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Renewable Energy 115 (2018): 226-237

DOI: <u>https://doi.org/10.1016/j.renene.2017.08.062</u>

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- 1 Application of a non-noble Fe-N-C catalyst for oxygen reduction reaction in an alkaline direct
- 2 ethanol fuel cell.
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## 13 Abstract

A Fe-N-C non-noble metal (NNM) catalyst for oxygen reduction reaction (ORR) catalyst was 14 prepared via hard templating method using Fe(II)-phthalocyanine. Its electrochemical behavior 15 towards the ORR was tested in alkaline conditions using cyclic voltammetry (CV) and rotating disk 16 electrode (RDE) techniques. The kinetics of the reduction of the adsorbed oxygen, the selectivity, 17 and the activity towards hydrogen peroxide reduction reaction (HPRR), were investigated. The 18 ethanol tolerance and the stability in alkaline conditions were also assessed with the purpose to 19 verify the good potentiality of this catalyst to be used in an alkaline direct ethanol fuel cell (DEFC). 20 The results evidence that the ORR occurs mainly following the direct 4 e<sup>-</sup> reduction to OH<sup>-</sup>, and 21 that the-Fe-N-C catalysts is highly ethanol tolerant with a promising stability. The alkaline DEFC 22 23 tests, performed after the optimization of the ionomer amount used for the preparation of the catalyst ink, show good results at low-intermediate currents, with a maximum power density of 62 24 mW cm<sup>-2</sup>. The initial DEFC performance can be partially recovered after a purge-drying procedure. 25

27

# 28 Keywords

Fe(II)phthalocyanine; rotating disk electrode; anion exchange membrane fuel cell; stability;
hydrogen peroxide reduction; cyclic voltammetry.

31

## 32 **1. Introduction**

33 Polymer electrolyte membrane fuel cells (PEMFC) are devices which can directly convert the

chemical energy of a fuel into electrical energy by means of electrochemical reactions [1]. PEMFC

35 are particularly interesting for automotive application and portable power units since they operate at

36 low temperatures, close to ambient conditions [2].

The fuels suitable to feed a PEMFC can be H<sub>2</sub> or low molecular weight organic molecules (such as 37 methanol, ethanol, glycerol, or dimethyl ether) [3–6]. In particular, direct alcohol fuel cells (DAFC) 38 39 are suitable for portable and off-grid applications, as they use liquid fuels. Compared to H<sub>2</sub>, liquid fuels have higher energy density, and do not face the problems of fuel storage and transportation 40 [7,8]. Therefore, DAFC can potentially compete with Li-ion batteries as power devices for small 41 portable applications, with the additional advantage to be completely independent of the electric 42 grid, since they can generate electricity continuously as long as the source of fuel is available and 43 44 fed [9].

One of the main problems of PEMFC is the slow kinetics of the oxygen reduction reaction (ORR), which requires the use of noble metals (mainly Pt) as catalysts, with a consequent rise of the fabrication costs [10]. For DAFC, the electro-oxidation of the organic molecules occurring at the anode is a kinetically slow process as well, requiring the use of noble-metal based catalysts also at the anode [11].

PEMFC (and DAFC more in particular) can operate in two different configurations, depending on
the properties of the membrane electrolyte: acidic configuration if the membrane is a protonic
conductor, and alkaline configuration if the membrane is a conductor of OH<sup>-</sup> ions [12].

The alkaline configuration for DAFC is advantageous for the kinetics of both alcohol oxidation reactions (especially if OH<sup>-</sup> ions are fed in the alcohol solution) and ORR [13]. Among the different types of DAFC, direct ethanol fuel cells (DEFC) are of interest because they use ethanol as fuel, which is non-toxic and can be produced from biomass fermentation as a totally renewable source of energy [14].

To overcome the problem of the use of Pt as cathodic catalyst for PEMFC, the research has focused 58 on the development of non-noble metal (NNM) catalysts for ORR [15]. The most promising 59 materials reported in the literature in terms of ORR activity are carbonaceous materials doped with 60 nitrogen and transition metals (Me-N-C, where Me = Fe, Co, Mn) synthesized using a wide range of 61 approaches [16]. These materials have shown considerably higher ORR activity in alkaline than in 62 acidic conditions [17], remarking the advantage of operating PEMFC in alkaline configuration from 63 the catalysis point of view. In addition, compared to Pt-based catalysts, these Me-N-C materials 64 65 possess a great tolerance to the presence of alcohols (high ORR selectivity), being consequently very interesting to be used at DAFC cathodes to reduce the detrimental crossover effect [18]. 66 Among others, one of the possible synthesis methods of NNM is the use of a sacrificial template 67 agent (e.g., an ordered mesoporous silica) and an organic molecule containing Fe, N, and C as a 68 unique source of the catalyst precursor. The catalyst is obtained after a pyrolysis process and 69 subsequent removal of the sacrificial template [19-22]. 70

In this work, our purpose was double. First, we wanted to complete the electrochemical characterization of the Fe-N-C catalyst in alkaline conditions, which was already partially presented (together with its physicochemical characterization) in a previous work [20]. Thus, we carried out some additional tests which are not usually reported in other literature studies on ORR catalysts in alkaline conditions, investigating the reduction of the adsorbed O<sub>2</sub> via cyclic voltammetry, the activity towards hydrogen peroxide reduction reaction (HPRR), the ORR activity in the presence of ethanol, and the durability and stability in RDE. The second purpose was to demonstrate the applicability of the Fe-N-C as a cathodic catalyst in an alkaline DAFC. In fact, so far, the application of Me-N-C catalysts in DAFC cathodic material has been much less investigated compared to H<sub>2</sub>-fueled PEMFC [18], especially in alkaline conditions. We carried out a preliminary optimization of the catalyst layer in terms of ionomer (Nafion<sup>®</sup>) content, and we investigated the short-term durability and stability of the DEFC device, which, to the best of our knowledge has been reported so far in the literature only in a work recently published by our group [12].

85

### 86 **2. Experimental**

## 87 *2.1. Chemicals*

Tetraethyl orthosilicate (TEOS,  $\geq$  98% purity), hydrochloric acid (HCl, 37 wt. %), Pluronic P123® 88 triblock copolymer, hydrofluoric acid (HF,  $\geq$  40 wt. %), potassium hydroxide (KOH, 99.0% purity), 89 90 ethanol ( $\geq$  99.8% purity), acetone ( $\geq$  99.8% purity), isopropanol ( $\geq$  99.7% purity), Nafion<sup>®</sup> 5 wt. % hydroalcoholic solution, and iron(II) phthalocyanine C<sub>32</sub>H<sub>16</sub>N<sub>8</sub>Fe (Fe-Pc, 90% purity) were 91 92 purchased from Sigma-Aldrich. Nitrogen and oxygen gases were supplied in cylinders by Air Liquide with 99.999% purity. Ultrapure deionized water (Millipore Milli-Q, with resistivity >18 93  $M\Omega$  cm) was used for the catalyst synthesis and the preparation of the solutions used in RDE tests. 94 20 wt. % Pt/C (HiSPEC<sup>TM</sup> 3000, Pt 20 wt. % on carbon black, Johnson Matthey), 40 wt. % Pt/C 95 (HiSPEC<sup>TM</sup> 4000, Pt 40 wt. % on carbon black, Johnson Matthey) and 45 wt. % PtRu/C 96 (HiSPEC<sup>TM</sup> 7000, Pt-Ru 45 wt. % on carbon black, Pt:Ru atomic ratio = 1, Johnson Matthey) were 97 purchased from Alfa Aesar. Polybenzimidazole (PBI) membrane with 50 µm thickness was 98 purchased from Danish Power Systems. 99 100 2.2. Synthesis of Fe-N-C catalyst and physicochemical characterization. The Fe-N-C catalyst was synthesized following the procedure described in our previous works 101

The Fe-N-C catalyst was synthesized following the procedure described in our previous works

102 [22,23]. Briefly, 500 mg of Fe(II)-phthalocyanine was dissolved in a hydroalcoholic solution and

103 wet-impregnated on 500 mg of SBA-15 silica. Then the solvent was evaporated under heating, and

the recovered powder was heat-treated for 1 hour at 800  $^{\circ}$ C under N<sub>2</sub> atmosphere. The SBA-15

silica was subsequently removed by washing with 5 % HF solution.

- 106 The results of the physicochemical characterization of the Fe-N-C catalyst are shown in other works
- 107 of our group [22,23]. The main catalyst features are here briefly resumed: BET specific surface area
- $108 = 1508 \text{ m}^2 \text{ g}^{-1}$ ; micropores content = 48% of the BET surface area; bulk Fe content (by EDX) =
- 109 0.64 atomic %; surface Fe content (by XPS) = 0.1 atomic %; surface N content (by XPS) = 5.0
- atomic %; the relative atomic % of the different types of N are: 12.5% nitrile-N, 26.9% pyridinic-N,
- 111 2.0% Fe-Nx moieties, 41.6% graphitic-N, 17.0% oxidized-N (by deconvolution of the XPS N 1s
- 112 peak).
- 113 2.3. RDE measurements

114 For testing the Fe-N-C catalyst in the RDE, the ink was prepared by mixing 10 mg of catalyst

powder with 150  $\mu$ L of H<sub>2</sub>O, 305  $\mu$ L of isopropanol and 45.8  $\mu$ L of Nafion<sup>®</sup> 5 wt. % solution. With this formulation, the Nafion-to-catalyst mass ratio (NCR) is 0.2. The ink was sonicated until a good dispersion was achieved. An amount of ink necessary to have a catalyst loading of 637  $\mu$ g cm<sup>-2</sup> was micropipetted on the glassy carbon surface of the RDE.

As a comparison, a Pt catalyst (20 wt. % Pt/C) was also tested. In this case, the ink was prepared by dispersing 10 mg of catalyst (considering the total mass of Pt and C), 20  $\mu$ L of deionized water, 33  $\mu$ L of 5 wt% Nafion<sup>®</sup> solution and 734  $\mu$ L of isopropanol. The ink was ultrasonicated until good dispersion, and an amount corresponding to a Pt loading of 38  $\mu$ g cm<sup>-2</sup>, was pipetted on the RDE electrode.

The electrochemical tests were performed in a three-electrodes cell, using an RDE setup (PINE, USA) and a potentiostat/galvanostat (AutoPG, Spain). The cell was equipped with a glassy carbon disk working electrode (5 mm diameter), a graphite rod counter electrode, and a saturated Ag/AgCl reference electrode. The electrolyte was a 0.1 M KOH solution, saturated with N<sub>2</sub> or O<sub>2</sub> by direct bubbling the gas into the solution. Before start tests, 50 cyclic voltammetry (CV) cycles between 129 1.2 and 0.0 V vs RHE at 100 mV s<sup>-1</sup> scan rate were performed in the N<sub>2</sub> saturated electrolyte to 130 electrochemically clean the electrode surface.

131 For testing the electro-reduction of the adsorbed oxygen on the Fe-N-C catalyst, two CV cycles at

different scan rates  $(200 - 100 - 50 - 20 - 10 \text{ mV s}^{-1})$  were recorded in N<sub>2</sub> and subsequently in O<sub>2</sub>

saturated electrolyte from 1.2 to 0.0 V vs RHE. Between each CV experiment at different scan rate

in the  $O_2$ -saturated electrolyte, gaseous  $O_2$  was bubbled into the solution for 10 minutes, to allow  $O_2$ 

adsorbing onto the catalyst surface.

Linear sweep voltammetry (LSV) at different RDE rotation speeds (200 - 500 - 900 - 1600 - 2400)

-3600 rpm) were recorded at 5 mV s<sup>-1</sup> from 1.2 to 0.0 V vs RHE in the O<sub>2</sub>-saturated electrolyte. To

eliminate the capacitive current contribution, an LSV in N<sub>2</sub>-saturated electrolyte was recorded in the

same conditions, and subtracted from the LSV measured in  $O_2$ -saturated electrolyte.

140 The activity of the Fe-N-C catalyst toward the hydrogen peroxide electro-reduction reaction

141 (HPRR) in absence of  $O_2$  was tested performing LSV at 5 mV s<sup>-1</sup> at different RDE speeds (200 –

500 - 900 - 1600 - 2400 - 3600 rpm) in N<sub>2</sub>-saturated 0.001 M H<sub>2</sub>O<sub>2</sub> + 0.1 M KOH solution from
0.9 to 0.0 V vs RHE.

144 The tolerance of the Fe-N-C catalyst to the presence of ethanol was assessed by recording LSV at 5

mV s<sup>-1</sup> and 1600 rpm in an O<sub>2</sub>-saturated 0.1 M KOH solution with different ethanol concentrations

ranging from 0.001 M to 2 M. As a comparison, the same test was conducted for the commercial 20

147 wt. % Pt/C catalyst (in this case the LSV were recorded in both anodic and cathodic scan

148 directions).

All the RDE measurements were performed at 25 °C and atmospheric pressure, and the electrode potentials were referred to the reversible hydrogen electrode (RHE). The current densities were normalized to the geometric area of the glassy carbon disk electrode.

152 To check the stability and durability of the Fe-N-C catalyst, two different tests were performed. The

153 first test consisted in cycling the electrode potential for 10,000 times between 0.6 and 1.0 V vs.

154 RHE under O<sub>2</sub>-saturated electrolyte. An LSV at 5 mV s<sup>-1</sup> and 1600 rpm was recorded at the

- beginning of the test, and then after 400, 4,000 and 10,000 cycles. The second test consisted in a
- 156 chronoamperometry conducted during 48 hours at a constant potential of 0.765 V vs RHE.

157 *2.4. Alkaline DEFC test* 

- 158 Fe-N-C was tested as ORR catalyst in a single alkaline DEFC. The cell active area was 2.89 cm<sup>2</sup>. A
- 159 commercial PBI membrane doped with OH<sup>-</sup> ions was used as a polymeric electrolyte. To induce the
- anionic conductivity, the PBI membrane was treated for 7 days with a 6 M KOH solution. After this
- treatment, the ionic conductivity of the membrane reached a value of ~ 0.01 S cm<sup>-1</sup> [24]. A
- 162 commercial Pt-Ru/C catalyst (45 wt% Pt-Ru, Pt:Ru 1:1) was used as anodic catalyst, and the anode
- 163 of the DEFC was prepared with a Pt-Ru loading of 2 mg cm<sup>-2</sup> and a Nafion<sup>®</sup> content on dry
- 164 electrode of 4 wt. %, as optimized in a previous work [22].
- 165 The Fe-N-C cathode was prepared by spraying the catalyst ink with an airbrush onto a gas diffusion
- 166 layer (ELAT GDL-LT 1200 W). The ink was prepared by mixing the catalyst powder with an
- 167 isopropanol/deionized water solution (2:1 vol.) and an adequate amount of Nafion® ionomer
- solution (5 wt. %). The quantity of Nafion<sup>®</sup> solution was in accordance with the desired amount of
- ionomer in the dry catalytic layer. Electrodes with a Fe-N-C catalyst loading of 2.5 mg cm<sup>-2</sup> and a
- 170 Nafion<sup>®</sup> content of 4, 35, and 50 wt. %, respectively, were prepared.
- 171 As a comparison, the performance of an alkaline DEFC prepared using a commercial Pt/C catalyst
- 172 (40 wt. % Pt) at the cathode was also evaluated. The Pt loading was 1 mg cm<sup>-2</sup> and the Nafion<sup>®</sup>
- 173 content on the dry electrode was 4 wt. % [25].
- The electrodes and the membrane were assembled without hot pressing, by direct sandwiching atroom temperature in the cell hardware [26].
- 176 A fuel cell test bench (MITS Pro-FCTS, Arbin Instruments, USA) was used to record the
- polarization curves. The DEFC anodic compartment was fed with 2 M ethanol + 2 M KOH solution
- preheated at 80 °C with a flow rate of 1 mL min<sup>-1</sup> and a pressure of 0.33 bar (relative). The cathodic
- 179 compartment was fed with 200 NmL min<sup>-1</sup> pure  $O_2$  preheated at 80 °C, with a backpressure of 3 bar

and no humidification. The cell temperature was 90 °C. The polarization curves were recorded at 10 mV s<sup>-1</sup> from open circuit potential ( $E_{oc}$ ) down to 0.01 V.

182

### 183 **3. Results and discussion**

- 184 *3.1. RDE measurements.*
- 185 *3.1.1. Reduction of adsorbed oxygen.*

The behavior of the Fe-N-C catalyst towards the reduction of the adsorbed O<sub>2</sub> was investigated 186 using cyclic voltammetry (CV). In a first test (see Figure 1), the CV measured in deaerated solution 187 was subtracted from the CV measured in O2-saturated solution. Figure 1a shows the CVs recorded 188 at 10 mV s<sup>-1</sup> under O<sub>2</sub>-saturated (first and second consecutive cycles) and N<sub>2</sub>-saturated solution, 189 and the differential curves after the subtraction, which represent the current density peaks due to the 190 faradic process (reduction of oxygen), free from pure capacitive and pseudo-capacitive 191 contributions [27,28]. These faradic current peaks are due to the sum of the contribution of the 192 reduction of the O2 already adsorbed on the catalyst surface (when O2 was left bubbling inside the 193 solution) before the CV scan was started, and the O<sub>2</sub> diffusing from the bulk of the solution to the 194 catalyst surface during the CV scan. If we compare the first differential curve in Figure 1a (CV in 195 N<sub>2</sub>-saturated solution subtracted from the first CV cycle in O<sub>2</sub>-saturated solution) with the second 196 197 one (CV in N<sub>2</sub>-saturated solution subtracted from the second CV cycle in O<sub>2</sub>-saturated solution) we notice that in the former, the current density peak is considerably higher than in the latter. In facts, 198 in the former the contribution of the adsorbed O<sub>2</sub> reduction is higher, while in the former there is 199 much more contribution of the diffused O<sub>2</sub> reduction. 200

Figure 1b shows the differential CVs calculated from the subtraction of the CV in N<sub>2</sub>-saturated solution subtracted from the first CV cycle in O<sub>2</sub>-saturated solution (that is, the ones where the contribution of the reduction of the adsorbed O<sub>2</sub> is higher) at different scan rates, with an evident increase of the intensity of the current density peak ( $I_p$ ) and decrease of the peak potential ( $E_p$ ) with the increase of the scan rate (v). The contribution of the reduction of diffusing O<sub>2</sub> should be higher at lower scan rates.

According to the theory of the potentiodynamic technique,  $I_p$  increases proportionally to the square root of the scan rate ( $v^{1/2}$ ) in the case of a diffusion-controlled process [29], and proportionally to vin the case of a process involving only adsorbed species [30,31]. In the  $I_p$  vs.  $v^{1/2}$  plot in **Figure 1c**, a linear trend is observed, confirming that the contribution of the reduction of diffused O<sub>2</sub> cannot be neglected.

212 The ORR on Fe-N-C catalyst is an irreversible process, in facts, no reverse oxidation peak is

observed in the CV plot in Figures 1a-b, and  $E_p$  is shifted towards lower potentials with the

increase of v. Thus, it is possible to determine the cathodic transfer coefficient ( $\alpha_c$ ) from the plot of

215  $E_p$  vs. the logarithm of v. Assuming to be under the conditions of reaction of adsorbed species (with

a negligible contribution of the reaction of diffused species),  $\alpha_c$  can be calculated from the slope of

the plot in **Figure 1d** using Equation (1) [32]:

218 
$$\alpha_c = -\frac{2.3RT}{\text{Slope} \cdot F}$$
 (1)

We obtained a slope of 171 mV, which corresponds to a value of  $\alpha_c = 0.35$ . In a similar study conducted in alkaline electrolyte on an ORR catalyst prepared using Fe, N, and C precursors, the  $\alpha_c$ value obtained was 0.50 [33].

On the contrary, if we assume to be under the conditions of a diffusion-controlled process [32],  $\alpha_c$  is given by Equation (2):

224  $\alpha_c = -\frac{2.3RT}{\text{Slope} \cdot 2F}$  (2)

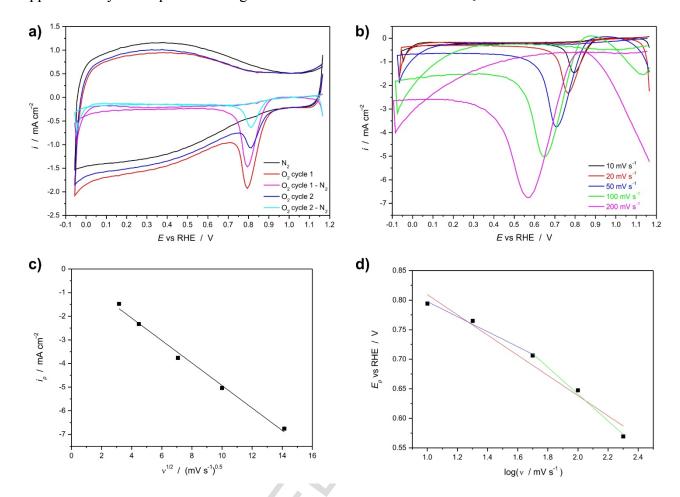
and the corresponding  $\alpha_c$  value is 0.17.

However, looking at the  $E_p$  vs. log(v) plot in **Figure 1d**, the linear trend is not so good, and it seems

that the slope is changing with the potential. Thus, we can divide the plot into two different zones,

finding a better linear trend, with different slopes (and different  $\alpha_c$ ). This could indicate a change in

the ORR reaction mechanism with overpotential. The first zone corresponds approximately to the



potential range 0.80 - 0.70 V vs. RHE, showing a  $\alpha_c$  value of 0.23. The second zone corresponds approximately to the potential range 0.70 - 0.55 V vs. RHE shows a  $\alpha_c$  value of 0.13.

#### 232 233

240

Fig. 1. (a) First cycle (red) and second cycle (blue) of the CV recorded at 10 mV s<sup>-1</sup> in 0.1 M KOH solution saturated with O<sub>2</sub>, CV cycle recorded in the same conditions in N<sub>2</sub>-saturated solution (black), and the differential CV obtained after subtraction of the first cycle (magenta) and the second cycle (light blue). (b) Differential CV recorded at different scan rates. (c)  $I_p$  of the differential CV vs. square root of the scan rate. (d)  $E_p$  of the differential CV vs. logarithm of the scan sate.

In a second test, we recorded two consecutive CV cycles in O<sub>2</sub> saturated electrolyte, and we

subtracted the second cycle from the first cycle, obtaining a differential voltammogram. Here, the

contribution of the reduction of the diffused  $O_2$  was eliminated (or reduced as much as possible),

- and the  $I_p$  measured can be ascribed almost totally to the reduction of the O<sub>2</sub> adsorbed on the
- catalyst surface before the potential scanning was started [31]. Figure 2a shows the differential
- voltammograms measured at different scan rates. The peaks are fully developed and almost

symmetrical for all of the scan rates examined, and the  $I_p$  values are approximately in the range 1 – 6 mA cm<sup>-2</sup>. From the full width at half maximum ( $\Delta E_{1/2}$ ) of the differential peaks, according to the

theory of the potential sweep voltammograms in the case of diffusionless systems [30], it is possible

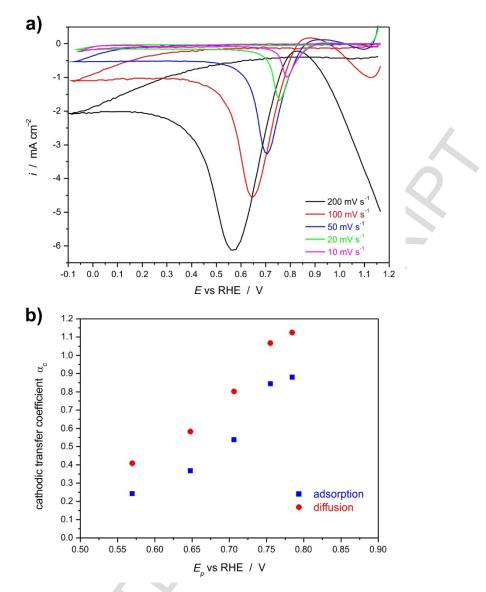
250 to calculate  $\alpha_c$  using Equation (3):

251  $\alpha_c = 62.5 \text{ mV} / \Delta E_{1/2}$  (3)

On the other hand, considering a process totally governed by diffusion, from the value of the difference between the peak potential ( $E_p$ ) and the potential corresponding to half the peak current on the ascending portion of the voltammogram ( $E_{p/2}$ ), it is possible to calculate  $\alpha_c$  using Equation (4) [29]:

256  $\alpha_c = 48 \text{ mV} / |E_p - E_{p/2}|$  (4)

Figure 2b shows the variation of  $\alpha_c$  with  $E_p$  calculated with both methods described above. A 257 decrease of  $\alpha_c$  with the decrease of  $E_p$  (which decreases with the scan rate) is observed. The  $\alpha_c$ 258 values are close to unity at high  $E_p$  (low scan rate). This confirms what we found for the same Fe-N-259 C catalyst in a previous work [23], where we measured  $\alpha_c$  from the Tafel slope from a static 260 polarization curve recorded in RDE experiment in alkaline conditions: there, we found an  $\alpha_c$  value 261 of 0.94 in the potential range between 0.98 and 0.85 V vs RHE [23]. Curiously, this value ranges in 262 between the values calculated from the differential voltammograms with both Equations (3) and (4) 263 264 (see Figure 2b). Then, increasing the scan rate, the  $E_p$  value decreases, and a decrease of  $\alpha_c$  at lower values (between 0.6 and 0.2) is observed. These findings suggest a change in ORR 265 mechanism occurring with the variation of the potential. A similar trend of change in  $\alpha_c$  was 266 observed in an acidic medium in another literature study [31], and also by our group (work under 267 preparation). 268



269

Fig. 2. (a) Differential CV recorded in  $O_2$ -saturated 0.5 M H<sub>2</sub>SO<sub>4</sub> at different scan rates; (b) cathodic transfer coefficient for the reduction of  $O_2$  in case of pure adsorption and pure diffusion.

# 273 3.1.2. Oxygen reduction vs. hydrogen peroxide reduction.

To investigate more in detail the ORR pathway on the Fe-N-C catalyst in alkaline conditions, we

measured the polarization curves in  $O_2$ -saturated 0.1 M KOH at different RDE rotation speeds.

- Figure 3a shows the obtained results, evidencing that the ORR is under diffusional control for
- potentials lower than  $\sim 0.7$  V vs. RHE for all the rotation speeds. An evident increase of the current
- density with the RDE speed is also observed. Considering the findings of our previous work [23],
- where we detected an almost null amount of  $HO_2^-$  ion (the stable form of  $H_2O_2$  at high pH values)

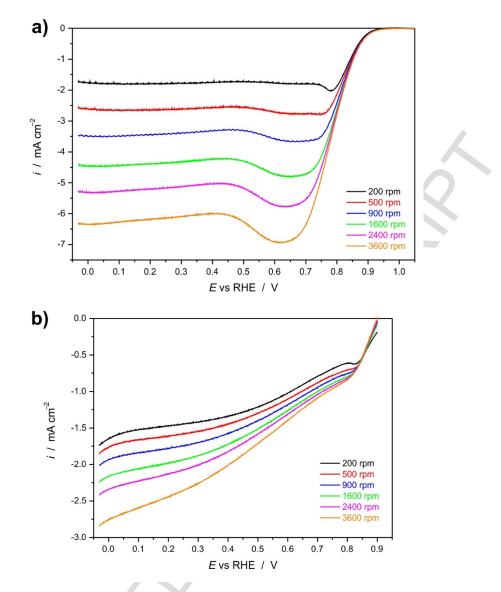
in the rotating ring-disk electrode (RRDE) test, we can deduce that  $O_2$  is mainly converted to OH<sup>-</sup> via a complete 4 e<sup>-</sup> reduction on our Fe-N-C catalyst in alkaline medium.

In this work, we did not calculate the electron transfer number using the Koutecky-Levich (K–L) 282 method, because with the high catalyst loading used in the RDE experiment, the hypothesis of the 283 thin-film smooth electrode of the K-L theory are most likely not satisfied. In particular, the 284 peroxide intermediates produced were found to be autocatalytically decomposed as a function of 285 catalyst layer thickness [34]. Another reason of misinterpretation of kinetic data could come form 286 nano-particle modified rotating disk electrodes of partially covered and non-planar geometry [35]. 287 In addition, as demonstrated and discussed in detail, among others, by R. Zhou et al. [36], the K-L 288 289 method is mainly inapplicable to ORR because this reaction is not a one-step first order reversible reaction. 290

Considering that a complete 4 e<sup>-</sup> reduction of O<sub>2</sub> to OH<sup>-</sup> can be attained via a direct one-step 4 e<sup>-</sup> 291 292 mechanism, via a two-step 2 e<sup>-</sup> + 2 e<sup>-</sup> mechanism, or via a combination of these, we performed a further test to investigate more in depth the ORR pathway. We recorded the LSV polarization 293 curves in 0.1 M KOH at different rotation speeds, in the absence of oxygen (thus, saturating the 294 solution with N<sub>2</sub>), and in the presence of H<sub>2</sub>O<sub>2</sub> in the concentration of 1 mM, which is similar to the 295 concentration of O<sub>2</sub> in an aqueous solution saturated with O<sub>2</sub> at 25 °C. With this test, we measured 296 297 the activity of the Fe-N-C catalyst toward the HPRR. From the results in Figure 3eb, we can deduce that HPRR currents increase with the cathodic overpotential almost linearly in the potential 298 range 0.8 - 0.5 V vs RHE. However, even at lower potentials, the current density never reaches a 299 plateau region, which is typical for processes controlled by diffusion (as was found for ORR in 300 Figure 3a). This happens for all the rotation speeds considered, thus no linear trend of current vs. 301 the square root of rotation speed can be found at any potential. Therefore, we can conclude that on 302 our Fe-N-C catalyst, the HPRR in alkaline conditions is under mixed kinetic-diffusional control on 303 all the potential range considered. Moreover, in spite of the same concentration of reactants in the 304 electrolyte solution (O<sub>2</sub> for ORR, and H<sub>2</sub>O<sub>2</sub> for HPRR), the current densities measured for HPRR 305

are always considerably lower than the current densities measured for ORR. All these results let us deduce that on this Fe-N-C catalyst the HPRR kinetics is much more sluggish than the ORR kinetics. Together with the results of RRDE presented in our previous work [23], we can conclude that the direct one-step 4 e<sup>-</sup> reduction of  $O_2$  to OH<sup>-</sup> is the main reaction pathway on the Fe-N-C catalyst in alkaline medium. The indirect 2 e<sup>-</sup> + 2 e<sup>-</sup> mechanism is occurring in an only negligible amount, even less than as found in another work of our group for the same Fe-N-C catalyst in acidic conditions [22].

The LSV at different rpm in Figure 3a show a broad current peak in the potential region 0.5 - 0.7 V 313 vs. RHE. This phenomenon has not been analyzed and discussed in detail so far in the literature, 314 315 even though it is observed in many cases in RDE experiments, in both acidic [37,38] and alkaline conditions [39–42]. It could be associated to the reduction of the  $O_2$  adsorbed on the catalyst 316 surface, which occurs in concomitance with the reduction of the O<sub>2</sub> diffusing from the electrolyte 317 318 bulk. This phenomenon becomes evident because the LSV are non-steady-state experiments (potential is varying linearly in time), and thus the appearance of peaks related to non-steady state 319 phenomena, as it is the case of the reduction of the O<sub>2</sub> adsorbed on the catalyst surface, may occur. 320 O<sub>2</sub> could have been enough time to adsorb on the catalyst surface (also considering the very high 321 specific surface area of this Fe-N-C catalyst, which is about 1500 m<sup>2</sup> g<sup>-1</sup>), and subsequently being 322 323 reduced during the voltammetric experiment, with the appearance of a peak similarly to what happens in the CV plots in Figure 1 and Figure 2. Additionally, some O<sub>2</sub> could adsorb on the 324 Nafion self-assemblies locally present on the catalyst surface [43,44], and could have enough time 325 to diffuse toward the ORR active sites and be reduced therein, since the LSV are performed at 326 moderately low scan rate (5 mV s<sup>-1</sup>). 327



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Fig. 3. (a) LSV recorded at 5 mV s<sup>-1</sup> at different RDE rotation speeds in O<sub>2</sub>-saturated 0.1 M KOH solution. The background current recorded under N<sub>2</sub>-saturated 0.1 M KOH was subtracted to eliminate the contribution of the capacitive current. (b) LSV recorded at 5 mV s<sup>-1</sup> at different RDE rotation speeds in N<sub>2</sub>-saturated 0.1 M KOH solution containing  $H_2O_2$  in 0.001 M concentration.

334 *3.1.3. Ethanol tolerance test.* 

Since the Fe-N-C catalyst will be subsequently tested in an alkaline DEFC (see *Section 3.2*) the
tolerance to ethanol of this catalyst is an important characteristic, even at the high potentials at
which a DEFC cathode could work. In fact, ethanol could pass from the anodic to the cathodic
compartment through the polymer electrolyte membrane. This is the well-known fuel crossover
effect, which is one of the causes of the efficiency loss in a fuel cell system [45]. In alkaline
electrolyte membrane fuel cells (AEMFC) the crossover effect is usually more limited than in acidic

PEMFC, due to the electroosmotic drag direction from cathode to anode [13,46], which partially hinders the permeation of alcohol and water through the membrane. The well-known activity of Ptbased catalysts toward the electro-oxidation of alcohols [47], in combination with the crossover effect, causes the presence of a mixed-potential at the fuel cell cathode, which in turns originates a decrease of the fuel cell  $E_{oc}$ .

In addition, the use of ethanol as a fuel is also an advantage, because the ethanol oxidation reaction 346 (EOR) in alkaline conditions is only partial, and occurs mainly without the cleavage of the C-C 347 bond, with the selective production of acetate [3,48]. As a consequence, the amount of CO 348 (intermediate product of the oxidation of organic molecules containing a single C atom) that is 349 350 formed is considerably lower in comparison with the use of methanol as a fuel. This low presence of CO is beneficial both for the anodic and the cathodic catalysts. In fact, CO tends to strongly 351 chemisorb on the noble metal-based catalyst surface, causing a decrease in the activity [49]. 352 353 Another consequence of the fact that EOR occurs without the cleavage of the C–C bond is the lower formation of CO<sub>2</sub>, preventing damages to the alkaline electrolyte membrane. In fact, in alkaline 354 conditions, the presence of  $CO_2$  (and thus of  $CO_3^{2-}$  ions) causes the carbonation of the membrane, 355 that is, the precipitation of K<sub>2</sub>CO<sub>3</sub>, which is also accelerated due to the presence of KOH in the 356 solution fed to the anode [50,51]. 357

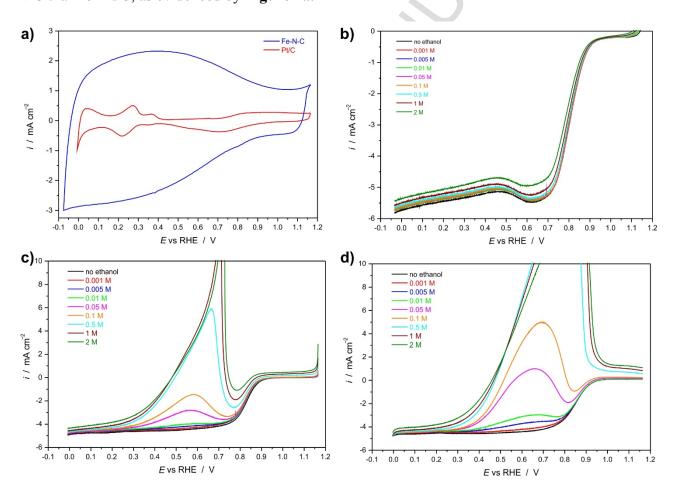
358 All the aforementioned phenomena are the reasons why alkaline membrane DEFC usually show better power density compared to DMFC in both acidic and alkaline configuration, but also than 359 DEFC in acidic configuration [52]. A further advantage of using ethanol as a fuel compared to 360 methanol is that ethanol can be produced from renewable sources, *i.e.*, biomass fermentation 361 [53,54]. Thus, the use of a noble-metal based catalyst at the cathode, besides the high costs, has the 362 additional drawback of being subjected to deactivation caused by fuel crossover. This explains why 363 it is highly desirable to operate alkaline DEFC with an NNM catalyst at the cathode. As reported in 364 the literature, the Fe-N-C catalysts usually do not exhibit any activity towards the oxidation of 365 alcohols, being highly selective towards ORR [12,19,21]. To demonstrate this also for our Fe-N-C 366

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catalyst in alkaline conditions, we tested its ORR activity in 0.1 M KOH solution in presence of 367 different concentrations of ethanol, ranging from a minimum of 1 mM (almost the same 368 concentration of O<sub>2</sub> in the solution) to a maximum of 2 M (the concentration of ethanol in the 369 solution fed to the anode). The results shown in Figure 4b confirm that our Fe-N-C catalyst is 370 highly tolerant to the presence of ethanol. In fact, the ORR is occurring without any significant 371 modification in the polarization curve shape for all the ethanol concentrations. A slight decrease in 372 ORR activity is observed only for very high ethanol concentrations (1 M and 2 M), which are 373 almost impossible to be found at the DEFC cathode due to the crossover. This small activity 374 decrease, of ~ 40 mV negative shift in the half-wave potential from the test in the absence of 375 376 ethanol to the test with 2 M ethanol, can be attributable to a slight decrease of electrical conductivity and O<sub>2</sub> solubility in the electrolyte solution with the increase of ethanol concentration. 377 A slight decrease in the limiting current density is also observed in the diffusion-limited part of the 378 379 polarization curve, that could also be caused by the decrease of O<sub>2</sub> content in the solution with higher ethanol concentrations. This ethanol tolerance test indicates that the Fe-N-C catalyst is a 380 potentially good candidate to be used as a cathodic electrocatalyst in an alkaline DEFC. 381 The results obtained for the same test conducted on a Pt/C commercial catalyst (20 wt. % Pt on 382 Vulcan) are considerably different, as shown in Figure 4c (cathodic potential scan direction) and 4d 383 (anodic potential scan direction). A remarkable decrease in ORR activity is observed for the Pt/C 384 catalyst already at ethanol concentrations as low as 0.005 M in the anodic scan direction, and 0.01 385 M in the cathodic scan direction. For higher concentrations of ethanol, big electro-oxidation peaks 386 are visible, confirming the much worse selectivity of Pt-based catalysts towards ORR in the 387 presence of ethanol compared to our Fe-N-C catalyst. The differences between the anodic and the 388 cathodic scan directions observed for Pt/C catalyst (see Figures 4c and 4d) are due to the presence 389 of an oxide layer on the surface of Pt at high potentials, which is progressively removed during the 390 cathodic potential sweep, making the clean Pt surface totally available for the reaction of both O<sub>2</sub> 391 392 and ethanol [55]. This oxide layer is not present during the anodic sweep scan, which starts at 0.0 V

vs. RHE, and thus from a Pt surface free from oxides that could hamper the reactions. This explains
the different shape observed for the ethanol oxidation reaction peaks in the presence of oxygen in
the two scan directions, and this is the cause of the well-known hysteresis effect observed in ORR
experiments in RDE [56–58].

These differences are not observed for Fe-N-C catalyst, thus we only reported for this catalyst the cathodic scan direction (**Figure 4b**). The slightly higher values of the current density in the diffusion limiting plateau region (at high ORR overpotentials) measured for Fe-N-C catalyst compared to Pt/C can be attributed to the fact that the LSV in **Figure 4b**, **4c**, and **4d** were not corrected for the background capacitive current contribution, which is considerably higher for Fe-N-C than for Pt/C, as evidenced by **Figure 4a**.



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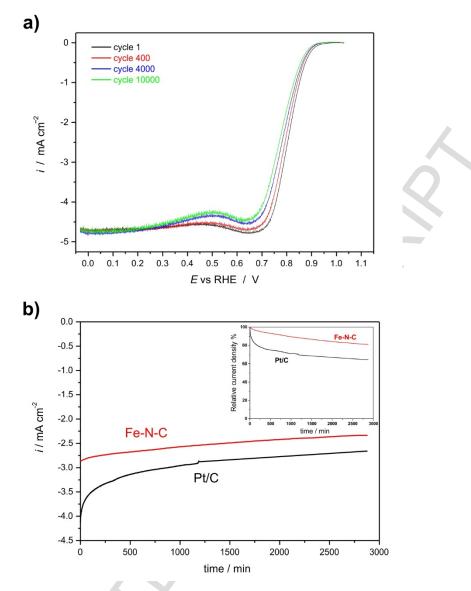
**Fig. 4.** (a) CV measured at 20 mV s<sup>-1</sup> in N<sub>2</sub>-saturated 0.1 M KOH solution for Fe-N-C catalyst and for the commercial Pt/C catalyst. (b) LSV measured in O<sub>2</sub>-saturated 0.1 M KOH with different ethanol concentrations for Fe-N-C catalyst. (c) LSV measured in the cathodic scan direction in O<sub>2</sub>saturated 0.1 M KOH solution with different ethanol concentrations for the commercial Pt/C catalyst. (d) LSV measured in the anodic scan direction in O<sub>2</sub>-saturated 0.1 M KOH solution with

different ethanol concentrations for the commercial Pt/C catalyst. In (b), (c), and (d) the LSV were recorded at 5 mV s<sup>-1</sup> and 1600 RDE rotation speed.

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412 *3.1.4. Durability tests in RDE.* 

The durability performance of the Fe-N-C catalyst in alkaline medium was assessed by two 413 different tests. First, the catalyst was cycled in a potential range of 1.0 - 0.6 V vs RHE, as also 414 reported in the literature, for example by X. Wang et al. [59]. This range was chosen considering 415 416 the potentials values at which the cathode of the fuel cell most likely works in practical applications. The cycling was carried out in the O<sub>2</sub>-saturated electrolyte. Full LSV polarization 417 curves were recorded at the beginning of the test, and then after 400, 4,000, and 10,000 cycles. The 418 results in Figure 5a show that the half-wave potential  $(E_{1/2})$  only suffers a negative shift of 10 mV 419 after 400 cycles, 22 mV after 4000 cycles, and 33 mV after 10,000 cycles, evidencing a very good 420 resistance to potential cycling for Fe-N-C in the presence of O<sub>2</sub> under alkaline conditions. 421 To further investigate the durability of the catalyst, we carried out a chronoamperometry test during 422 48 hours at a fixed potential of 0.765 V vs RHE, which is almost corresponding to the half-wave 423 potential of the LSV polarization curve recorded at 1600 rpm (see Figure 5a). Figure 5b shows the 424 results of this test. At the beginning of the test the measured current density was 2.85 mA cm<sup>-2</sup>, 425 while after 48 hours of the test, this value decreased to 2.33 mA cm<sup>-2</sup>, corresponding to a decrease 426 427 of about 18 %, thus confirming the considerably good stability performance of Fe-N-C catalyst in alkaline conditions. As a comparison, we also performed the same chronoamperometry test for a 428 429 Pt/C catalyst. In this case, the initial current density was higher than for Fe-N-C catalyst (being about 4.10 mA cm<sup>-2</sup>), and it remained higher for all the duration of the test, decreasing to about 430 2.65 mA cm<sup>-2</sup> after 48 hours. However, if we consider the relative percent current density decay 431 instead of the absolute value, Pt/C shows a worse performance, with a loss of about 36 % after 48 432 hours. The relative current density decay compared to the value measured at the beginning of the 433 test is show for both Fe-N-C and Pt/C catalysts in the inset of Figure 5b. 434



435

Fig. 5. (a) LSV recorded in O<sub>2</sub>-saturated 0.1 M KOH at 1600 rpm and 5 mV s<sup>-1</sup> after a different number of potential cycles between 1.0 and 0.6 V vs RHE for Fe-N-C catalyst. (b)
Chronoamperometry test in RDE at 0.765 V vs. RHE for Fe-N-C and for a Pt/C catalyst in O<sub>2</sub>-saturated 0.1 M KOH at 1600 rpm. The insert shows the relative % current decay.

We can attribute this good stability to the relatively high graphitic N content (which is close to 42 % atomic, see *Section 2.2*), which has been associated to a high catalyst stability in several literature works [60–62]. Another factor that could influence the catalyst stability is the graphitization degree of the carbonaceous matrix of the catalyst [63,64], which reaches a high value during the pyrolysis process, as demonstrated by the FTIR and Raman analyses reported in our previous work [22]. In a recent publication, a Fe-N-C catalyst synthesized using a silica template showed an enhanced stability in alkaline media after 7500 potential cycles in RDE in 0.1 M KOH solution, similarly toour catalyst [40].

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450 *3.2. DEFC test.* 

The Fe-N-C catalyst showed a very promising ORR performance in alkaline conditions in terms of 451 activity, selectivity, and durability in RDE. Thus, we tested it as a cathodic catalyst in an alkaline 452 DEFC. Moreover, the physicochemical properties of this catalyst (briefly reported in Section 2.2), 453 in particular in terms of overall surface area, as well as the promising results we obtained in acidic 454 DMFC tests in terms of short-term durability in comparison with the use of a commercial Pt/C 455 catalyst at the cathode [22], make this Fe-N-C catalyst of interest to be used as cathodic NNM 456 catalyst also for alkaline DAFC applications. To the best of our knowledge, there are only a few 457 studies in the literature showing the results of DEFC tests where a Me-N-C catalyst is used at the 458 459 cathode (see Table 1). Among them, even fewer studies show the stability and durability behavior of the DEFC device. 460

As mentioned in the experimental section, to improve the DEFC performance, the anodic 461 compartment was fed with an aqueous solution containing both ethanol and KOH. As discussed in 462 the literature, the presence of OH<sup>-</sup> ions in the anodic solution is helpful to enhance the performance 463 464 of a DEFC [48,65]. In facts, if ethanol is fed in a non-alkaline solution, the cell performance results to be lower because the ionic conductivity of the ionomer is considerably lower than the 465 conductivity of a KOH solution, and providing an excess of OH<sup>-</sup> ions in contact with the membrane 466 helps to enhance its conductivity. Moreover, being OH<sup>-</sup> a reactant of the EOR in alkaline 467 conditions, an excess in the anodic compartment enhances the reaction kinetics as well [11,65]. 468 Figure 6a shows the results of the alkaline DEFC tests using our Fe-N-C catalyst, and a 469 commercial Pt/C catalyst (40 wt. % Pt on Vulcan) at the cathode, for comparison purposes. For the 470 Fe-N-C, three different cathodic layers were prepared, with different Nafion<sup>®</sup> contents. Obviously, 471 the use of an H<sup>+</sup> conducing ionomer as Nafion<sup>®</sup> in the preparation of the catalytic layer of an 472

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AEMFC does not favor the ionic conductivity. Nevertheless, the use of a PTFE-based ionomer in 473 474 the preparation of electrodes for alkaline membrane fuel cells typically in amounts between 10 and 50 wt. % on the dry electrode is commonly reported in the literature [65–68]. As a matter of fact, 475 the presence of a binder in the electrode is required to connect the catalyst particles together, 476 preserving them from a progressive crumbling during the flushing of the reactants, which lead the 477 cell performance to degrade quickly [65]. In addition, since the PTFE-like backbone of Nafion<sup>®</sup> is 478 highly hydrophobic [43], the presence of this ionomer in the DEFC cathode catalyst layer can help 479 the water removal during operation, reducing the detrimental cathode flooding effect. 480 As a confirmation of this, the performance of the alkaline DEFC we prepared with a low Nafion<sup>®</sup> 481 content of 4 wt. % (on dry electrode basis) was the worst, showing a maximum power density of 482 about 35 mW cm<sup>-2</sup>. Increasing the Nafion<sup>®</sup> content to 35 %, the cell performances improved, 483 reaching a maximum power density of 57 mW cm<sup>-2</sup>, and becoming even slightly better at low 484 485 current densities (until  $\sim 50 \text{ mA cm}^{-2}$ ) compared to the cell prepared using the commercial Pt/C catalyst at the cathode. The  $E_{oc}$  of this DEFC was also slightly higher than that of Pt/C (0.90 vs. 486 0.86 V). With a further increase of the Nafion<sup>®</sup> content until 50 wt. %, the performance of the cell 487 at low current densities got worse. However, at a higher current density (approximately above 170 488 mA cm<sup>-2</sup>) it slightly improved, getting a maximum power density of 62 mW cm<sup>-2</sup>. 489 For all the different Nafion<sup>®</sup> contents, the polarization curve suffers a steep decrease almost 490 immediately after the maximum power density. This behavior suggests that the DEFC performance 491 was highly affected by mass transport limitation problems [46], which can hinder the diffusion of 492 O<sub>2</sub> into the highly microporous cathodic catalyst layer [23,69]. In this regard, even if in alkaline fuel 493 cells the H<sub>2</sub>O as a product of the reaction is generated at the anode, the flooding of the cathode must 494 not be excluded. As previously mentioned, this phenomenon can be reduced by the presence of the 495 hydrophobic backbone in Nafion® ionomer. As demonstrated in the literature, the cathode flooding 496 in AEMFC is likely to occur at intermediate current densities, contrarily to what happens in acidic 497 498 electrolyte PEMFCs, where it occurs preferentially at high current densities [26].

The results obtained with different Nafion<sup>®</sup> contents in the cathode suggest that the presence of an ionomer acting as a binder is useful to obtain better performances in DEFC, even if the ionomer is not an OH<sup>-</sup> conductor. However, the Nafion<sup>®</sup> content must be optimized to determine the quantity that allows obtaining the better performance. In the case of our Fe-N-C catalyst, the optimal Nafion<sup>®</sup> loading ranges between 35 and 50 wt. %. Nafion<sup>®</sup> ionomer can modify the surface properties of the catalyst layer by modifying mechanical proprieties, and consequently the chemical affinity of reactants/products [70].

For the DEFC prepared using the Pt/C catalyst at the cathode, these mass transport problems are less evident. They start to occur at higher current densities, enabling to obtain a considerably higher maximum power density (~ 88 mW cm<sup>-2</sup>), although the  $E_{oc}$  was almost the same, or even worse compared to the tests with Fe-N-C catalyst.

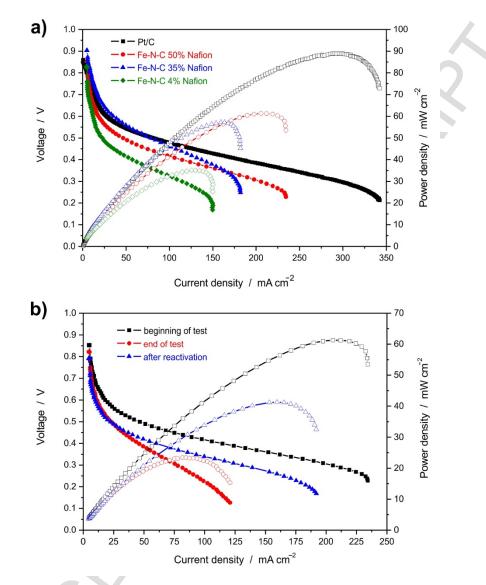
510 From the polarization curves in **Figure 6a**, it is possible to have an approximated idea of the cell 511 ohmic resistance from the linear zone of the polarization curve at intermediate current densities, 512 where the limiting factor are mainly the ohmic losses [71]. A higher slope of this linear zone of the 513 polarization curve is an indication of a higher ohmic cell resistance.

As reported in a work recently published by our group [12], to assess the durability performance of 514 this type of alkaline DEFC is not trivial. In fact, this system has shown intrinsic stability issues, 515 516 which can be attributable to instability of the membrane conductivity [48], to the MEA fabrication procedure (absence of hot-pressing, poor compatibility between the membrane and the ionomer 517 used for the catalyst ink preparation), to mass transport issues (flooding of catalyst layer), and Ru 518 electro-dissolution/crossover [72]. Figure 6b shows the polarization and power density curves of 519 the best performing MEA (the one prepared using 50 wt. % Nafion<sup>®</sup>) at the beginning of the test 520 (the same of Figure 6a), and after 20 consecutive recording of polarization curves. The DEFC 521 shows a remarkable decrease of the maximum power density, from 62 to 24 mW cm<sup>-2</sup>, suggesting a 522 very poor stability performance. 523

Interestingly, after a purge/drying reactivation procedure developed in our laboratory [12], which 524 525 consists in flowing dry N2 at 90 °C for 30 minutes in both anodic and cathodic compartments of the cell, it is evident that the initial performance can be partially recovered, obtaining a maximum 526 power density of 41 mW cm<sup>-2</sup>. These results are in agreement with the results described in our 527 recent work for other Fe-N/C catalysts [12]. However, all the catalysts reported in our previous 528 work show a better performance in terms of reactivation compared to the Fe-N-C catalysts 529 presented in this work. This could be attributed to the much higher specific surface area and 530 microporosity of this catalysts compared to the previous ones, causing more mass transport issues. 531 In particular, the small micropores are more prone to flooding, which can also be partially 532 533 irreversible [69,73]. These results are a confirmation that the huge DEFC performance losses in the short-term cannot be 534 attributed exclusively to the irreversible deactivation of the Fe-N-C catalyst. 535 536 Regarding the possible carbonation of the alkaline electrolyte membrane, which can occur due to the formation of CO<sub>2</sub> as EOR reaction product at the anode (as previously discussed in Section 537 3.1.3), several literature works demonstrate that in both alkaline and acidic media, with all the most 538 commonly used catalysts for EOR (including Pt-Ru, which was used as anodic catalyst in our 539 DEFC test), the selectivity of formation of CO<sub>2</sub> at potentials values normally present at the anode of 540 541 a DEFC during operation is low [74–78]. This would most likely avoid the occurrence of a massive carbonation of the alkaline membrane, at least in the short-term period, letting us to deduce that the 542 membrane carbonation has not to be ascribed as one of the causes of the fast DEFC performance 543 decay we observed. 544 By concluding, the performance of our Fe-N-C as a cathodic catalyst for alkaline DEFC is good in 545 terms of power density and open circuit potential, especially at low-intermediate current densities, 546 confirming the good results obtained for ORR activity and ethanol tolerance in the RDE tests. 547 However, the sharp DEFC performance decrease at high current density compared to the 548 549 commercial Pt/C catalyst, as well as the results of the short-term durability and reactivation test

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(also in comparison to other catalysts of our group [12]), evidenced that this Fe-N-C catalyst still
shows mass transport problems, and its features may have to be optimized accordingly, for example
reducing the overall and microporous specific surface area.



### 553

Fig. 6. (a) Polarization curves (filled symbols) and power density curves (open symbols) for
alkaline DEFC prepared using Fe-N-C as a cathodic catalyst with different Nafion<sup>®</sup> contents. The
curves for a DEFC prepared using a commercial Pt/C catalyst are also shown for comparison. (b)
Short-term durability test in alkaline DEFC for Fe-N-C 50 wt. % Nafion<sup>®</sup>. Polarization curves
(filled symbols) and power density curves (open symbols) recorded at the beginning of the test
(black), at the end of the test (red), and after the purge-drying reactivation procedure (blue).

**Table 1** resumes the results available so far in the literature about the performances of alkaline

562 DEFC prepared using NNM cathodic catalysts. Our results can be considered comparable to the

- 563 best State-of-the-Art, taking into account the different testing conditions (i.e. anodic catalyst,
- catalyst loading, membrane, ionomer, temperature, etc.) reported in **Table 1**.

565

566	Table 1. State-of-the-Art performances in alkaline membrane DEFC using NNM catalysts at the
567	cathode.

Ref.	Cathode Catalyst	Anode Catalyst	Membrane	DEFC Test conditions	Max Power Density (mW cm <sup>-2</sup> )	Max Power Density (mW mg <sup>-1</sup> <sub>NobleMetal</sub> )
[68]	Commercial Acta S.p.A Hypermec <sup>tm</sup> K14 3.5 mg cm <sup>-2</sup>	Commercial Acta S.p.A Hypermec <sup>tm</sup> 3020 Series 15 mg cm <sup>-2</sup>	5 cm <sup>2</sup> Tokuyama A- 006 (OH form), sandwiched without hot pressing	10 wt. % EtOH – 10 wt. % KOH O <sub>2</sub> 0% RH 60 °C	90	
[66]	NiMnOx/C 3 mg cm <sup>-2</sup>	PtRu/C 0.5 mg cm <sup>-2</sup>	4.62 cm <sup>2</sup> PBI (pre-treated with 6 M KOH)	2 M EtOH – 3 M KOH O <sub>2</sub> 90 °C	55	~110
[79]	Commercial Catalyst Fe-Co Hypermec <sup>tm</sup>	Pd/MWCNT 1 mg cm <sup>-2</sup>	Tokuyama A- 006	80 °C	73	~73
[80]	40% MnO <sub>2</sub> /C 1 mg cm <sup>-2</sup>	40%Pd/C 2 mg cm <sup>-2</sup>	4 cm <sup>2</sup> Nafion <sup>®</sup> 112 pre treated with 6M KOH	1 mL min <sup>-1</sup> 2M EtOH – 2M KOH Air atmospheric pressure (Air breath) 60 °C	11.5	~5.75
[81]	CoTMPhP/C heat treat at 850°C for 2 h in Ar, 9.2 mg cm <sup>-2</sup> , after depositing on GDL the electrode was tempered at 360 °C for 20 min in Ar	RuV/C (3:2 at%) 20 or 40 wt. % on C ink prepared using Fumion® ionomer 4.5 mg cm <sup>-2</sup>	5 cm <sup>2</sup> PBI doped in 3M KOH + 2M EtOH for 48h	5 mL min <sup>-1</sup> 3M KOH + 2M EtOH 0.2 L min <sup>-1</sup> Air 80 °C	100	~44
[82]	Commercial Acta S.p.A Hypermec <sup>tm</sup> 1 mg cm <sup>-2</sup>	Commercial Acta S.p.A Hypermec <sup>tm</sup> 2 mg cm <sup>-2</sup>	4 cm <sup>2</sup> Tokuyama A201 without hot pressing	$1M EtOH - 3 M$ KOH $3 mL min^{-1}$ 400 sscm Pure O <sub>2</sub> 40 °C	60	~30
[54]	Fe-Co/C, heat treated at 600 °C. The cathode was heated at 340 °C for 20 min in Ar	Pd/TNTA (Titanium Nanotube Array) 6 mg Pd cm <sup>-2</sup>	25 cm <sup>2</sup> Tokuyama A201	2M KOH and EtOH 10 wt% 80 °C	335	~55
[83]	Fe-Co/C, heat treated at 600 °C. The cathode was heated at 340 °C for 20 min in Ar	Pd-CeO <sub>2</sub> /C 1 mg cm <sup>-2</sup>	5 cm <sup>2</sup> Tokuyama A- 006 commercial mechanical pressing of anode, cathode, and membrane	4 mL min <sup>-1</sup> 10 wt % EtOH – 2M KOH 200 mL min <sup>-1</sup> O <sub>2</sub> 80 °C	140	~140

[12]	Fe-N/MPC, Fe-	Pt-Ru/C 45 wt.	2.89 cm <sup>2</sup>	1 mL min <sup>-1</sup> 2M	75	~56
	phenanthroline on	%	PBI (Danish	EtOH – 2M		
	mesoporous carbon,	1.33 mg cm <sup>-2</sup>	Power Systems)	КОН		
	heat treated at 800	-	doped with 6 M	200 NmL min <sup>-1</sup>		
	°C		КОН	pure $O_2$ (3 bar		
	$2.5 \text{ mg cm}^{-2}$		not hot pressing	brackpressure)		
	_			90 °C		
This	Fe-N-C	Pt-Ru/C 45 wt.	$2.89 \text{ cm}^2$	1 mL min <sup>-1</sup> 2M	62	~47
work		%	PBI (Danish	EtOH – 2M		
		1.33 mg cm <sup>-2</sup>	Power Systems)	КОН		
		-	doped with 6 M	200 NmL min <sup>-1</sup>		
			KOH	pure $O_2$ (3 bar		
			not hot pressing	brackpressure)		
				90 °C		

568

### 569 **4. Conclusions**

570 The electrochemical performance of a Fe-N-C catalyst synthesized using Fe(II)-phthalocyanine via hard templating method was investigated in alkaline medium. The cathodic transfer coefficient 571 calculated for the reduction of the oxygen adsorbed on the catalyst surface by CV coincides with the 572 one measured by the Tafel plot recorded by RDE test at low overpotentials. The cathodic transfer 573 coefficient changes with the potential, indicating a change in ORR mechanism by varying the 574 potential. The results of the ORR and HPRR tests (in combination with the results and the RRDE 575 test reported in our previous work [23]) indicate that the ORR in an alkaline medium mainly occurs 576 following the direct 4 e<sup>-</sup> reduction to OH<sup>-</sup>. The Fe-N-C catalyst was also found to be tolerant to the 577 presence of ethanol, and it had a very good stability and durability in RDE, which make it a 578 promising candidate for application as a cathodic catalyst in alkaline DEFC. The alkaline DEFC test 579 demonstrated this good potentiality, being the maximum power density reached in DEFC (after the 580 581 optimization of the ionomer content on the electrode) comparable to the best state-of-the-art results reported in the literature for DEFC prepared using NNM catalysts. However, the DEFC 582 performance shows a steep decay at high current densities, which are mainly ascribed to mass 583 transport problems (flooding and/or O<sub>2</sub> diffusion) caused by the high microporosity of the catalyst. 584 The poor intrinsic stability of this alkaline DEFC system is also evidenced. 585

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### 587 Acknowledgments

- 588 This work was supported by the Madrid Regional Research Council (CAM) [RESTOENE-2 grant
- n. S2013/MAE2882], the Spanish Economy and Competitiveness Ministry [ENE2016 grant n.
- 590 77055-C3-1-R], and the Italian Ministry of Education, University and Research [PRIN
- 591 NAMEDPEM grant n. 2010CYTWAW].
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# Highlights

- The ORR kinetics of a Fe-N-C catalyst was investigated using cyclic voltammetry
- Fe-N-C catalyst is more active towards  $O_2$  reduction than  $H_2O_2$  reduction
- Fe-N-C catalyst is ethanol tolerant and shows good durability in RDE
- Performance of alkaline DEFC varies using different ionomer wt. % at cathode
- Short-term DEFC durability was preliminary assessed

