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3 **1 Fabrication and characterization of nanostructured porous silicon-silver composite**
4
5 **2 layers by cyclic deposition: dip-coating vs spin-coating**
6

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24 **Abstract**

25 Composites of nanostructured porous silicon and silver (nPSi-Ag) have attracted great
26 attention due to the wide spectrum of applications in fields such as microelectronics,
27 photonics, photocatalysis and bioengineering, Among the different methods for the
28 fabrication of nanostructured composite materials, dip and spin-coating are simple,
29 versatile, and cost-effective bottom-up technologies to provide functional coatings. In that
30 sense, we aimed at fabricating nPSi-Ag composite layers. Using nPSi layers with pore
31 diameter of 30 nm, two types of thin-film techniques were systematically compared: cyclic
32 dip-coating (CDC) and cyclic spin-coating (CSC). CDC technique formed a mix of
33 granular and flake-like structures of metallic Ag, and CSC method favored the synthesis of
34 flake-like structures with Ag and Ag₂O phases. Flakes obtained by CDC and CSC
35 presented a width of 110 nm and 70 nm, respectively. Particles also showed a nanostructure
36 surface with features around 25 nm. According to the results of EDX and RBS, integration
37 of Ag into nPSi was better achieved using the CDC technique. SERS peaks related to
38 chitosan adsorbed on Ag nanostructures were enhanced, especially in the nPSi-Ag
39 composite layers fabricated by CSC compared to CDC, which was confirmed by FTDT
40 simulations. These results show that CDC and CSC produce different nPSi-Ag composite
41 layers for potential applications in bioengineering and photonics.

42 **Keywords:** cyclic dip-coating; cyclic spin-coating; porous silicon; silver nanoparticles;
43 composite material

45 **1. Introduction**

46 Currently, there is a huge interest in semiconductor-metal nanocomposites materials due to
47 their interesting functional properties for different disciplines such as microelectronics,

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3 48 photonics, photocatalysis, bioengineering, among others [1, 2]. When semiconductors are
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5 49 combined with noble metals, their properties can significantly change. For instance,
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8 50 processes such as enhanced charge separation at the semiconductor-metal interface and the
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10 51 enhanced visible light absorption caused by the surface plasmon resonance (SPR) of metals
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12 52 can improve the photocatalytic properties of semiconductors [1]. In particular, composites
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14 53 of nanostructured porous silicon (nPSi) and silver (Ag) have attracted great attention
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16 54 because of their wide spectrum of applications, such as in gas and biological sensors,
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19 55 catalysis and optoelectronics. Their properties come along with the low-cost of material
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21 56 production and the compatibility with complementary metal-oxide-semiconductor
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24 57 technology (CMOS) [3, 4].
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26 58 nPSi is a very attractive semiconductor material which consists of silicon
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28 59 nanocrystals embedded in a porous amorphous matrix [5, 6]. It elicits as an efficient
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31 60 substrate for fabricating semiconductor-metal composites because it shows a large surface
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33 61 area ($200\text{-}500\text{ m}^2\cdot\text{cm}^{-3}$) [5], biocompatibility, along with simple fabrication methods.
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35 62 Likewise, nPSi surfaces can be easily modified by using diverse chemical and physical
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38 63 methods. Meanwhile, the interesting properties of Ag nanoparticles make them perfect for
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40 64 the application as an antimicrobial agent, biosensor materials, composite fibers, cryogenic
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42 65 superconducting materials, cosmetic products, and electronic components [7, 8].
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44 66 In that sense, several methods have been performed to obtain nPSi-Ag composites
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47 67 for different applications. Giorgis et al. (2009) [9] achieved nPSi-Ag nanoparticles
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49 68 composite with thermal decomposition of AgNO_3 at $500\text{ }^\circ\text{C}$, which presented potential uses
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51 69 as Surface Enhanced Raman Scattering (SERS) substrate. On the other hand, Ensafi et al.
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54 70 (2016) [10] synthesized nPSi-Ag composite by galvanic replacement reaction between Si
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56 71 atoms in the nPSi and Ag cation in aqueous HF solution. The obtained composite was
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3 72 tested as an electrochemical sensor platform of H_2O_2 . Using the same method, Skrabic et al.
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5 73 (2019) [11] reacted nPSi layers with an Ag precursor solution at different concentrations
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7 74 and times. The obtained nPSi-Ag composites were tested as SERS photonic crystals.
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10 75 Regarding the electrochemical deposition, Spivak (2018) [12] used different kinds of nPSi
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12 76 to grow Ag into the pores. Spivak reported that the increase of deposition time led to
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14 77 changes in Ag morphology and proposed these nPSi-Ag composites for catalysis and
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16 78 plasmonic applications. Also, Martín-Palma et al. (2019) [3] have grown Ag particles
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18 79 inside the nPSi structure by electrochemical deposition. The authors obtained a
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20 80 homogeneous distribution of Ag nanoparticles on the surface and inside the nPSi layer.
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23 81 These composites were tested as wideband optical absorbers for photonic and plasmonic
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25 82 applications. Even by metal assisted chemical etching, Ge et al obtained different Ag
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27 83 nanostructure on nPSi layer by changing the $AgNO_3$ concentration in the electrochemical
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29 84 solutions with SERS effects [13]. In general, the selected method to deposit Ag on nPSi
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31 85 layers can directly affect the physicochemical properties of the composite, such as the
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33 86 morphology, thickness, and homogeneity, which can impact the material performance in
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35 87 specific applications.
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40 88 Among the different methods for the fabrication of such nanostructured composites,
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42 89 dip and spin-coating are interesting bottom-up technology to provide functional thin-film
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44 90 coatings. In fact, these techniques are simple, versatile, and cost-effective for this purpose.
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46 91 Moreover, both techniques can be performed at atmospheric conditions, and interestingly,
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48 92 each technique can produce specific morphologies and phases, even using the same stem-
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50 93 solutions [14]. The dip-coating technique consists in the substrate immersion into a
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52 94 reservoir of the coating suspension to deposit a layer of material upon withdrawal. This
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54 95 method can provide thin films as required, and this is easily controllable with the
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3 96 concentration of the suspension used [15]. On the other hand, in the spin-coating technique,
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5 97 a diluted suspension is placed on the substrate and rotated at high speed, while the fluid
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8 98 spins off the edges coating the substrate at the same time that the solvent evaporates. Spin-
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10 99 coating is widely used for the production of very thin films (below 10 μm) [15].

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12 100 In this regard, the novelty of this work is the fabrication of nPSi-Ag composite
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14 101 layers by systematically comparing two types of thin-film techniques, namely, cyclic dip-
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16 102 coating (CDC) and cyclic spin-coating (CSC). Both techniques CDC and CSC, consist of a
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19 103 series of Ag deposition steps on nPSi. The CDC technique is applied by cycling the
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21 104 immersion of nPSi substrate into an Ag^+ solution, while the CSC method consists in
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23 105 repeating cycles of centrifugal dispersion of an Ag^+ solution on nPSi. Although dip-coating
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26 106 and spin-coating have been used in diverse substrates, as it was previously explained, they
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28 107 have not been used to obtain nPSi-Ag composites. Moreover, our proposals are based on
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30 108 repetitive cycles. The two nPSi-Ag composite layers obtained by CDC and CSC were
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32 109 characterized in terms of morphology, infiltration (depth profiling) of Ag into the nPSi,
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34 110 crystallinity, chemical composition and optical characteristics. Interestingly, we aim at
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36 111 highlighting the structural and functional properties of the different nPSi-Ag composite
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38 112 layers even if obtained by using the same nPSi substrate and Ag^+ stem-solution at room
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40 113 temperature. In that sense, the main differences between the composites fabricated with
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42 114 both experimental techniques were in detail analyzed.
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49 116 **2. Experimental Approach**

50 117 **2.1 Fabrication of nPSi**

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52 118 Nanostructured porous silicon (nPSi) substrates (3 cm^2) were fabricated galvanostatically
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54 119 by photoassisted electrochemical etching of single-crystalline p-type Si wafers (boron-

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3 120 doped, orientation $\langle 100 \rangle$), resistivity $0.001\text{--}0.005 \Omega \cdot \text{cm}$) in a 1:2 solution of 48% HF and
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5 121 98% ethanol. The applied current density was set to $80 \text{ mA} \cdot \text{cm}^{-2}$ during 120 under
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7 122 illumination with a 150 W halogen lamp. Light is used just to create pairs electron-holes in
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9 123 the silicon substrate. This effect forms more homogeneous layers because the etching
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11 124 begins when holes reach the silicon surface and react with F^- ions.
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15 125 The as-prepared nPSi layers were stabilized by a chemical oxidation process in
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17 126 H_2O_2 (30% v/v) overnight, as previously reported [16]. Finally, nPSi substrates were dried
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19 127 under nitrogen streamflow and used as the template for Ag deposition. Si wafers were
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21 128 purchased from University Wafer, South Boston, USA, and chemical products were
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23 129 acquired from Merck, Santiago, Chile.
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27 131 **2.2 Formation of the nPSi-Ag composite layers**

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31 132 In order to fabricate the nPSi-Ag composite layers, a highly diluted chitosan solution, as a
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33 133 stabilizer, was prepared at 1 mg/100 ml adding glacial acetic acid at 100 mM by stirring
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35 134 overnight (chitosan of low molecular weight $\approx 5 \times 10^4 \text{ g/mol}$, 75–85% deacetylated, and
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37 135 ultrapure water Mili-Q system; $18.2 \text{ M} \Omega \cdot \text{cm}$). Then, AgNO_3 salt was dissolved in this
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39 136 solution at a concentration of 1 mM and adjusted to $\text{pH} = 4.0$ using 0.1 M NaOH solution.
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42 137 For the deposition process, one of two thin-film techniques were used: (i) cyclic
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44 138 dip-coating (CDC) or (ii) cyclic spin-coating (CSC), respectively. The standard deposition
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46 139 for the CDC series comprised the immersion of nPSi substrates in the AgNO_3 solution for
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48 140 10 min and a consecutive cleaning in ultrapure water for an equivalent time. The pH of
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50 141 rinsing water was previously adjusted to 4. Likewise, the deposition was repeated for 3, 6,
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52 142 9, and 12 cycles in a row. On the other hand, the standard deposition for the CSC series
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3 143 consisted of alternating 500 μL of AgNO_3 solution and the same quantity of ultrapure water
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5 144 onto the nPSi substrate at 3000 rpm during 60 s. This process was repeated for 3, 6, 9, and
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7 145 12 cycles in a row. For both techniques, after samples were obtained at different cycles,
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9 146 they were immersed into 1 mM ascorbic acid solution for 3 h in order to reduce the ionic
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11 147 silver and to stabilize the silver nanoparticles. Finally, the samples were washed with
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13 148 ultrapure water and dried at room temperature. All chemical products were acquired from
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15 149 Merck, Santiago, Chile.
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21 151 **2.3 Characterization techniques**

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23 152 Morphological characterization of nPSi-Ag composites layers has been carried out by field-
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25 153 emission scanning electron microscopy (FESEM; Philips XL-40FEG), operating with an
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27 154 acceleration potential of 10 keV. The size distribution of Ag particles was presented as
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29 155 histograms; data were obtained from the FESEM images that were processed using freely
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31 156 available ImageJ software.
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35 157 In-depth profiling of the Ag particle infiltration into nPSi layers was studied using
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37 158 both semiquantitative chemical information by energy dispersive X-ray analysis (EDX) and
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39 159 Rutherford Backscattering Spectroscopy (RBS). EDX was acquired with a Hitachi S-
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41 160 3000N scanning electron microscope. RBS experiments were carried out at the standard
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43 161 beamline at the 5 MV Cockroft-Walton tandetron accelerator of the Centro de Micro-
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45 162 Análisis de Materiales (CMAM). For the measurements, 2 MeV He^+ ions were used. The
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47 163 scattered ions were detected at a scattering angle of 170° with a Si semiconductor particle
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49 164 detector; the samples were oriented in random geometry to avoid channeling through the
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51 165 crystalline substrate. The vacuum was about $5 \cdot 10^{-5}$ Pa. Simulations and spectra fitting was
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55 166 carried out using the software SIMNRA 7.02.
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3 167 Crystallographic structures of the Ag particles deposited on nPSi by both techniques
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5 168 were examined by X-ray Diffraction (XRD) using a Rigaku X-ray diffractometer Smartlab
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7 169 model, with goniometer Theta-Theta Bragg-Brentano geometry and solid-state detector
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10 170 D/teX Ultra 250 model (Rigaku Corporation, Japan). The instrumental alignment was
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12 171 checked against the NIST SMR 660c LaB6 powder standard and its optic configuration was
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14 172 employed Ni-filtered Cu radiation (30 kV and 40 mA), 0.5° divergence slit, 0.25° anti-
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16 173 scatter slit, and both sides with 5° Soller slits. In preference, patterns were collected in the
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19 174 $20\text{--}60^\circ$ range, counting $0.5^\circ/\text{sec}$ per step of 0.01° . PDXL 2 v.2.7.3.0 software and ICDD
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21 175 2018 PDF-4 reference database were used for search match phases. The crystallite size
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23 176 estimation of the Ag nanoparticles was done using the Williamson-Hall plot Method [17].

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26 177 Raman spectra were acquired at room temperature using a Renishaw Ramascope
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28 178 2000 microspectrometer, equipped with point by point spectral mapping (confocal with
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30 179 lateral and depth resolution) and a 514.5 nm excitation wavelength (green) line from an
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33 180 argon-ion laser. Exciting light was focused on the sample surface with a BH-2 Olympus
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35 181 microscope. The objective had a 50 X magnification and a numerical aperture of $NA =$
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37 182 0.85 . The laser power on the sample surface was of the order of 1 mW. The integration time
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39 183 for each CCD pixel was 50 s. The error in the Raman shift was smaller than 0.5 cm^{-1} and
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41 184 the spectral resolution of about 3 cm^{-1} .

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44 185 Finite Difference Time Domain (FDTD) simulations were carried out using
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46 186 commercial FDTD Solutions software from Lumerical Solutions Inc., (Vancouver,
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48 187 Canada). The final structure PSi-Ag was modelled as a silver nano-flake with a cylindrical
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50 188 shape and a ratio of radius/height set to 0.25 laid on a flat silicon substrate of dimension
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52 189 $500\text{ nm} \times 500\text{ nm} \times 150\text{ nm}$. The diameter of Ag nanoflakes was changing from 60 nm to
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54 190 120 nm. In addition, a gap of 1 nm between Ag nanoflakes and Si substrate was added in
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3 191 order to simulate the space for biomolecules, which induced enhanced Raman intensity.
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5 192 PML (Perfectly matched layer) were used as boundary conditions. The propagation
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7 193 direction of plane waves (wavelength of 515 nm) was placed along z direction. The local
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10 194 electrical field was computed for each mesh, and the final field intensity relative to the
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12 195 excitation field E_0 was evaluated.
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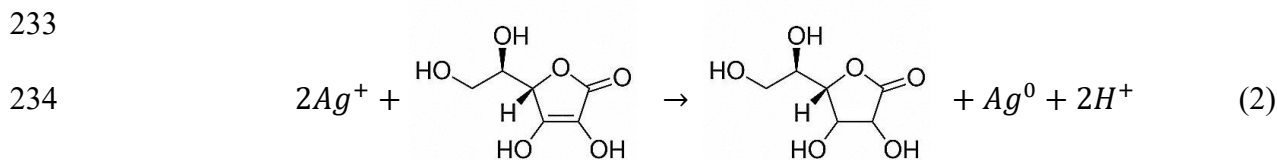
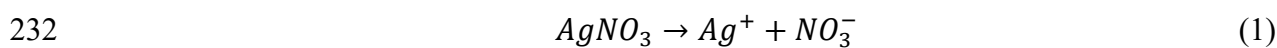
17 197 **3. Results and discussion**

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19 198 In this study, single nPSi layers obtained by electrochemical anodization of silicon wafers
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21 199 were chosen as semiconductor substrates for the deposition of Ag particles, and
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23 200 consequently, the obtention of semiconductor-metal composites. Figure 1 shows a
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25 201 fabrication scheme of the nPSi-Ag composite layers. Figure 1a presents the synthesis of
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27 202 nPSi substrates by photoassisted electrochemical etching, followed by stabilization of its
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29 203 porous structure through chemical oxidation with H_2O_2 . Additionally, Figure 1b and Figure
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31 204 1c show a schematic representation of the CDC and CSC techniques, respectively. In the
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33 205 CDC method, the obtained nPSi substrate was cyclically dipped into an $AgNO_3$ solution,
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35 206 where Ag^+ is formed. In the case of the CSC technique, the Ag^+ solution was cyclically
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37 207 deposited on the nPSi substrate by spin-coating. For both techniques, samples were
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39 208 obtained after a different number of cycles and immersed in an ascorbic acid solution in
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41 209 order to reduce Ag^+ ions to Ag^0 .
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46 210 It has been reported that the deposition of metals onto nPSi layers is strongly
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48 211 influenced by the etching conditions of the nPSi along with the concentration of the metal
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50 212 solution and the duration of the deposition [9, 11, 18]. In that sense, Figures 2a and 2b
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52 213 present the surface and cross-sectional FESEM views, respectively, of a standard nPSi layer
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54 214 used in this work. As can be seen, the nPSi layer is characterized by column-like porous
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3 215 morphology with an average pore diameter of 30 nm (black regions of Figure 2a) and a
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5 216 layer thickness of 10 μm (Figure 2b). This type of nPSi material offers the optimal features
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7 217 of porosity and high surface area for proper surface functionalization and/or nucleation of
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9 218 noble metals [19], such as Ag nanoparticle.

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12 219 The mechanism of Ag deposition onto nPSi layers began firstly with the role of
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14 220 chitosan solution (section 2.2). Generally, metal nanoparticles aggregate among themselves
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16 221 and progressively grow into larger clusters, which eventually precipitate. Therefore, the use
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18 222 of stabilizers is necessary [20-22]. Chitosan, as a natural polysaccharide, is an
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20 223 environmentally benign, biodegradable, highly abundant and low cost, which is more
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22 224 favorable to be utilized as a stabilizer for synthesized Ag nanoparticles than synthetic
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24 225 polymers [21]. The stabilization faculty of chitosan is due to its sorption abilities and
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26 226 capacity to form chelate compounds with metal ions, such as Ag^+ [22]. Afterward, the
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28 227 reduction of Ag^+ ions to Ag^0 was due to the presence of the antioxidative ascorbic acid.
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30 228 Ascorbic acid can reduce and thereby neutralize, reactive species leading to the formation
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32 229 of ascorbate radical and electrons transfer. The reaction involves the transfer of two
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34 230 electrons and the formation of dehydroascorbic acid. These free electrons reduce the 2 Ag^+
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36 231 ions to metallic 2 Ag^0 [23, 24]. The mechanism is summarized as follows:



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51 236 In our case, the deposition of Ag was performed under dark conditions to avoid Ag
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53 237 formation by photoreduction of metal ions present in the solution, due to the reductive
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55 238 action of nPSi [25].
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3 239 Figure 3 shows FESEM images of the surface and cross-section of typical nPSi-Ag
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5 240 composite layers fabricated by CDC and CSC after 12 cycles. Both techniques resulted in a
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7 241 homogeneous islands-like deposition (Figure 3a for CDC, and Figure 3e for CSC). Ag
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9 242 particles obtained by CDC (Figures 3b and 3c) exhibited two kinds of morphology:
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11 243 granular and flake-like structure, in a proportion of 44% and 56%, respectively. Moreover,
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13 244 the obtained distribution size of these particles (Figure 3i) presented an average length of
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15 245 0.7 and 1.4 μm for the granular and flakes-like particles, respectively. In the latter, flakes
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17 246 that showed a random orientation had an average width of 110 nm (Figure 3k). In Figure
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19 247 3c, it is possible to observe that both kinds of Ag particles presented surface features of
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21 248 nanoclusters of around 25 nm. Finally, the Ag deposition performed by CDC reached up an
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23 249 average thickness of 1 μm (Figure 3d). In the case of the Ag deposition obtained by CSC
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25 250 (Figure 3f and 3g), particles showed a predominant flake-like morphology, and most of
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27 251 them were in a horizontal (#1 of Figure 3g) and vertical (#2 of Figure 3g) orientation. In
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29 252 fact, some flakes in horizontal position were superimposed onto each other. The size of
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31 253 these particles was considerably smaller than the ones obtained by the CDC. According to
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33 254 its corresponding distribution size (Figure 3j), they exhibited an average length of 0.3 μm ,
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35 255 and a smaller width than CDC: an average of 70 nm in its distribution size (Figure 3l).
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37 256 Moreover, the deposition led to a thickness lower than with CDC, reaching up just an
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39 257 average of 0.5 μm .

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41 258 In order to get a clearer picture of the Ag distribution, we used SEM-EDX in cross-
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43 259 section and RBS analysis to identify the potential diffusion and nucleation of Ag in-depth.
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45 260 Figure 4 shows SEM images and the relative concentration profile of Ag in the cross-
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47 261 section of nPSi-Ag composite layer obtained by EDX. The deposition of Ag does not only
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49 262 occur at the nPSi surface but also inside the pores of the column-like porous structure. The
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3 263 concentration-depth chart for Ag shows the presence of different diffusion profiles for
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5 264 nPSi-Ag composite layers fabricated by CDC and CSC. In general, CDC (Figure 4a) allows
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7 265 more infiltration of the Ag nanoparticles into the nPSi layers than CSC (Figure 4b). In the
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9 266 case of CDC, Ag concentration was considerably increased with the number of deposition
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11 267 cycles. For example, in the first micron of depth, Ag% was increased from 3% to 10% by
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13 268 increasing the number of cycles from 3- to 12-cycles, respectively. Besides, at the bottom
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15 269 of the nPSi layer (at 10 μm), Ag% was increased from 0.6% to 3.3% with 3- and 12-cycles,
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17 270 respectively. However, for the case of CSC, Ag deposition was more superficial, showing
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19 271 only slight differences in Ag infiltration with the number of cycles. In the first microns of
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21 272 depth, Ag% increased from 3% to 5% with 3- and 12-cycles, respectively. However, on the
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23 273 bottom of nPSi substrate, Ag% was around 0.5% for both samples.
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28 274 After assessing the diffusion of Ag inside the porous layer by EDX, RBS
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30 275 measurements were performed to obtain higher precision compositional profiles of both
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32 276 CDC and CSC samples and study the penetration of Ag inside nPSi in greater detail. Figure
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34 277 5 shows the experimental and simulated RBS spectra of a typical nPSi-Ag composite layer
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36 278 fabricated by CDC and CSC after 12 cycles on identical nPSi substrates. In both spectra,
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38 279 the signals corresponding to Ag and Si are clearly differentiated, while that of O appears
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40 280 superimposed to the Si signal. Both the CDC and CSC samples show an Ag signal that
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42 281 extends from its surface position to lower energy channels, indicating that Ag is indeed
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44 282 infiltrated into the nPSi layer. Interestingly, the Ag signal extends further to lower energies
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46 283 in CDC than CSC, suggesting a deeper infiltration of Ag. Figure 6 shows the in-depth
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48 284 concentration profile determined from the spectra fit. The profiles show a surface Ag
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50 285 concentration of 0.14 and 0.11 for the CDC and CSC samples, respectively. Silicon is also
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52 286 detected at its surface energy, indicating that the nPSi surface is not completely covered by
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3 287 Ag, but instead, Ag islands grow on top of the nPSi surface [14], as already revealed by the
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5 288 FESEM images. In the case of CDC, Ag concentration gradually decreases from 0.14
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7 289 atomic content down to 0.025 at a depth of 13000×10^{15} at/cm², corresponding to the
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9 290 bottom of the nPSi layer (i.e., the nPSi/Si interface). Conversely, the Ag concentration of
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11 291 the sample fabricated by CSC decreases below an undetectable level at a depth of
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13 292 5900×10^{15} at/cm², indicating that in this case, the Ag infiltration did not reach the
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15 293 nPSi/silicon interface. These results are in good agreement with those obtained by EDX,
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17 294 provided that the lower spatial resolution of EDX is taken into account. Both techniques,
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19 295 EDX and RBS, showed the infiltration of Ag into the nPSi structure and confirmed that the
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21 296 CDC technique provides a higher and deeper infiltration than CSC. This was because,
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23 297 according to the deposition method (dipping, spinning, electrodeposition, etc.), different
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25 298 driving forces are playing a key role in the film formation [26]. In our case, a possible
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27 299 explanation for our results is that CDC was dominated by viscous forces, unlike the CSC
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29 300 technique, where centrifugal forces play a key role.

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35 301 In order to characterize the crystalline nature of the nPSi-Ag composite layers, we
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37 302 analyzed the samples by XRD. Figure 7 shows the XRD pattern of nPSi-Ag composite
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39 303 layers using CDC and CSC techniques. For both cases, the peak intensity increased with
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41 304 the number of deposition cycles. In the case of CDC (Figure 7a), metallic Ag (fcc structure)
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43 305 with characteristic (111) plane and low intensity of the (200) plane were detected at 38.11°
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45 306 and 44.29° , respectively. Due to the high intensity of the (111) lattice plane, Ag particles
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47 307 shall be oriented along this direction parallel to the supporting nPSi substrate. Moreover, as
48
49 308 the obtained peaks were sharp and with high intensity, good crystallinity of the synthesized
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51 309 Ag particles was confirmed. On the other hand, based on particle size by XRD pattern
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53 310 profile analysis, the Ag presents an average crystallite size of 24 nm, which suggests an

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3 311 internal nanostructure in the Ag clusters. Regarding the nPSi-Ag composite layers obtained
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5 312 by CSC (Figure 7b), they exhibited the same metallic Ag with characteristic (111) plane
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7 313 and little intensity of (200) plane at 38.11° and 44.29° , respectively. However, an additional
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9 314 peak was detected at 33.02° , which corresponds to (111) plane of silver oxide. As in the
10
11 315 previous case, (111) planes also showed a high intensity suggesting that (111) planes of Ag
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13 316 and Ag₂O particles were dominantly oriented parallel to the supporting nPSi substrate.
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15 317 Based on particle size analysis of XRD pattern, the synthesized Ag and Ag₂O particles
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17 318 should present an average particle size of 20 nm. It is important to highlight that particle
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19 319 sizes determined by XRD profile are in the order of the nanostructure pattern previously
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21 320 observed by FESEM on surface Ag particles. In that sense, this can also explain the
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23 321 presence of Ag₂O in CSC. As particles obtained by CSC technique were considerable
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25 322 smaller than CDC (see histograms of Figure 3), this means that the surface area/volume
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27 323 ratio of particles in CSC was higher than CDC, what made them more reactive with the
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29 324 oxygen from the air, and therefore, generated the Ag₂O phase in the silver particles. Finally,
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31 325 another important issue is the intensity of the peaks related to each Ag nucleation technique
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33 326 (Figure 7c). Peaks obtained from CDC samples are 8 times more intense than CSC
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35 327 samples. This is directly related to the amount of Ag deposited on samples.

36
37 328 Figure 8 shows the Raman spectra of nPSi-Ag composite layers using CDC and
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39 329 CSC techniques. All samples exhibited a strong, sharp and asymmetrically broadened peak
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41 330 near to 514 cm^{-1} due to the optical phonon mode of silicon nanocrystals in nPSi. Also, two
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43 331 broad peaks, which are assigned to Ag nanostructures-induced SERS of chitosan, were
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45 332 found in all nPSi-Ag composite layers. These peaks came from the chitosan used as a
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47 333 stabilizer in the Ag⁺ stem-solution. Thus, assignments to scissoring bending vibrations of
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49 334 C–H and –NH₂ groups of chitosan were detected ($\delta(\text{C–H})$ and $\delta(\text{NH}_2)$), respectively. $\delta(\text{C–}$

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3 335 H) was detected in the peaks at 1380 cm^{-1} for CDC, and 1376 cm^{-1} for CSC [27, 28].
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5 336 $\delta(\text{NH}_2)$ was identified in the peaks at 1590 cm^{-1} and 1589 cm^{-1} for CSD and CSC,
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7 337 respectively [27, 28]. Interestingly, these peaks were enhanced for the samples fabricated at
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9 338 9 and 12 cycles, especially in the nPSi-Ag composite layers fabricated by CSC. This
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11 339 can be explained by the smaller width of CSC flakes against CDC flakes: 70 nm versus 110
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13 340 nm; thinner particles enhance the Raman scattering of a molecule situated in the vicinity of
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15 341 nano-sized metallic structures [29]. Similar peaks have been reported for Ag-porous silicon
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17 342 [30]. In fact, these peaks assigned to Ag-nanostructures-induced SERS were attributed to
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19 343 adsorbed organic molecules on Ag nanoparticles, such as Rhodamine 6G dye [31],
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21 344 biomolecules [32] and pyridine [33]. Figure 9 shows a detailed view of the Raman spectra
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23 345 of the silicon one-phonon line of nPSi-Ag composite layers using both techniques: CDC
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25 346 and CSC. Compared to the spectrum of bulk silicon (optical phonon mode at 521 cm^{-1}), the
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27 347 spectrum of nPSi was shifted and broadened towards lower frequencies due to the
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29 348 quantum confinement effect of silicon nanocrystals [34, 35]. To estimate the average size
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31 349 of the silicon nanocrystals, we have used the formula $\Delta\nu = -A(a/D)^\gamma$ obtained by Zi et al.
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33 350 [36] for spherical nanocrystals using a bond polarizability model. D is the average
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35 351 diameter, $\Delta\nu = \nu(D) - \nu(\text{bulk})$ is the shift of the Raman peak with respect to bulk silicon, a
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37 352 = 0.543 nm is the silicon cell parameter, $A = 47.41\text{ cm}^{-1}$ and $\gamma = 1.44$. For CDC samples,
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39 353 the observed peak shift $\Delta\nu = -6.5\text{ cm}^{-1}$ corresponds to an average diameter of about 2 nm.
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41 354 For CSC samples, it is -9.2 cm, which corresponds to an average diameter of 1.7 nm. The
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43 355 reduction of the silicon nanocrystal size for CSC samples can be explained by further
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45 356 oxidation of the samples. The important point here is the general trend of the average size
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47 357 of the nanocrystals rather than the absolute values because there are many factors and
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3 358 different models that can affect the precise value of the estimated size, as has been
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5 359 discussed before in the literature [37].
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8 360 In order to get a deeper knowledge about SERS observation, finite difference time-
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10 361 domain (FDTD) simulations were performed. FDTD method allows solving Maxwell's
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12 362 equations on a discrete spatial grid [38]. nPSi-Ag composites were modelled as an Ag
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14 363 nanoflake with different diameters on a flat silicon substrate with a gap of 1 nm between
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16 364 both objects (further details in the experimental section). The propagation direction of light
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18 365 with a wavelength of 515 nm was set along z axis, while the Ag nanoflake was placed
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20 366 parallel to the substrate. Cross-section maps of computed intensity for an Ag nanoflake of
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22 367 80 nm of diameter are represented in Figures 10a and 10b for z-x and z-y planes,
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24 368 respectively. It can be observed a high enhancement of the EM field in the gap between Ag
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26 369 nanoflake and Si substrate (Figure 10a), which is more intensive at the ends of the Ag
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28 370 nanoflake (Figure 10b). In particular, in Figure 10a two different "hot sites" placed near the
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30 371 center of the nanoflakes with a high factor enhancement can be observed. Similar results
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32 372 have been reported for other kinds of metal nanostructures [39-41]. These sites can be
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34 373 responsible for SERS effects observed in the nPSi-Ag composites. In Figure 10 c, the
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36 374 intensity distribution in the middle of the gap between Ag nanoflake and Si substrate was
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38 375 plotted as a function of the distance from the middle of the Ag nanoflake. The intensity was
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40 376 explored for 4 different Ag nanoflakes with different diameters. It can be observed the two
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42 377 "hot sites" for all the diameters. These sites presented their maximum enhancement around
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44 378 5 nm from the center of the nanoflakes, and they totally disappear around 25 nm away from
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46 379 the center. These results indicate that the "hot sites" for SERS are very limited in the
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48 380 composite. This can be the reason because CSC composite shows a higher enhancement of
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3 381 chitosan Raman signal compared to CDC composite, although CDC composites present a
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5 382 higher amount of Ag nanostructures.
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8 383 The experimental results showed that CDC and CSC can be optimal techniques to
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10 384 produce nPSi-Ag composites for optoelectronic and biosensing applications. Firstly,
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12 385 photoassisted electrochemical etching of silicon wafer allows controlling the final layer of
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14 386 nPSi without the needed of deposited any metal in the top of silicon wafers, as the case of
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16 387 metal assisted chemical etching method [42]. Besides, more homogeneous layers are
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18 388 synthesized. nPSi obtained by photoassisted electrochemical etching is also a faster
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20 389 technique than other techniques, such as laser assisted chemical etching [43], and
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22 390 considerable higher areas of nanostructured Si can be obtained. In comparison with
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24 391 techniques to deposit Ag nanostructure on nPSi, CDC and CSC allow obtaining Ag
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26 392 nanostructures without high energy consumption such as thermal decomposition [9]
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28 393 because CDC and CSC are techniques operated at in room temperature conditions.
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31 394 Although electrochemical deposition [3] is also a room-temperature technique, it usually
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33 395 perfumes a thin layer of silver on the top of nPSi, while CDC and CSC have shown that Ag
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35 396 nanostructured not completely covers the surface of nPSi, with can better fix for some
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37 397 applications such as SERS substrate and biosensing.
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45 399 **4. Conclusion**

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47 400 In the present study, nanoporous silicon (nPSi)-Ag composites layers were fabricated by
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49 401 two types of thin-film techniques and systematically compared: cyclic dip-coating (CDC)
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51 402 and cyclic spin-coating (CSC). Firstly, nPSi layers obtained by photoassisted
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53 403 electrochemical etching of silicon wafers presented pores diameter of 30 nm. Regarding the
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55 404 cyclic deposition techniques, each process produced specific morphologies and Ag phases
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3 405 using the same Ag^+ stem-solution. CDC technique formed a mix of granular and flake-like
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5 406 structures of metallic Ag. Instead, CSC favored the synthesis of flake-like structures with
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7 407 Ag and Ag_2O phases. Flakes obtained by CDC and CSC presented a width of 110 nm and
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9 408 70 nm, respectively. Particles also showed a nanostructure surface with features around 25
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11 409 nm. Moreover, according to the results of EDX and RBS, integration of Ag into nPSi
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13 410 porous structure was better achieved using CDC technique. On the other hand, surface-
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15 411 enhanced Raman scattering (SERS) peaks related to chitosan adsorbed on Ag
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17 412 nanostructures were enhanced, especially in the nPSi-Ag composite layers fabricated by
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19 413 CSC. All these differences in the nPSi-Ag composite layers obtained depending on the
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21 414 performed process of synthesis can be of interest for potential applications in
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23 415 bioengineering and photonics as SERS-based sensors.
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32
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44 423 **Authors contributions**

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47 424 NN and JHM conceived and designed experiments; NN and GR synthesized the nPSi
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49 425 substrates; NN, RP and TN synthesized both kind of composites; NN and MMS performed
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51 426 the FESEM and SEM-EDX characterization; VTC performed the RBS characterization; HP
52
53 427 performed the XRD characterization; FAR performed the Raman characterization; GR
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55 428 performed the FDTD simulations; NN and JHM analyzed the data; NN, MMS and JHM
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3 429 contributed reagents/materials/analysis tools; NN and JHM wrote the manuscript. All
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5 430 authors revised the manuscript.
6

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8 431 **Declaration of interest statement**

9
10 432 The authors declare that they have no competing interests.
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14 434 **References**

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566 **Figure captions**

- 50 567 **Figure 1.** Schematic representation of the nPSi-Ag composite layers: a) synthesis of nPSi
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52 568 substrate by photoassisted electrochemical etching and stabilization by chemical oxidation,
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54 569 b) Ag deposition onto nPSi by cyclic dip-coating (CDC), and c) Ag deposition onto nPSi
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3 570 by cyclic spin-coating (CSC). For both techniques, the same Ag stem-solution was used,
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5 571 and after the required cycles deposition performed, samples were immersed into an
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7 572 ascorbic acid solution.
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10 573 **Figure 2.** FESEM images of the typical nPSi layer used in this study: a) surface and b)
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12 574 cross-sectional view.
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14 575 **Figure 3.** Surface and cross-sectional FESEM images of a typical nPSi-Ag composite
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16 576 layer after 12-cycles deposition using CDC (a-d), and CSC (e-h). Histograms of particle
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18 577 size distribution: i) length in CDC, j) length in CSC, k) width in CDC, and l) width in CSC.
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21 578 **Figure 4.** Relative concentration profile of Ag in the cross-section of nPSi-Ag composite
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23 579 layers obtained by SEM-EDX: a) CDC and b) CSC.
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26 580 **Figure 5.** RBS spectrum of typical nPSi-Ag composite 471 layers fabricated by CDC and
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28 581 CSC after 12 cycles.
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30 582 **Figure 6.** In-depth concentration profile of obtained by simulation of nPSi-Ag composite
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32 583 layers fabricated by (a) CDC and (b) CSC after 12 cycles.
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35 584 **Figure 7.** XRD pattern of nPSi-Ag composite layers after 3, 6, 9, and 12 Ag deposition
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37 585 cycles using a) CDC and b) CSC. c) The difference in the intensity of the Ag (111) peak
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39 586 between CDC and CSC after 12 cycles of Ag deposition.
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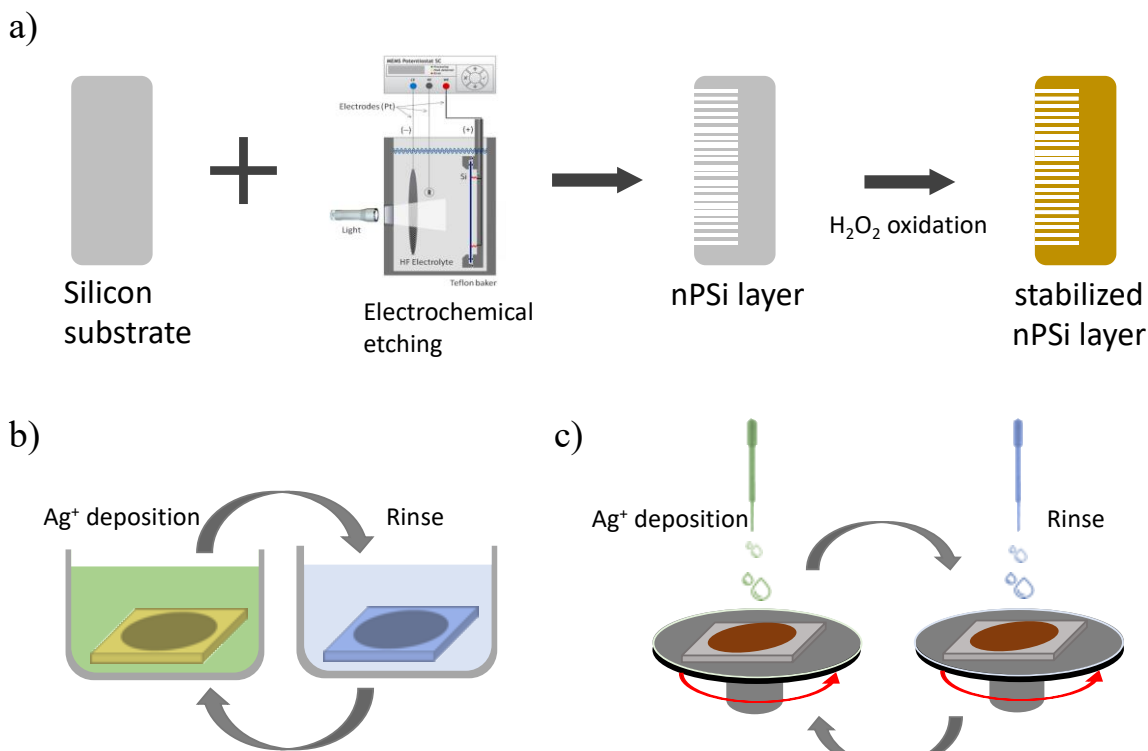
42 587 **Figure 8.** Raman spectra for the nPSi-Ag composite layers after 3, 6, 9 and 12 Ag
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44 588 deposition cycles using a) CDC and b) CSC.
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46 589 **Figure 9.** Raman shift of the silicon one-phonon line for the nPSi-Ag composite layers
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48 590 after 3, 6, 9 and 12 Ag deposition cycles using a) CDC and b) CSC.
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51 591 **Figure 10.** Intensity distribution ($|E/E_0|^4$) of induced electrical field determined by FDT
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53 592 calculations of a) x - z plane and b) y - z plane. c) Intensity distribution in the middle of the
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593 nanogap as a function of the distance from the center of Ag nanoflake, for different
 594 diameters.

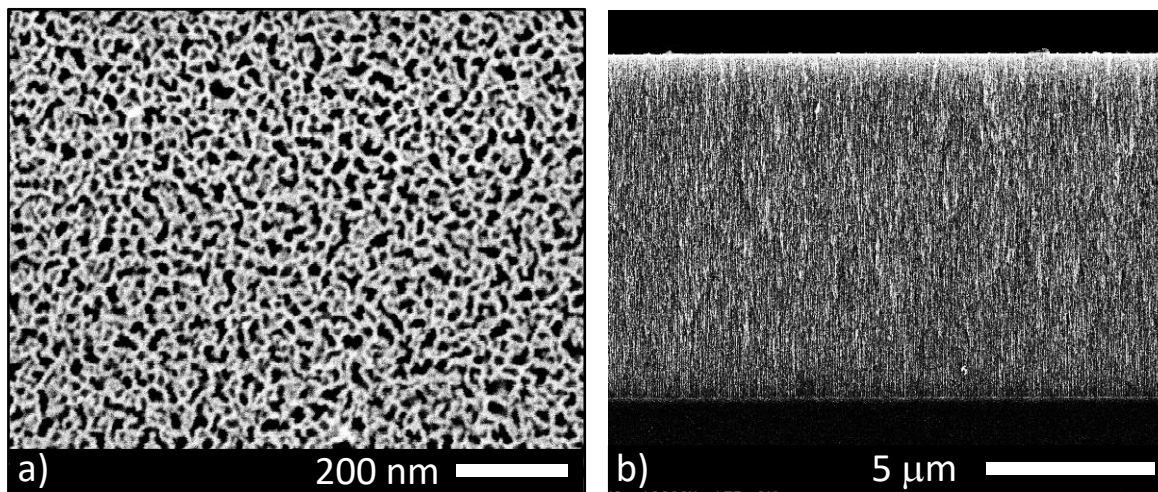
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597 **Figure 1.** Schematic representation of the nPSi-Ag composite layers: a) synthesis of nPSi
 598 substrate by photoassisted electrochemical etching and stabilization by chemical oxidation,
 599 b) Ag deposition onto nPSi by cyclic dip-coating (CDC), and c) Ag deposition onto nPSi
 600 by cyclic spin-coating (CSC). For both techniques, the same Ag stem-solution was used,
 601 and after the required cycles deposition performed, samples were immersed into an
 602 ascorbic acid solution.

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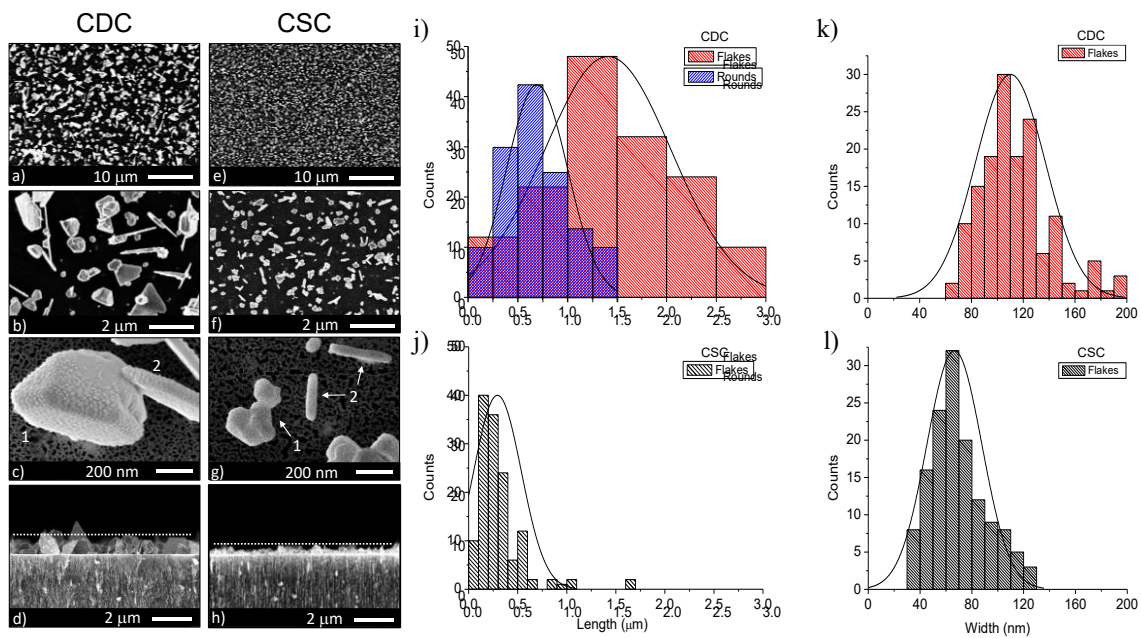


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605 **Figure 2.** FESEM images of the typical nPSi layer used in this study: a) surface and b)

606 cross-sectional view.

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609 **Figure 3.** Surface and cross-sectional FESEM images of a typical nPSi-Ag composite layer

610 after 12-cycles deposition using CDC (a-d), and CSC (e-h). Histograms of particle

611 size distribution: i) length in CDC, j) length in CSC, k) width in CDC, and l) width in CSC.

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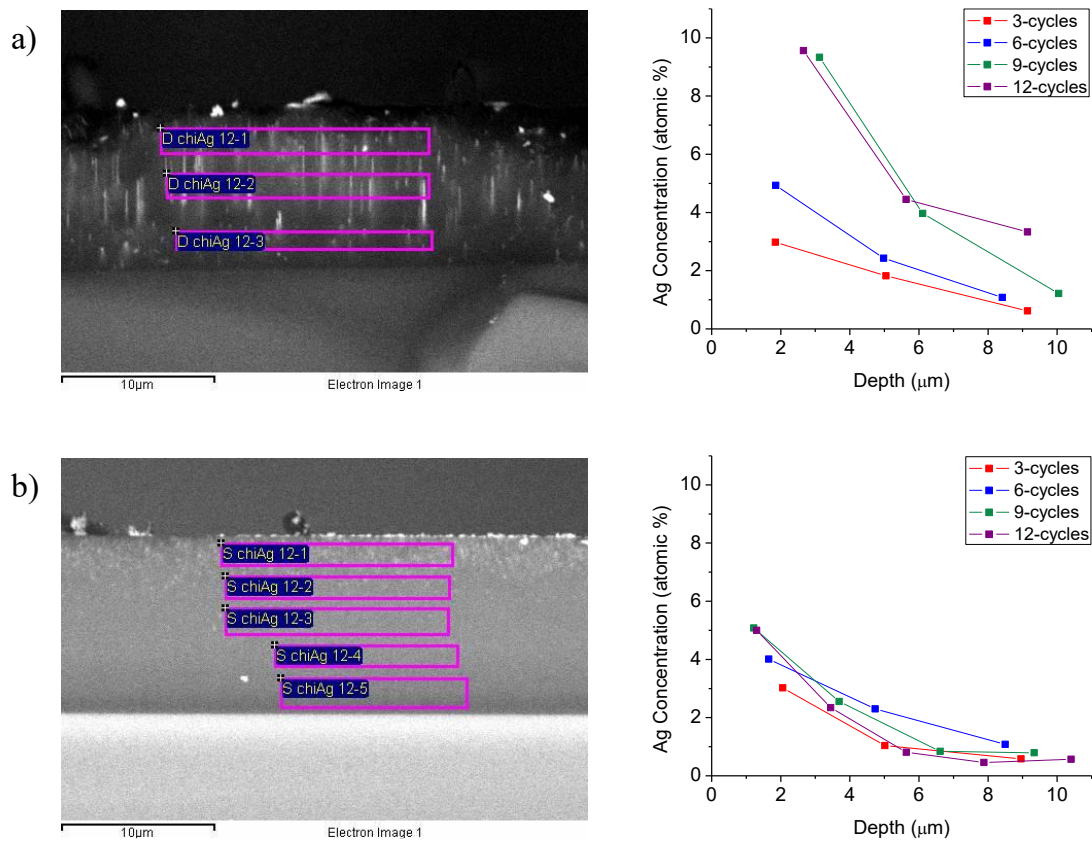
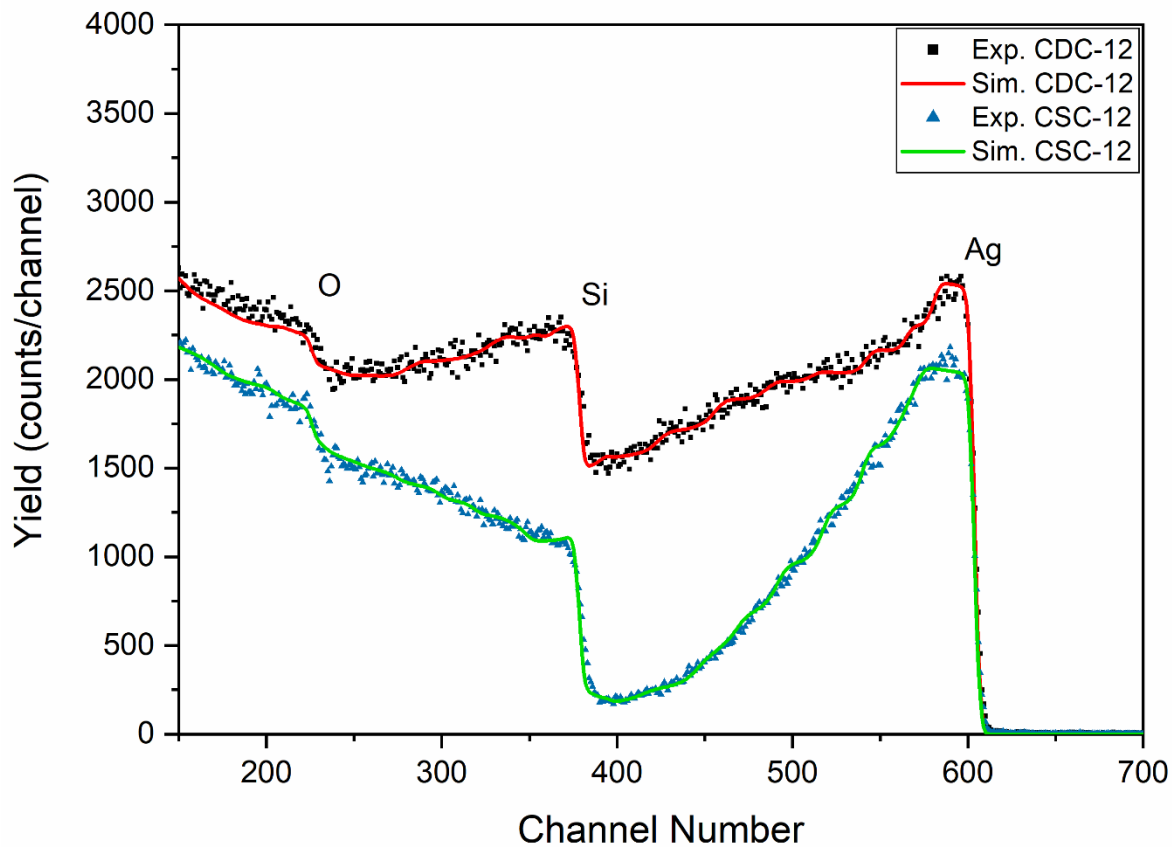


Figure 4. Relative concentration profile of Ag in the cross-section of nPSi-Ag composite layers obtained by SEM-EDX: a) CDC and b) CSC.



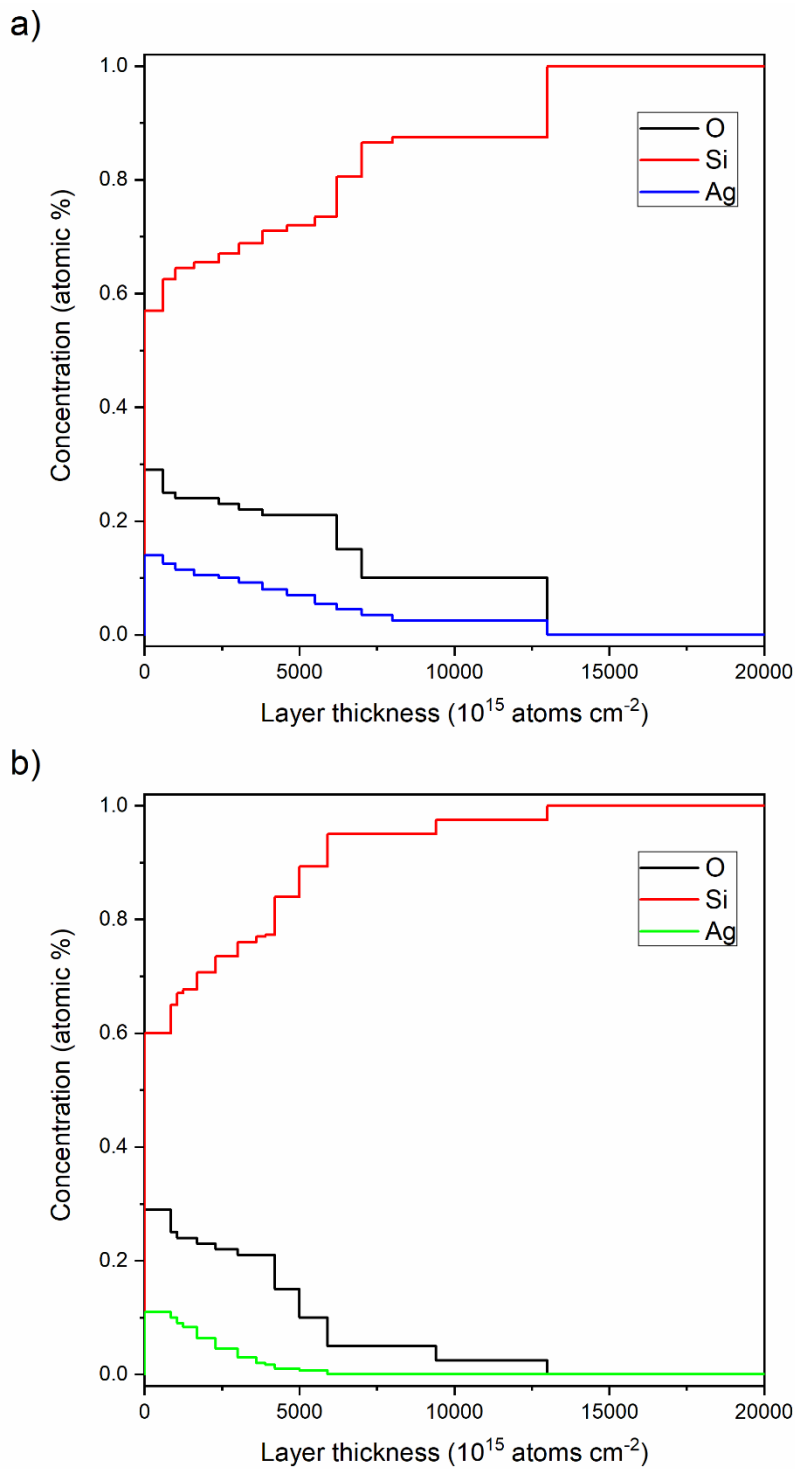
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620 **Figure 5.** RBS spectrum of typical nPSi-Ag composite layers fabricated by CDC and CSC

621 after 12 cycles.

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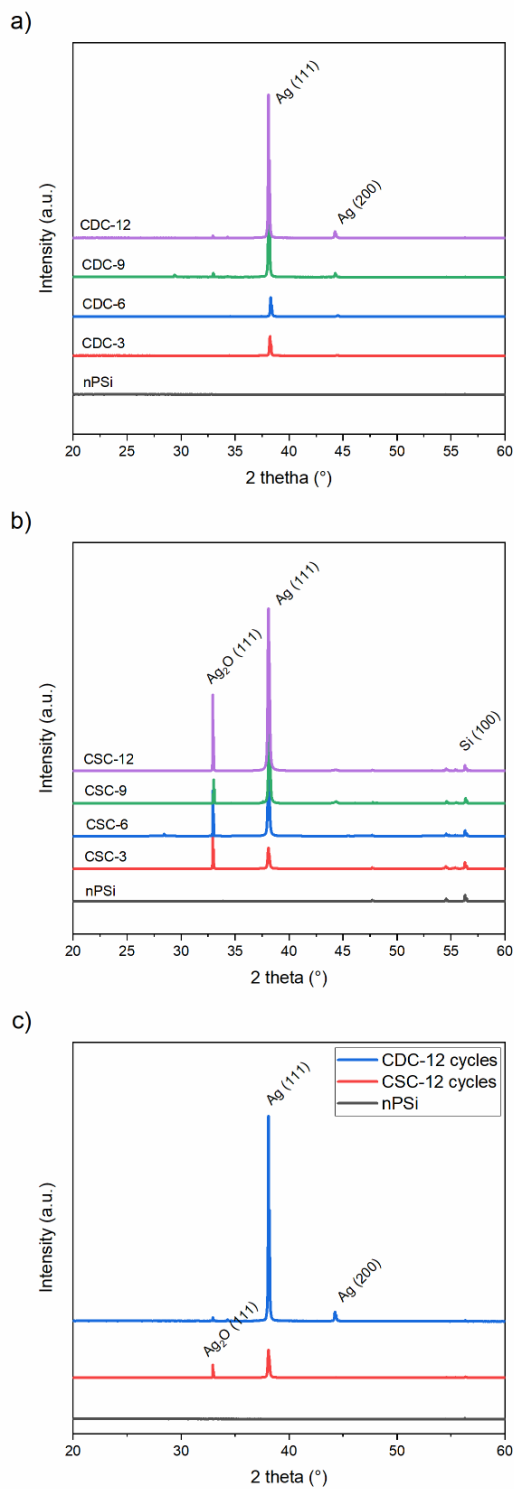
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625 **Figure 6.** In-depth concentration profile of obtained by simulation of nPSi-Ag composite
 626 layers fabricated by (a) CDC and (b) CSC after 12 cycles.

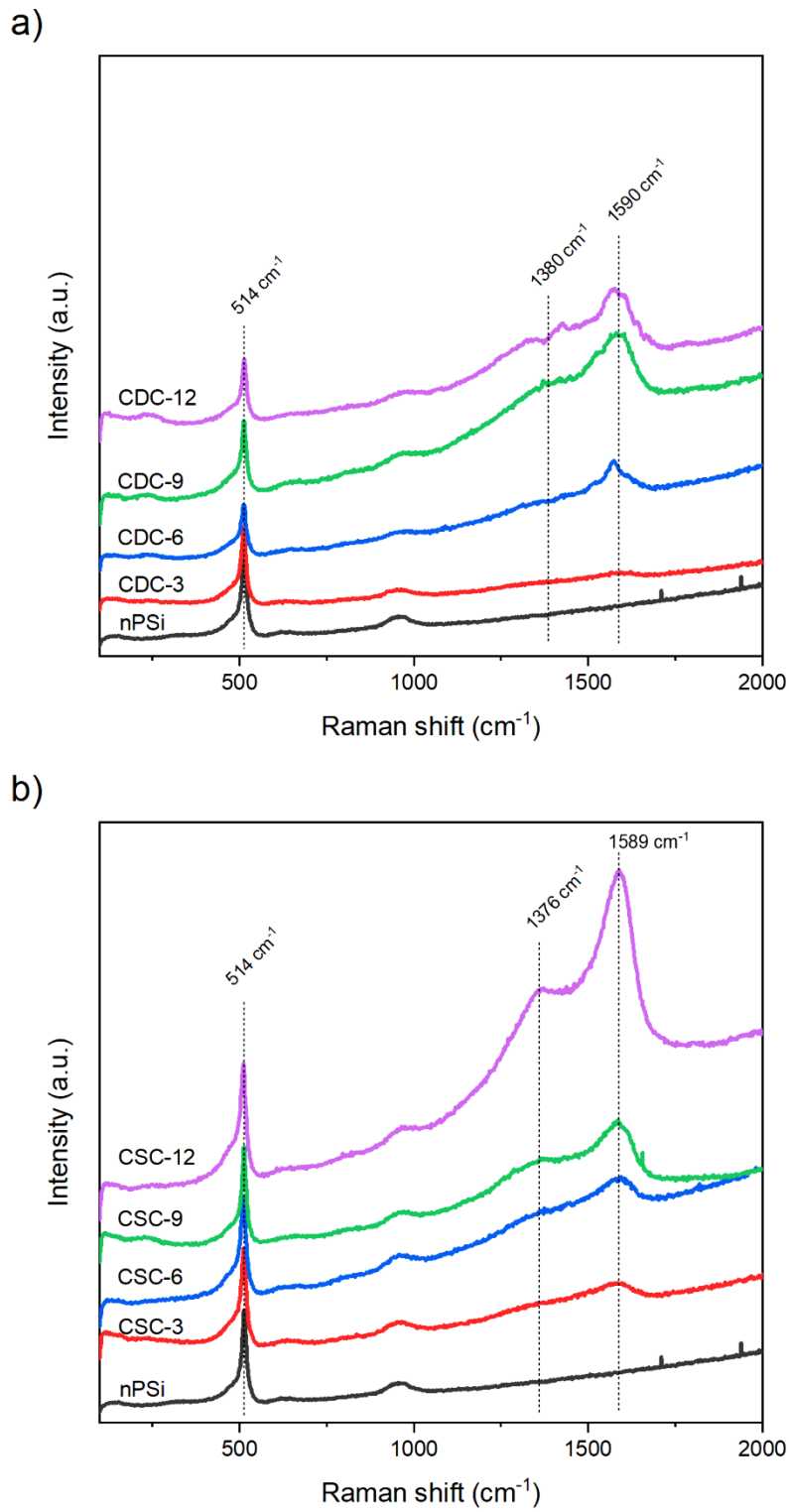
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629 **Figure 7.** XRD pattern of nPSi-Ag composite layers after 3, 6, 9, and 12 Ag deposition
630 cycles using a) CDC and b) CSC. c) The difference in the intensity of the Ag (111) peak
631 between CDC and CSC after 12 cycles of Ag deposition.

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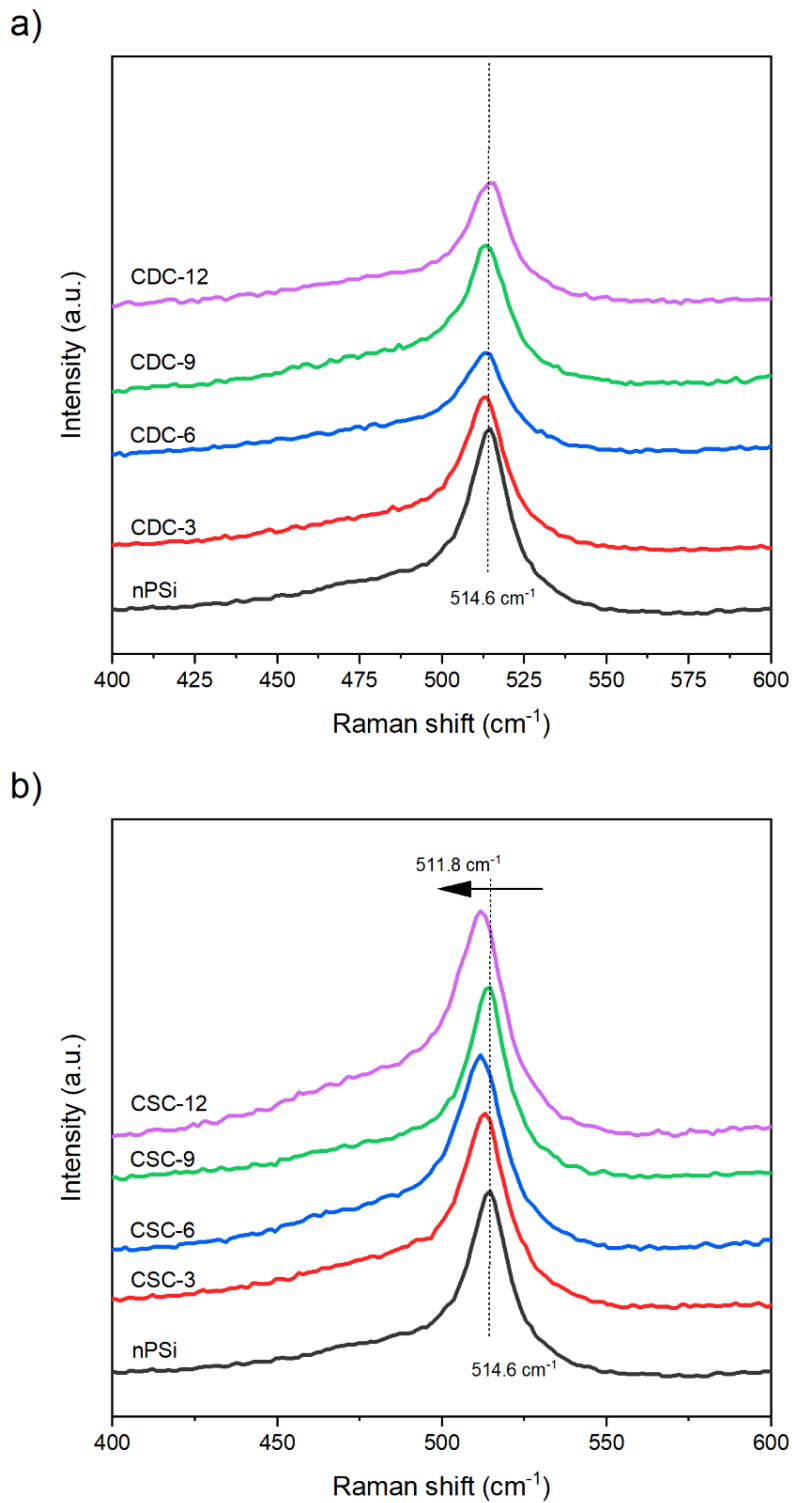


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634 **Figure 8.** Raman spectra for the nPSi-Ag composite layers after 3, 6, 9 and 12 Ag

635 deposition cycles using a) CDC and b) CSC.

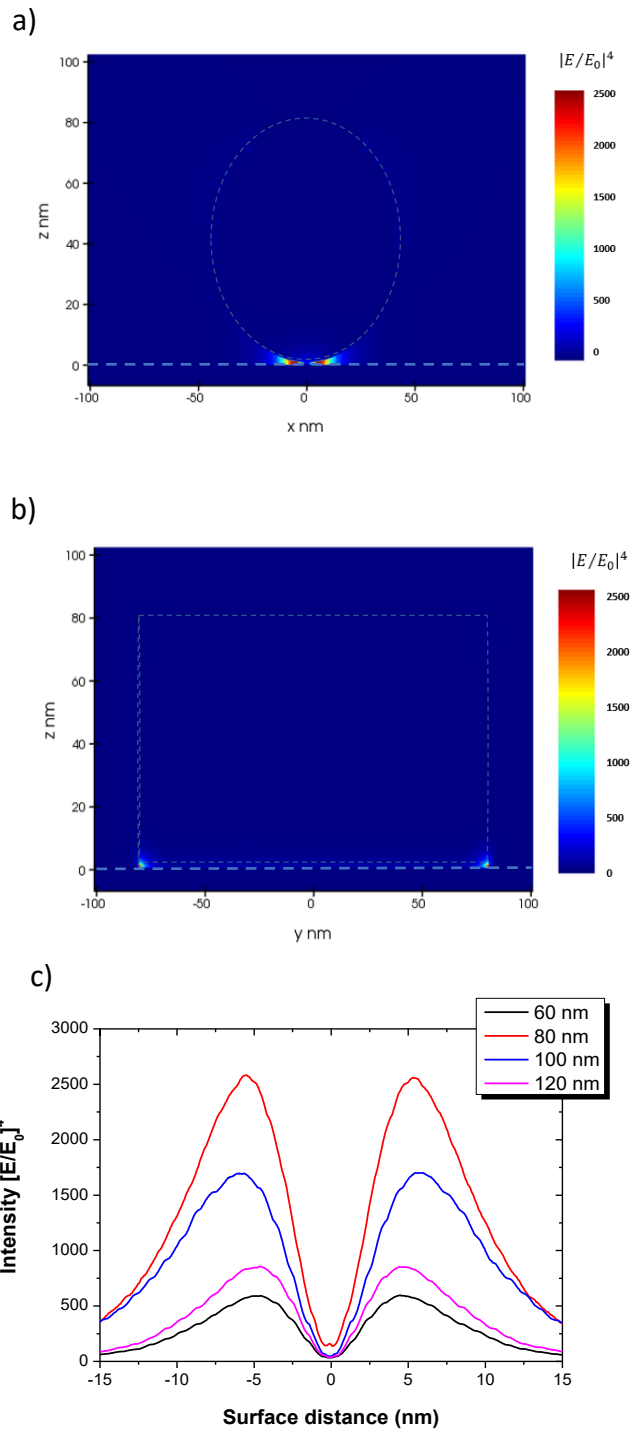
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638 **Figure 9.** Raman shift of the silicon one-phonon line for the nPSi-Ag composite layers

639 after 3, 6, 9 and 12 Ag deposition cycles using a) CDC and b) CSC.



661 **Figure 10.** Intensity distribution ($|E/E_0|^4$) of induced electrical field determined by FDTD
662 calculations of a) x - z plane and b) y - z plane. c) Intensity distribution in the middle of the

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3 663 nanogap as a function of the distance from the center of Ag nanoflake, for different
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5 664 diameters.
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