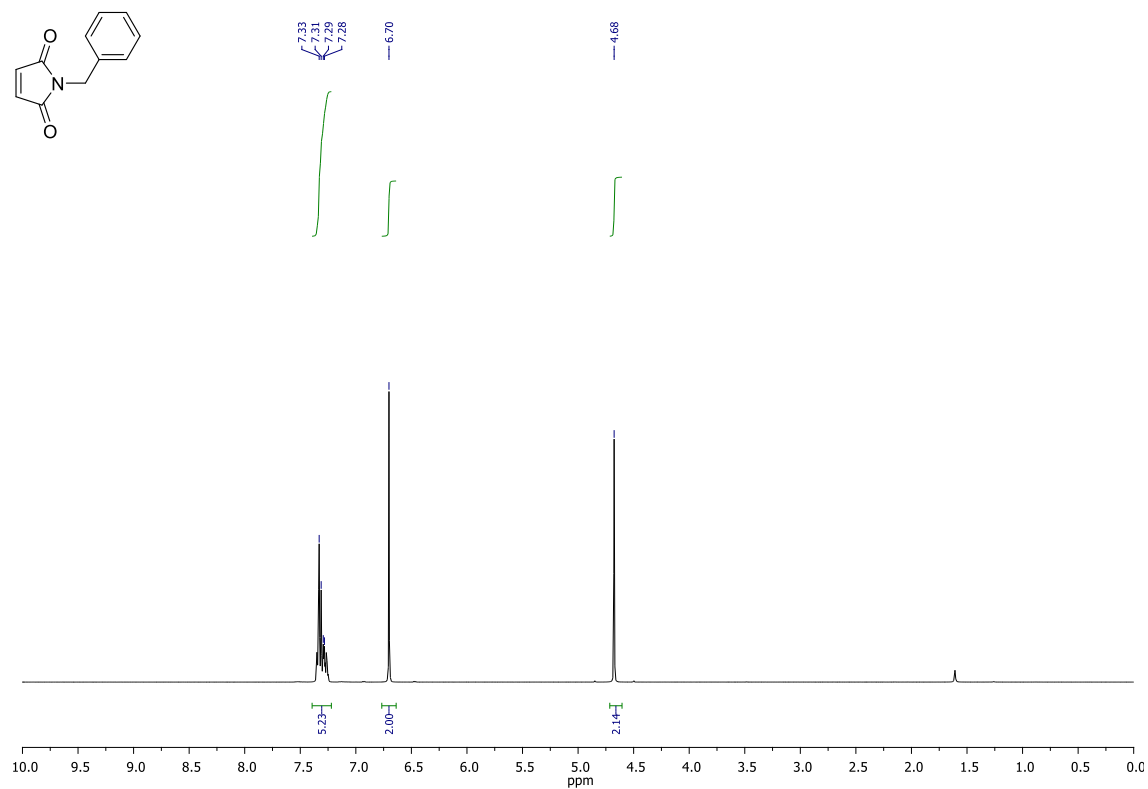


Figure S24. ¹H NMR of *N*-benzylmaleimide.

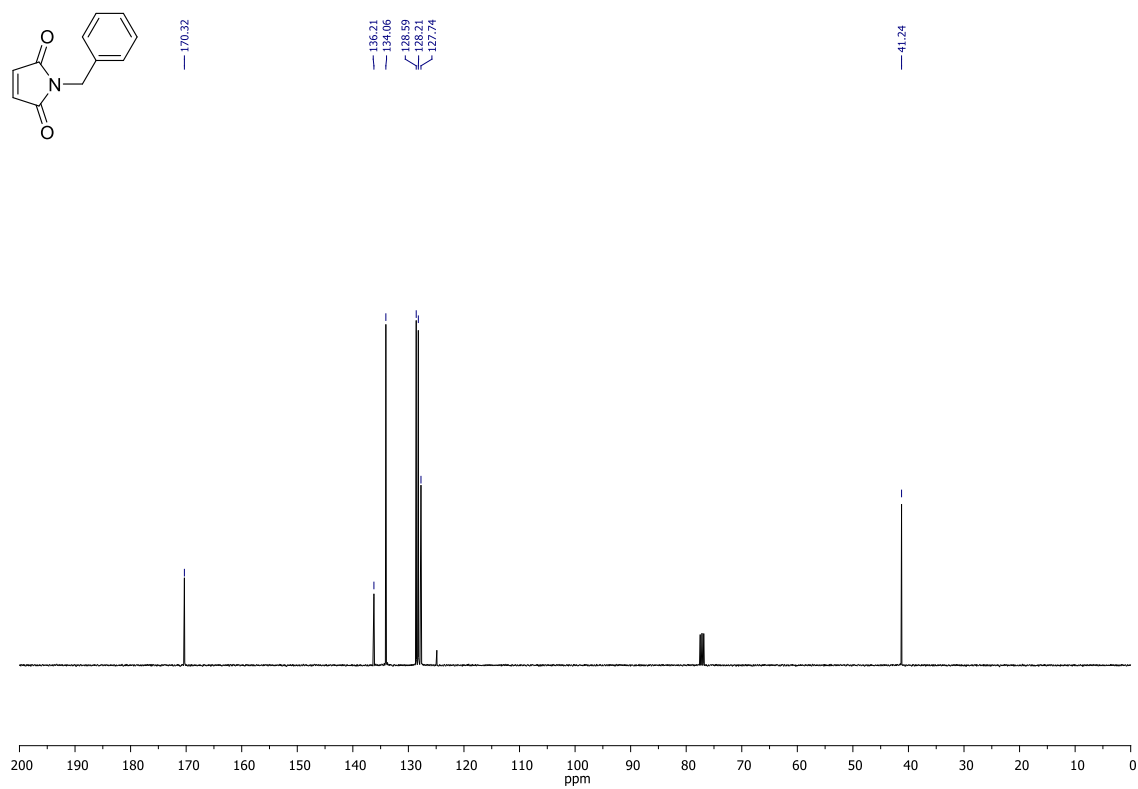


Figure S25. ¹³C NMR of *N*-benzylmaleimide.

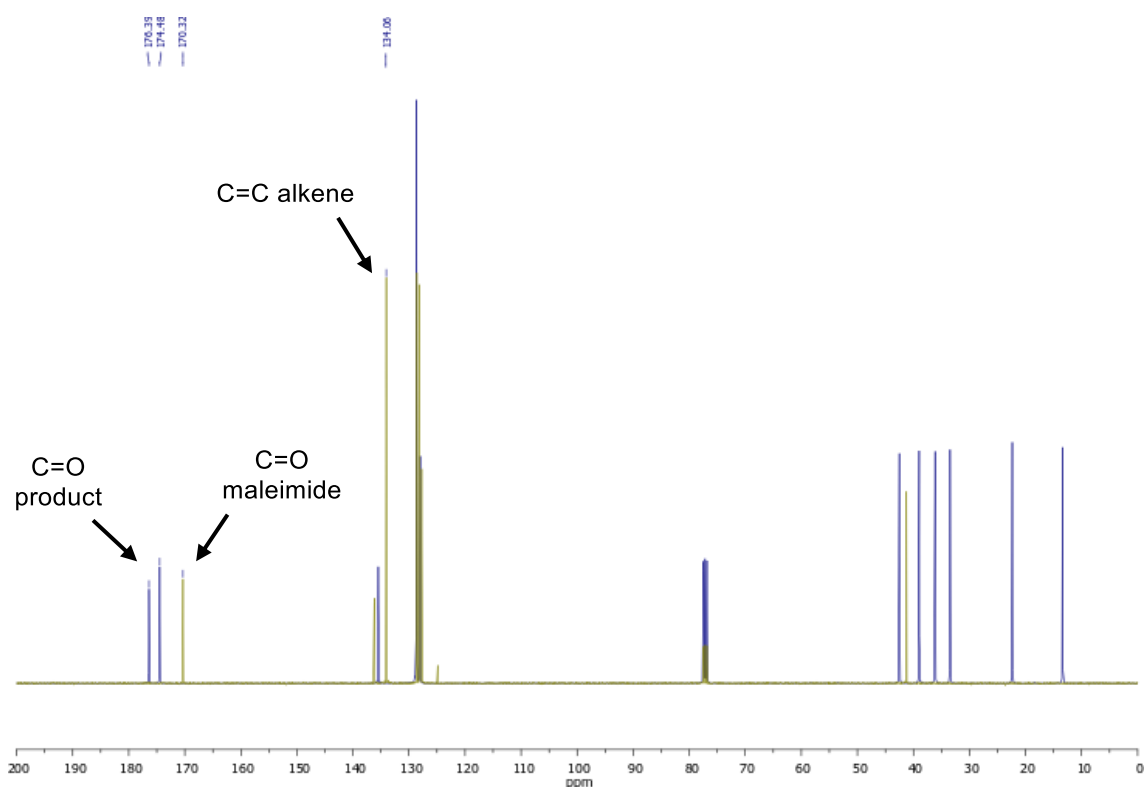


Figure S26. Comparison between ¹³C NMR of *N*-benzylmaleimide (green spectra) and 1-benzyl-3-(propylthio)pyrrolidine-2,5-dione (blue). The double bond of *N*-benzylmaleimide (134 ppm) disappears and the C=O bond (170 ppm) is shifted downfield and appears two C=O signals (175 and 176 ppm).

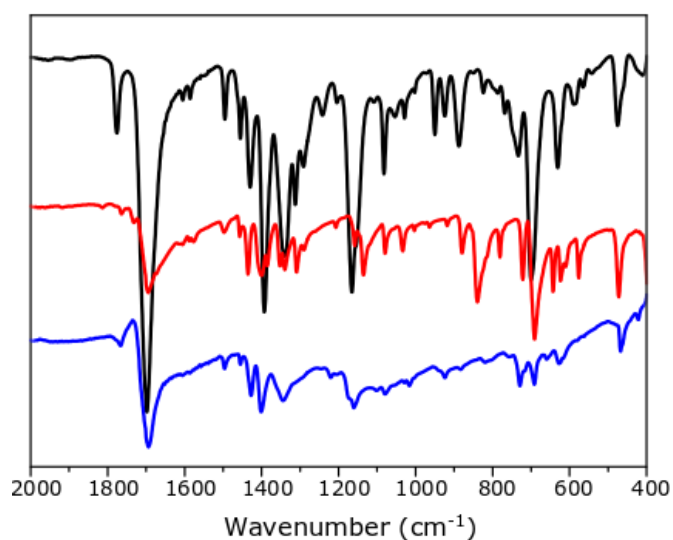


Figure S27. ATR-IR spectroscopy of 1-benzyl-3-(propylthio)pyrrolidine-2,5-dione (black line), *N*-benzylmaleimide (red) and Bn-succ-MoS₂ (blue). C-H from alkene disappears.

8.4.2.4. Reaction with *N*-methylmaleimide.

In a glass vial, 1 mL of exfoliated MoS₂ in ACN and a magnetic stir bar were added. Then, a solution of *N*-methylmaleimide (111 mg, 1 mmol) in 1 mL of ACN (1M) was added. Finally, 5 drops of Et₃N were added to the reaction. The mixture was stirred overnight at room temperature. The next day, the colour of the suspension was changed to red. The procedure of washing is the same that the previous section.

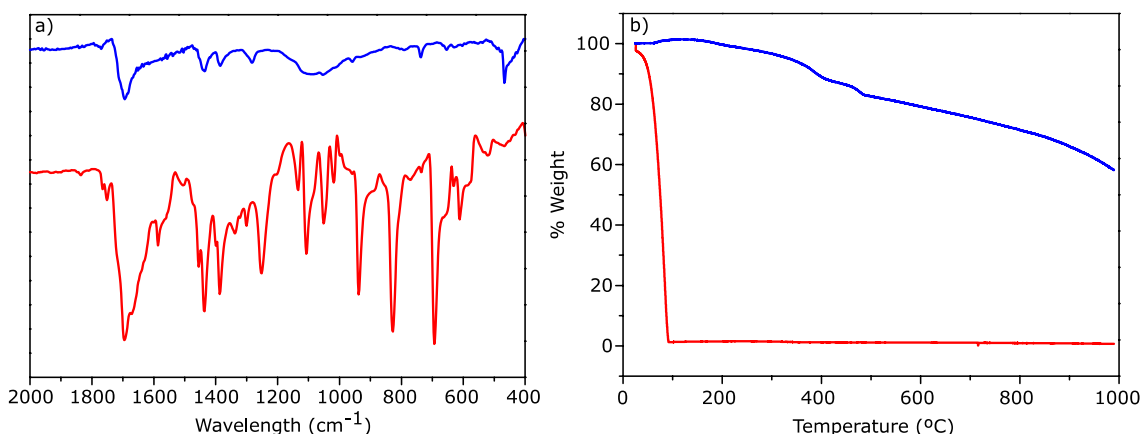
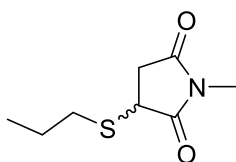


Figure S28. a) ATR-IR spectroscopy. b) Thermogravimetric analysis. Me-succ-MoS₂ (blue line), *N*-methylmaleimide (red).

Synthesis of 1-methyl-3-(propylthio)pyrrolidine-2,5-dione.



N-methylmaleimide (200 mg, 1.8 mmol, 1 eq) was dissolved in ACN (23 mL). Then, 1-propanethiol (0.20 mL, 2.1 mmol, 1.15 eq) was added. Finally, triethylamine (0.2 mL, 1.4 mmol, 0.8 eq) was added.

The reaction was turned to pink. The reaction was checked by thin-layer chromatography (TLC) (dichloromethane). When the reaction finished, the solvent was evaporated by vacuum. Then, the crude was extracted with dichloromethane and water. The organic phase was dried over Na₂SO₄ and concentrated by vacuum. The product was purified by chromatography column using DCM as eluent. ¹H NMR (400 MHz, CDCl₃) δ 3.70 (dd, *J* = 9.1, 3.7 Hz, 1H), 3.18 – 3.04 (m, 1H), 2.98 (s, 3H), 2.91 –

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2.78 (m, 1H), 2.70 (m, 1H), 2.50 (dd, $J = 18.7, 3.7$ Hz, 1H), 1.76 – 1.52 (m, 2H), 0.99 (t, $J = 7.4$ Hz, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 176.7, 174.8, 39.1, 36.2, 33.7, 25.0, 22.3, 13.4.

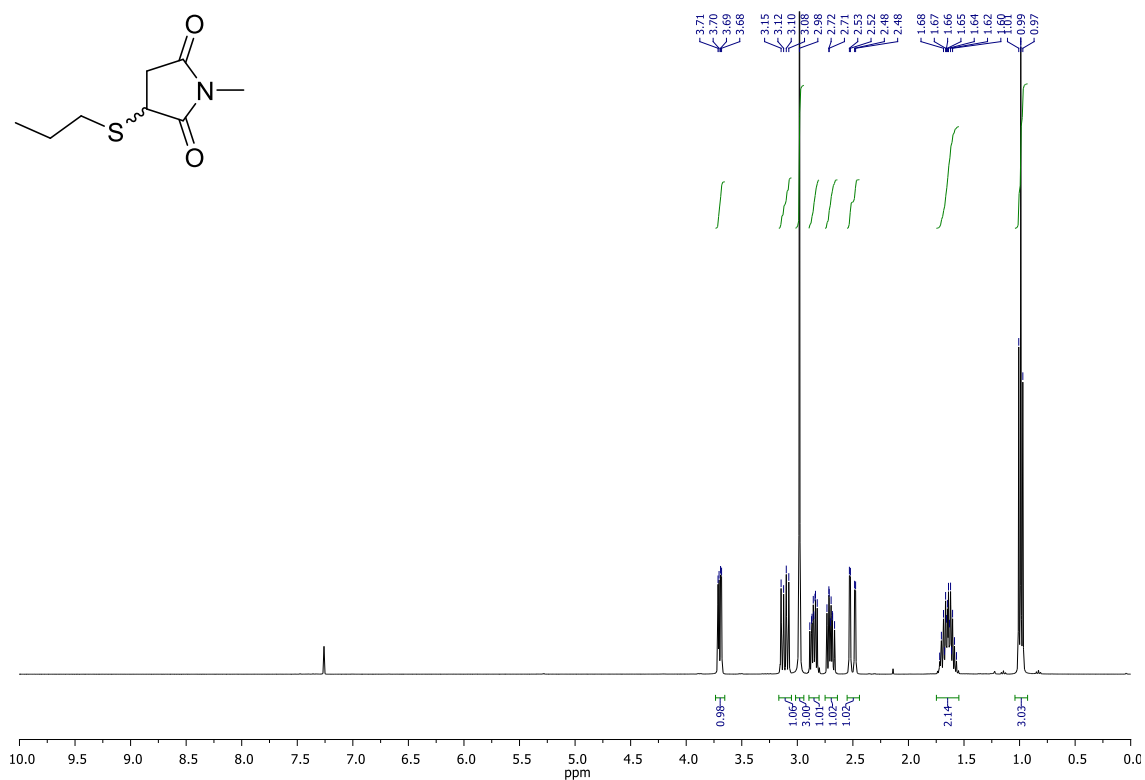


Figure S29. ¹H NMR of 1-methyl-3-(propylthio)pyrrolidine-2,5-dione.

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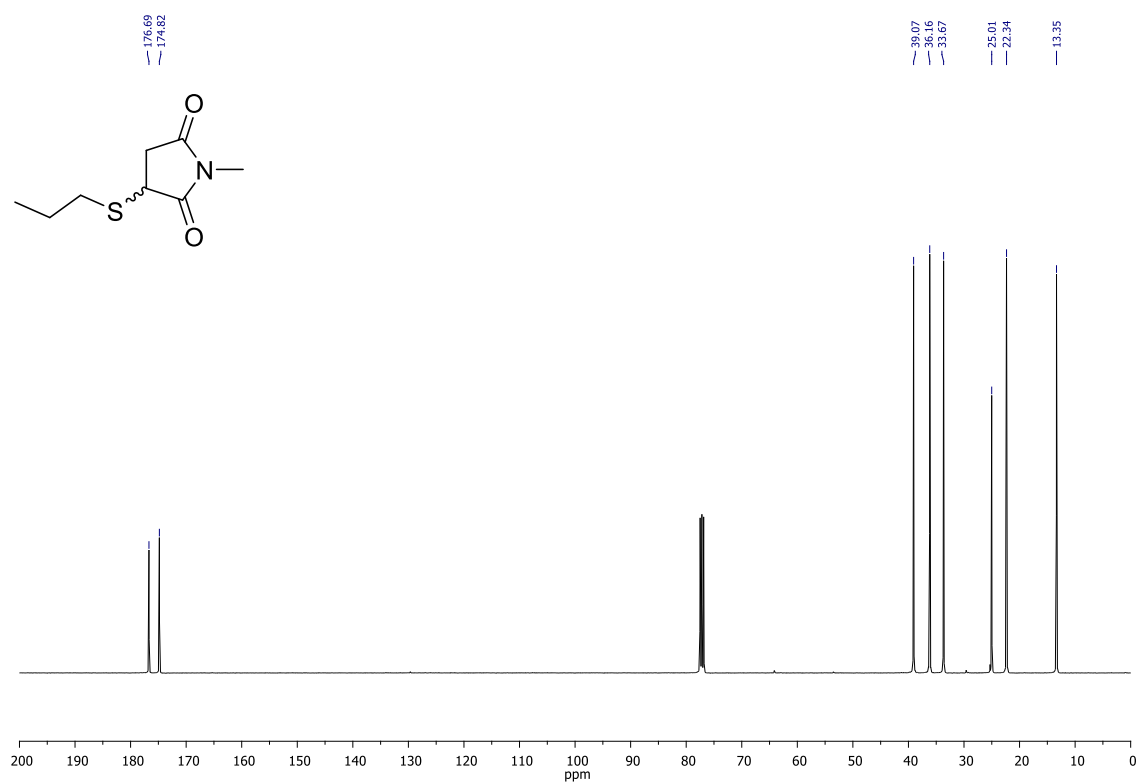


Figure S30. ¹³C NMR of 1-methyl-3-(propylthio)pyrrolidine-2,5-dione.

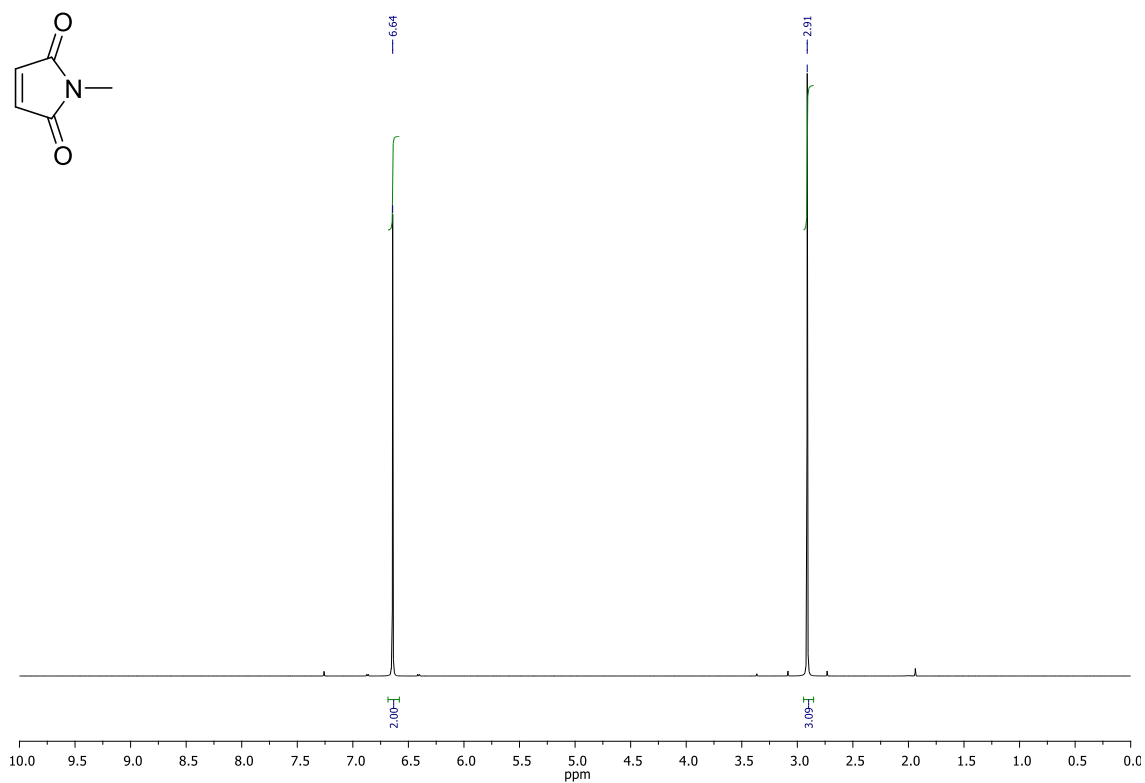


Figure S31. ¹H NMR of *N*-methylmaleimide.

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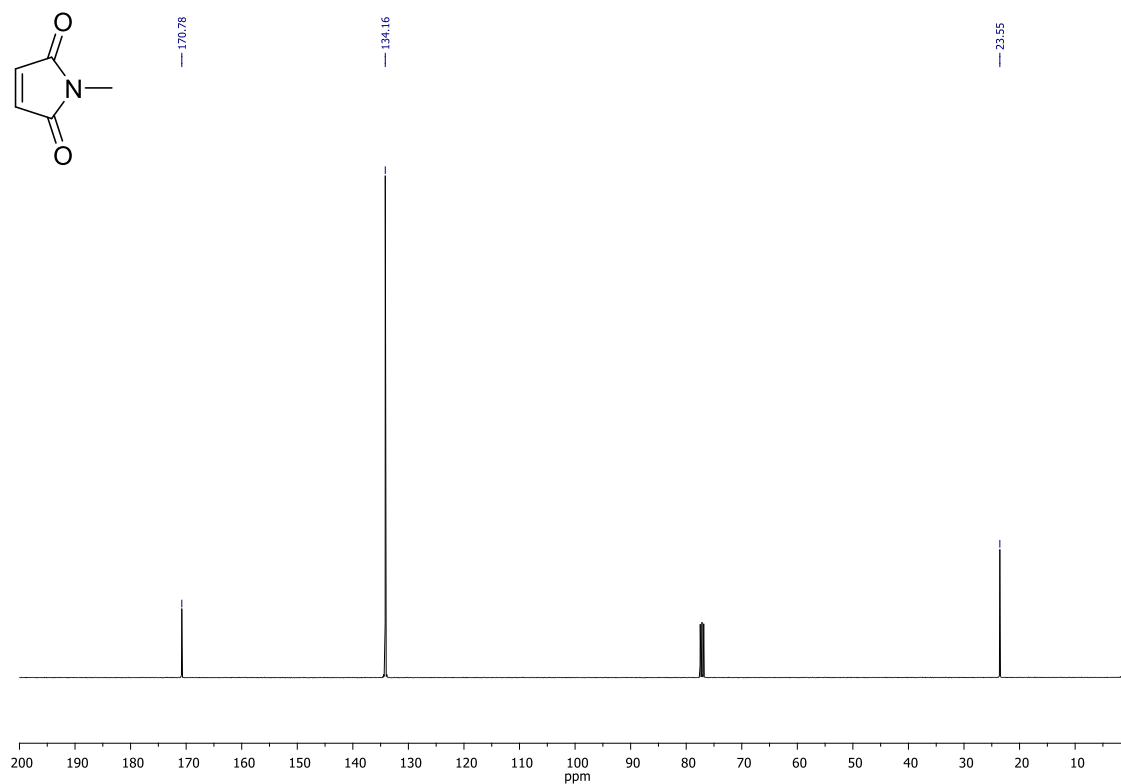


Figure S32. ¹³C NMR of *N*-methylmaleimide.

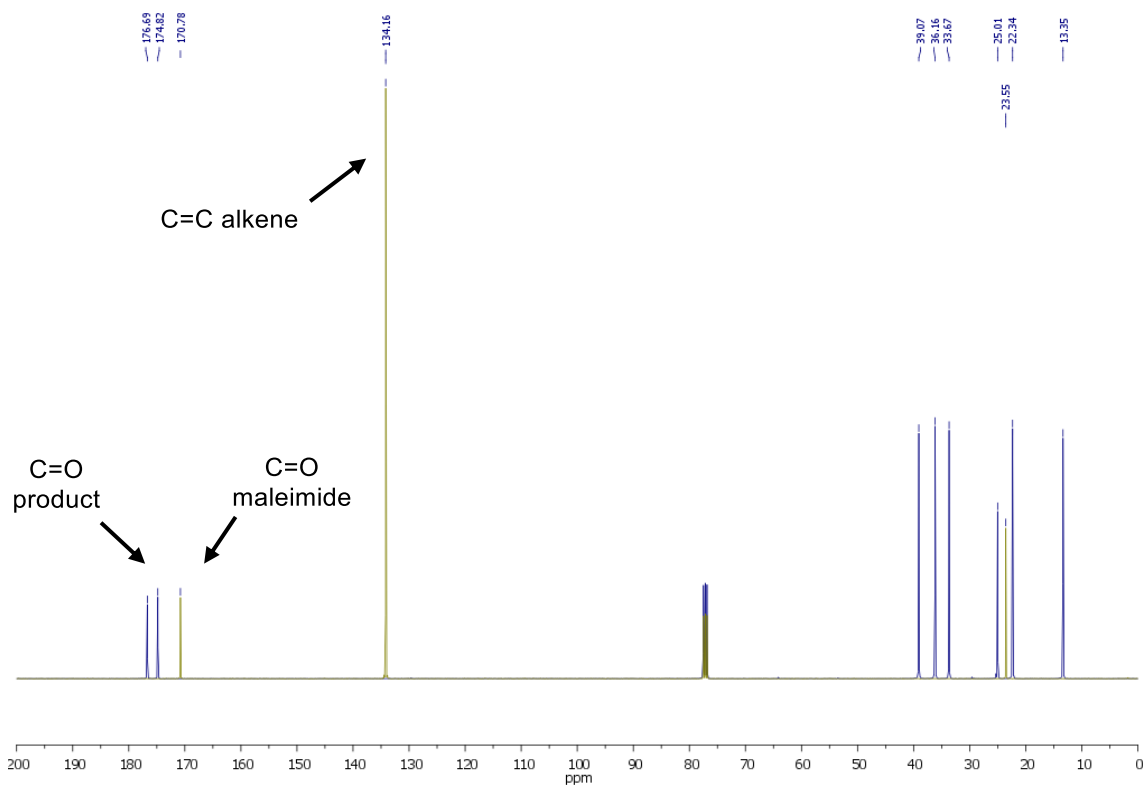


Figure S33. Comparison between ¹³C NMR of *N*-methylmaleimide (green spectra) and 1-methyl-3-(propylthio)pyrrolidine-2,5-dione (blue). The double bond of *N*-methylmaleimide (134 ppm) disappears and the C=O bond (171 ppm) is shifted downfield and appears two C=O signals (175 and 177 ppm).

8.4.2.5. Colloidal stability experiments.

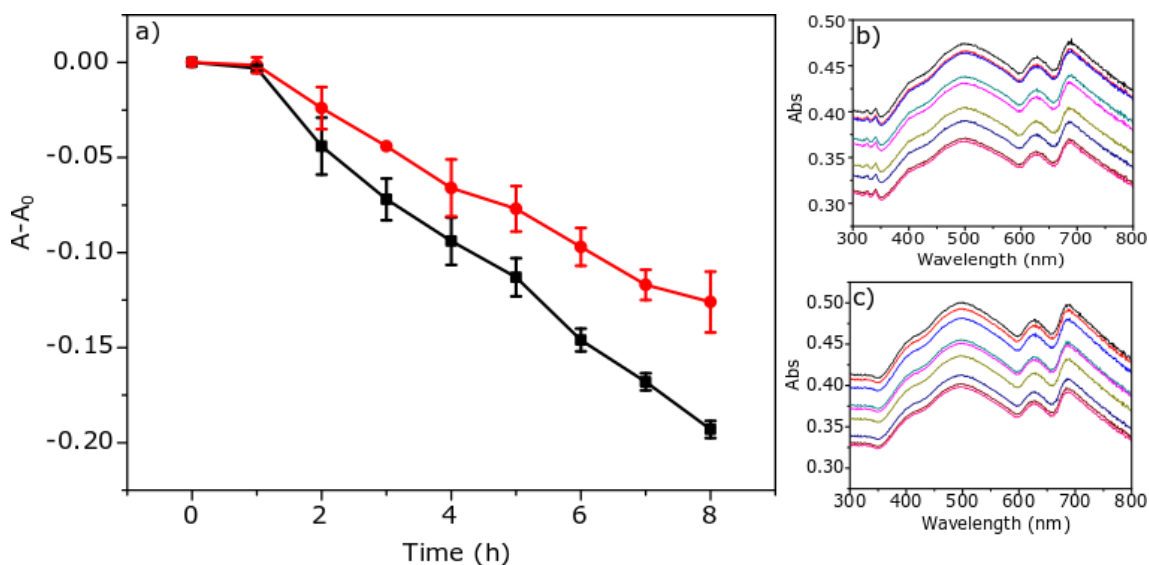


Figure S34. UV-Vis spectroscopy of colloidal stability experiments. **a)** Comparison between the colloidal stability of exfoliated MoS₂ (black line) and Bn-succ-MoS₂ (red) in CHCl₃. **b)** UV-Vis spectra of exfoliated MoS₂. **c)** UV-Vis spectra of Bn-succ-MoS₂.

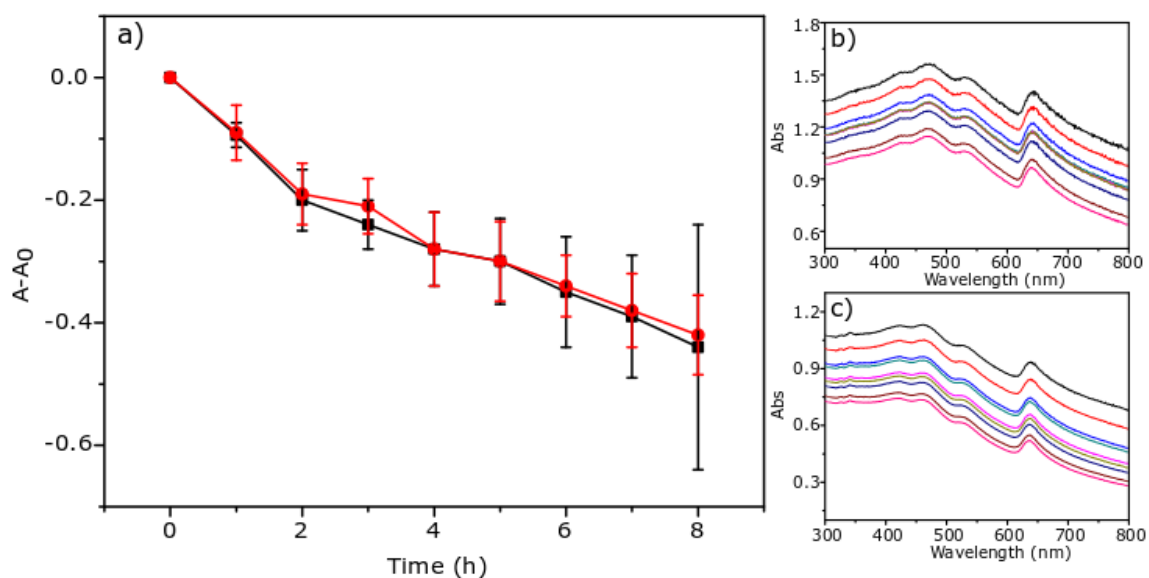


Figure S35. UV-Vis spectroscopy of colloidal stability experiments. **a)** Comparison between the colloidal stability of exfoliated WS₂ (black line) and Bn-succ-WS₂ (red) in CHCl₃. **b)** UV-Vis spectra of exfoliated WS₂. **c)** UV-Vis spectra of Bn-succ-WS₂.

Chapter 4.

9. Controlled Covalent Functionalization of 2H-MoS₂ with Molecular Fragments or Polymeric Adlayers

The work described in this chapter has been performed in collaboration with Aragon Nanoscience Institute (INA)^[a] of Zaragoza University, Aragon, Spain. This chapter is not already published.

Ramiro Quirós-Ovies[†], Manuel Vázquez Sulleiro[†], Mariano Vera-Hidalgo, Javier Prieto, Víctor Sebastián^[a], Jesús Santamaría^[a], Emilio M. Pérez*

[[†]] These authors contributed equally to this work.

The covalent functionalization of TMDCs (MoS₂) remains a challenge, specifically the semiconducting form of MoS₂ (2H-MoS₂) is rather inert. In this work we present a complete study of the reaction of 2H-MoS₂ with maleimides via thiol-Michael Addition, previously studied by our group. We have discovered that the use or not of a base allows for different functionalization according to the type of maleimide, due to the obtaining of a polymer. The study of the reaction has been performed with different solvents, maleimides, times and temperatures.

9.1. Introduction.

The ever-expanding toolbox of bidimensional materials and properties offers the promise of a technological revolution.²⁷¹ Since the discovery of graphene,²⁰¹ many other bidimensional materials have been isolated and characterized.²⁰² Prominent examples now span the whole range of band-gaps from hexagonal boron nitride (h-BN), an insulator (band-gap ca. 5-6 eV),²⁷² transition metal dichalcogenides (TMDCs), which are semiconductors with band-gaps in the Vis-NIR region (1-2 eV),²⁵⁸ to black phosphorus (band-gap 0.3-2 eV).^{273,274}

Within this toolbox of new materials, TMDCs show well defined bandgaps that make them particularly suitable for semiconductor technology.^{213,215,275} The development of reliable tools for the chemical modification of TMDCs is crucial to achieve their full technological potential.^{204,210,238,276} Decoration of TMDCs materials with molecular fragments via noncovalent approaches, mostly based on dispersion/solvophobic interactions, is relatively easy and has consequently led the way towards potential applications. For example, selective chemical sensors^{277,278} and enhanced photodetectors^{279,280} have already been described using this strategy. Methods for the covalent modification of TMDCs are much scarcer and nearly exclusively limited to the metallic 1T phase.^{241,242,281}

Several groups have described a protocol for obtaining colloidal semiconducting 2H-MoS₂ through liquid phase exfoliation from the bulk.^{217,236,256,270} In our strategy, inspired by the many examples of thiol-ene chemistry in polymer chemistry and biochemistry,^{167,178,263} we exploited the soft nucleophilicity of sulfur to make it react with

²⁷¹ Ferrari, A. C.; *et al.* *Nanoscale* **2015**, 7 (11), 4598-4810.

²⁷² Pakdel, A.; Bando, Y.; Golberg, D., *Chem. Soc. Rev.* **2014**, 43 (3), 934-959.

²⁷³ Li, L.; Yu, Y.; Ye, G. J.; Ge, Q.; Ou, X.; Wu, H.; Feng, D.; Chen, X. H.; Zhang, Y., *Nat. Nanotechnol.* **2014**, 9, 372.

²⁷⁴ Castellanos-Gomez, A. *et al.* *2D Mater.* **2014**, 1 (2), 025001.

²⁷⁵ Yin, Z.; Li, H.; Li, H.; Jiang, L.; Shi, Y.; Sun, Y.; Lu, G.; Zhang, Q.; Chen, X.; Zhang, H., *ACS Nano* **2012**, 6 (1), 74-80.

²⁷⁶ Ippolito, S.; Ciesielski, A.; Samorì, P., *Chem. Commun.* **2019**, 55 (61), 8900-8914.

²⁷⁷ Chen, W. Y.; Yen, C.-C.; Xue, S.; Wang, H.; Stanciu, L. A., *ACS Appl. Mater. Interfaces.* **2019**, 11 (37), 34135-34143.

²⁷⁸ Xiao, M.; Man, T.; Zhu, C.; Pei, H.; Shi, J.; Li, L.; Qu, X.; Shen, X.; Li, J., *ACS Appl. Mater. Interfaces.* **2018**, 10 (9), 7852-7858.

²⁷⁹ Molina-Mendoza, A. J.; Vaquero-Garzon, L.; Leret, S.; de Juan-Fernández, L.; Pérez, E. M.; Castellanos-Gomez, A., *Chem. Commun.* **2016**, 52 (100), 14365-14368.

²⁸⁰ Yu, S. H.; Lee, Y.; Jang, S. K.; Kang, J.; Jeon, J.; Lee, C.; Lee, J. Y.; Kim, H.; Hwang, E.; Lee, S.; Cho, J. H., *ACS Nano* **2014**, 8 (8), 8285-8291.

²⁸¹ Tang, Q.; Jiang, D.-e., *Chem. Mater.* **2015**, 27 (10), 3743-3748.

the prototypical soft electrophile, maleimides²⁸² (Figure 1). Here, we present a comprehensive study of the covalent functionalization of MoS₂ with maleimides through Michael addition. Via systematic changes to the different variables in the reaction conditions, we describe a reliable protocol for the molecular modification of MoS₂ with maleimides featuring a wide range of chemical functionalities. This reveals the formation of a covalently connected organic polymer adlayer when using increased amounts of molecular reagent in combination with a base. Therefore, the unexpected result can be useful in certain circumstances and might be common to other functionalization protocols.

9.2. Results.

Few-layers MoS₂ colloids were obtained through LPE in *N*-methyl-2-pyrrolidone, NMP, using an ultrasonic probe. In this case, we resorted to NMP instead of *i*PrOH-water²⁸² to increase the concentration of the colloids and facilitate characterization. Exfoliated MoS₂ was separated from non-exfoliated material using centrifugation. The formation of few-layer 2H-MoS₂ was proved by UV-Vis extinction, Raman spectroscopy, and X-ray Powder Diffraction (XRD) (See Figures S1-3).

The dispersion of exfoliated MoS₂ was transferred to acetonitrile (ACN), following a protocol described previously,²⁶⁴ then *N*-benzylmaleimide (Bn-mal, **1**) and triethylamine (Et₃N) were added and the mixture was stirred overnight (Figure 1). The mixture was washed with ACN and *i*PrOH to remove all physisorbed Bn-mal, which was confirmed by UV-Vis of the residues (Figure S5). Moreover, TGA profiles of the mixture without any wash can clearly differentiate between physisorbed (weight loss at 170 °C) and covalently attached maleimide (Figure S6). We first analyzed the degree of functionalization with varying degree of exfoliation, by fine-tuning the sonication conditions and centrifugation procedure, using TGA (Table S1). Unsurprisingly, we observed that for materials with a higher degree of exfoliation (those obtained with harder centrifugation conditions) a higher degree of functionalization is obtained. We also investigated the influence of the reaction time, temperature, and the relative concentration of reagents on the degree of functionalization (Table S1). The main observations that result from these studies can be summarized as follows: We note that the reaction is fast,

²⁸² Vera-Hidalgo, M.; Giovannelli, E.; Navío, C.; Pérez, E. M., *J. Am. Chem. Soc.* **2019**, *141* (9), 3767-3771.

nearly complete after 1h (70% functionalization by TGA, see Figure S7) and only increases to 80% after 16h. Temperature studies showed that the reaction could be performed from room temperature until reflux (Figure S8). However, the functionalization degree is reduced with increasing temperature; these results are influenced by the stability of the dispersed MoS₂, which is less stable at higher temperatures. The use of different concentrations of MoS₂ has a significant effect on the surface functionalization, following the expected tendency, that is, the more the MoS₂ solution is diluted (i.e. the larger the excess of Bn-mal) the more it is functionalized (Figure S9). Furthermore, similar variations were observed by reducing the concentration of **1** (Figure S10). Decreasing the amount of *N*-benzylmaleimide results in a lower functionalization. However, due to the small difference between 1 and 5 mmol of **1**, we conclude that we are employing a large excess of Bn-mal. In fact, a quick calculation reveals that, even under our milder conditions (entry 23, table S1), we are using 16 equivalents of Bn-mal with respect to S assuming that all sulfur atoms might be reactive, and therefore should be considered a limit at the very low end, probably of by at least an order of magnitude. In conjunction with this, we note that the degree of functionalization observed by TGA is too large to be compatible with the decoration of MoS₂ with molecular Bn-succ. We therefore decided to fully characterize a Bn-succ-MoS₂ sample, which presented a 66% weight loss in comparison with reference MoS₂, which was subjected to identical reaction and purification conditions in the absence of Bn-mal (Figure 1b). The slow decomposition of reference MoS₂ (black trace), observed between approximately 370 and 550°C from the derivative, amounts to a total of 14% weight, and corresponds to the oxidation of MoS₂ due to the presence of air in the experiment;²⁸³ Bn-succ-MoS₂, in contrast (orange trace), first shows the onset of a similar slow weight loss due to oxidation, which is quickly overlapped by a rapid and quantitative more significant loss (390-420 °C) and a second rapid loss (ca. 450-550 °C) that can be attributed to the covalently attached organic moieties. The fact that we do not observe any weight loss before 350 °C in Bn-succ-MoS₂ confirms the absence of physisorbed Bn-mal (purple trace, compared with unwashed samples in Figure S6). ATR-FTIR of Bn-succ-MoS₂ (Figure 1c) showed conclusive evidence of functionalization, like the presence of the main vibrational modes of **1** like the carbonyl stretch (1714 cm⁻¹) and the disappearance

²⁸³ Chu, X. S.; Li, D. O.; Green, A. A.; Wang, Q. H., *J. Mat. Chem.* **2017**, *5* (43), 11301-11309.

of the alkene C-H bending mode (840 cm⁻¹ in **1**) and the emergence of a S-C stretching mode (728 cm⁻¹) that confirms the covalent functionalization of the material through a new S-C covalent bond.²⁸² X-ray photoemission spectroscopy (XPS) further confirmed the covalent attachment of **1** (Figure 1d, Figure S11-12, Table S2). As a reference, the core level of C is centered at adventitious carbon (284.8 eV). The Bn-succ-MoS₂ preserved the 2-H polymorphism. However, we find clear differences with the pristine MoS₂ and, more importantly, with the control sample experiment (*cs*-MoS₂). S 2p (162.7 eV) peak becomes broader, a new component is required for a better fitting that corresponds well to the formation of a S-C bond. N 1s peak from the succinamide core, which is absent in the control MoS₂, is clearly visible upon functionalization at 400.7 eV. The presence of new bands in the carbon region is in good agreement with new components for C=O (288.7 eV), C-S (287.0 eV) and C-N (286.0 eV). XRD and Raman spectroscopy do not present a significant shift on the signals after functionalization. (Figure S13-14).

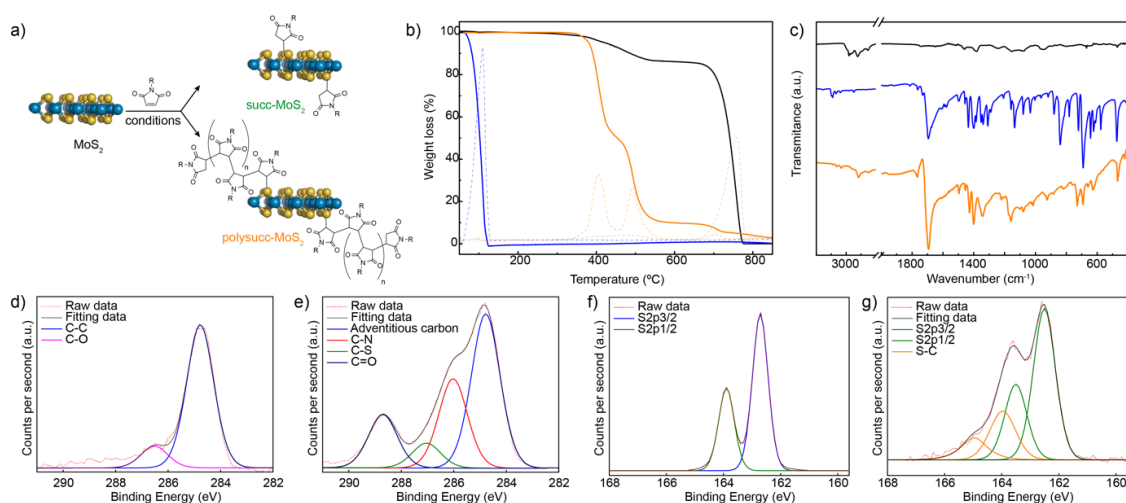


Figure 1. **a)** General scheme for different functionalization of MoS₂: succ-MoS₂ without base and Bn-succ-MoS₂ with base. **b)** TGA of bulk MoS₂ (black line), Bn-mal (blue) and Bn-succ-MoS₂ with base (orange). **c)** ATR-FTIR of bulk-MoS₂ (black line), Bn-mal (blue) and Bn-succ-MoS₂ with base (orange). **d)-g)** XPS experiments of Bn-succ-MoS₂ with base.

Some examples of high functionalization of MoS₂ are reported in the literature.^{241,244,245} In this work, the large degree of functionalization shown on TGA (80 %) differs markedly from that determined by XPS (12.8 % of the S-C new component should correspond to a 23% weight loss in TGA. See Eq S1). Some studies pointed out the possibility of the homopolymerization and copolymerization of *N*-functionalized maleimides in the presence of bases.^{284,285,286} To investigate if the formation of polysuccinimides of this type was feasible under our reaction conditions, we prepared a mixture of **1** (5 mmol) and Et₃N and stirred it overnight in ACN. After separation of unreacted **1**, 1.5% of the initial weight was isolated as insoluble pink powder. MALDI-TOF analysis confirmed the formation of a polymer with a periodic repetition of 187 Da, which corresponds perfectly to the succinimide fragments of polysucc (Figure 1a). The formation of a Gaussian envelope from 2 to 10 kDa with a maximum peak at 4890 corresponds to 26 units of homopolymer, (Figure S15). Furthermore, ¹H NMR experiments confirm the disappearance of the alkene signals, and the appearance of signals, at 4.61 and 4.40, that can be attributed to the new aliphatic protons. Moreover, all signals appear broadened, which is characteristic of the formation of high-molecular weight species (Figure S16).

The strong evidence of formation of S-C bond (IR and XPS) presented above suggests that MoS₂ is functionalized covalently with polysucc, rather than physisorption of the polymer after formation in solution. A functionalization with polysucc will also be consistent with the large weight loss observed by TGA. AFM and STEM micrographs also support this hypothesis. In AFM we observe that the polysucc-MoS₂ flakes present roughness of a height of 15 nm, with phase contrast very similar to that of the pure polysucc (Figure S17). With the element sensitive resolution of STEM, we confirm that we do not find self-standing polymer particles, but rather MoS₂ flakes surrounded by amorphous organic matter (Figure 2).

²⁸⁴ Haas, H. C.; MacDonald, R. L., *J. Polymer Science: Polym. Chem. Ed.* **1973**, *11* (2), 327-343.

²⁸⁵ Kojima, K.; Yoda, N.; Marvel, C. S., *J. Polym. Sci.: Polym. Chem.* **1966**, *4* (5), 1121-1134.

²⁸⁶ Abel, B. A.; McCormick, C. L., *Macromolecules* **2016**, *49* (17), 6193-6202.

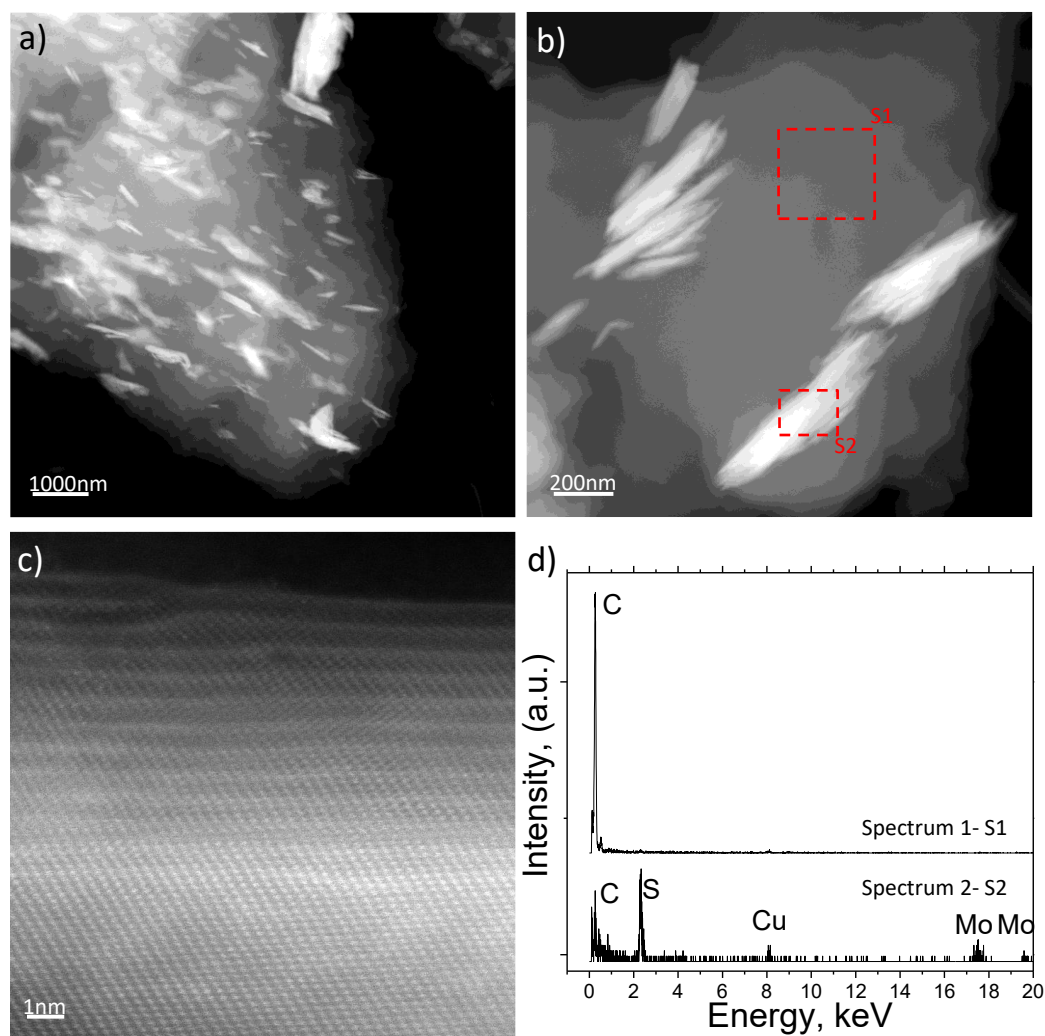


Figure 2. a-c) High-angle annular-dark-field scanning transmission electron microscopy (HAADF-STEM) images at different magnifications of polysucc-MoS₂ flakes. An organic layer with a less bright contrast than MoS₂ flakes was observed. d) Energy Dispersive X-Ray Spectroscopy analysis of dashed-red line areas in b).

We note that grafting polymers onto the surface of 2D materials can be useful to decorate them with multiple functional groups, for instance to enhance binding to biomolecules for biosensing purposes,^{287,288,289} but is typically not the desired outcome when reacting molecules with nanomaterials.²⁹⁰ We therefore studied how to control/prevent polymerization to obtain exclusively molecular functionalization. In this

²⁸⁷ Kalantar-zadeh, K.; Ou, J. Z., *ACS Sensors* **2016**, *1* (1), 5-16.

²⁸⁸ Pumera, M.; Loo, A. H., *TrAC Trend. Anal. Chem.* **2014**, *61*, 49-53.

²⁸⁹ Zhang, W.; Zhang, P.; Su, Z.; Wei, G., *S Nanoscale* **2015**, *7* (44), 18364-18378.

²⁹⁰ Greenwood, J. *et al.* *ACS Nano* **2015**, *9* (5), 5520-5535.

case, doing away with the base was sufficient. Figure 3 shows the characterization of Bn-succ-MoS₂. The weight loss of *f*-MoS₂ (**1**) observed by TGA dropped considerably, to 5.5% wt (Figure 3a). This is more in line with what can be expected for a rather effective surface reaction considering our degree of exfoliation. Again, we do not observe any weight loss before 300 °C meaning physisorbed **1** was successfully removed during the washing step. In accordance with the lower amount of organic material, ATR-IR showed less intense signals of the material. However, the new C-S stretching mode (728 cm⁻¹) and carbonyl stretch (1714 cm⁻¹) are clearly observed (Figure 3b). XPS of *f*-MoS₂(**1**) present a broadband at the S 2p peak requiring a new component (6.8 %) for the fitting that can correspond to S-C bond (orange, Figure 3c). Analysis of the C region is particularly informative: components for C=O (288.7 eV), C-S (287.0 eV) and C-N (285.9 eV) are again needed for the correct fitting, but in this case, the relative intensity of the C=O and C-N components with respect to C-S is much smaller (0.25:0.50:0.77) in accordance with molecular functionalization (Figure 3d). Finally, N 1s peak that is not visible in the control sample and is observed in the surface of the Bn-succ-MoS₂ material (Figure S12). The weight loss observed in TGA is the same in the control sample and its corresponding pristine material in both experiments: with and without Et₃N (Figure S18).

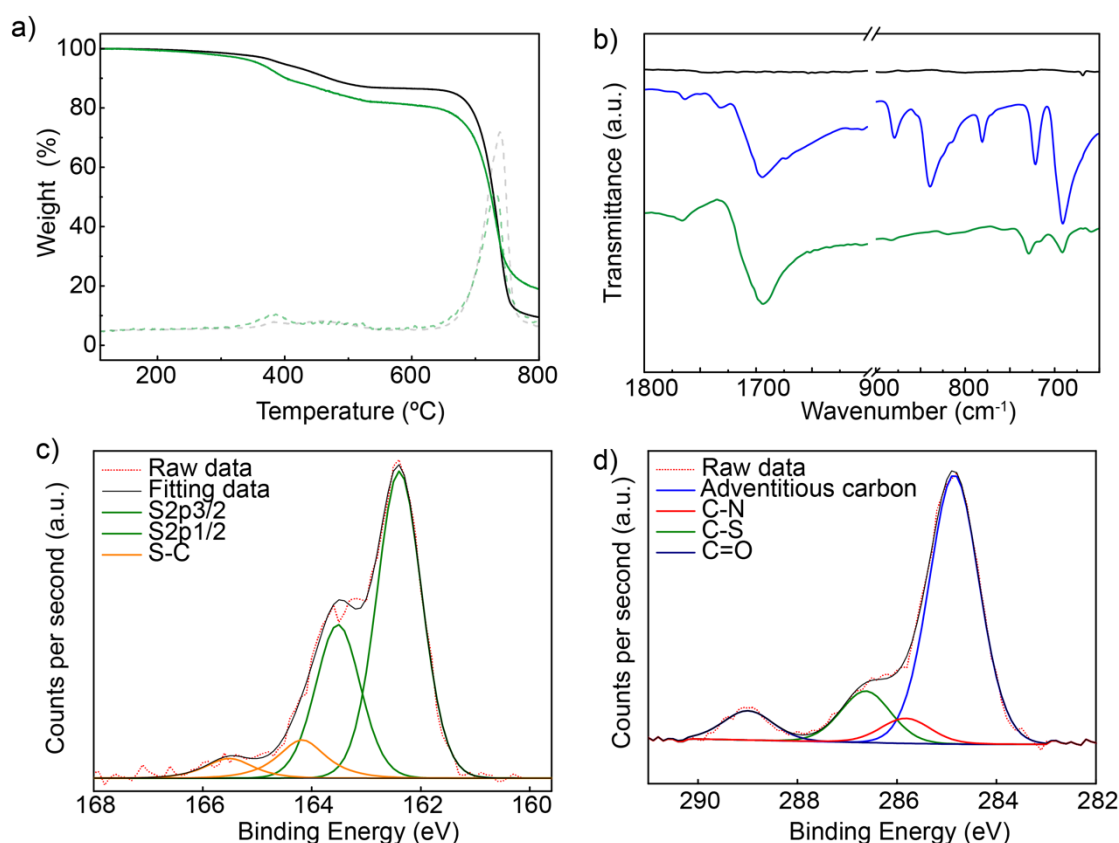


Figure 3. Characterization of succ-MoS₂ without base. **a)** TGA of MoS₂ (black line) and succ-MoS₂ (green). **b)** ATR-FTIR of bulk-MoS₂ (black line), Bn-mal (blue) and Bn-succ-MoS₂ (orange). **c)** and **d)** XPS measurements.

Lastly, we decided to test the scope and flexibility of these reaction conditions. To begin with, we performed the reaction at different concentration of **1** (0.1 to 5 mmol). The functionalized MoS₂ showed a significant variation of the functionalization by TGA from 1.9 % to 5.6 %. (Figure S19). This seems to be the upper limit for molecular functionalization. In fact, when the reaction was left stirring for one month under otherwise identical conditions, we obtained a very similar degree of functionalization (5.5 % wt comparing it with its *p*-MoS₂) (See Figure S20).

The tolerance for different solvents was also investigated by performing the reaction in different solvents. (See Table S3, Figure S21-22). Dichloromethane (8 %) and chloroform (6 %) both showed higher functionalization degree by TGA compared to ACN. However, the slope of the loss is not as well defined as in ACN (Figure S21), which

might indicate that some physisorbed **1** is still present, even after the washings. When the reaction was carried out in DMF we found 7 % of organic material, comparable with ACN, but with a more tedious cleaning of the material. Remarkably, ATR-IR confirmed the presence of the C-S stretching in all solvents. (Figure S22).

With the optimal reaction and purification conditions already determined, we tested the scope of the reaction using 10 different maleimides (Figure 4), some of which were intentionally selected to be used as chemical handles for further modification (5-10). Within experimental error, and considering the different molecular weights, we observe similar degrees of functionalization (See Figures S21-22) for all the maleimides: 1-10 %, except **6**, which shows a much larger loading of organic material (16%). We explain this result noting that **6** features an aniline that probably acts as a base catalyst in the formation of a homopolymer.

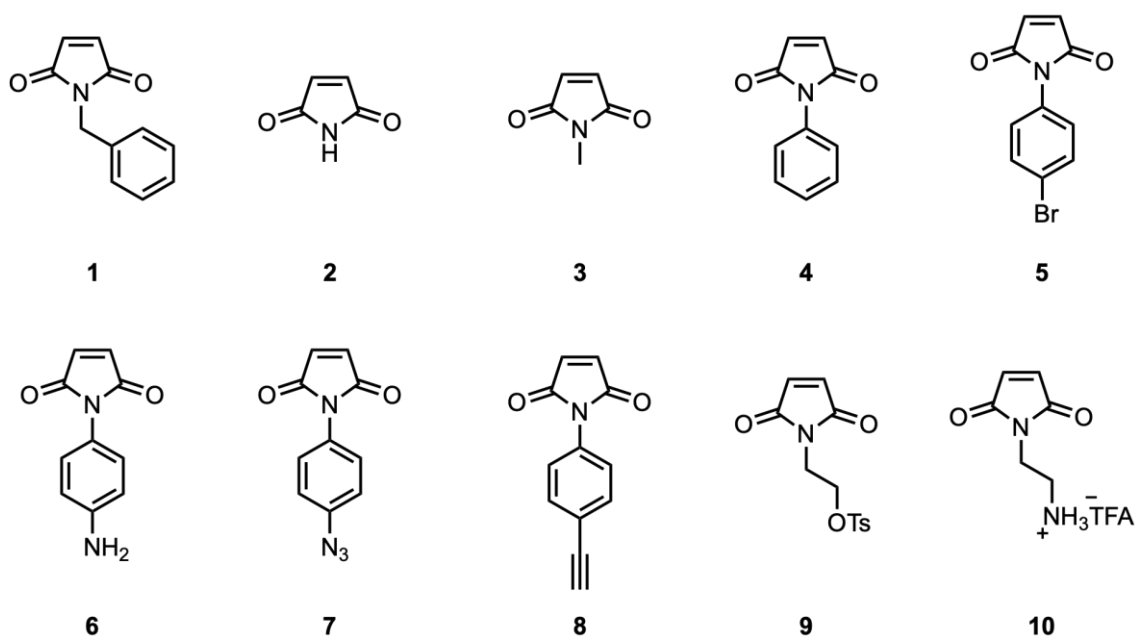


Figure 4. Maleimide scope used for the covalent functionalization of MoS₂.

<i>f</i> -MoS ₂ (n)	Weight loss (%)	ν (C=O)	ν (C-S)
<i>f</i> -MoS ₂ (1)	5.5 ± 0.7	1714	728
<i>f</i> -MoS ₂ (2)	6.2 ± 1.5	1714	728
<i>f</i> -MoS ₂ (3)	1.1 ± 0.3	1702	728
<i>f</i> -MoS ₂ (4)	1.8 ± 1.1	1698	728
<i>f</i> -MoS ₂ (5)	7.5 ± 0.7	1704	731
<i>f</i> -MoS ₂ (6)	15.8 ± 0.8	1714	731
<i>f</i> -MoS ₂ (7)	2 ± 3.4	1714	----
<i>f</i> -MoS ₂ (8)	6.8 ± 2.8	1694	728
<i>f</i> -MoS ₂ (9)	12.5 ± 3.5	1714	731
<i>f</i> -MoS ₂ (10)	8.6 ± 3.1	1698	728

9.3. Conclusions.

In summary, we present a thorough study of covalent functionalization of 2H-MoS₂ with maleimides via thiol-Michael Addition. We explore changes in reagents, stoichiometries, concentrations, solvents, and temperature and report the following main finding: The use of a base (Et₃N) promotes formation of a polymeric maleimide adlayer, which is covalently attached to MoS₂. In the absence of a base, the functionalization stops at the molecular monolayer. Both pathways of covalent functionalization were demonstrated by a full characterization: XPS, TGA and IR, among others. In addition, the 2H polytype is preserved again demonstrating the mild conditions of the reaction. Finally, a scope of the reaction has been performed showing the great versatility of the reaction due to the wide range of maleimides used. To conclude, the thiol-ene click reaction is a powerful tool of functionalization MoS₂ and derivatives.

9.4. Supporting Information

9.4.1. General Information

Materials. MoS₂ powder (99%) was obtained from Sigma Aldrich. *N*-Methylmaleimide (>98%), *N*-Phenylmaleimide (>98%), *N*-Benzylmaleimide (>98%),

4,4'-Bismaleimido-diphenylmethane and Bis (3-ethyl-5- methyl-4- maleimidophenyl) methane were obtained from TCI Europe. *N*-(4-Bromophenyl) maleimide was obtained from Alfa Aesar and *N*-(Aminophenyl) maleimide was obtained from Apollo Scientific. Solvents were purchased from Scharlab Chemicals S. L. and used as received; water was obtained from a Milli-Q filtration station ("Type 1" ultrapure water; resistivity: 18.2 MΩ·cm at 25 °C).

Characterization Methods. The extinction spectra were measured in a quartz cuvette (path length = 1 cm) with a Cary 50 UV/Visible spectrophotometer. Each suspension was briefly sonicated and transferred to a quartz cuvette (path length = 1 cm). The cuvette was closed with a stopper and the extinction spectrum of the suspension was measured immediately (time zero measurement). All thermogravimetric analyses were performed in a Q500 Instrument. The general procedure consisted of a fast heating ramp to 100 °C, followed by a 30' isothermal. Then, a ramp of 10 °C/min to 1000 °C is carried out and, finally, the system was allowed to gradually cool to 50 °C, with a last isothermal of 5 min. The data sampling interval is 0.50 s/pt. The experiments were performed both in nitrogen and air. If not specified, the experiments are normally run under air. Raman Spectroscopy of bulk MoS₂, exfoliated MoS₂ and functionalized MoS₂ powders were scratched and supported onto glass slides. Raman Spectroscopy was carried out in a Senterra Raman Spectrometer confocal Raman microscope (Bruker Optic, Ettlingen, Germany, resolution 3-5 cm⁻¹) using the following parameters: laser excitation: 532 nm, 2 mW; scanning: 1", 5 coadditions; objective NA 0.75, 50V. The standard procedure consisted of scanning 20 points, randomly selected, over different areas of the material. Exfoliating the sample renders thinner layers of the materials. The thinner the layers are, the closer must appear both maximums in the Raman spectrum. Infrared spectra with attenuated total reflection (ATR-IR) were performed with a Bruker ALPHA FT-IR spectrometer. All experiments were carried out employing 24 scans. XPS (X-ray Photoelectron Spectroscopy) measurements were performed under Ultra High Vacuum conditions (UHV, with a base pressure of 5×10⁻¹⁰ mbar), using a monochromatic Al K α line as exciting photon source for core level analysis ($h\nu = 1486.7$ eV). The emitted photoelectrons were collected in a hemispherical energy analyzer (SPHERA-U7, pass energy set to 20 eV for the XPS measurements to have a resolution of 0.6 eV) and to compensate the built up charge on the sample surface it was necessary the use of a Flood

Gun (FG-500, Specs), with low energy electrons of 3 eV and 40 μ A. Transmission Electron Microscopy images of the MoS₂ flakes after the exfoliation (pristine, *p*-MoS₂) and after the functionalization (*f*-MoS₂) are obtained to check the changes in the general structure of the sample. Bulk MoS₂, exfoliated MoS₂ and functionalized MoS₂ were drop-casted onto 200 square mesh copper grids covered with a carbon film. They were observed using a JEOL JEM 2100 microscope operated at 200 kV.

9.4.2. Experimental Procedure.

Liquid-phase Exfoliation. 1 mg/mL dispersion of MoS₂ (200 mg) NMP (200mL) was prepared in a 250 mL round-bottom flask. The mixture was sonicated (Vibracell 75115 (VC 505 / VC 750)-Bioshock Scientific) during 1 hour, operating at the amplitude of 40%, without pulse and using an ice bath to prevent the heating of the dispersion. Afterwards, the black dispersion was divided into six falcon eppendorfs and centrifuged for 30' at 2000 rpm (Allegra X-15R Beckman Coulter centrifuge, FX6100 rotor, 20 °C). Then, the supernatant was separated from the black sediment (non-exfoliated) by decanting and then it was filtered in a membrane filtration system (Omnipore 0.45 μ m PTFE membrane filters, 45 mm in diameter). The membrane with the retained exfoliated MoS₂ was dispersed in acetonitrile and filtered again. This re-dispersion process was repeated three times with 60 mL of acetonitrile (ACN) and three times with 60 mL of isopropyl alcohol (*i*PrOH).

Functionalization procedure of MoS₂. 6 mg of exfoliated MoS₂ in 10 mL of ACN was added in a 20mL vial. Then, the suspension was sonicated few seconds. Finally, the maleimide derivative was added and the reaction was stirring 16h at rt. After that, the dispersion was filtered through Omnipore 0.45 μ m PTFE membrane filters, 25 mm in diameter. In order to wash the sample, the material was redispersed in 20 mL of ACN, and filtered. This process is repeated three times with ACN and three times more with *i*PrOH.

Quantification of the functionalization.

Eq S1

$$\text{W. loss (\%)} = \frac{X \cdot \text{MW}(\text{Moiety attached})}{X[\text{MW}(\text{Moiety attached}) + \text{MW}(\text{MoS}_2)] + (1 - X) \cdot \text{MW}(\text{MoS}_2)} \cdot 100$$

X = Percentage of modified sulfur atoms; W.loss = Weight loss

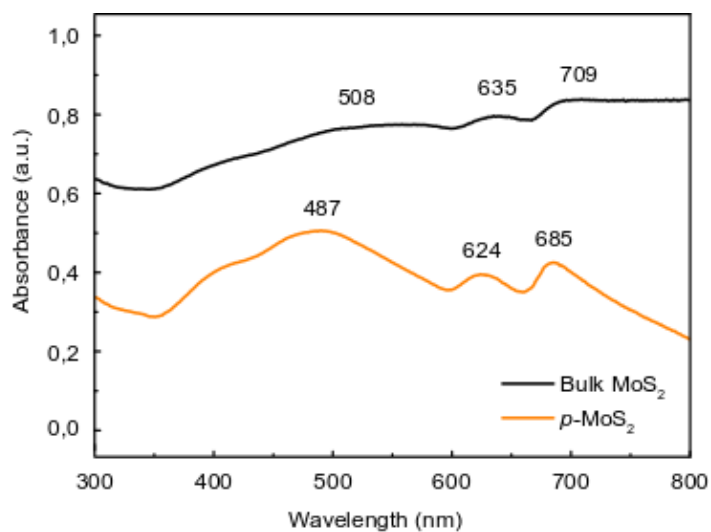


Figure S1. UV-Vis spectra of exfoliated MoS₂ and bulk material.

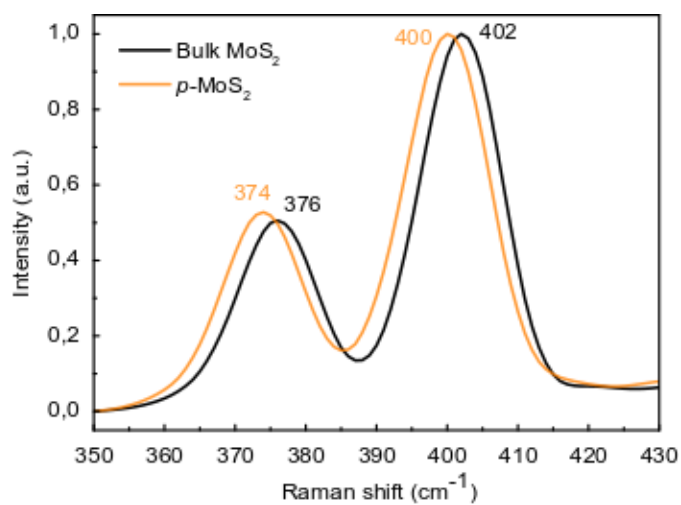


Figure S2. Raman spectra of exfoliated MoS₂ and bulk material.

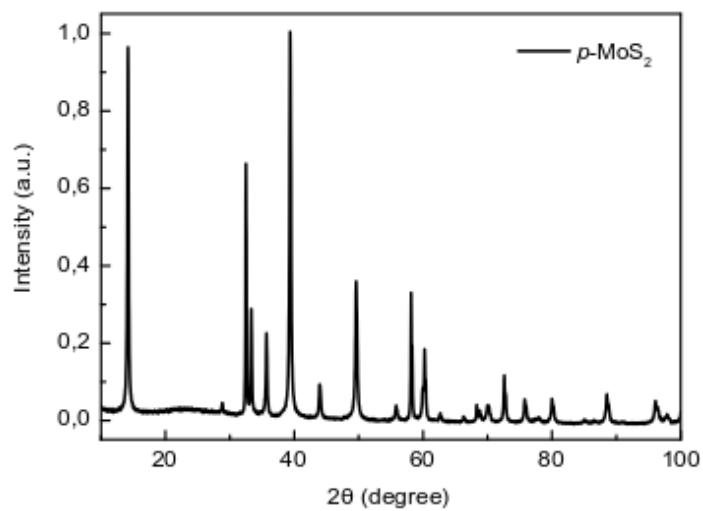


Figure S3. XRD of exfoliated MoS₂.

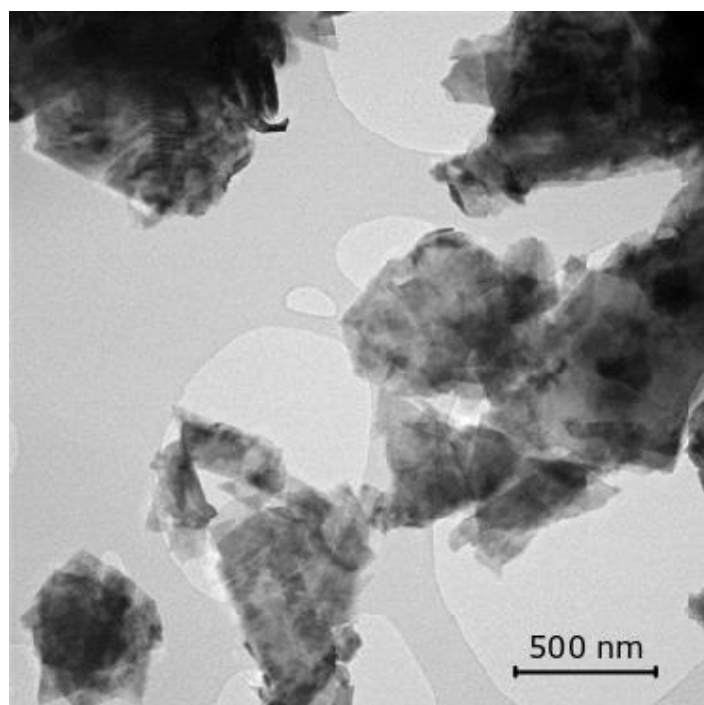


Figure S4. TEM of exfoliated MoS₂.

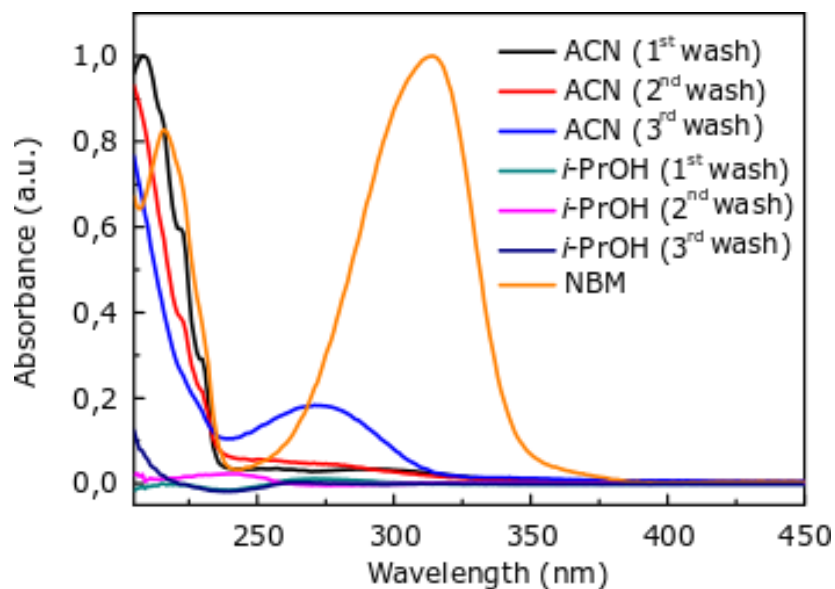


Figure S5. UV-Vis spectra of the washings of the functionalization reaction.

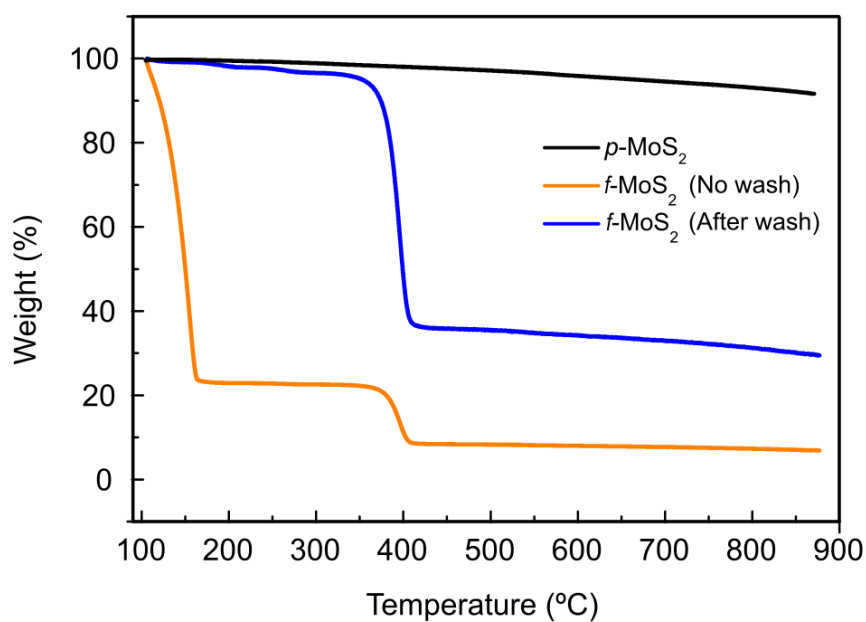


Figure S6. TGA of exfoliated material (black line), unwashed Bn-succ-MoS₂ (*f*-MoS₂) (orange line) and washed Bn-succ-MoS₂ (blue line). In all cases using base in the reaction. Performed under N₂.

Table S1. Analysis of the reactivity in the covalent functionalization of MoS₂ in presence of benzyl maleimide and base.

Entry	Solvent	Sonication	Centrifugation	Reaction solvent	W. MoS ₂	W. NBM	Time	T°	W. loss (%)
1	<i>i</i> PrOH /H ₂ O (7:3)	1h, 40%	4000 rpm, 30'	ACN	5	468 mg (2.5 mmol)	16 h	r.t.	24 ^a
2	<i>i</i> PrOH /H ₂ O (7:3)	16h, 40%	4000 rpm, 30'	ACN	5	468 mg (2.5 mmol)	16 h	r.t.	- ^b
3	<i>i</i> PrOH /H ₂ O (7:3)	24h, 40%	4000 rpm, 30'	ACN	5	468 mg (2.5 mmol)	16 h	r.t.	- ^b
4	<i>i</i> PrOH /H ₂ O (7:3)	1h, 40%	4000 rpm, 30'	NMP	5	468 mg (2.5 mmol)	16 h	r.t.	0
5	NMP	4h, 23%	- ^c	ACN	5	468 mg (2.5 mmol)	16 h	r.t.	0
6	NMP	4h, 23%	- ^d	ACN	5	468 mg (2.5 mmol)	16 h	r.t.	0
7	NMP	4h, 23%	-	NMP	1000	935 mg (5 mmol)	4h	0 °C	0 ^e

8	NMP	1h, 40%	4000 rpm, 30'	ACN	5	468 mg (2.5 mmol)	16 h	r.t.	90
9	NMP	1h, 40%	4000 rpm, 30'	ACN/ NMP (1%)	5	468 mg (2.5 mmol)	16 h	r.t.	0
10	NMP	1h, 40%	4000 rpm, 30'	ACN/ NMP (50%)	5	468 mg (2.5 mmol)	16 h	r.t.	0
11	NMP	1h, 40%	2000 rpm, 30'	ACN	5	468 mg (2.5 mmol)	16 h	r.t.	80
12	NMP	1h, 40%	500 rpm, 30'	ACN	5	468 mg (2.5 mmol)	16 h	r.t.	60
13	NMP	1h, 40%	2000 rpm, 30'	PhCN	5	468 mg (2.5 mmol)	16 h	r.t.	60
14	NMP	1h, 40%	2000 rpm, 30'	THF	5	468 mg (2.5 mmol)	16 h	r.t.	0
15	NMP	1h, 40%	2000 rpm, 30'	DMF	5	468 mg (2.5 mmol)	16 h	r.t.	0
16	NMP	1h, 40%	2000 rpm, 30'	CHCl ₃	5	468 mg (2.5 mmol)	16 h	r.t.	0

17	NMP	1h, 40%	2000 rpm, 30'	Toluene	5	468 mg (2.5 mmol)	16 h	r.t.	0
18	NMP	1h, 40%	2000 rpm, 30'	ACN	2	468 mg (2.5 mmol)	16 h	r.t.	90
19	NMP	1h, 40%	2000 rpm, 30'	ACN	10	468 mg (2.5 mmol)	16 h	r.t.	59
20	NMP	1h, 40%	2000 rpm, 30'	ACN	20	468 mg (2.5 mmol)	16 h	r.t.	31
21	NMP	1h, 40%	2000 rpm, 30'	ACN	5	9 mg (0.05 mmol)	16 h	r.t.	0
22	NMP	1h, 40%	2000 rpm, 30'	ACN	5	94 mg (0.5 mmol)	16 h	r.t.	11
23	NMP	1h, 40%	2000 rpm, 30'	ACN	5	187 mg (1 mmol)	16 h	r.t.	27
24	NMP	1h, 40%	2000 rpm, 30'	ACN	5	935 mg (5 mmol)	16 h	r.t.	90
25	NMP	1h, 40%	2000 rpm, 30'	ACN	5	468 mg (2.5 mmol)	1 h	r.t.	66

26	NMP	1h, 40%	2000 rpm, 30'	ACN	5	468 mg (2.5 mmol)	2 h	r.t.	72
27	NMP	1h, 40%	2000 rpm, 30'	ACN	5	468 mg (2.5 mmol)	4 h	r.t.	78
28	NMP	1h, 40%	2000 rpm, 30'	ACN	5	468 mg (2.5 mmol)	8 h	r.t.	80
29	NMP	1h, 40%	2000 rpm, 30'	ACN	5	468 mg (2.5 mmol)	16 h	50 °C	85
30	NMP	1h, 40%	2000 rpm, 30'	ACN	5	468 mg (2.5 mmol)	16 h	82 °C	65

^aPrevious work at the group. ^bThe amount of exfoliated MoS₂ of one single exfoliation process is not enough to carry out the functionalization reaction. ^cDecanted overnight. ^dDecanted for 48 h. ^eSonication and maleimide reaction were performed at the same time.

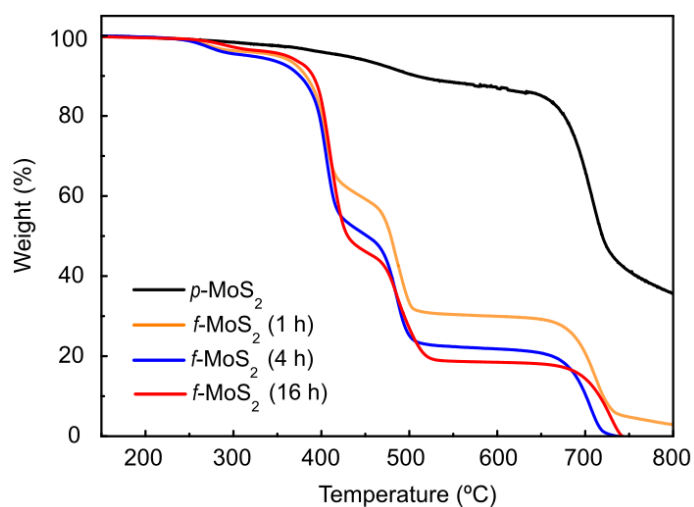


Figure S7. TGA profiles regarding time of reaction.

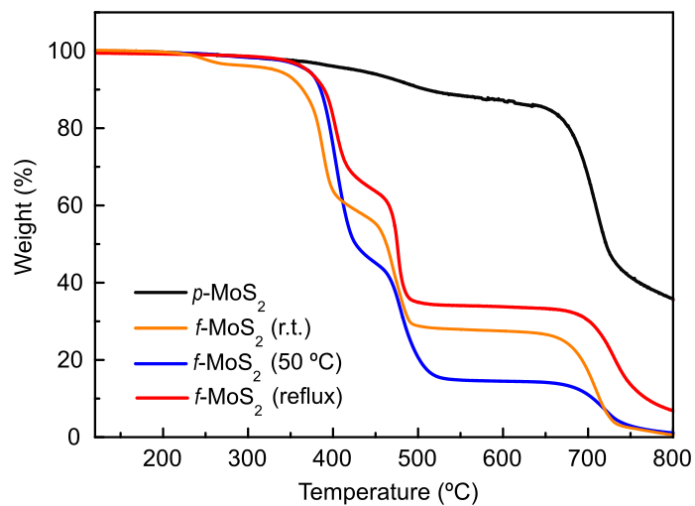


Figure S8. TGA profiles regarding temperature of reaction.

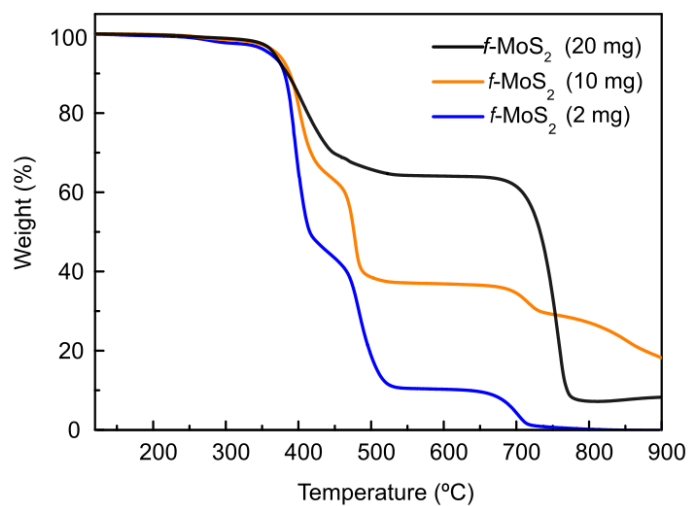


Figure S9. TGA profiles regarding the mass of exfoliated MoS₂ added to the reaction.

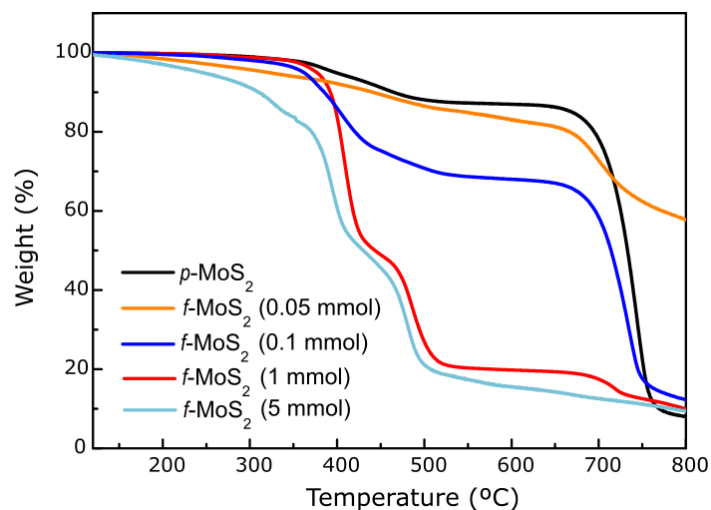


Figure S10. TGA profiles regarding the amount of maleimide added to the reaction

Table S2. Atomic percentages of XPS survey spectra of different modified MoS₂.

Materials	Core level	Binding Energy (eV)	Atomic %
succ-MoS ₂	O 1s	532.8	37.8
	N 1s	400.7	0.3
	C 1s	284.8	51.0
	Mo 3d	229.8	3.5
	S 2p	162.4	7.4
<i>f</i> -MoS ₂ (5)	O 1s	532.7	22.0
	N 1s	400.8	1.2
	C 1s	284.8	54.8
	Mo 3d	229.6	6.7
	S 2p	162.5	14.0
	Br 3d	71.1	1.3

Pristine MoS ₂	O 1s	532.4	7.8
	C 1s	284.8	43.8
	Mo 3d	229.9	15.6
	S 2p	162.7	32.8
polysucc- MoS ₂	O 1s	532.40	13.4
	N 1s	400.70	6.1
	C 1s	284.80	78.4
	Mo 3d	229.70	0.6
	S 2p	162.60	1.5
cs-MoS ₂	O 1s	533.4	12.8
	C 1s	284.8	32.4
	Mo 3d	229.9	17.1
	S 2p	162.7	37.7

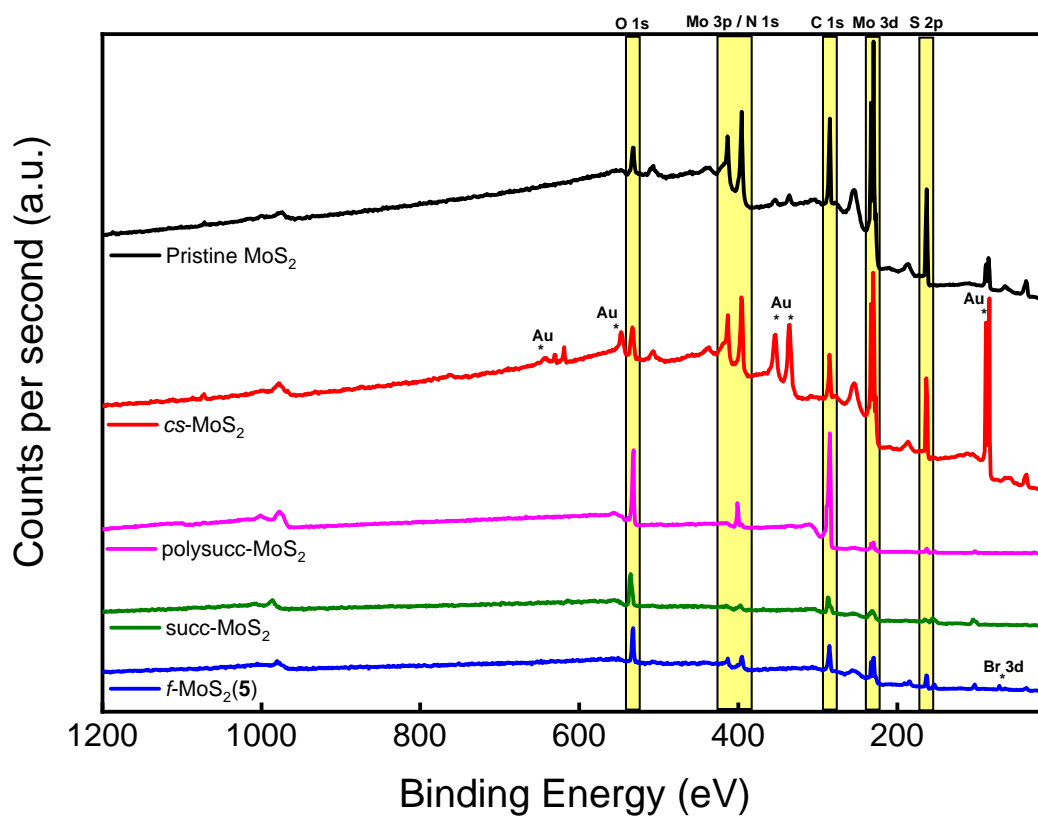


Figure S11. XPS survey spectra of different modified MoS₂.

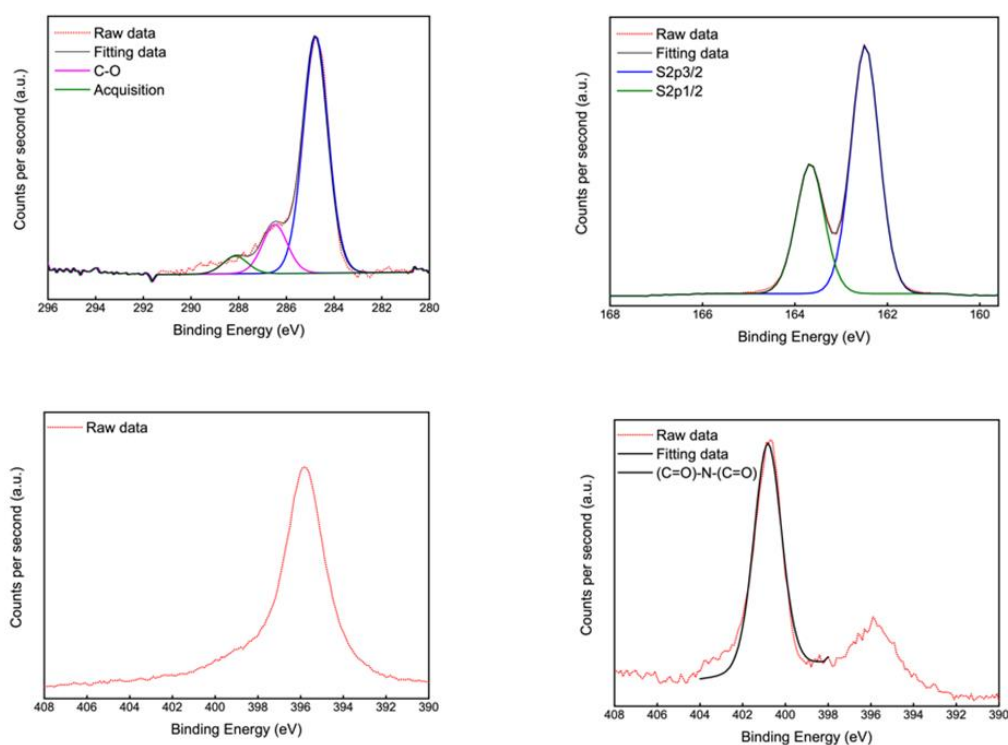


Figure S12. XPS analysis of pristine, control sample, and Bn-succ-MoS₂ with base with **1**. Core levels of C 1s and S 2p of the control sample (up) and N 1s comparison between control sample (bottom-left) and Bn-succ-MoS₂ with base (bottom-right).

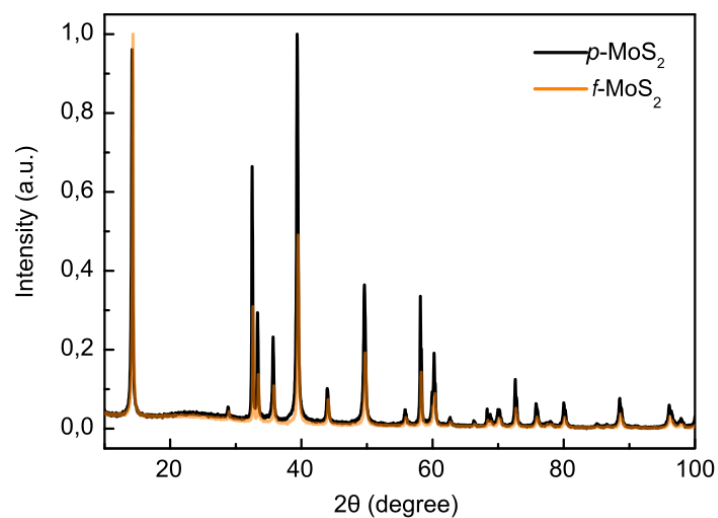


Figure S13. XRD exfoliated MoS₂ and Bn-succ-MoS₂ with base.

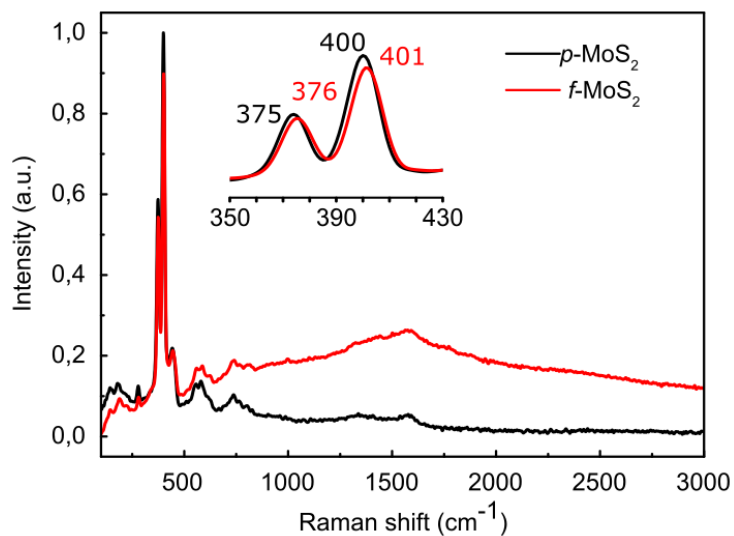


Figure S14. Raman spectra of exfoliated MoS₂ and Bn-succ-MoS₂.

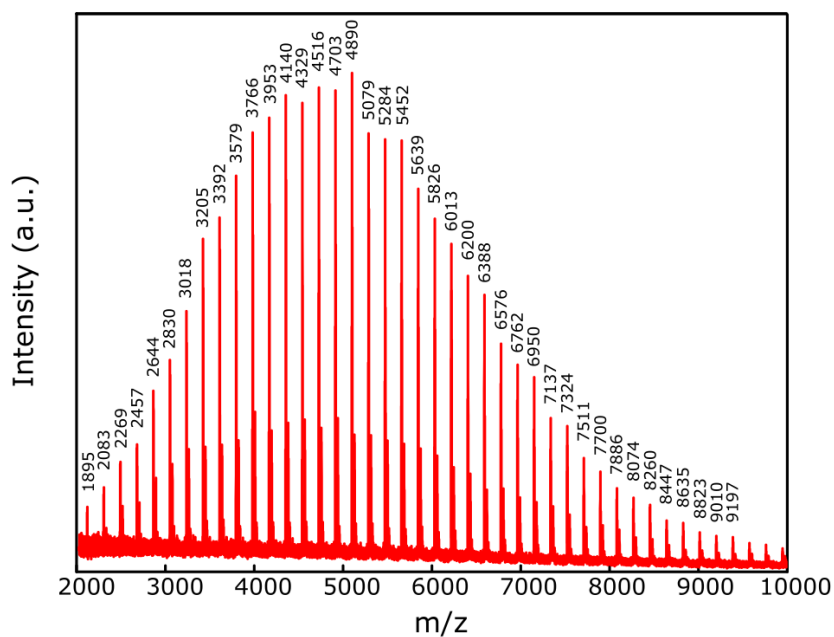


Figure S15. MALDI of the polymer formation from the reaction of Bn-succ-MoS₂ with base.

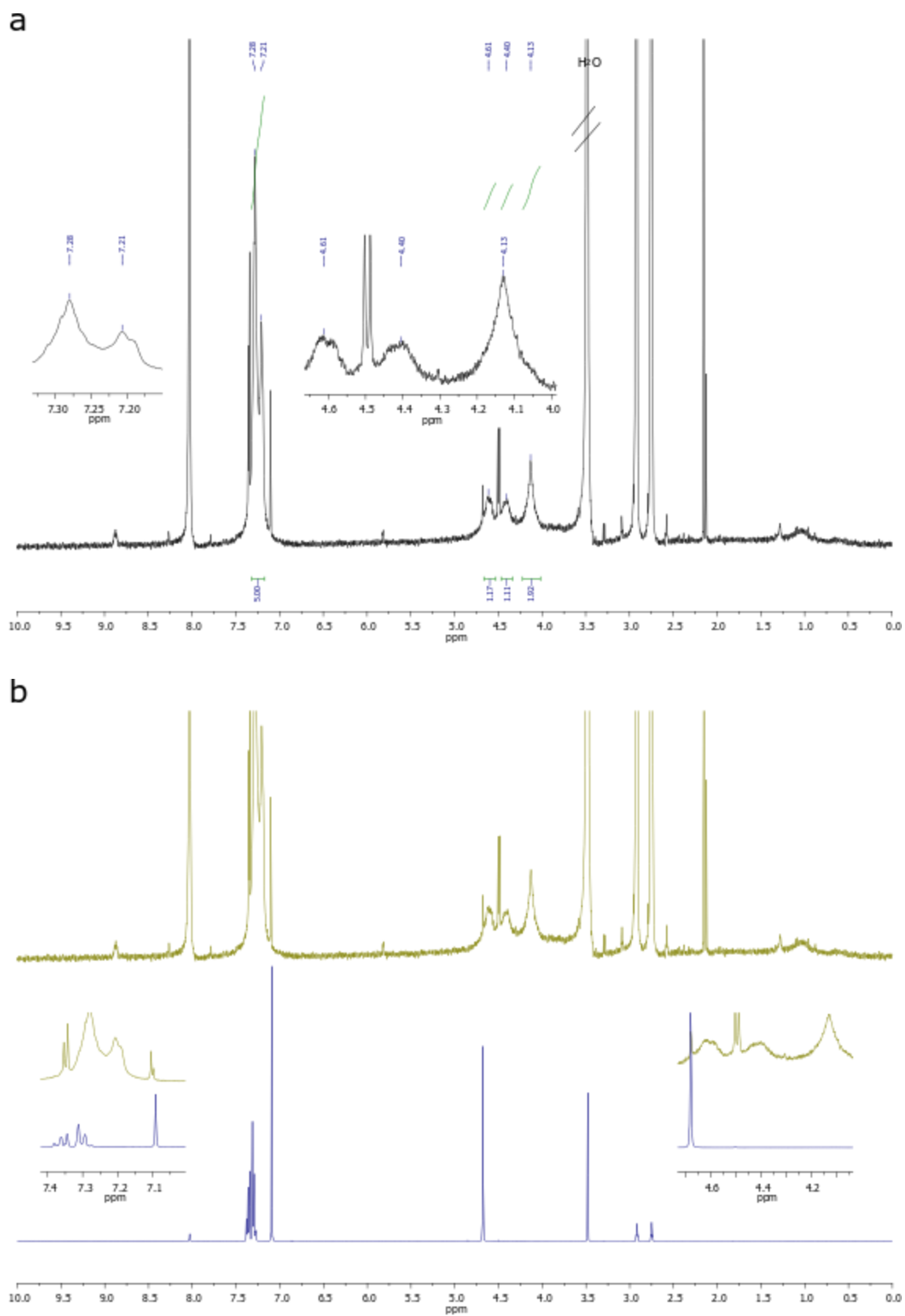


Figure S16. a) ¹H NMR spectrum (400 MHz, DMF-d⁷) of subproduct of *N*-benzylmaleimide from Bn-succ-MoS₂ reaction with base. The new signals are ¹H NMR

(400 MHz, DMF) δ 7.24 (m, 5H), 4.61 (s, 1H), 4.40 (s, 1H), 4.13 (s, 1H). **b**) Comparison between the ¹H NMR spectra (400 MHz, DCM-d⁷) of *N*-benzylmaleimide (blue) and subproduct of the reaction (green): new signals appear which correspond with the polymerization of the maleimide. However, in the same spectra it is possible to observe a remaining of alkene and CH₂-benzyl of the starting material but with a little shift.

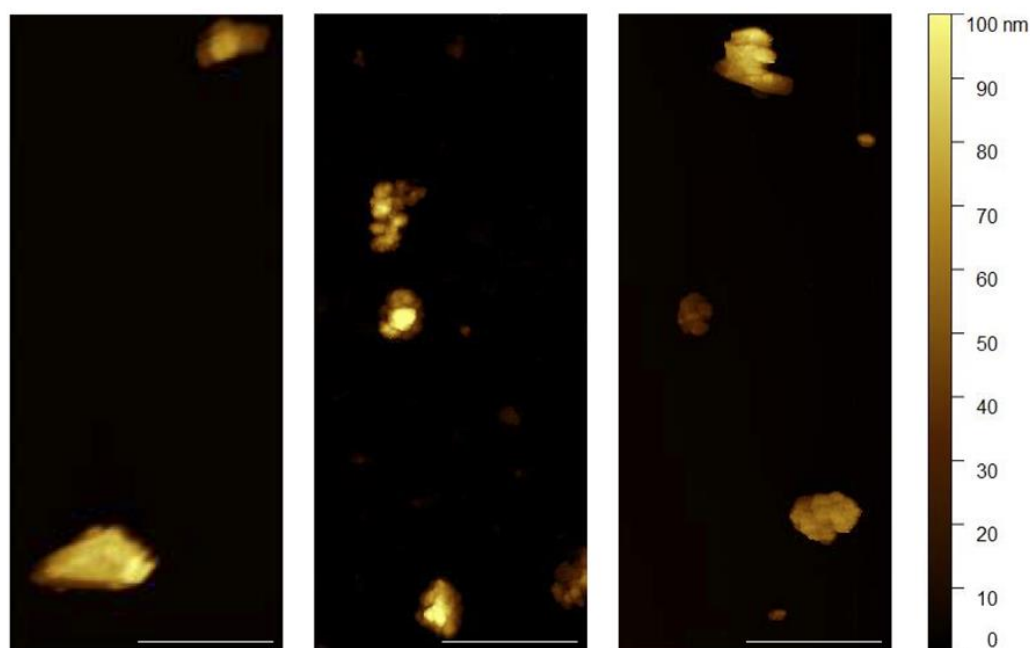


Figure S17. AFM images of *p*-MoS₂ (left) Bn-succ-MoS₂ with base (mid) and succ-MoS₂ without base (right). Scale bar 1 μm.

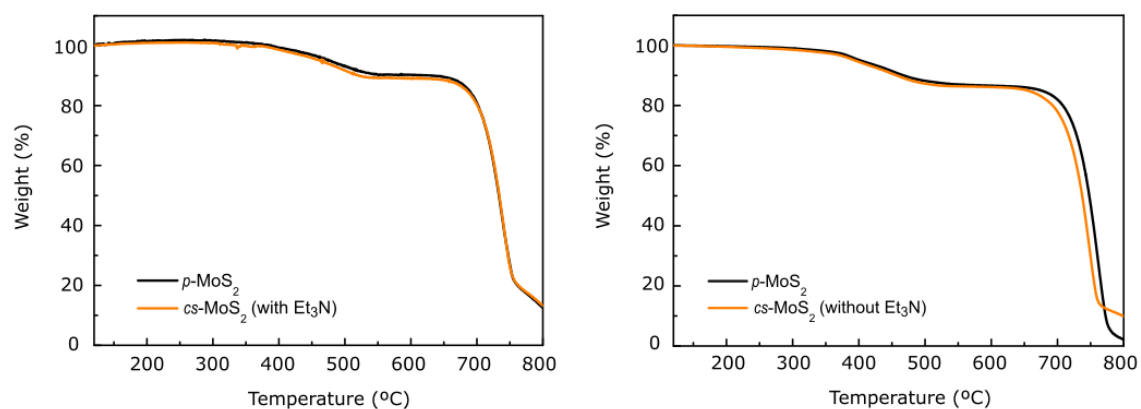


Figure S18. TGA profiles of *p*-MoS₂ and *cs*-MoS₂ (control sample experiment with the same conditions of the functionalization but not adding an organic moiety) with and without Et₃N.

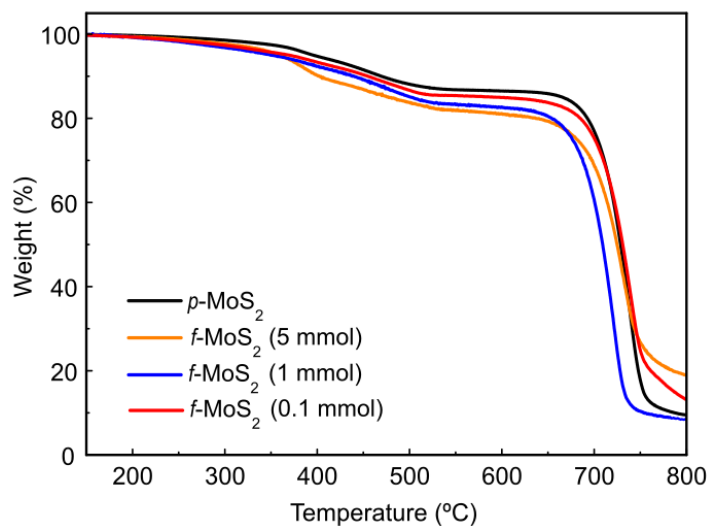


Figure S19. TGA profiles regarding the amount of maleimide added to the reaction.

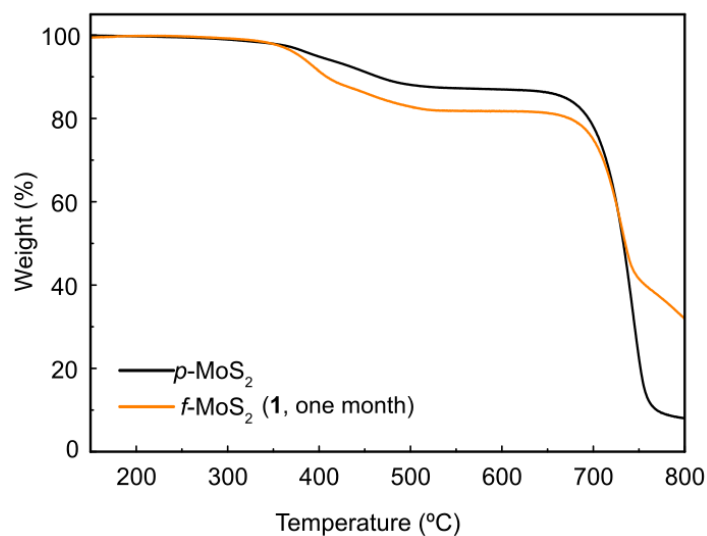


Figure S20. TGA profile of one-month reaction.

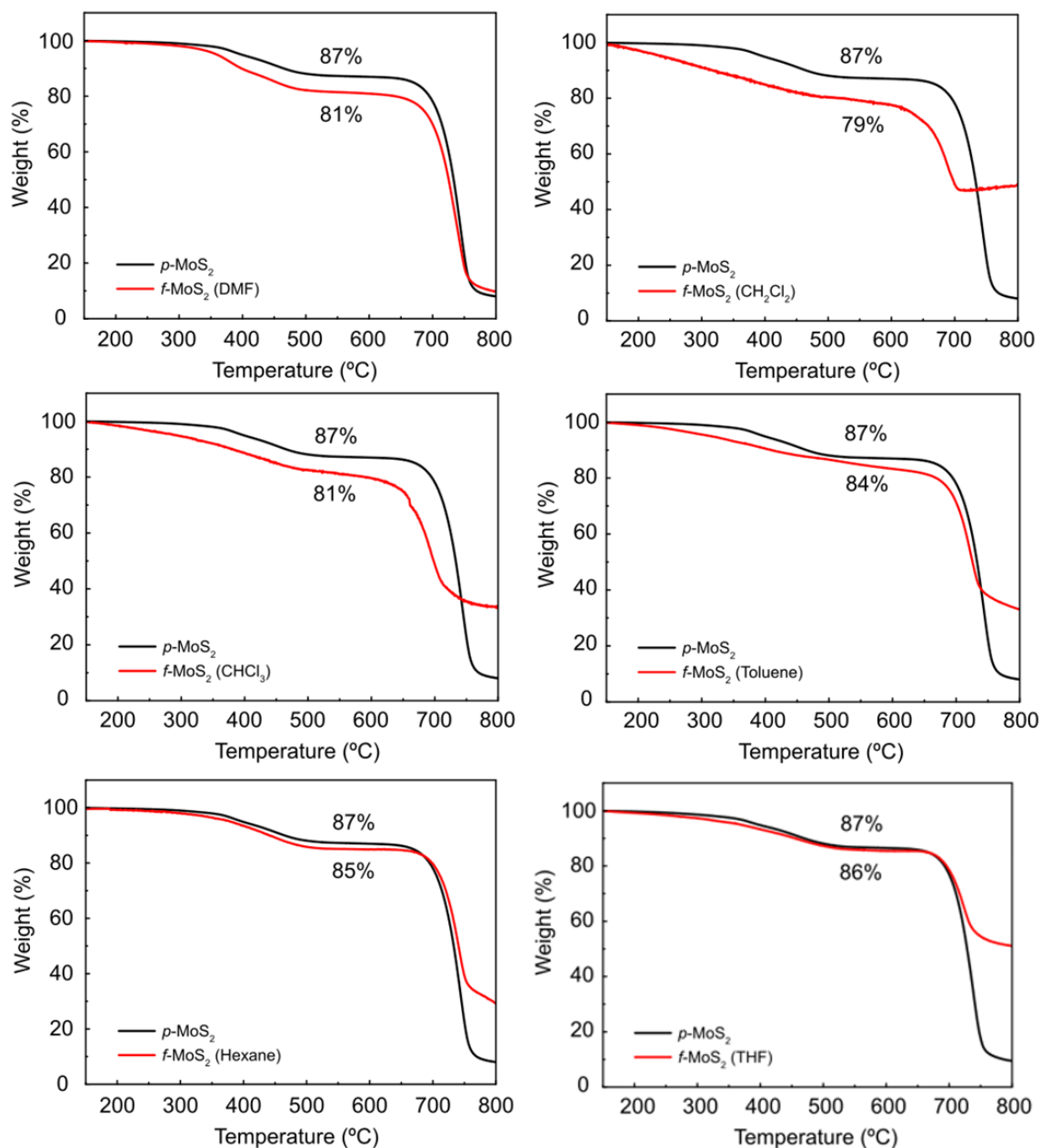


Figure S21. TGA of MoS₂ functionalization of **1** using different solvents.

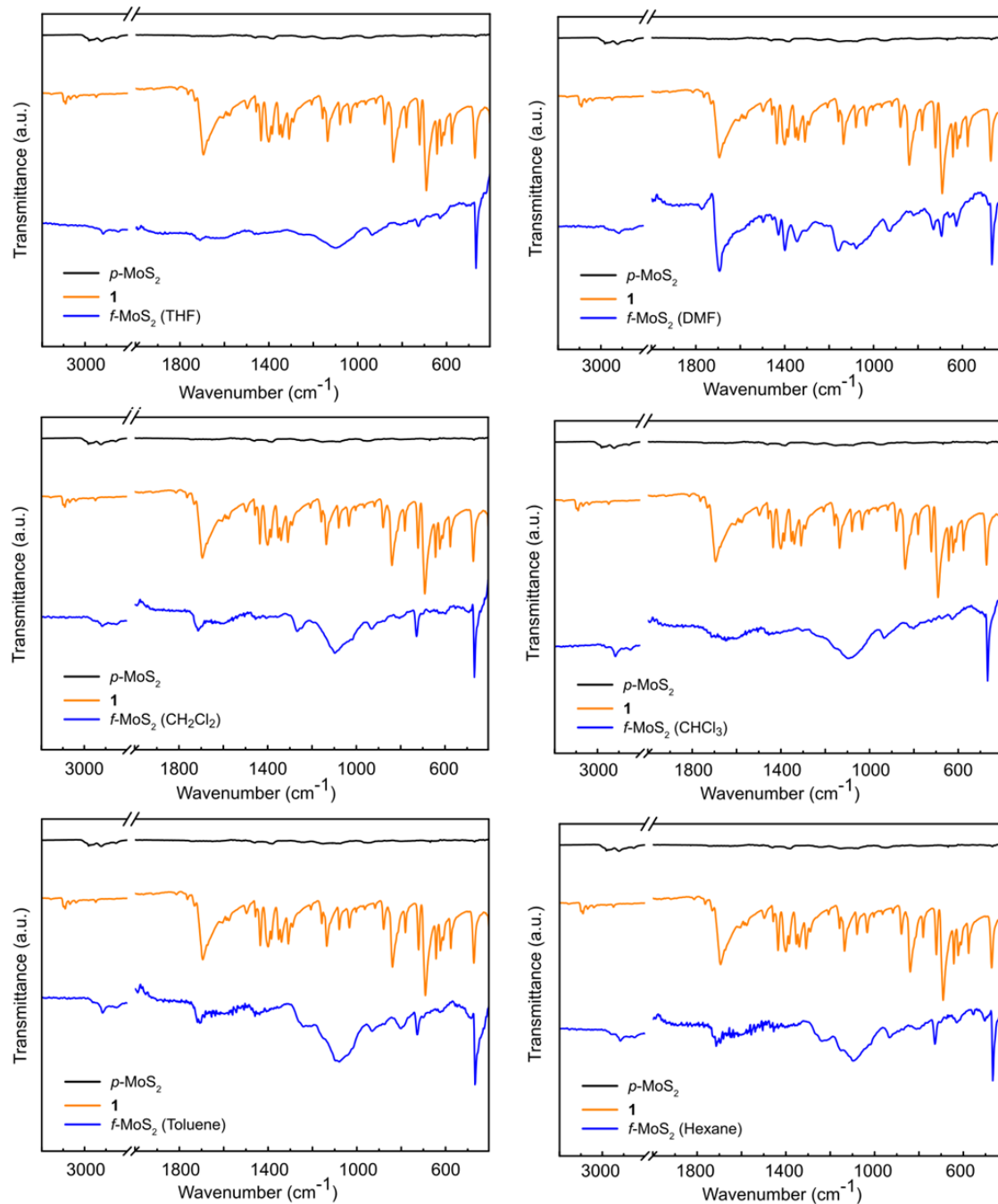


Figure S22. ATR-IR of MoS₂ functionalization of **1** using different solvents.

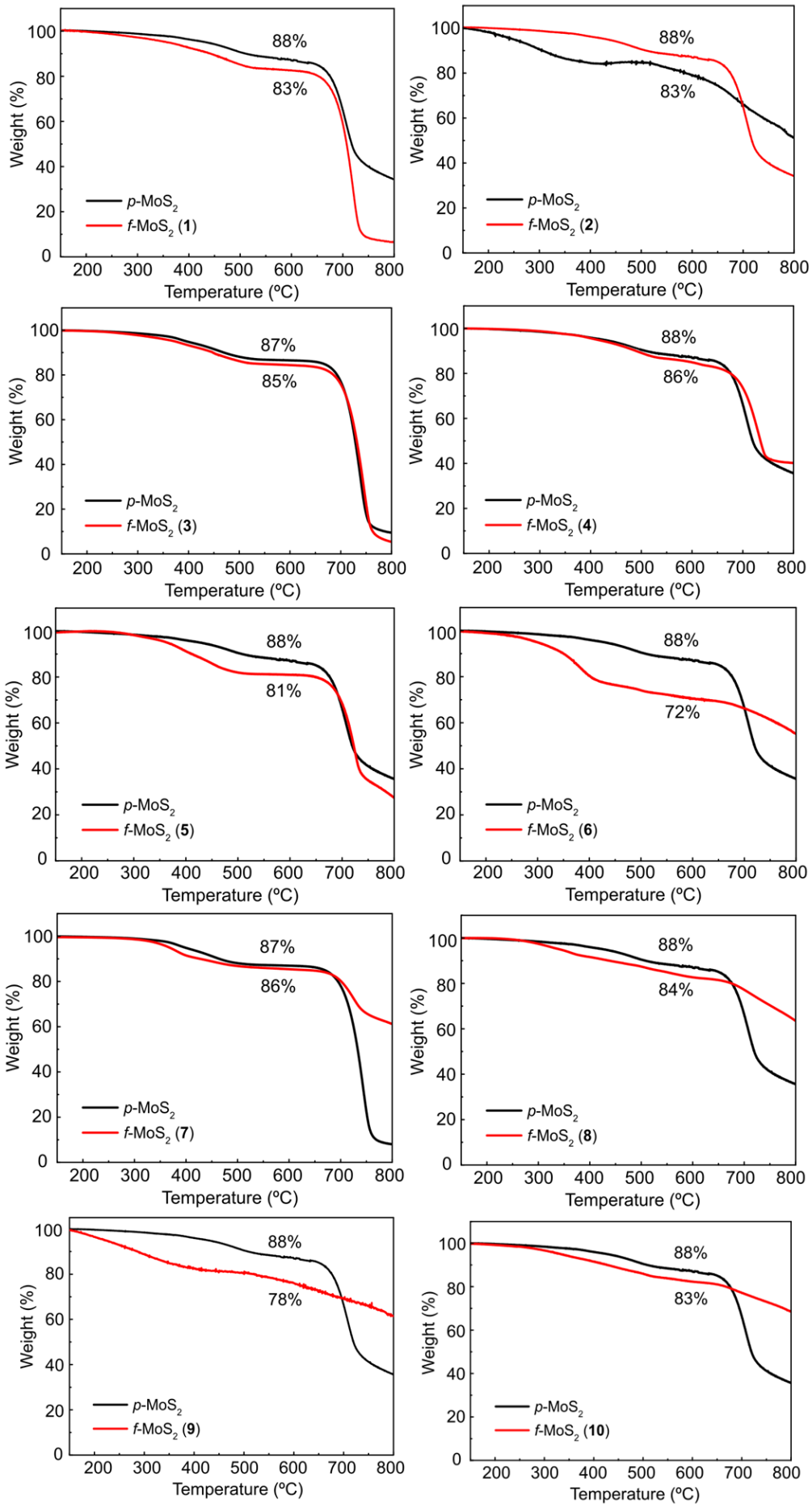
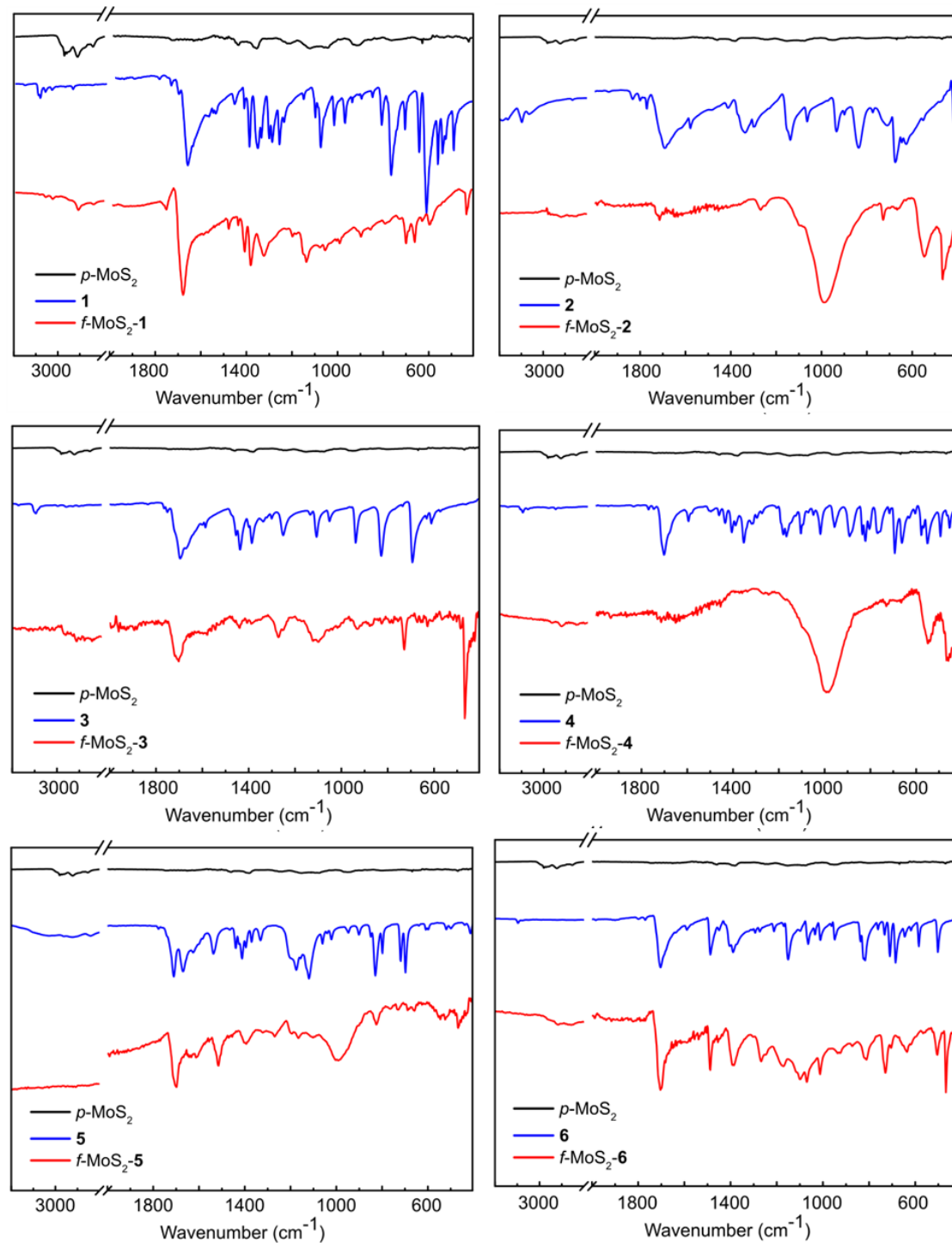


Figure S23. TGA of MoS₂ functionalization using different maleimides.



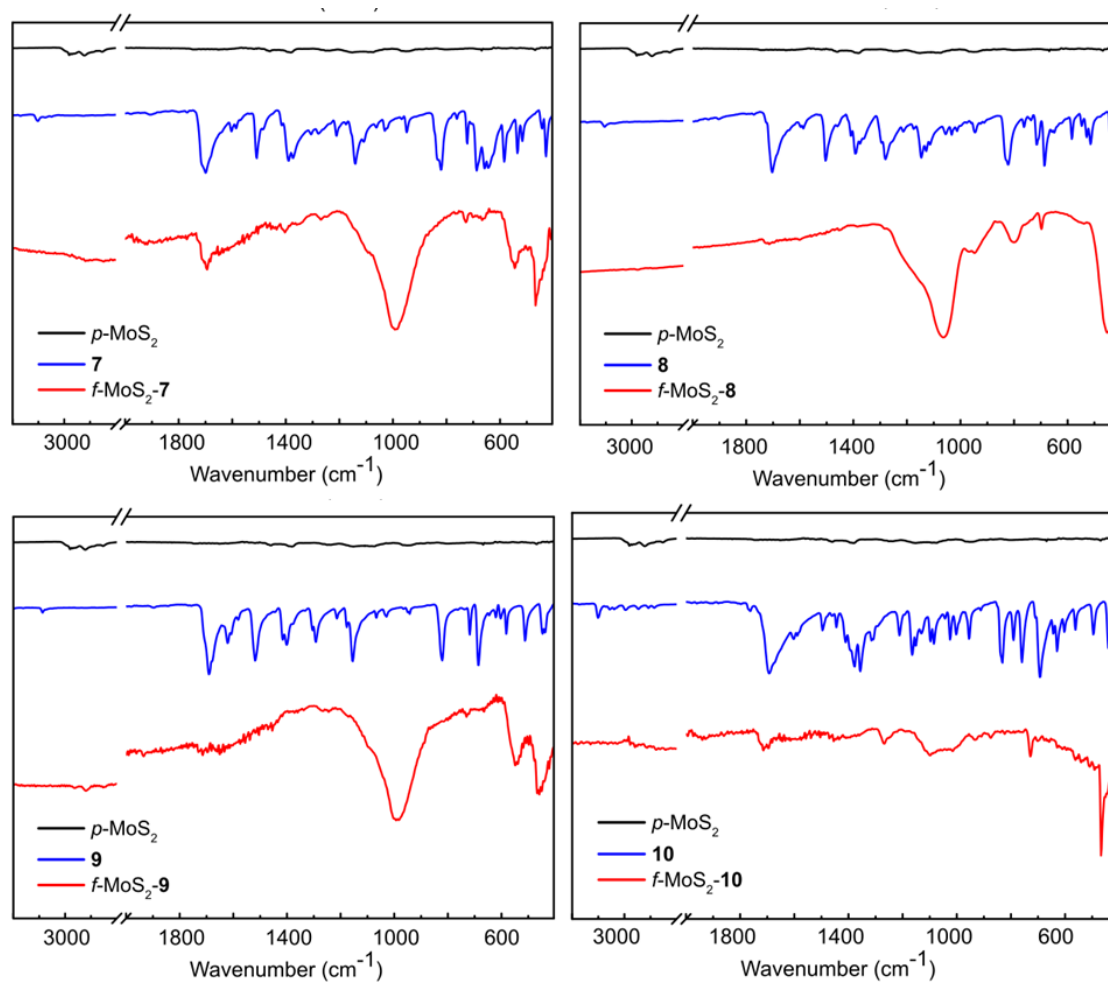


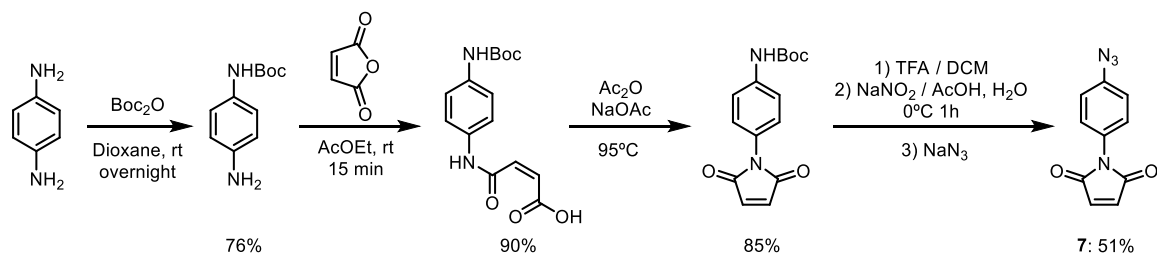
Figure S24. ATR-IR of MoS₂ functionalization using different maleimides.

Table S3.

Entry	Solvent	Weight loss (%)	ν C=O (cm ⁻¹)	ν C-S (cm ⁻¹)
1	THF	1	1708	726
2	DMF	7	1696	731
3	CH ₂ Cl ₂	8	1714	728
4	CHCl ₃	6	-	-
5	Toluene	3	1720, 1706	728
6	Hexane	2	1714, 1696	728

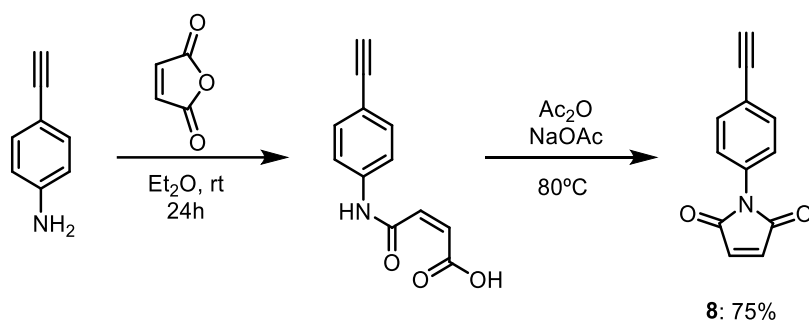
9.4.3. Synthesis of Maleimide Derivatives.

Synthesis of 1-(4-azidophenyl)-1H-pyrrole-2,5-dione (**7**).²⁹¹



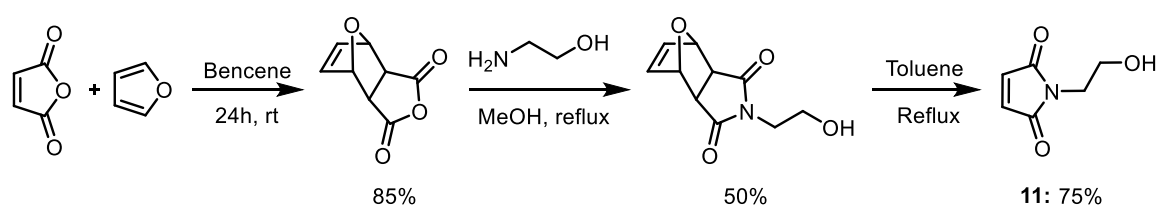
The synthesis of compound **7** was done following the procedure of Carrié et al, *Chem. Commun.*, **2014**, *50*, 9387-9389.

Synthesis of 1-(4-ethynylphenyl)-1H-pyrrole-2,5-dione (**8**).²⁹²



The synthesis of compound **8** was done following the procedure of Itou W., Hagiwara T., *Reactive and Functional Polymers*, **2016**, *101*, 70-74.

Synthesis of 1-(2-hydroxyethyl)-1H-pyrrole-2,5-dione (**11**).²⁹³



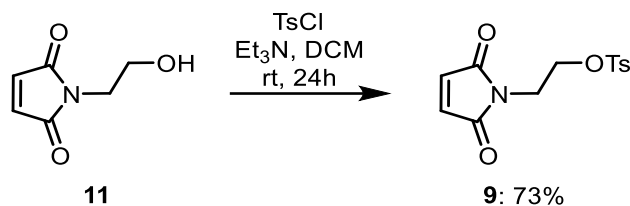
The synthesis of compound **11** was done following the procedure of Heath et al, *Macromolecules*, **2008**, *41*, 719.

²⁹¹ Carrié, H.; Tran, D. T.; Rousseau, S.; Chaignepain, S.; Schmitter, J.-M.; Deffieux, D.; Quideau, S., *Chem. Commun.* **2014**, *50* (66), 9387-9389.

²⁹² Itou, W.; Hagiwara, T., *React. Funct. Polym.* **2016**, *101*, 70-74.

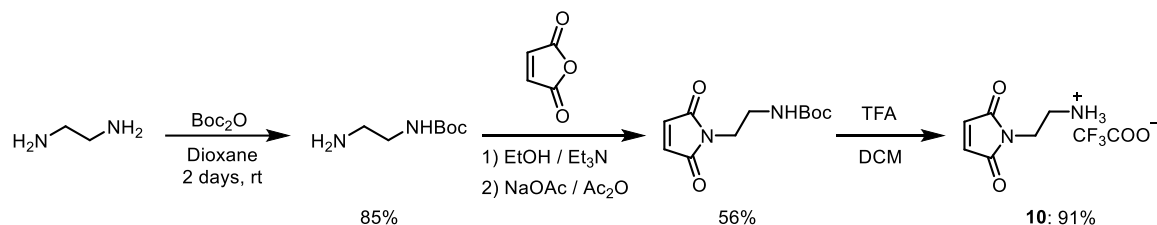
²⁹³ Heath, W. H.; Palmieri, F.; Adams, J. R.; Long, B. K.; Chute, J.; Holcombe, T. W.; Zieren, S.; Truitt, M. J.; White, J. L.; Willson, C. G., *Macromolecules* **2008**, *41* (3), 719-726.

Synthesis of 1-(2-hydroxyethyl)-1H-pyrrole-2,5-dione (**9**).



Compound **11** (1.62 g, 11.5 mmol, 1 eq) was dissolved in DCM (115 mL) and Et₃N (3.7 mL, 26.5 mmol, 2 eq) was added. Then, the solution was cooled at 0°C within an ice bath. TsCl (2.63 g, 13.8 mmol, 1.2 eq) was added in one portion and the reaction was allowed to stir at rt for 24h. The next day, the reaction was extracted with DCM/H₂O three times and the organic phase was dried over anhydrous Na₂SO₄ and concentrated under reduced pressure. The purification of the compound was performed with a column chromatography on silica gel using DCM as eluent. Its spectroscopic data were in concordance with those described in the literature (*J. Med. Chem.* **1971**, *14*, 873-878).

Synthesis of 2-(2,5-dioxo-2,5-dihydro-1H-pyrrol-1-yl)ethan-1-aminium (**10**).²⁹⁴



The synthesis of compound **10** was done following the procedure of Tang, F. et al, *Org. Biomol. Chem.*, **2016**, *14*, 9501-9518.

²⁹⁴ Tang, F.; Yang, Y.; Tang, Y.; Tang, S.; Yang, L.; Sun, B.; Jiang, B.; Dong, J.; Liu, H.; Huang, M.; Geng, M.-Y.; Huang, W., *Org. Biomol. Chem.* **2016**, *14* (40), 9501-9518.

CONCLUSIONES

- 1) En el *capítulo 1* hemos mostrado que la encapsulación dentro macrociclos mediante enlace mecánico (MINT) de dopaje tipo n o p es una estrategia válida para la regulación de la actividad catalítica de SWNTs. Hemos elegido como prueba de concepto la reducción de nitro arenos. El efecto electrónico de los macrociclos en la actividad catalítica es claramente predominante: mac- exTTF electrón dador acelera la reacción, mac- AQ electrón aceptor la ralentiza y el mac-pyr electrónicamente neutro posee una actividad similar a los SWNTs. Finalmente, MINTs son catalizadores estables que pueden ser reciclados.
- 2) En el *capítulo 2* hemos explorado el estudio de MINT-AQ como un método eficiente de inmovilización de AQ que retiene las propiedades electroquímicas de la molécula. La estrategia MINT puede resolver el problema de disolución-inestabilidad de moléculas orgánicas físicamente absorbidas tras la reducción electroquímica. Además, se ha realizado una prueba de concepto para aplicaciones electroquímicas de MINT en la reacción de reducción de oxígeno. Una mejora de la producción de H₂O₂ en sistemas MINT-AQ respecto a las pruebas control de SWNT y AQ@SWNT.
- 3) En el *capítulo 3* hemos desarrollado una nueva estrategia de funcionalización covalente de TMDCs basados en la aivez del S como nucleófilo suave con electrófilos suaves. En particular, hemos descrito que 2H-MoS₂ y WS₂ pueden ser funcionalizados covalentemente con maleimidias vía Adición de Michael. La reacción ocurre en condiciones suaves donde no afecta la estructura semiconductor 2H de los materiales de partida.
- 4) En el *capítulo 4* hemos llevado a cabo un estudio completo de la funcionalización covalente con maleimidias via tiol- Adición de Michael, previamente descrito en el *capítulo 3*. En estas condiciones de reacción hemos descubierto que, si se usa base o no, MoS₂ se funcionaliza con diferentes compuestos: con un polímero o

molecula aislada, respectivamente. Con un estudio completo de la reacción y caracterización, hemos visto que la reacción funciona en diferentes disolventes, con un amplio abanico de maleimidas y en diferentes temperaturas, siendo la mas óptima a temperatura ambiente. Este estudio demuestra de nuevo la funcionalizacion covalente de MoS₂ y su gran versatilidad, cuyo origen es debido a la reaccion click tiol-eno.

CONCLUSIONS

- 1) In *chapter 1* we have shown that encapsulation within p- or n-doping macrocycles through mechanical bond (MINT) is a valid strategy for the regulation of the catalytic activity of SWNTs. We have chosen the reduction of nitroaromatic compounds as a test. The electronic effect of the macrocycles on the catalytic activity is clearly predominant: electron-donating mac- exTTF lead to a higher activity, electron-accepting mac-AQ slow the reaction rates and the electronically neutral mac-pyr show similar activity to pristine SWNTs. Finally, MINTs are stable catalysts that can be recycled.
- 2) In the *chapter 2* we explored the study of MINT-AQ as efficient way of immobilization of AQ while retaining the electrochemical properties of the pristine molecule. MINT strategy could solve the problem of dissolution-instability of physically adsorbed organic molecules upon electrochemical reduction. Further, a proof of concept for electrocatalytic application of MINT-AQ towards oxygen reduction was explored. An improvement in H₂O₂ production rate in MINT-AQ systems with respect to control samples of SWNT and AQ@SWNT.
- 3) In the *chapter 3* we have developed a new strategy for the covalent functionalization of TMDCs based on the avidity of S as a soft nucleophile for soft electrophiles. In particular, we have describe that 2H-MoS₂ and WS₂ can be covalently functionalized with maleimide reagents via Michael addition. The reaction occurs under very mild conditions that do not affect the 2H semiconducting polytype of the starting materials.
- 4) In the *chapter 4* we have described a complete study of covalent functionalization of MoS₂ with maleimides via thiol-Michael Addition, previously described in the *chapter 3*. Under these reaction conditions we have discovered that, if a base is used or not, MoS₂ is functionalized with different compounds: a polymer or

isolated molecules, respectively. With a complete study of the reaction and characterization we have seen that the reaction works in different solvents, with a wide range of maleimides and different temperatures. This study demonstrates again the covalent functionalization of MoS₂ and their versatility whose origin is due to the Thiol-ene click reaction.

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